

School-based intervention: evaluating the role of water, latrines and hygiene education on trachoma and intestinal parasitic infections in Ethiopia

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ABSTRACT

We sought to evaluate the impact of a hygiene and sanitation intervention program among schoolchildren to control active trachoma and intestinal parasitic infections. This longitudinal epidemiologic study was conducted among 630 students in rural Ethiopia. Baseline and follow-up surveys were conducted to evaluate the impact of a three-pronged intervention program: (i) construction of ventilated improved pit latrines; (ii) provision of clean drinking water; and (iii) hygiene education. Socio-demographic information was collected using a structured questionnaire. Presence of trachoma and intestinal parasitic infections were evaluated using standard procedures. At baseline, 15% of students had active trachoma, while 6.7% of them were found to have active trachoma post-intervention ($p < 0.001$). Similar improvements were noted for parasitic infections. At baseline, 7% of students were reported to have helminthic infections and 30.2% protozoa infections. However, only 4% of students had any helminthic infection and 13.4% ($p < 0.001$) of them were found to have any protozoa infection during follow-up surveys. Improvements were also noted in students' knowledge and attitudes towards hygiene and sanitation. In summary, the results of our study demonstrated that provision of a comprehensive and targeted sanitation intervention program was successful in reducing the burden of trachoma and intestinal parasitic infection among schoolchildren.

Key words | Ethiopia, intervention, parasitic infection, sanitation, school, trachoma

INTRODUCTION

Trachoma is a leading cause of preventable infectious blindness in the world (WHO 2000). It is caused by infection with *Chlamydia trachomatis* bacterium, one of the most common human pathogens (Schachter *et al.* 1999). The World Health Organization (WHO) estimates that trachoma is endemic in 56 countries, most within Africa and the Middle East, and causes 3.6% of all blindness (Resnikoff *et al.* 2004). It is currently reported that close to 1.2 million individuals are blind from trachoma while about 21.4 million suffer from active trachoma. Factors most consistently associated with trachoma include inadequate access to water and sanitation facilities (Baggaley *et al.* 2006; Polack *et al.* 2006). Latrines have been proposed to play a critical role in the exposure

of a mechanical vector of *C. trachomatis*, the eye-seeking *Musca sorbens*, by reducing the amount of exposed human feces, the breeding media of *M. sorbens* (Emerson *et al.* 2000). To combat the burden of trachoma, the WHO endorsed an integrated four components strategy known as SAFE (Surgery, Antibiotics, Face washing and Environmental sanitation) (WHO 1997). Provision and improvement of latrines, access to clean water and encouraging the use of water for face washing are two of the important parts ('F' and 'E') of the WHO-recommended SAFE strategy for trachoma control (WHO 1996).

Intestinal parasitic infections, comorbid with trachoma, are the second most important causes of outpatient

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morbidity in Ethiopia (Alemu *et al.* 2011). Importantly, intestinal parasitic infections destroy the well-being and learning potential of millions of schoolchildren in developing countries (WHO 2005). Although a number of local and international health organizations have implemented deworming programs in hardest-hit areas, sustained benefits have been elusive, in part, because interventions were provided in isolation rather than in combination with sanitary improvements and hygiene education programs (Knopp *et al.* 2011). Prior studies have shown that inadequate sanitary conditions and poor hygiene practices play a major role in the increased burden of gastrointestinal infections (WHO 2005).

Studies conducted in Ethiopia documented high levels of active trachoma (Berhane *et al.* 2007) and intestinal parasitic infections (Belyhun *et al.* 2010; Alemu *et al.* 2011) and signify the need for timely and sustainable efforts aimed at preventing new infections and treating prevalent cases. Despite the magnitude of these problems, to the best of our knowledge, comprehensive sanitation intervention programs have not been systematically evaluated in Ethiopia. Control of active trachoma and intestinal parasitic infections alleviates suffering, reduces poverty and supports equal opportunities among young children. A school-based hygiene and sanitation program is one of the most widely used and effective approaches to control trachoma and parasitic infections (Lewallen *et al.* 2008). Notably, school enrollment is on the rise in most parts of the world (UNESCO 2007). Ethiopia is on track to achieve universal primary education, one of the United Nations Millennium Development Goals, with enrollment reaching up to 95.9% (MoFED 2010). With the vast majority of school-age children now enrolled, schools present an opportunity to reach thousands of children with safe water and hygiene and health messages. Targeting schools for water and sanitation improvement and hygiene training has multifaceted benefits including reaching children from households at all socio-economic levels (Nagpal 2012) as primary education is very highly subsidized or completely free (UNESCO 2007; MoFED 2010; Nagpal 2012). Instituting health promotion and disease prevention programs in schools benefits not only the students but also the family, community and country as a whole. Improved health among students will improve their school attendance,

their learning potential and their educational achievement (WHO 2006). Therefore, in this intervention study we sought to evaluate the impact of a hygiene and sanitation intervention program among Angolela primary education students in controlling active trachoma and intestinal parasitic infections.

METHODS AND MATERIALS

This longitudinal epidemiologic study was conducted in Angolela Woreda, North Showa zone during October 2008 and May 2009. Angolela Woreda is located 140 km from Addis Ababa (capital city) (Figure 1).

Baseline survey

The first survey was conducted in October 2008 to establish baseline prevalence estimates of active trachoma, intestinal parasitic infection as well as estimates of knowledge, attitudes and practices (KAP) of hand washing among students. The survey questionnaire consisted of: demographic information (grade, gender); mother and father literacy (no, yes); and frequencies of bathing, washing feet/hair, brushing teeth and changing clothes. Students were asked whether their hands were washed during the day prior to interview (no, yes); their reasons for washing hands (after defecation, before meals); and what materials were used for hand washing (soap and water, water only). All 669 students attending grades 1–6 at Angolela Primary School were included in the survey (median age = 11 years old). Following the baseline survey, improvements in hygiene and sanitation were implemented by the school.

Intervention components

The school implemented the following improvements in sanitation and hygiene.

1. Low-cost, eight-seat ventilated improved pit (VIP) latrines were newly constructed for female students. Another existing eight-seat pit latrine was refurbished; contents were emptied and became functional for use by male students.

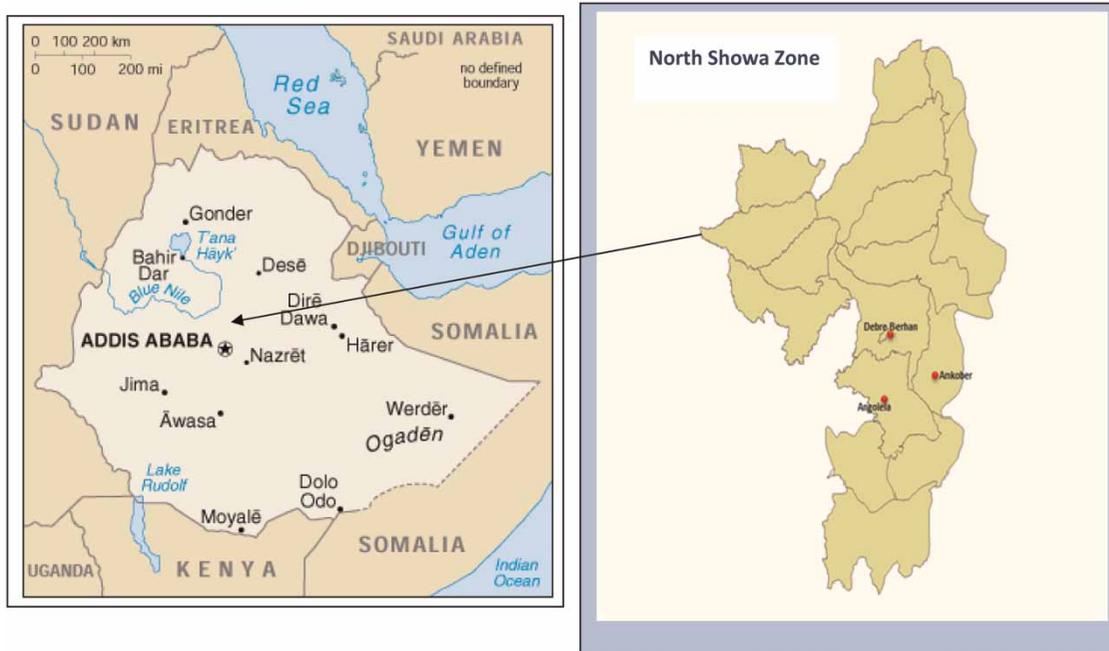


Figure 1 | Map of Angolela Woreda, Ethiopia (created using the WHO health mapper software).

2. Two fiberglass water tankers each with capacities of three cubic meters and with three taps were installed, separately for both males and females. Tankers were filled every other day with clean water from a nearby protected spring water source using jerry cans of 10 and 20 liters capacity to promote hand washing after toilet use as well as to encourage students to wash their faces. The protected spring had an effective protection around it. In addition, set back distance, based on travel time, was established to protect against possible contaminant sources such as latrines.
3. A student-led health club was formed to educate students every morning before class starts during morning parades and using special sessions about basic hygiene and sanitation. Members of the club and the teachers heading the club were oriented on proper hygiene and disease prevention. Different types of posters and printed materials on hygiene and sanitation were supplied. The health club's main functions included: supervising the availability of water inside tankers; supervising the cleanliness of latrines; instructing students on how to use latrines and water stands; and communicating health messages to students.

After the baseline survey, students who were found to be positive for parasites and active trachoma (described below) were treated for free with appropriate doses of nationally recommended drugs: albendazole for intestinal parasitic infection (a WHO-recommended drug and noted to be highly efficacious against round- and hookworms) (Vercruyse *et al.* 2011) and tetracycline eye ointment for active trachoma.

Post-intervention survey

Since October 2008 all students have had access to all the intervention components. A follow-up study of students enrolled in the baseline survey was conducted in May 2009 to evaluate the extent to which prevalence estimates of infections, as well as estimates of KAP had changed after interventions were implemented.

Socioeconomic and personal risk factors survey

A structured questionnaire (translated from English and printed in the local Amharic language) was used to collect data. The questionnaire included information on

socioeconomic, sanitary, environmental and demographic risk factors. It also included questions concerning parental (mother and father) literacy, students' gender, age and grade in school. Prior to use, the questionnaire was pre-tested in Dalcha Elementary School in Basona Worena Woreda, Ethiopia to assess the suitability of the questionnaire with regard to duration, language appropriateness and question comprehensibility. The questionnaire was administered by trained research personnel who performed in-person interviews of all participating students.

Eye examination

All students were examined for clinical signs of active trachoma using the WHO simplified clinical grading scheme by trained and standardized ophthalmic nurses. Each ophthalmic nurse was standardized against a highly experienced ophthalmologist in trachoma diagnosis (gold standard). The same nurses were used for baseline and post-intervention surveys. In accordance with the WHO grading scheme (WHO 2008), active trachoma was defined as the presence of either trachomatous follicular (TF) or trachomatous inflammation intense (TI). Each student was also examined for trachomatous trichiasis (defined as either in-turned eyelashes rubbing on the eye or evidence of previously removed lashes) and trachomatous scarring (TS).

Parasitological examination

About 3 grams of fresh stool samples were collected in plastic cups and were labeled with the students' unique study identification number. Following stool collection, samples were preserved in a tube containing 10% formalin in 0.85% saline. Samples were then taken to Debre Birhan Hospital, 10 km from the study site, for processing, using the ether concentration technique for fecal examination to diagnose infections with intestinal worms and protozoa, following WHO standard operating procedures for the parasitological examination of feces (WHO 2001). To evaluate diagnostic accuracy, 5% of the fecal samples were randomly re-processed by a separate lab technician from Debre Birhan Hospital and the results were compared with the results made by the original lab technician. In accordance with the WHO recommendation, students

who were found to harbor an intestinal parasite were treated with albendazole for free at a single dose of 400 mg orally (WHO 1995). All treatments were provided without charge to students or their families.

ETHICAL CONSIDERATION

Ethical approval for all study procedures was granted by the Institutional Review Board of Addis Continental Institute of Public Health (IRB of ACIPH) in Addis Ababa, Ethiopia. Approval from the Woreda Health Office and the Woreda Education Office was also granted prior to the commencement of this study. Verbal consent was obtained from parents or appropriate guardians of eligible children and assent was obtained from eligible children before they were included in the study in accordance with the principles of the declaration of Helsinki. Written consent was not deemed appropriate, given the low literacy rate in Angolela Woreda and the research involved no more than minimal risk to the subjects. Study procedures including the verbal consenting process were approved by the IRB of ACIPH. Documentation of verbal assent was initiated and dated by the interviewers on data collection forms as approved by the IRB. Before analysis, personal identifiers were removed from each data set. The Human Subjects Division of the University of Washington, USA granted approval to use the de-identified and anonymized data set for analysis.

Statistical analysis

Data were entered in the program EPI-INFO (Version 3.3.2), a public access software made available from the US Centers for Disease Control and Prevention (CDC Atlanta, GA, USA), and the analysis was completed using SPSS (version 17.0, SPSS, Inc., Chicago, IL, USA). Frequency distributions of students' socio-demographic and behavioral characteristics according to gender were examined. Continuous variables were expressed as mean \pm standard error of mean. Categorical variables were expressed as number (percentage, %). Since the same students were involved in the pre- and post-intervention assessments, we used McNemar's test for paired data to evaluate significance while accounting for correlations

between the two time periods. All reported tests of statistical significance were two-sided set at $\alpha = 0.05$.

RESULTS

The socio-demographic and personal characteristics of Angolela schoolchildren during baseline and post-intervention surveys are presented in Table 1. At baseline a total of 669 students with a median age of 11 years (interquartile range 9.8–13.0) participated in the study while 630 students participated during the post-intervention survey with a median age of 11 years (interquartile range 9.7–13.0). There was no significant difference in the gender distribution, age and parental literacy rates.

As shown in Figure 2, there was a marked improvement in KAP toward sanitation among students after intervention. At baseline, approximately, 61% of students felt that boiling water kills germs, while 74% of them reported this post-intervention. At baseline 73% of students thought human

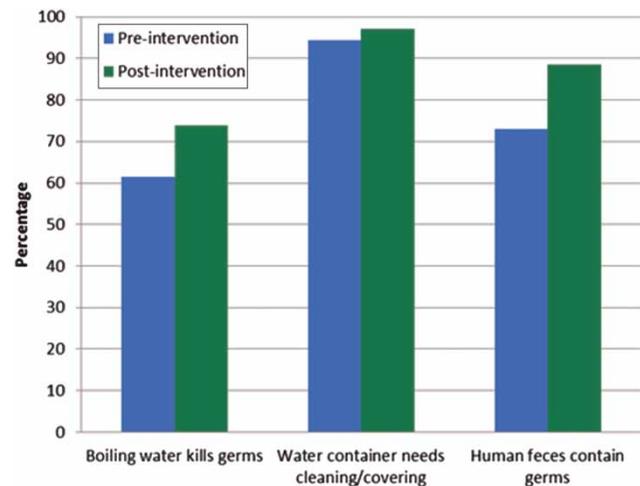


Figure 2 | Knowledge about sanitation and hygiene practices.

feces contain germs, whereas during the follow-up survey 88% of students reported this. Similarly, as shown in Figure 3, significant improvements were noted across all objectively observed personal hygiene characteristics (all p -value < 0.01). As depicted in Figure 4, improvements in hand washing practices were also noted.

As shown in Table 2, there was a significant decrease in the prevalence of active trachoma ($p < 0.001$). At baseline 15% of students had active trachoma while, notably, only 6.7% of students were found to have active trachoma during the post-intervention survey.

Similar improvements were noted for intestinal parasitic infections (Table 3). At baseline 7% of students were diagnosed with any helminthic infection and 30.2% were found to have any protozoa infection. These prevalence estimates, however, were significantly reduced ($p = 0.04$) post-intervention. Only 4% of students had any helminthic infection and 13.4% of them were found to have any protozoa infection.

DISCUSSION

The results of our study demonstrated that provision of a comprehensive and targeted sanitation intervention project was successful in reducing the burden of trachoma and intestinal parasitic infection among schoolchildren in Angolela, Ethiopia. To date, we are not aware of any published

Table 1 | Personal and household characteristics

Characteristics	Pre-intervention		Post-intervention	
	n (total = 669)	%	n (total = 630)	%
Grade				
1	110	16.4	125	19.8
2	188	28.1	165	26.2
3	114	17.0	90	14.3
4	70	10.3	71	11.3
5	100	16.3	100	15.9
6	78	11.7	79	12.5
Gender				
Female	326	48.7	304	48.3
Male	343	51.3	325	51.7
Mother literate				
No	399	59.7	345	54.8
Yes	263	39.3	269	42.7
Don't know	7	1.0	16	2.5
Father literate				
No	210	31.4	168	26.7
Yes	437	65.3	436	69.2
Don't know	22	3.3	26	4.1

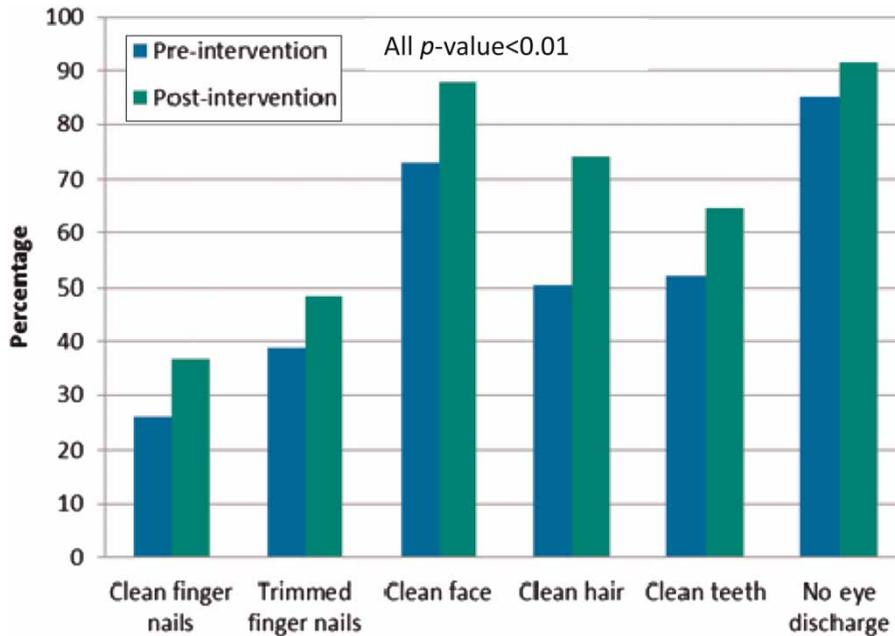


Figure 3 | Objectively observed personal hygiene characteristics.

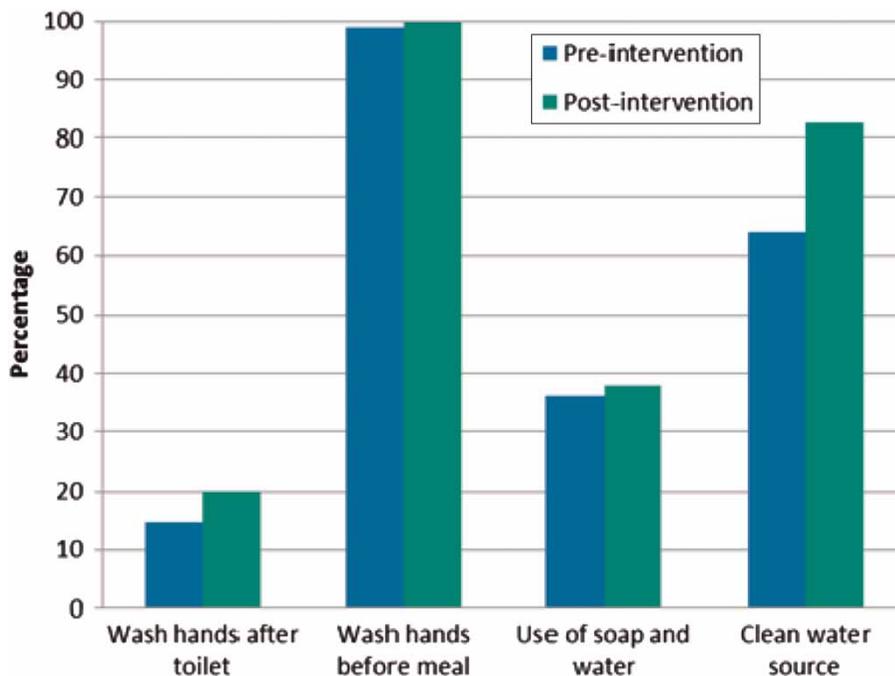


Figure 4 | Hand washing practices.

article that systematically evaluated the impact of access to water, latrines and hygiene education on eye infection trachoma and intestinal parasitic infections in Ethiopia.

The findings from our study on the positive impact of access and use of latrines on trachoma are in general agreement with others (Rabiu *et al.* 2012). Because our study is one

Table 2 | Prevalence of trachoma in study population

Sign of trachoma	Pre-intervention		Post-intervention		P-value
	n (total = 669)	%	n (total = 630)	%	
TI					
No	628	91.9	610	96.8	0.05
Yes	41	6.1	20	3.2	
TF					
No	596	89.1	599	95.1	<0.001
Yes	73	10.9	32	4.9	
TS					
No	620	92.7	609	96.7	0.02
Yes	49	7.3	21	3.3	
Any active trachoma					
No	568	84.9	588	93.3	<0.001
Yes	101	15.1	42	6.7	

TF, trachomatous follicular; TI, trachomatous inflammation intense; TS, trachomatous scarring.

Table 3 | Prevalence of parasitic infections in study population

Intestinal parasitic infection	Pre-intervention		Post-intervention		P-value
	Number infected	%	Number infected	%	
<i>Ascaris lumbricoides</i>	23	3.6	11	1.7	0.15
Hookworm	3	0.5	3	0.5	0.81
<i>Hymenolepis nana</i>	10	1.5	1	0.2	0.01
<i>Hymenolepis dimunita</i>	2	0.3	0	0.0	– ^a
<i>Trichuris trichiura</i>	2	0.3	3	0.5	0.99
<i>Enterobius vermicularis</i>	11	1.6	10	1.6	0.60
Any helminthic infection	47	7.0	27	4.3	0.04
<i>Giardia intestinalis</i>	49	7.6	10	1.6	<0.001
<i>Entamoeba</i>	179	27.8	77	12.3	<0.001
Any protozoa infection	202	30.2	85	13.4	<0.001

^aMcNemar's test was not computed as no cases were found post-intervention.

of the first to investigate the impact of water and sanitation programs on trachoma and parasitic infection in school settings, our findings can only tentatively be compared with community-based studies. For instance, in their study among Egyptian households, Courtright *et al.* (1991) found that an absence of a latrine in a household was associated

with a 3.3-fold increased odds of trachoma. Moreover, presence and use of a pit latrine in households, even when full and unscreened, was associated with a 14% reduction in trachoma prevalence (Courtright *et al.* 1991). Similarly in Ankober, Ethiopia, Golovaty *et al.* (2009), using a community-based study of children aged 1–9 years, reported that those without access to a latrine were nearly five times more likely to have active trachoma. Other investigators have found protective effects of access and use of latrines on the incidence of trachoma among young children (Emerson *et al.* 2004; Burton *et al.* 2010; Montgomery *et al.* 2010). In contrast to prior findings, Stoller *et al.* (2011), in their study among 24 communities in Northern Ethiopia, found no significant reduction in eye infection trachoma among children due to latrine construction. Reasons for these differences are unclear. Additionally we found that the prevalence of TS decreased from a baseline 7.3% to 3.3% during post-intervention. Scarring is not a sign of active infection, but rather it indicates that an individual has had repeated trachoma infections in the past. It is possible that some of the students had repeated infections since intervention (WHO 1996, 2000). Collectively, the results of our study and those of others underscore the importance of latrine provision and use in trachoma prevention.

Our study results indicated an overall reduction in helminthic and protozoa infection rates. The lack of statistically significant reduction in some of the helminthic infection is, in part, due to small sample size. At baseline the prevalence of any helminthic infection was 7% while any protozoa infection was found among 30% of students. These prevalence estimates, however, were significantly reduced ($p = 0.04$) post-intervention. Only 4% of them had any helminthic infection and 13.4% of them were found to have any protozoa infection. Although the effects of treating all schoolchildren at regular intervals with deworming drugs have been extensively studied, the knowledge base for the effects of screening and then treating infected children is limited (Taylor-Robinson *et al.* 2012). In their study among children in Bangladesh, Hall & Nahar (1993) evaluated the effectiveness of a single dose of albendazole on *Giardia*. They noted that a single dose of albendazole treated 62% of infected children indicating the moderate efficacy of single doses on affected children (Hall & Nahar 1993). Given that a single dose of albendazole may not be as

efficacious as multiple doses for protozoa infections, provision of clean water, hand washing and other personal hygiene measures might have contributed to this reduction (Speich *et al.* 2013). Speich *et al.*, in their recent study among school-aged children in Tanzania, evaluated the effect of a single dose albendazole, nitazoxanide or albendazole–nitazoxanide treatment. No significant effect was found in the mean intensity of intestinal protozoa infection 3 weeks after treatment. The authors speculated that a single-dose albendazole or nitazoxanide or a combination of the two drugs do not have sufficient efficacy against pathogenic intestinal protozoa (Speich *et al.* 2013). In our study, it is possible that the changes in the attitudes and practices of personal hygiene among students might have contributed to the parasitic infection reduction. In comparison to baseline assessment, all objectively observed personal hygiene characteristics were significantly improved during post-intervention assessment.

Studies have demonstrated that those who used open fields for defecation have markedly higher parasite infection rates than those who used some type of latrine although sustainable results can be achieved if coupled with hand washing practices (Alum *et al.* 2010). Most investigators, though not all, have shown previously that availability and use of sanitation facilities is associated with reduced intestinal parasitic infections. A recent meta-analysis by Ziegelbauer *et al.* (2012) found that improved sanitation is associated with a reduced risk of transmission of parasitic infections. However, Yajima *et al.* (2009), in their study among a rural agricultural community in Vietnam, found no association between helminthic infections and presence and use of latrines. Intestinal parasitic infections occur at a critical time in life with a maximum intensity in the age range of 5 to 14. Their adverse health consequences are enormous including permanent organ damage, anemia, poor physical growth, poor intellectual development and impaired cognitive function (WHO 2005). School-based deworming is one of the widely recommended strategies to combat intestinal parasitic infections among schoolchildren (Matthys *et al.* 2011). Although deworming programs are commendable, we and others recognize that sustained benefits can only be achieved if coupled with a multi-pronged approach of hygiene education and sanitation improvements (Knopp *et al.* 2011; Stoller *et al.* 2011).

Given that we have administered tetracycline treatments to those students who were found to be positive at baseline, it is difficult to delineate the exact contribution of each intervention component on trachoma infection. Although we do not have reinfection rates among schoolchildren, studies have shown the moderate success of antibiotic treatment on active trachoma (Hu *et al.* 2010). Infection rates were initially falling immediately post-treatment, but increasing within 12 months of treatment (Hu *et al.* 2010). A study conducted in Egypt, The Gambia and Tanzania noted that the prevalence of infection at 1 year after mass treatment was substantially lower than at baseline, but was higher than the prevalence at the 3-month follow-up (Schachter *et al.* 1999). Collectively, however, research thus far has shown that use of latrines blocks mechanical transmission of trachoma by reducing fly breeding (Kumie & Ali 2005; Stoller *et al.* 2011; Rabiou *et al.* 2012). This important environmental intervention thereby decreases the amount of contact between the reservoir of young children with active trachoma through contact with discharge from the eye and possibly the nose via the fly vector. It is important to note, however, that access to a latrine does not necessarily translate to increased latrine use (O'Loughlin *et al.* 2006). Furthermore, improper use and maintenance of latrines, particularly shared or communal latrines, may exacerbate transmission.

The findings of our study should be interpreted in light of some study limitations. It is possible that the observed reduction in trachoma and parasitic infection may be due to the group treatment effect where there was no control comparison group. The concordance of our results with those from prior studies, however, serves to attenuate some of these concerns. In addition, it has been reported that there is seasonal variation in density of eye-seeking flies in Ethiopia (Taye *et al.* 2007). In the month of May (when the post-intervention survey was conducted), the population of eye-seeking flies is expected to be highest (Taye *et al.* 2007). As a result, the magnitudes of the reported effects of interventions on active trachoma are likely to be conservative. Additionally, it is possible that the students' exposure to trachoma and parasitic infections might differ by place of residence. Future studies need to examine the effect of both school and residential exposures and family contacts for the identification and control of trachoma. Finally, it is possible that some educational

efforts conducted at a national and regional level might have contributed to increased awareness about hand and face washing among students.

In conclusion, as evidenced in our study, provision of latrines, water and hygiene education was found to be protective of trachoma and intestinal parasitic infections. In developed countries where major improvements in water, sanitation and hygiene behaviors have occurred, trachoma and intestinal parasitic infections ceased to be public health problems. This change happened in the absence of any trachoma or parasitic infection-specific interventions such as antibiotic treatment (Burton *et al.* 2010). The solution for these problems remains simple, basic and a fundamental core of public health – improved sanitation. Schools are important environments to initiate and promote healthy behaviors and improved sanitation practices. Improving water and sanitation in schools will improve health, intellect and school attendance. Consequently, school performance will improve, child mortality will decline and economic productivity will increase (Taylor-Robinson *et al.* 2012). As illustrated in this study, improving sanitary infrastructure and provision of clean water and hygiene education is feasible with modest cost. The sustainability of these programs depends on health and economic benefits perceived by various stakeholders, commitment of teachers and school principals, involvement of community members and support of governmental and non-governmental organizations to promote and finance water and sanitation improvements (Hutton *et al.* 2007). Clearly, mobilizing local resources to improve school sanitation facilities should be actively sought by relevant stakeholders.

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