

## Review

## Platinum neurotoxicity pharmacogenetics

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## Abstract

Cisplatin, carboplatin, and oxaliplatin anticancer drugs are commonly used to treat lung, colorectal, ovarian, breast, head and neck, and genitourinary cancers. However, the efficacy of platinum-based drugs is often compromised because of the substantial risk for severe toxicities, including neurotoxicity. Neurotoxicity can result in both acute and chronic debilitation. Moreover, colorectal cancer patients treated with oxaliplatin discontinue therapy more often because of peripheral neuropathy than tumor progression, potentially compromising patient benefit. Numerous methods to prevent neurotoxicity have thus far proven unsuccessful. To circumvent this life-altering side effect while taking advantage of the antitumor activities of the platinum agents, efforts to identify mechanism-based biomarkers are under way. In this review, we detail findings from the current literature for genetic markers associated with neurotoxicity induced by single-agent and combination platinum chemotherapy. These data have the potential for broad clinical implications if mechanistic associations lead to the development of toxicity modulators to minimize the noxious sequelae of platinum chemotherapy. [Mol Cancer Ther 2009;8(1):10–16]

## Introduction

The chemotherapy drugs cisplatin, carboplatin, and oxaliplatin are commonly used for the treatment of lung,

colorectal, ovarian, breast, head and neck, bladder, and testicular cancers (Fig. 1). Cisplatin was approved for the treatment of both ovarian and testicular cancer in 1978 (1) and is also administered for many other types of solid tumors. Subsequently, carboplatin was approved in March 1989 for treatment of ovarian cancer. In 2002, a third-generation platinum drug, oxaliplatin, was approved for treatment of metastatic colorectal cancer.<sup>5</sup> Cisplatin is the most commonly used chemotherapy drug in the United States (2). Unfortunately, the benefit of these frequently prescribed drugs is compromised by severe side effects, including neurotoxicity.

Recent meta-analyses have compared platinum with nonplatinum treatments for various cancer types. A meta-analysis on individual patient data concluded that cisplatin was superior to carboplatin for non-small cell lung cancer (NSCLC) in terms of response rate and prolonged survival without an increase in severe side effects (3). By analyzing more than 1,700 ovarian cancer patients from six randomized trials, another meta-analysis determined that the inclusion of cisplatin in frontline therapy of stage III ovarian cancers improves survival (4). Platinum-based regimens had been shown to have a slightly higher 1-year survival rate than non-platinum-based regimens in metastatic NSCLC patients ( $P = 0.03$ ). Analysis of 17 trials that included 4,920 NSCLC patients indicated that the platinum-based treatments are associated with a higher risk of anemia, nausea, and neurotoxicity ( $P = 0.02$ ; ref. 5). Clearly, the use of platinum drugs is essential in the fight against cancer. However, the toxic side effects must be attenuated to reap the maximum benefits.

The second-generation platinum drug carboplatin is a more stable analogue but has equivalent activity, in some cancer types, to cisplatin. Carboplatin is part of the first-line therapy for ovarian cancer, typically in combination with a taxane. Lung cancer is also treated with carboplatin, in combination therapy with vinorelbine, bevacizumab, etoposide, gemcitabine, or paclitaxel (among others; ref. 6). The negative side effects of carboplatin combinations vary with the partnering agent. For example, vinorelbine/carboplatin treatment has fewer incidences of grade 3–4 toxicities than gemcitabine/carboplatin (7). The efficacy of single-agent therapy for NSCLC is generally improved by the addition of carboplatin (8).

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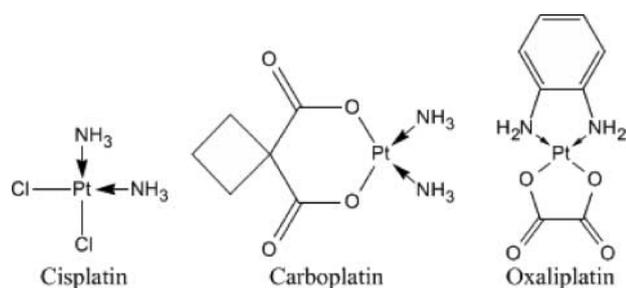
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<sup>5</sup> Food and Drug Administration. Oncology Tools. Listing of approved oncology drugs with approved indications 2007 [cited; Available from: <http://www.accessdata.fda.gov/scripts/cder/onctools/druglistframe.htm>].



**Figure 1.** Chemical structures of platinum compounds.

Oxaliplatin, the third-generation platinum drug, is the standard of treatment in conjunction with 5-fluorouracil/leucovorin for locally advanced and metastatic cancer of the colon or rectum. It has shown improved survival in the adjuvant setting among stage III patients compared with 5-fluorouracil/leucovorin treatment, as well as in the first-line treatment of metastatic disease, compared with 5-fluorouracil/irinotecan therapy (9). Importantly, the incidence of neurotoxicity is increased with the addition of oxaliplatin (10). The Food and Drug Administration noted that more than 70% of the patients receiving oxaliplatin are affected by some degree of sensory neuropathy (11). Most importantly, neurotoxicity, and not tumor progression, is often the cause of treatment discontinuation. An additional recent study examining 383 patients treated with oxaliplatin and irinotecan showed that 52% of patients required dose reduction due to adverse events, including neurotoxicity, and that 26% required hospitalization because of these negative events (12).

### Platinum Toxicity

The general toxicity profile differs between the three platinum drugs. Cisplatin may cause severe renal tubular damage and reduces glomerular filtration. Optimal administration requires concurrent saline hydration and mannitol diuresis to minimize the likelihood of potentially lethal damage to the kidneys (13). Cisplatin causes the most severe nausea and vomiting of the approved platinum compounds that can usually be prevented or managed with current antiemetic regimens. Peripheral neurotoxicity is the most common dose-limiting problem associated with modern cisplatin therapy. Cisplatin neurotoxicity is first characterized by painful paresthesias and numbness that typically occurs during the first few drug cycles. Loss of vibration sense, paraesthesia, and ataxia can become apparent after several treatment cycles. Additionally, 75% to 100% of patients treated with cisplatin show some level of ototoxicity. Ototoxicity caused by cisplatin is cumulative and can be irreversible; therefore, monitoring by audiograms should be considered. Cisplatin also causes mild hematologic toxicity.

The dose-limiting toxicity of carboplatin is thrombocytopenia, which is dose dependent and varies in severity based on the individual. Because the toxicities are dose

dependent, alternative methods to ensure appropriate dose are in practice. By measuring glomerular filtration rate, Barrett et al. were able to take into account the body mass index of all patients individually (14). They determined that there was no association between body mass index and prognosis in ovarian cancer patients. However, Ekhardt and colleagues established the importance of the Calvert formula (based on glomerular filtration rate) for dose determination with targeted carboplatin exposures (15). Alternatively, a patient with normal renal function should be given a flat dose based on the mean population carboplatin clearance (15). Patients treated with carboplatin are also at a higher risk of anemia than those treated with cisplatin ( $P = 0.02$ ; ref. 5).

The neurotoxicity resulting from carboplatin administration is less frequent (4–6%)<sup>6</sup> than that observed with cisplatin or oxaliplatin (15–60%)<sup>7</sup> and is typically less severe. Risk of carboplatin peripheral neurotoxicity increased in patients older than 65 years and in patients previously treated with cisplatin. Additionally, carboplatin does not cause the loss of hearing that is seen in the majority of patients treated with cisplatin (16–18). Carboplatin-treated patients also experience a lower incidence of nausea and/or vomiting and renal toxicity, when compared with cisplatin-based regimens (3, 5).

Oxaliplatin frequently causes neutropenia, but is dose limited in many cases by a purely sensory neuropathy, which seems to be cumulative and, at least in a large part, reversible with drug cessation. There are two patterns of neuropathy: (a) an acute cold-aggravated but transient condition and (b) a more chronic form that has onset after multiple exposures to the drug and that often improves but does not disappear with drug cessation. Acute oxaliplatin neurotoxicity can occur within hours of dosing as this may be precipitated or exacerbated by exposure to cold temperature or cold objects and typically resolves within hours to days (19). This acute neurotoxicity is dose related and reversible. The more chronic pattern of sensory neuropathy was observed in ~50% of study patients who received oxaliplatin with infusional 5-fluorouracil/leucovorin (20).

Platinum-induced peripheral neuropathy has elements common to all three agents with some distinctive patterns. The neurologic complications of these three drugs occur in most cases, in a cumulative manner. Cisplatin- and carboplatin-related neuropathies are often not completely reversible and are seen as paresthesias in a stocking glove distribution, areflexia, and loss of proprioception and vibratory sensation. Loss of motor function has also been reported in patients treated with cisplatin. After discontinuation of cisplatin or oxaliplatin therapy, the neurotoxic

<sup>6</sup> Pharmacists ASoH-S. Carboplatin. [cited; Available from: <http://www.ashp.org/mngrphs/ahfs/a395017.htm>].

<sup>7</sup> Food and Drug Administration. Food and Drug Administration Oncology Tools Product Label Details in Conventional Order for Oxaliplatin. [cited; Available from: <http://www.accessdata.fda.gov/scripts/cder/onctools/labels.cfm?GN=oxaliplatin>].

symptoms may progress for up to 2 months. Patients may experience gradual improvement; however, more severe cases may have incomplete recovery (21). The incidence of clinical neurotoxicity does not seem to be directly associated with antitumor response (Fig. 2). Therefore, neurotoxicity is not merely a “necessary evil”, but can be approached as an avoidable side effect of platinum agents.

### Proposed Platinum-Drug Mechanism of Neurotoxicity

Cisplatin, carboplatin, and oxaliplatin differ in their solubility, chemical reactivity, oxygenated leaving groups, pharmacokinetics, and toxicology (13). The leaving groups on each molecule lead to differences in each platinum drug’s reactivity with nucleophiles, which is likely contributing to the differences in toxicity (22). Platinum drugs undergo aquation, which is a key step in the drug forming a complex with the target DNA (23). The result of this hydrolysis is the formation of a positively charged molecule that then cross-links to DNA, forming the DNA/platinum adducts (23). The amount of DNA cross-links in DRG neurons at a given cumulative dose was significantly correlated with the degree of neurotoxicity (24). Patient trials of platinum agents have shown that the severity of neurotoxicity is commonly cisplatin > oxaliplatin >> carboplatin. Based on the data from cisplatin, the differences in the degree of neurotoxicity could be associated with their different plasma concentrations of the intermediary products of the aquation to DNA adduct formation process (23). Cisplatin and oxaliplatin undergo hydrolysis to a greater extent than carboplatin, which may contribute to the difference in the associated neurotoxicity severity patterns.

Although the cellular damage is thought to be caused by the formation of these DNA adducts, an additional complex composed of platinum-DNA-protein cross-links has been proposed as a mechanism for the platinum antitumor activities and studied specifically with cisplatin (25). By covalently linking DNA with protein complexes, these platinum-DNA-protein cross-links are able to disrupt

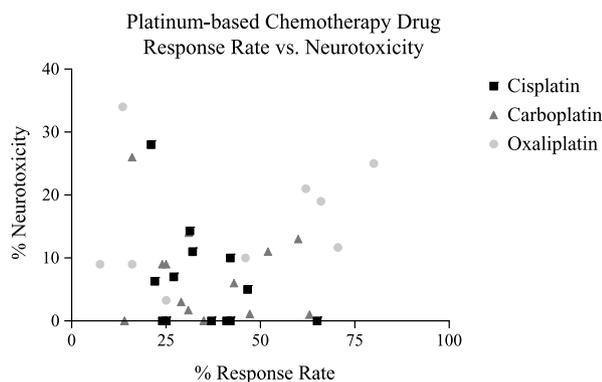
nuclear metabolism and spatial organization of chromatin as well as inhibit DNA replication and repair.

The platinum drugs seem to affect the axons, myelin sheath, neuronal cell body, and the glial structures of the neurons (26). At the cellular level, the chemotherapy interferes with DNA replication and metabolic function of the neurons (27). Platinum-based agents have the propensity to enter the dorsal root ganglia and peripheral nerves (28) as opposed to the brain, as these drugs have poor penetration through the blood-brain barrier (29). Levels of platinum have been shown to be significantly higher in the dorsal root ganglia than in the brain and spinal cord, which are protected by that barrier (29). It was previously thought that platinum drugs entered the dorsal root ganglia through passive diffusion (17), although current data indicate the presence of metal transporters that may be involved in their entrance into the cell (30).

Current research has shown insight into the mechanisms of nerve damage caused by the platinum agents. After entering the DRG, the platinum agent forms an adduct with DNA. Apoptosis has been observed in DRG neurons following cisplatin treatment both *in vitro* and *in vivo* (31) and is correlated with increased platinum-DNA binding in these DRG neurons (31). Oxaliplatin and cisplatin differ in their severity of neurotoxicity to the DRG. Cisplatin produced about three times more platinum-DNA adducts in the DRG (32) than equimolar doses of oxaliplatin, consistent with clinical observations that cisplatin is associated with greater neurotoxicity.

Although the mechanism of the transition from a platinum-DNA adduct to neuronal apoptosis is not fully understood, one proposal has suggested that the DNA repair machinery is unable to repair the damaged DNA. Polymorphisms in the DNA repair genes, including genes in base excision repair, nucleotide excision repair, mismatch repair, and double-strand break repair pathways, cause the individuals to be less proficient in repairing carcinogen-induced damage (33). It has also been proposed that the platinum-DNA adducts interfere with the normal function of cellular proteins such as binding or interactions with other proteins (34).

Neurotoxicity in the peripheral nervous system is therefore a significant factor affecting the efficacy of the platinum-based drugs, as patients may experience either more negative side effects than benefits from this drug class or be forced to forego further therapy with an active agent. Recent studies have examined the effectiveness of a number of potential neuroprotectant agents, such as erythropoietin (35), amofistine (36), carbamazepine (37), and supplements such as vitamin E, intravenous calcium, or magnesium transfusions (26). The use of magnesium and calcium supplementation with oxaliplatin seemed to reduce the antitumor effect in advanced colorectal cancer in one study and is no longer a viable approach to avoid neurotoxicity (38). Additionally, there has been a clinical trial that used nimodipine, a calcium channel antagonist, in conjunction with cisplatin. This trial resulted in premature cessation of treatment plus nimodipine due to significantly



**Figure 2.** Percentage of neurotoxicity versus response rate for each platinum drug treatment from platinum-containing trials (3, 10, 48, 59 – 71).

increased gastrointestinal toxicity. Acetyl-L-carnitine is an additional intervention method used to treat chemotherapy-induced neurotoxicity (39). Xaliproden is a nonpeptidic neurotrophic drug that has recently been used in oxaliplatin-treated patients experiencing neurotoxicity (40). A cytokine called leukemia-inhibiting factor was shown to have a role in diminishing peripheral neurotoxicity in animal models, but was not confirmed in clinical samples. Because the platinum drugs target the dorsal root ganglion and accumulate there, glutathione had also been evaluated as a neuroprotectant. Reduced glutathione has a high affinity for heavy metals and could prevent the platinum accumulation in the DRG (41, 42). Org 2766 was shown to attenuate cisplatin-associated neuropathy in ovarian cancer patients without adversely affecting the efficacy (43). The efforts to establish a neuroprotective agent against cisplatin-induced neurotoxicity have been to date unsuccessful; therefore, future randomized controlled clinical trials must be done to continue this issue (44). For this reason, we must turn to genetics for efforts to prevent this debilitating side effect.

In addition to efforts to identify a successful neuroprotective agent, there have been numerous studies attempting to establish the role of various phenotypic markers for chemotherapy-induced neurotoxicity. An accurate marker of neurotoxicity that would enable a quantitative monitoring of progress of neurotoxicity or provide a prediction of the ultimate severity would prove valuable in controlling this toxicity. Cavaletti et al. indicated a highly significant correlation between the decrease in circulating levels of nerve growth factor and the severity of chemotherapy-induced neurotoxicity in patients treated with cisplatin and paclitaxel. However, it did not predict the final neurologic outcome (45). In addition, nerve electrophysiologic studies have been used to detect the progression of drug-induced neuropathy (46). However, further studies to evaluate the effectiveness of both blood markers and electrophysiology in detection of neurotoxicity progression must be done to conclude that these provide any sufficient benefit to the patient.

Many recent efforts have been made to determine genetic linkages as a cause of platinum-based toxicity in order to ultimately diminish these effects and augment the beneficial antitumor qualities. To advance the first and all-encompassing step of identifying genes that may play a role in the variation of drug efficacy and individual response to the drug, a number of genome-wide studies have been pursued. After discussing these broad studies, we review the specific targeted genetic data and research efforts designed to circumvent this life-altering side effect of platinum-based cancer treatment.

### Genome-Wide Studies to Establish Loci for Chemotherapy Response and Toxicity

Genome-wide studies are an effective tool to narrow the genome to a specific region that is associated with drug response or other important phenotypes related to that

drug. This allows for the identification of putative candidate genes. Genome-wide expression analysis studies have been used to correlate particular genomic signatures with drug response and development of toxicity (47). Furthermore, genome-wide polymorphism studies describe variation between individuals and associate these with response.

### Platinum Drug Disposition

The disposition of a chemotherapeutic agents is often the key to determining the mechanism by which they cause toxic effects in the human body. The disposition of a drug includes its metabolism, absorption, distribution, and excretion. There are plausible connections between genetic variability in candidate drug disposition genes and variability in neurotoxicity increase (Table 1).

Glutathione *S*-transferases (GST) are a family of enzymes that catalyze the conjugation of glutathione to electrophilic toxins to inactivate them and aid in their excretion from the body. The *GST* genes encode metabolizing enzymes that decrease the reactivity of toxins with substrates in the body. They are divided into five classes. Genetic polymorphisms have been found in a number of these, including *GSTM1*, *GSTT1*, and *GSTP1*. Two independent studies in advanced colorectal cancer patients treated with oxaliplatin looked at the *GST* genes for patients who experienced grade 3 cumulative neuropathy. Ruzzo et al. described 166 patients in which there were evidences of an association between the *GSTP1* 105 Val G/G allele and the development of grade 3 neuropathy from oxaliplatin treatment (Table 1; ref. 48). Additionally, Lecomte and colleagues indicated that in a cohort of 64 patients, there was a significant association between the *GSTP1* 105 Val G/G allele and risk of developing neurotoxicity (49). A phase II study of 42 patients treated with irinotecan and carboplatin in advanced NSCLC also showed an association with this allele, in which 5 of 9 (26%) of those with the *GSTP1* 105 G/A or G/G genotypes had partial response when compared with individuals with A/A who had no response ( $P = 0.057$ ; ref. 50).

Gamelin et al. (51) proposed that key components of the oxalate synthesis pathway could be associated with platinum-drug neurotoxicity. In a study of an initial 10 patients followed by an additional 135 patients treated with oxaliplatin, a minor haplotype in *AGXT* was able to predict both acute and chronic neurotoxicity. Although this is the first study to indicate the contribution of *AGXT*, it warrants further analysis in larger patient cohorts to determine the predictive power of this haplotype.

Cell entry mechanisms differ among drugs. As a heavy metal, platinum drugs must have a particular method of entering their cell of interest. Metal transporters, such as the copper transporters *CTR1*, *ATP7A*, and *ATP7B*, have been of particular interest. Deletion of the *CTR1* gene in yeast leads to a significant accumulation of all three

**Table 1. Pharmacogenetic associations with platinum treatment (48, 49, 57, 58)**

Tumor type	Gene	<i>n</i>	Therapy	Neurotoxicity relationship
Ovarian	<i>ABCB1, ABCC1, ABCC2, ABCG2, CDKN1A, CYP1B1, CYP2C8, CYP3A4, CYP3A5, ERCC1, ERCC2, GSTP1, MAPT, MPO, TP53, XRCC1</i>	914	Carboplatin plus either paclitaxel or docetaxel	Selected SNPs in each gene show no significant association
Marsh et al. (57) Advanced colorectal	<i>GSTP1</i>	166	FOLFOX	I105V homozygous Ile alleles show increase in neurotoxicity ( $P < 0.01$ )
Ruzzo et al. (48) Colorectal	<i>SCN1A</i>	152	5-Fluorouracil/oxaliplatin	T1067A T/T genotype have decreased neurotoxicity ( $P = 0.002$ )
Nagashima et al. (58) GI cancer	<i>GSTP1</i>	64	Oxaliplatin	I105V homozygous Ile allele shows increased frequency of grade 3 neurotoxicity ( $P = 0.002$ )
Lecomte et al. (49)				

clinically available platinum agents (52). Forced over-expression of human *CTR1* in ovarian cancer cells increased cisplatin uptake. *CTR1* mediates cellular accumulation of platinum-containing drugs used in patients. For neurotoxicity to develop, the drug must be capable of entering its target cells to cause damage. Therefore, any genes or proteins involved in the transport of platinum into or out of cells could play a role in neurotoxicity development. However, there are no clinical studies assessing the influence of genetic variation in platinum transporters on patient toxicity or outcome.

### Repair/Resistance

DNA repair is an important mechanism for resistance to platinum-based therapy and possibly the development of neurotoxicity. If the cell is able to repair the DNA that is attacked by the platinum agent, then that agent will be unsuccessful in inducing apoptosis. Nucleotide excision repair genes, such as excision repair cross-complementation group 1 (*ERCC1*), have been hypothesized to play a role in the efficacy of platinum-based drugs. Among the numerous studies done assessing the association between nucleotide excision repair genes and chemotherapy clinical outcome, many have provided concrete evidence of an association (53). Park et al. described an association between the *ERCC1* codon 118 polymorphism and clinical output in colorectal cancer patients treated with platinum-based chemotherapy. This genotype could be a useful predictor of clinical outcome not only for colorectal cancer patients but also for patients with epithelial ovarian cancer (54, 55). To date, there has not been an association shown between *ERCC1* and chemotherapy neurotoxicity (48). However, because the primary target of platinum is DNA, the possibility remains. There are many genes whose effect on neurotoxicity have yet to be studied. In a recent study in a population of ovarian cancer samples, there were

a number of genes examined that were treated with combination therapy, paclitaxel/carboplatin, or docetaxel/carboplatin, of which no associations with neurotoxicity were found (56).

### Conclusions

There are many avenues that will need to be pursued to avoid or minimize neurotoxicity caused by platinum drugs. Several are under way, such as studies to determine drug combinations to prevent or minimize the toxicities. Unfortunately, these have resulted in only minor contributions. An individual's unique genetic code affects the disposition of each drug within their body. To date, there have been many studies done examining DNA repair and drug disposition genes in various patient populations treated with a number of different drugs, including platinum-based drugs. Despite these efforts, specific targets have yet to be elucidated, which determine the precise association between platinum agents and sensory neurotoxicity or any of the other toxic side effects that these drugs are associated with. By further and more detailed examination of the drug disposition as well as the specific phenotype it is causing, researchers may identify concrete phenotype/genotype associations.

Data from clinical trials indicate a lack of correlation between the incidence of neurotoxicity and tumor response rate. This supports the hypothesis that by eliminating or decreasing neurotoxicity, the effectiveness of the drug will not be diminished. However, it will take a more concerted effort to discover meaningful predictors of this serious adverse drug effect. Until the concrete phenotype/genotype associations have been established to enable individualized therapy, a physician should be aware of the risk of the severe, life-altering side effect of neurotoxicity. When the symptoms become extreme, it may be advisable to cease therapy or to offer an alternative treatment to salvage the patient's quality of life.

## Disclosure of Potential Conflicts of Interest

R.M. Goldberg: consultant for Almac Diagnostics and Sanofi-aventis. H.L. McLeod: consultant for Almac Diagnostics and Third Wave Technologies. No other potential conflicts of interest were disclosed.

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