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Online First

1 **Comparing the Primary Concerns of Injured Collegiate-Athletes to the Content of Patient-**  
2 **Reported Outcome Measures.**

3 **Abstract**

4 **Context:** Patient-reported outcome measures(PROMs) have been endorsed for providing patient-centered  
5 care. However, PROMs must represent their target populations.

6 **Objective:** Identify the primary concerns of collegiate-athletes experiencing injury and compare those to  
7 the content of established PROMs.

8 **Design:** Cross-Sectional

9 **Setting:** Collegiate athletic training facilities

10 **Patients or Other Participants:** Collegiate athletes experiencing injury(n=149).

11 **Main Outcome Measures:** Open-ended response to the Measure Yourself Medical Outcome  
12 Profile(MYMOP-2) were used to identify primary concerns, which were linked to International  
13 Classification of Functioning, Disability and Health(ICF) taxonomy codes. Items of the Patient-Reported  
14 Outcomes Measurement Information System(PROMIS<sup>®</sup>), modified Disablement of the Physically Active  
15 Scale(mDPAS), Lower Extremity Functional Scale(LEFS), Knee injury and Osteoarthritis Outcome  
16 Score(KOOS), International Knee Documentation Committee Subjective Knee Form(IKDC), the Foot  
17 and Ankle Ability Measure (FAAM), Disablement of the Arm, Shoulder, and Hand(DASH), Functional  
18 Arm Scale for Throwers(FAST), and Kerlan-Jobe Orthopaedic Clinic questionnaire(KJOC) were linked  
19 to ICF codes. Chi-square single-sample goodness-of-fit tests examined if 70% of content was shared  
20 between PROM and participant-generated codes.

21 **Results:** Participant-generated concerns were primarily related to sport-participation(16%) and  
22 pain(23%). Chi-square tests showed that the LEFS and FAAM presented significant content differences  
23 with common participant-generated lower extremity responses at all levels. The PROMIS<sup>®</sup>, DASH,  
24 mDPAS, KOOS, IKDC, FAST, and KJOC did not have significant content differences for level 2 codes;  
25 however, significant differences were present for level 3 analyses except for the KOOS and  
26 IKDC.(p<0.001). All measures except the IKDC contained significant superfluous content(p<0.05).

27 **Conclusions:** The presence of significant content differences supports clinician perceived barriers  
28 regarding relevance of established PROMs. However, the IKDC was observed to be a relevant and  
29 efficient PROM for evaluating the primary concerns of collegiate-athletes experiencing lower extremity  
30 injury. Clinicians should consider utilizing patient-generated measures to support coverage of patient-  
31 specific concerns in care.

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34 **Key Words:** patient-centered care, patient reported outcome measures, disablement, health-related  
35 quality of life

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37

38 **Key Points:**

39 -Pain and sport participation were the primary concerns of participants with limited variation based on  
40 injury location or classification.

41 -A large amount of extraneous and unrelated content was present among the commonly used established  
42 PROMs

43 - Only the IKDC maintained coverage of participant-generated concerns when analyzed at both ICF levels  
44 2 and 3 and did not demonstrate a significant amount of superfluous content.

Online First

45 **Introduction:**

46 Patient-reported outcome measures (PROMs) are defined as instruments patients complete that  
47 provide information about the effect of their health condition or injury on their health status or health-  
48 related quality of life(HRQOL) and that highlight the patient perspective.<sup>1-3</sup> Their utilization is intended  
49 to give the clinician a greater understanding of the patient's physical and psychosocial response to  
50 treatment.<sup>1,3</sup> This improved clarity is thought to result in a more educated and engaged patient, a more  
51 individualized treatment plan, an improved patient-clinician relationship, and therefore, an improved  
52 treatment outcome.<sup>1-5</sup> Despite these constructs supporting PROMs, traditional clinical measures(e.g.  
53 strength measures) and clinician experience remain as primary drivers of clinical decision making.<sup>2-4</sup>

54 Dependence upon disease and clinician-oriented measures may be linked to athletic trainers'  
55 perceptions that many PROMs are not relevant to their patient population.<sup>2,5,6</sup> This may be reality as many  
56 PROMs reportedly being used most commonly by athletic trainers(i.e. Lower Extremity Functional  
57 Scale(LEFS), Disability of the Arm Shoulder Hand Scale(DASH))<sup>6-8</sup> were designed with lower-demand  
58 populations and may not be ideal in a high-demand athletic population. Additionally, time to complete  
59 and analyze PROMs has also been documented as a barrier to PROM implementation.<sup>2,5,9</sup> To optimize the  
60 utility of PROMs in traditional athletic training settings, PROMs must be both relevant, by addressing the  
61 primary concerns of athletes experiencing injury, and efficient, by having limited content that is not  
62 related to those primary concerns.

63 The International Classification of Function, Disability, and Health(ICF) framework, developed  
64 by the World Health Organization(WHO), intends to provide a standard language to serve as a reference  
65 for describing and/or comparing health states.<sup>10-12</sup> Rather than focusing on a diagnosis or condition, the  
66 ICF aims to emphasize experience and changes in functional ability, encouraging recognition of the  
67 patient's perception and individualized response to their treatment and/or condition.<sup>10,12-14</sup> Common  
68 PROMs have previously been analyzed to identify which ICF domains (health condition, body structure  
69 and function, activity, participation, environmental and personal factors)<sup>6</sup>; however, neither PROM

70 content nor self-identified patient concerns have been analyzed and compared utilizing the full ICF  
71 coding taxonomy. Therefore, the ICF provides an ideal framework by which to classify patient primary  
72 concerns and the content covered within commonly used PROMs.<sup>11,12,14,15</sup>

73 The primary aims of this study were to utilize the ICF framework to identify the primary concerns  
74 of collegiate athletes experiencing an injury, to describe if these primary concerns vary based on phase of  
75 injury or injury region, and to determine if these primary concerns are sufficiently and efficiently  
76 represented in the following established PROMs; the Patient-Reported Outcomes Measurement  
77 Information System Global Health Scale version 1.2(PROMIS<sup>®</sup>), modified Disablement of the Physically  
78 Active Scale(mDPAS), LEFS, Knee injury and Osteoarthritis Outcome Score(KOOS), International  
79 Knee Documentation Committee Subjective Knee Form(IKDC), Foot and Ankle Ability Measure  
80 (FAAM - including both the activities of daily living and sports subscales), DASH, Functional Arm Scale  
81 for Throwers(FAST), and Kerlan-Jobe Orthopaedic Clinic questionnaire(KJOC). We hypothesized that  
82 1) differences in primary concerns would exist among participants in an acute, subacute, or chronic phase  
83 of injury, 2) that the PROMIS<sup>®</sup>, and those region-specific PROMs reported as being most commonly used  
84 by athletic trainers for lower extremity injuries(LEFS) or upper extremity injuries(DASH)<sup>6-8,16</sup> would fail  
85 to contain relevant content and efficiently address the primary concerns of collegiate athletes  
86 experiencing injury, and 3) that those PROMs designed for use with highly active populations(mDPAS,  
87 KOOS, IKDC, FAST, and KJOC)<sup>17-22</sup> would contain relevant content and efficiently address the most  
88 commonly occurring participant-generated ICF codes.

## 89 **Methods**

90 This observational cross-sectional study used the Measure Yourself Medical Outcome  
91 Profile(MYMOP-2)<sup>23</sup> to identify items most relevant to injured collegiate student-athletes and to compare  
92 those symptoms and activities to those represented on established PROMs. This study was approved by  
93 the XXXXXXXX Institutional Review Board.

94 *Participants*

95 Participants included a convenience sample of student-athletes experiencing an injury at 4  
96 collegiate institutions in two states in the mid-Atlantic region of the United States, including NCAA  
97 division I (Football Bowl Subdivision), division II (two schools), and division III schools. Any student-  
98 athlete receiving care for any injury over the age of 18, fluent in English, who was identified by their  
99 treating athletic trainer as having modified or restricted their sport participation, in games or practices,  
100 due to injury and was willing to sign a HIPAA release for their athletic trainer to share information  
101 regarding their injury was eligible to participate. Participants were not enrolled more than once for a  
102 given body region.

103 *Procedures*

104 Participants were asked to complete the MYMOP-2, and a demographics form identifying their  
105 treating athletic trainer, age, year in school, sport, date of injury, and location/description of injury. Data  
106 collection occurred one time per participant, per region of injury. At the time of enrollment, the treating  
107 athletic trainers were asked their assessment of the injury phase of each participant (acute, subacute,  
108 chronic).

109 *ICF Linking*

110 Each participant's responses to the MYMOP-2 and each item on the included established PROMs  
111 were linked to an ICF code via the established ICF linking rules described by Cieza et al.<sup>11</sup> In brief, 3  
112 raters reviewed all MYMOP-2 responses independently and assigned a code in the deepest relevant level  
113 of the ICF taxonomy. The raters included two licensed athletic trainers and an individual licensed as both  
114 an athletic trainer and a physical therapist. Prior to rating responses, reviewers underwent additional  
115 training in the ICF Model via the ICF e-learning tool; reviewed recommendations from literature  
116 outlining and examining the ICF model, the World Health Organization ICF Manuals, and previously  
117 conducted studies with similar methodologies; and completed pilot linking sessions and discussed and

118 compared results.<sup>10-12,14,15</sup> The ICF model is a flexible, multi-tiered, hierarchical framework split into two  
119 parts. Part one contains the domains of body functions(b), body structures(s), and activity and  
120 participation(d). Part two contains contextual factors called environmental factors(e) and personal  
121 factors(pf). Each contains up to 4 levels. The first level is referred to as the chapter, and each chapter  
122 contains up to three nested levels(second-level, third-level, fourth-level) increasing in specificity<sup>10,11</sup> This  
123 hierarchical structure was used to link each patient concern to an ICF code, guided by the ICF browser  
124 definitions, inclusions, and exclusions available at the second and third levels.<sup>24</sup> For example, if a  
125 response states “pitching a baseball”, it would be coded as “d4454 Throwing. The definition for this code  
126 states: “Using fingers, hands and arms to lift something and propel it with some force through the air,  
127 such as when tossing a ball.” The process to arrive at this code is as follows:

- 128 • d Activities and Participation - *Domain*
- 129     - d4 Mobility - *Chapter*
- 130         - d430-d449 Lifting and carrying objects – *Component*
- 131             - d445 Hand and arm use – *Level 2*
- 132                 - d4454 Throwing - *Level 3*

133

134 Raters were permitted to assign multiple ICF codes to a single MYMOP-2 response to fully represent the  
135 participant’s concern. For example, if a participant listed “pain when I run”, separate codes were assigned  
136 to represent pain(b280) and running(d4552). Similarly, multiple codes could be assigned as needed for  
137 PROM items. Once individual rating was complete, raters met to review identified codes. When  
138 disagreement existed between raters, the code(s) was discussed among raters until a consensus was  
139 reached. Fleiss kappa statistics indicated moderate-very good (0.433-0.846) interrater agreement for  
140 coding of MYMOP-2 responses at both levels 2 and 3 prior to the consensus process that was used to



141 arrive at final codes for each participant response and each PROM item.<sup>25</sup> The linking process resulted in  
142 a final single set of agreed upon codes for each participant response and PROM item. Throughout the  
143 review process, previously agreed upon codes were reviewed to ensure consistency.

#### 144 *Instrumentation*

145 The MYMOP-2 is a patient-generated outcome measure. It asks the patient to “Choose one or two  
146 symptoms (physical or mental) that bother you the most” and “...choose one activity (physical, social, or  
147 mental) that is important to you, and that your problem makes difficult or prevents you from doing”.<sup>23</sup>  
148 The MYMOP-2 has been examined in various acute and chronic settings and has been reported to detect  
149 changes in several populations, including military<sup>26-28</sup>; therefore, its application to an athletic population  
150 is not unreasonable. Because it is an open-ended tool, it allows for a patient-centered evaluation of  
151 symptoms across multiple phases of injury/disease/condition, and across multiple pathologies.<sup>26-28</sup>  
152 Although not completed by participants, all possible items of the investigated PROMs were linked to ICF  
153 codes. These instruments were selected because the DASH and LEFS have been identified as the most  
154 commonly used upper and lower extremity specific PROMs used by practicing athletic trainers<sup>6</sup> and the  
155 PROMIS<sup>®</sup> has widely been proposed as a generic HRQOL instrument that can be utilized across  
156 populations.<sup>16</sup> The mDPAS, FAST, KJOC, IKDC, KOOS, and FAAM (including sports subscale) were  
157 included due to their utilization in athletic populations. The mDPAS is a general HRQOL PROM  
158 designed to evaluate both mental and physical components of disability in physically active populations.<sup>18</sup>  
159 The FAST is an upper extremity, region-specific PROM targeting overhead throwing athletes  
160 experiencing multiple domains of disablement.<sup>20</sup> The KJOC is region-specific PROM which evaluates  
161 function and performance for overhead athletes experiencing upper extremity injuries.<sup>17</sup> The IKDC is a  
162 region-specific measure of symptoms, function, and sports activity for those experiencing knee-related  
163 problems. The KOOS is a knee-specific PROM which assesses pain, symptoms, activities of daily living,  
164 sport and recreation function, and knee-related quality of life.<sup>19</sup> Finally, the FAAM is a region-specific

165 PROM assessing concerns related to ADL's and sport specific concerns for those experiencing injuries of  
166 the lower leg, ankle, and foot.<sup>22</sup>

## 167 **Statistical Analysis**

168 To reduce the data to those codes representing the items most important to the majority of  
169 participants, frequency counts were performed to identify those codes cumulatively representing 80% of  
170 patient-generated ICF codes overall, by phase of injury, and for upper and lower extremities. Those codes  
171 in the cumulative 80% were considered the primary concerns/codes and were used for our analyses.  
172 Primary concerns were examined by phase of injury and region for substantial content differences. To  
173 examine varying levels of specificity, codes were examined at both at level 2 and 3 of the ICF taxonomy.  
174 To examine the *relevance* of the established PROMs, we determined that a minimum of 70% of the  
175 primary participant-generated codes must be encompassed in a PROM if it was to be considered  
176 representative of patient concerns (i.e., were 70% of the cumulative 80% of generated codes addressed by  
177 the established PROM). The a priori 70% threshold was based on established logic that determines if a  
178 special test is considered clinically useful<sup>29</sup> Additionally, an approximately 70% threshold has also been  
179 applied in recent Delphi analyses examining both return-to-sport decisions(70%)<sup>30</sup> and PROM content  
180 validity among both researchers and practicing clinicians(67%).<sup>31</sup> Collectively, this past use of a 70%  
181 threshold, supports its application as an established and acceptable standard for clinical decision making  
182 and PROM related research. To evaluate the *efficiency* of commonly used PROMs and determine the  
183 amount of extraneous content present, we examined if 70% of the established PROM codes were among  
184 the primary participant-generated codes. Chi-square one-sample goodness of fit tests were performed to  
185 test the a priori selected 70% thresholds. If less than 70% ( $p < 0.05$ ) of the relevant most common  
186 participant-generated codes were represented in the established PROMs, they were considered to be non-  
187 representative of the participant-generated primary concerns. If less than 70% of the established PROM-  
188 generated codes were encompassed by the most common participant-generated codes, the PROM was  
189 considered to have a significant amount of extraneous content.

190

## 191 **Results**

192 Participants included 149 collegiate athletes(74 females, 75 males) (age:  $19.6 \pm 1.3$ ), and  
193 represented 150 injuries. Lower extremity injuries represented 77% of our sample and upper extremity  
194 injuries represented 15%. Back, head, and neck injuries represented 6%, with 2% of region of injury  
195 reports missing. Most participants were classified by their treating athletic trainers as being in the acute  
196 phase of injury at time of collection(50%), followed by chronic(35%), subacute(7%), and missing(7%).  
197 Table 1 contains participant sport distributions. Forty participants chose to only list as single symptom on  
198 the MYMOP-2 in response to the question prompting to list 1 or 2 symptoms. The final set of agreed  
199 upon ICF codes for participant responses yielded 594 total codes, containing 90 unique codes. The  
200 majority were represented in the Body Function(56%) and Activities and Participation(41%) domains.  
201 The Body Structure domain represented 1%, and 2% were not codable(ns). Code frequency distributions  
202 for the commonly occurring codes overall are displayed in Tables 2 and 3. There was substantial overlap  
203 in code frequency distributions by extremity and phase of injury and this information is available in the  
204 supplemental materials. Frequency counts of participant-generated ICF codes overall, by extremity, and  
205 by phase of injury are represented in Table 4. A summary of shared codes between the primary concerns  
206 of participants and established PROMs can be seen in Table 5.

### 207 *Global PROMs*

208 Comparisons between all participant-generated common codes and the PROMIS<sup>®</sup> were mixed,  
209 with PROMIS<sup>®</sup> content being observed to be relevant at level 2( $p=0.061$ ), but falling significantly below  
210 the 70% threshold for relevance at level 3( $p<0.001$ ). In contrast, the PROMIS<sup>®</sup> was observed to  
211 efficiently evaluate primary concerns at level 3( $p=0.081$ ), but not at level 2 ( $p=0.011$ ). When all  
212 participant-generated codes were compared to codes generated from the mDPAS, level 2 codes appeared  
213 relevant to the primary concerns of participants( $p=0.506$ ). However, this relevance was lost for level 3

214 codes( $p=0.004$ ). Additionally, based on codes generated from the mDPAS, it was not efficient in  
215 evaluating the primary concerns of injured student athletes at either level( $p<0.001$ ;  $p<0.001$ ).

216

### 217 *Lower Extremity PROMs*

218 Among participants with lower extremity injuries, analyses of level 2 and level 3 codes revealed  
219 that the LEFS was not relevant to the primary concerns of participants( $p<0.001$ ). Likewise, the LEFS was  
220 not efficient in evaluating participant concerns at either level 2 or level 3( $p<0.001$ ). Comparison of  
221 participant-generated concerns for lower extremity injuries to the IKDC indicated relevance for both level  
222 2 and 3 codes( $p=0.801$ ;  $p=0.143$ ). Additionally, the IKDC was efficient in evaluating primary concerns at  
223 both level 2( $p=0.159$ ) and 3 ( $p=0.078$ ). Comparison of codes for those experiencing lower extremity  
224 injuries to the KOOS demonstrated relevancy at both level 2( $p=0.705$ ) and 3( $p=0.143$ ) analyses.  
225 However, the KOOS was not efficient at either level( $p=0.003$ ;  $p<0.001$ ). Comparison of participant-  
226 generated codes to the FAAM revealed a lack of relevance at both level 2( $p=0.001$ ) and level 3( $p=0.001$ )  
227 analyses. Similarly, the FAAM was not observed to be efficient at either level( $p=0.001$ ;  $p<0.001$ ).

228

### 229 *Upper Extremity PROMs*

230 Among those with upper extremity injuries, level 2 ICF code analysis revealed 7 of the 8 primary  
231 codes(88%) were represented in the DASH, indicating PROM relevance( $p=0.28$ ). However, this  
232 relevance was not maintained at level 3( $p<0.001$ ). Furthermore, in examining ICF codes generated from  
233 the DASH, it did not efficiently evaluate the primary concerns of participants at either level 2 or level  
234 3( $p<0.001$ ). The FAST was observed to be relevant in capturing participant concerns at level 2( $p=0.758$ ),  
235 but not level 3( $p=0.006$ ). Additionally, the FAST was observed to not be efficient at either level  
236 2( $p=0.027$ ) or level 3( $p<0.001$ ). Comparison of participant codes for upper extremity injuries to KJOC-  
237 generated codes at level 2 supported KJOC relevance and efficiency( $p=0.758$ ;  $p=0.076$ ). However,

238 analysis of the more specific level 3 ICF codes did not support continuation of this relevance or  
239 efficiency( $p=0.006$ ,  $p<0.001$ ).

240

## 241 **Discussion**

242 The objective of this study was to identify the primary concerns held by injured collegiate  
243 athletes and compare those concerns, as measured by the MYMOP-2, to the content of established  
244 PROMs. Sensations of pain and sport participation were the most commonly reported participant  
245 concerns, representing 39% of all participant concerns. Codes related to running/moving around,  
246 emotional functions (stress, confidence, frustration, anxiety, etc.), mobility(range of motion), swelling,  
247 and strength/muscle power were also consistently among the primary participant concerns overall. It is  
248 important to note that regardless of level of analysis (level 2 or 3 of the ICF taxonomy) or region of  
249 injury, “not codable” was consistently present as well. These primary areas of concerns were consistent  
250 with previous works linking participant concerns to ICF codes.<sup>15</sup> Pain, sport, and movement functions  
251 were among the most common concerns reported across all phases of injury. There were notably fewer  
252 participants in the subacute and chronic phases; however, their primary concerns were consistent with  
253 those in the acute phase, regardless of region of injury. These results may suggest that use of the same  
254 PROM may be appropriate throughout the rehabilitation process. However, it is critical that selected  
255 PROMs reflect the patient’s concerns and be sensitive enough to detect change at varying stages of  
256 rehabilitation.

### 257 *Global PROMs*

258 Both the PROMIS<sup>®</sup> and mDPAS are global PROMs intended to evaluate health related quality of  
259 life; however, the mDPAS was specifically developed for use with physically active populations. For both  
260 global measures the percent agreement between PROMs content and primary concerns at ICF level 2  
261 were not statistically different from 70%. However, the mDPAS addressed more level 2 participant

262 concerns (62%) than the PROMIS<sup>®</sup> (46%). This greater representation within the mDPAS is likely  
263 because it was specifically developed for active populations. Significant content differences were present  
264 at level 3 for both measures. Uniquely for the PROMIS<sup>®</sup>, we observed significant superfluous content at  
265 level 2, but not level 3. These results indicate that both measures have potential utility in assessing more  
266 general level 2 concerns (e.g., muscle power functions, recreation and leisure, hand and arm use)<sup>24</sup> but not  
267 more specific and descriptive level 3 concerns (e.g., sports, throwing, catching, running, jumping)<sup>24</sup>.  
268 However, the PROMIS<sup>®</sup> was observed to be the more efficient instrument, with 40-50% of its content  
269 aligning with the primary concerns of patients. These results are counter to our hypothesis that PROMS  
270 designed for highly active individuals would be more relevant and efficient than PROMS designed for use  
271 with the general population. A previous analysis of the mDPAS reported a strong focus on physiological  
272 domains of health and the activity domain of the ICF, with less representation in psychological or  
273 participation areas.<sup>6</sup> Conversely, our participants concerns aligned more with their lived experiences, as  
274 exemplified through consistent reports of emotional functions and sport participation concerns. These  
275 differences in primary focus may explain the lack of alignment at the specific level 3 analysis.

276

### 277 *Lower Extremity PROMs*

278 The LEFS and FAAM content failed to represent the primary concerns of participants as  
279 measured by the MYMOP-2. Perhaps most notable is that pain, the most commonly occurring participant  
280 concern, was not represented in the LEFS or FAAM content. Our results also indicated that the LEFS and  
281 FAAM contain a large amount of extraneous content. It should be noted that we evaluated the full FAAM  
282 and the sport specific subscale, and the results could differ if only the sport specific subscale of the  
283 FAAM were examined. However, these results are consistent with previously reported concerns regarding  
284 the FAAM's ability to address concerns of an injured athletic population.<sup>32</sup> Lam et al. previously  
285 classified both these instruments as being heavily focused on physical health and almost exclusively on  
286 the activity domain of the ICF.<sup>6</sup> Given the large number of our patient generated responses that were pain,

287 sport performance and emotional function related, it is not surprising that the LEFS and FAAM appear to  
288 have limited relevance to the primary concerns of injured collegiate athletes.

289 The IKDC and KOOS demonstrated the strongest agreement between content and the primary  
290 concerns of collegiate athletes experiencing lower extremity injury as assessed by the MYMOP-2. Both  
291 PROMs adequately represented the concerns of participants at both ICF level 2 and 3. These results  
292 support the hypothesis that these instruments, designed to address a wide range of activity levels,  
293 accurately represent both broad and specific concerns of participants. Furthermore, the percentage of ICF  
294 codes generated from the IKDC at level 2(53%, 8/15)) and 3(52%, 11/21) were not significantly different  
295 than the 70% criterion value, supporting that the IKDC is the most efficient of the investigated PROMs at  
296 evaluating the concerns of participants experiencing lower extremity injuries. Conversely, the KOOS  
297 presented significant extraneous content at both level 2 and 3, suggesting that the IKDC is preferable for  
298 use with highly active lower-extremity patients. The relevance and efficiency of the IKDC may be a  
299 product of its development. The IKDC was specifically designed to represent knee impairment related  
300 symptoms and limitations in function and sports activity.<sup>21</sup> Furthermore, the IKDC was iteratively tested  
301 with large samples of patients representing the target population and revised through a formal item  
302 reduction process.<sup>21</sup> The use of patient engagement in PROM development is proposed to improve  
303 relevance to the patient.<sup>33</sup> The KOOS similarly engaged patients in development, but developers  
304 purposefully included a subgroup specific to osteoarthritis.<sup>19</sup> It is possible that items relevant to patients  
305 with osteoarthritis may not align with the primary concerns of college-age participants in the current  
306 study, resulting in the lack of efficiency observed for the KOOS. This observation highlights a challenge  
307 of PROM selection and development. An instrument that is broadly generalizable may lack specificity  
308 and/or efficiency for a target population. While generalizability can be beneficial, a lack of perceived  
309 relevance by both clinicians and patients can be a barrier to PROMs adoption.<sup>2,6,9</sup> Overall, the IKDC was  
310 observed to be the most relevant and efficient PROM included in this analysis for addressing the primary  
311 concerns of student-athletes experiencing injury.

312

313 *Upper Extremity PROMs*

314 Contrary to our hypothesis, for the upper extremity, relevance to patient concerns were similar  
315 among the more general DASH and the higher activity focused FAST and KJOC. In support of our  
316 hypothesis, a trend of greater efficiency with those PROMs designed for highly active individuals,  
317 particularly the KJOC, was observed. All of the investigated upper extremity PROMs were relevant to  
318 patient concerns at level 2, but none were relevant at level 3. Therefore, we conclude that the DASH,  
319 FAST, and KJOC may be sensitive to the general concerns of participants but are not specific to the  
320 precise concerns of participants as assessed by the MYMOP-2. When evaluating PROM efficiency, only  
321 the KJOC at level 2 achieved efficiency with the DASH and FAST containing a significant amount of  
322 superfluous codes at both level 2 and 3 analyses. Previous analysis of the DASH determined that  
323 physiological, social, physical and psychological domains of health and the ICF domains of body  
324 structure and function, activity, and participation are all encompassed within the DASH.<sup>6</sup> This broad  
325 spectrum of health assessment likely contributed to the DASH addressing the highest percentage of  
326 participant concerns (80%), but also having a significant amount of extraneous material (73-85%). Both  
327 the FAST<sup>20</sup> and KJOC<sup>17</sup> were specifically developed with input from baseball and softball players, while  
328 our sample included those with upper extremity injuries from a variety of sports in addition to baseball  
329 and softball. The heterogeneity of our upper extremity sample may have contributed to the limited  
330 agreement between FAST and KJOC content and the primary concerns of our participants. In particular,  
331 this may explain why the broad concerns of level 2 were adequately addressed, but not the more specific  
332 level 3 concerns.

333 *PROM Content Limitations and Recommendations*

334 The content differences we observed between the evaluated PROMs and patient concerns as  
335 assessed by the MYMOP-2 is consistent with the perceived barriers and concerns identified by surveyed



336 clinicians.<sup>2,5</sup> It is also consistent with the previously reported tendency of PROMs to focus on clinician-  
337 oriented items, such as strength and range of motion, but lack in coverage of items of importance to  
338 patients, such as psychological, and social factors.<sup>34</sup> Not only do the majority of measures examined in  
339 this study only superficially represent the patient-generated concerns, they also contain a large amount of  
340 extraneous content. The presence of this extraneous content places additional demands on the patient and  
341 adds unnecessary time for clinicians to score PROMs. Furthermore, perceived barriers and concerns  
342 regarding PROM implementation may be being reinforced by the PROMs being utilized in practice.<sup>5</sup> Lam  
343 et al, reported the FAAM, LEFS, and DASH as the most common extremity measures being utilized by  
344 athletic trainers using PROMs for clinical practice.<sup>9</sup> None of these instruments were both relevant and  
345 efficient for evaluating the primary concerns of student-athletes experiencing injury. To combat this, we  
346 believe that development and utilization of PROMs targeting athletic populations, informed by both  
347 clinician and patient concerns, is essential to facilitate the clinical utility of PROMs in athletic training.<sup>1</sup>  
348 PROMs must be purposefully developed and selected with their end use in mind, and clinicians and  
349 researchers should not assume that a form is useful or appropriate simply because it has frequently been  
350 used in the past.

351 Additionally, we believe the presented results support the use of a patient-generated outcome  
352 measure, such as the MYMOP-2 or the patient-specific functional scale.<sup>35</sup> Such open ended measures  
353 may be beneficial as patient concerns are highly individualistic (Table 4) and frequently included items  
354 beyond standard ICF codes as evidenced by the consistent presence of “not codable” items in our results.  
355 Patient-generated items may be particularly useful if paired with the commonly used<sup>9</sup>, single-item,  
356 Numeric Pain Rating Scale<sup>36</sup> given that nearly 30% of the identified codes were related to “sensation of  
357 pain”. Similarly, it may be relevant to consider utilizing psychosocial measures if a PROM only addresses  
358 physical impairments or limitations. Finally, the limited ability of the investigated PROMs to address  
359 concerns at the more specific level 3 of the ICF highlights the importance of using PROMs not only as an

360 end point, but as another piece of the clinical evaluation that can prompt follow-up questions and lead to  
361 deeper and more engaging conversations with patients regarding their health.<sup>1</sup>

### 362 *ICF Limitations*

363 For both participant responses and PROMs items, many of the final codes were those listed as  
364 “other specified or unspecified” or not codable. Coding language limitations were evident when  
365 individuals reported emotional experiences, or symptoms such as swelling. Concerns related to a level of  
366 function surpassing ADLs were also constrained by the existing ICF taxonomy. For example, while there  
367 are codes for concerns such as “sport”, “swimming”, and “lifting”, the definitions provided by the ICF do  
368 not necessarily encompass the participants’ concerns. For example, the ICF code for lifting is defined as  
369 “raising up an object in order to move it from a lower to a higher level, such as when lifting a glass from  
370 the table.” While this definition can be applied to both lifting weights (frequently listed by our  
371 participants) or “lifting or carrying a shopping bag” (as stated on the DASH)<sup>14</sup>, these tasks are not  
372 clinically equivalent. These limitations in ICF taxonomy potentially contributed to some of the overlap in  
373 content between PROM generated codes and the participant-generated codes.

374 These concerns regarding the inclusivity of the ICF taxonomy are not unique. Mitra and  
375 Shakespeare propose that a revised ICF model would need to consider an individual is able to participate  
376 in components of life deemed personally meaningful.<sup>37</sup> Our data confirm this need, especially related to  
377 functional and psychosocial concerns. Specifically, while there is a small set of codes related to handling  
378 stress, the inclusion criteria do not lend themselves towards experiences frequently described by  
379 participants, such as anxiety, confidence, or frustration. Therefore, raters linked these concerns to the  
380 ‘emotional functions’ component, defined as: “*Specific mental functions related to the feeling and*  
381 *affective components of the processes of the mind. Inclusions: functions of appropriateness of emotion,*  
382 *regulation and range of emotion; affect, sadness, happiness, love, fear, anger, hate, tension, anxiety, joy,*  
383 *sorrow; lability of emotion; flattening of affect.”* However, this definition is more related to pathologic  
384 disorders, rather than emotional responses to injury/external stimuli. The frequency of emotional

385 experiences among participant concerns highlights the need for improved recognition and evaluation of  
386 patient emotional states throughout care.

### 387 *Study Limitations*

388 Data was collected from four collegiate campuses from 2019-2020 and was shortened due to the  
389 COVID-19 pandemic. Although it is assumed that the responses provided by participants are  
390 generalizable to other collegiate-athletes, further investigation is needed to verify this, particularly among  
391 individuals with upper extremity injuries due to our limited sample. For both participant-generated  
392 responses and PROM questions, we chose to link every component of a response/question. This generated  
393 multiple codes for most responses and questions. It is possible that if we were to link only the questions  
394 themselves, less extraneous content may be identified; however, common concerns might also be  
395 eliminated. Additionally, we chose to classify injuries by body region and did not separate them by  
396 individual joints. We also chose to operationally define the primary concerns as those cumulatively  
397 representing 80% of all patient-generated codes and used 70% content agreement as the threshold by  
398 which to evaluate PROMs for relevance and efficiency.

### 399 **Conclusions**

400 This study aimed to identify the primary concerns of collegiate athletes experiencing injuries and  
401 determine if those PROMs commonly used or recommended for use in athletic training adequately and  
402 efficiently encompass those primary concerns. These results validate concerns expressed by clinicians  
403 regarding PROM content, while also identifying the primary concerns of an athletic population as  
404 primarily pain and sport participation ability when assessed by the MYMOP-2. Most PROMs  
405 investigated, presented with notable differences in content compared to the primary concerns of  
406 participants, particularly at the more descriptive ICF level 3. Similarly, most PROMs analyzed revealed  
407 significant amounts of extraneous content. Our results indicate that of the investigated PROMs, the IKDC  
408 was the most relevant and efficient for collegiate athletes experiencing lower extremity injuries.

409 Additionally, the development or use of measures designed for highly active populations based upon the  
410 identified primary concerns participants may be beneficial as the primary codes were highly consistent  
411 (pain, sport, mobility/muscle function of involved limb, emotional functions/experiences) regardless of  
412 injury phase or location. Finally, there is need for improved, patient-centered evaluation of emotional  
413 experiences which were largely inadequately encapsulated by both the evaluated PROMs and the ICF  
414 taxonomy. Implementation of patient-generated evidence is imperative to facilitating successful well-  
415 rounded practice, as well as the AT research agenda surrounding PROMs. To continue to further these  
416 endeavors, future efforts must focus on developing and implementing PROMs targeting athletic  
417 populations with a focus on relevance and efficiency.

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**Comparing the primary concerns of injured athletes to patient-reported outcome measures.**

**Figures and Tables in order throughout document**

**Table 1: Sport Distribution**

Sport	Percent (n)	Cumulative Percent
Soccer	30.67% (46)	30.67%
Football	16% (24)	46.67%
Lacrosse	11.33% (17)	58.00%
Track and Field	8.67% (13)	66.67%
Volleyball	6.67% (10)	73.34%
Basketball	6% (9)	79.34%
Softball	5.33% (8)	84.67%
Baseball	3.33% (5)	88.00%
Cheerleading	3.33% (5)	91.33%
Cross Country	2% (3)	93.33%
Cycling	1.33% (2)	94.66%
Field Hockey	1.33% (2)	95.99%
Swimming	1.33% (2)	97.33%
Tennis	1.33% (2)	98.66%
Wrestling	1.33% (2)	100%
Total	100% (150)	100%

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**Table 2: Most Common Participant-Generated ICF Codes Overall (Level 2)**

Code	Description	Percent (n)	Cumulative Percent
b280	Sensation of pain	22.73% (135)	22.73%
d920	Recreation and leisure	15.63% (93)	38.38%
d455	Moving around	10.23% (61)	48.65%
b780	Sensations related to muscles and movement functions	7.57% (45)	56.23%
b152	Emotional functions	5.38% (32)	61.62%
d450	Walking	4.04% (24)	65.66%
b289	Sensation of pain, other specified and unspecified	3.36% (20)	69.02%
b798	Movement functions, other specified and unspecified	2.52% (15)	71.55%
b710	Mobility of joint functions	2.02% (12)	73.57%
b439	Functions of the hematological and immunological systems, other specified and unspecified	1.85% (11)	75.42%
d445	Hand and arm use	1.68% (10)	77.10%
ns	Not codable	1.68% (10)	78.79%
b199	Mental functions, unspecified	1.51% (9)	80.30%

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**Table 3: Most Common Participant-Generated ICF Codes Overall (Level 3)**

Code	Description	Percent (n)	Cumulative Percent
b2801	Pain in body part	15.66% (93)	15.66%
d9201	Sport	13.81% (82)	29.46%
b280	Sensation of pain	6.91% (41)	36.36%
b7808	Sensations related to muscles and movement functions, unspecified	6.23% (37)	42.59%
d4552	Running	5.90% (35)	48.48%
b1528	Emotional functions, other specified	3.87% (23)	52.36%
d4509	Walking, unspecified	3.53% (21)	55.89%
b289	Sensation of pain, other specified and unspecified	3.36% (20)	59.26%
b798	Neuromusculoskeletal and movement-related functions, other specified	2.52% (15)	61.78%
b439	Functions of the hematological and immunological systems, other specified and unspecified	1.85% (11)	63.64%
d4558	Moving around, other specified	1.68% (10)	65.32%
Ns	Not codable	1.68% (10)	67.00%
b1522	Range of emotion	1.51% (9)	68.52%
b199	Mental functions, unspecified	1.51% (9)	70.03%
d4553	Jumping	1.51% (9)	71.55%
b7800	Sensation of muscle stiffness	1.34% (8)	72.90%
d4300	Lifting	1.34% (8)	74.24%
b298	Sensory functions and pain, other specified	1.17% (7)	75.42%
b7100	Mobility of a single joint	1.17% (7)	76.60%
b799	Neuromusculoskeletal and movement-related functions, unspecified	1.01% (6)	77.61%
b1349	Sleep functions, unspecified	0.84% (5)	78.45%
b7109	Mobility of joint functions, unspecified	0.84% (5)	79.30%
d4551	Climbing	0.84% (5)	80.13%

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**Table 4: Number of ICF Codes Represented and not Represented Among Most Common Participant-Generated Responses**

Level of Analysis	All	Upper	Lower	Acute	Subacute	Chronic
Level 2 Unique Codes	58	20	51	48	14	36
Level 3 Unique Codes	90	26	73	75	20	53
Top 80% Level 2	13	8	12	6	1	3
Top 80% Level 3	23	12	20	10	1	4
Codes not in top 80% Level 2	45	12	39	42	13	33
Codes not in top 80% Level 3	67	14	53	65	19	50
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**Table 5: Summary of ICF Codes Shared by Most Common Participant Concerns and Established PROMS**

Patient-Reported Outcome Measure (PROM)	Percentage of Most Common Concerns Addressed (codes addressed/total common concerns)	P-value for test for 70% of Common Concerns	Percentage of PROM Content Representing Most Common Concerns (common codes/total codes represented)	P Value for test for 70% of Content
<i>Patient-Reported Outcome Measures Information System Global Health Scale (PROMIS®)</i>				
ICF Level 2	46%* (6/13)	0.061	40% (6/15)	0.011
ICF Level 3	35% (8/23)	<0.001	50% (8/16)	0.081*
<i>modified Disablement of the Physically Active Scale (mDPAS)</i>				
ICF Level 2	62%* (8/13)	0.506	33% (8/23)	<0.001
ICF Level 3	43% (10/23)	0.004	23% (10/43)	<0.001
<i>Lower Extremity Functional Scale (LEFS)</i>				
ICF Level 2	25% (3/12)	<0.001	23% (3/13)	<0.001
ICF Level 3	25% (5/20)	<0.001	21% (5/24)	<0.001
<i>International Knee Documentation Committee Subjective Knee Form (IKDC)</i>				
ICF Level 2	66%* (8/12)	0.801	53%* (8/15)	0.159
ICF Level 3	55%* (11/20)	0.143	52%* (11/21)	0.078
<i>Knee injury and Osteoarthritis Outcome Score (KOOS)</i>				
ICF Level 2	75%* (9/12)	0.705	41% (9/22)	0.003
ICF Level 3	55%* (11/20)	0.143	28% (11/39)	<0.001
<i>Foot and Ankle Ability Measure (FAAM)</i>				
ICF Level 2	25% (3/12)	0.001	21% (3/14)	<0.001
ICF Level 3	35% (7/20)	0.001	29% (7/24)	<0.001
<i>Disablement of the Arm, Shoulder, and Hand (DASH)</i>				
ICF Level 2	88%* (7/8)	0.28	27% (7/26)	<0.001
ICF Level 3	42% (5/12)	<0.001	15% (5/33)	<0.001
<i>Functional Arm Scale for Throwers (FAST)</i>				
ICF Level 2	75%* (6/8)	0.758	43% (6/14)	0.027
ICF Level 3	42% (5/12)	0.006	29% (5/17)	<0.001
<i>Kerlan-Jobe Orthopaedic Clinic questionnaire (KJOC)</i>				
ICF Level 2	63%* (5/8)	0.758	45%* (5/11)	0.076
ICF Level 3	33% (4/12)	0.006	27% (4/15)	<0.001

\*Shared content not significantly different from 70% ( $p>0.05$ )

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552 **Comparing the primary concerns of injured athletes to patient-reported outcome measures**

553 **SUPPLEMENTAL MATERIALS**

554

**Supplemental Table 1: Most Common Participant-Generated ICF Codes for Upper Extremity Injuries Level 2**

Code	Description	Percent (n)	Cumulative percent
b280	Sensation of pain	29.87% (23)	29.87%
d920	Recreation and leisure	18.18% (14)	48.05%
d445	Hand and arm use	10.38% (8)	58.44%
b780	Sensations related to muscles and movement functions	5.19% (4)	63.64%
d430	Lifting and carrying objects	5.19% (4)	68.83%
b289	Sensation of pain, other specified and unspecified	3.89% (3)	72.73%
b710	Mobility of joint functions	3.89% (3)	76.62%
ns	Not Codable	3.89% (3)	80.52%

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**Supplemental Table 2: Most Common Participant-Generated ICF Codes for Upper Extremity Injuries Level 3**

Code	Description	Percent (n)	Cumulative percent
b2801	Pain in body part	22.08% (17)	22.08%
d9201	Sport	18.18% (14)	40.26%
b280	Sensation of pain	6.49% (5)	46.75%
b7808	Sensations related to muscles and movement functions, other specified	5.19% (4)	51.95%
d4300	Lifting	5.19% (4)	57.14%
d4454	Throwing	5.19% (4)	62.34%
b289	Sensation of pain, other specified and unspecified	3.90% (3)	66.23%
d4458	Hand and arm use, other specified	3.90% (3)	70.13%
ns	Not codable	3.90% (3)	74.03%
b1349	Sleep functions, unspecified	2.60% (2)	76.62%
b7109	Mobility of joint functions, unspecified	2.60% (2)	79.22%
b7300	Power of isolated muscles and muscle groups	2.60% (2)	81.82%

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**Supplemental Table 3: Most Common Participant-Generated ICF Codes for Lower Extremity Injuries Level 2**

Code	Description	Percent (n)	Cumulative percent
b280	Sensation of pain	21.61% (102)	21.61%
d920	Recreation and leisure	14.61% (69)	36.23%
d455	Moving around	11.86% (56)	48.09%
b780	Sensations related to muscles and movement functions	8.47% (40)	56.57%
b152	Emotional functions	6.14% (29)	62.71%
d450	Walking	4.87% (23)	67.58%
b289	Sensation of pain, other specified and unspecified	3.60% (17)	71.19%
b798	Neuromusculoskeletal and movement-related functions, other specified	2.75% (13)	73.94%
b439	Functions of the hematological and immunological systems, other specified and unspecified	2.11% (10)	76.06%
b199	Mental functions, unspecified	1.90% (9)	77.97%
b710	Mobility of joint functions	1.90% (9)	79.87%
ns	Not codable	1.48% (7)	81.36%

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**Supplemental Table 4: Most Common Participant-Generated ICF Codes for Lower Extremity Injuries Level 3**

Code	Description	Percent (n)	Cumulative percent
b2801	Pain in body part	14.00% (66)	14.00%
d9201	Sport	12.70% (60)	26.70%
b280	Sensation of pain	7.4% (35)	34.10%
d4552	Running	7.00% (33)	41.10%
b7808	Sensations related to muscles and movement functions, other specified	6.80% (36)	47.90%
b1528	Emotional functions, other specified	4.20% (20)	52.10%
d4509	Walking, unspecified	4.20% (20)	56.40%
b289	Sensation of pain, other specified and unspecified	3.60% (17)	60.00%
b798	Neuromusculoskeletal and movement-related functions, other specified	2.80% (13)	62.70%
b439	Functions of the hematological and immunological systems, other specified and unspecified	2.12% (10)	64.80%
b1522	Range of emotion	1.91% (9)	66.70%
b199	Mental functions, unspecified	1.91% (9)	68.60%
d4553	Jumping	1.91% (9)	70.60%
b7800	Sensation of muscle stiffness	1.69% (8)	72.20%
d4558	Moving around, other specified	1.69% (8)	73.90%
ns	Not codable	1.48% (7)	75.40%
b298	Sensory functions and pain, other specified	1.27% (6)	76.70%
b7100	Mobility of a single joint	1.27% (6)	78.00%
b799	Neuromusculoskeletal and movement-related functions, unspecified	1.06% (5)	79.00%
d4551	Climbing	1.06% (5)	80.10%

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**Supplemental Table 5: Phase of Injury Most Common Codes Level 2**

Code	Description	Acute	Subacute	Chronic
b152	Emotional functions	x		
b280	Sensation of pain	x	x	x
b780	Sensations related to muscles and movement functions	x		
d450	Walking	x		
d455	Moving around	x		x
d920	Recreation and leisure	x		x

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**Supplemental Table 6: Phase of Injury Most Common Codes Level 3**

Code	Description	Acute	Subacute	Chronic
b1528	Emotional functions, other specified	x		
b280	Sensation of pain	x		x
b2801	Pain in body part	x	x	x
b289	Sensation of pain, other specified	x		
b439	Functions of the hematological and immunological systems, other specified and unspecified	x		
b7808	Sensations related to muscles and movement functions, other specified	x		
b798	Neuromusculoskeletal and movement-related functions, other specified	x		
d4509	Walking, other specified	x		
d4552	Running	x		x
d9201	Sport	x		x

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