

Differences in Cancer Survival with Relative versus Cause-Specific Approaches: An Update Using More Accurate Life Tables



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Abstract

Background: We investigated differences in net cancer survival (survival observed if the only possible cause of death was the cancer under study) estimated using new approaches for relative survival (RS) and cause-specific survival (CSS).

Methods: We used SEER data for patients diagnosed in 2000 to 2013, followed-up through December 31, 2014. For RS, we used new life tables accounting for geography and socio-economic status. For CSS, we used the SEER cause of death algorithm for attributing cancer-specific death. Estimates were compared by site, age, stage, race, and time since diagnosis.

Results: Differences between 5-year RS and CSS were generally small. RS was always higher in screen-detectable cancers, for example, female breast (89.2% vs. 87.8%) and prostate (98.5% vs. 93.7%) cancers; differences increased

with age or time since diagnosis. CSS was usually higher in the remaining cancer sites, particularly those related to specific risk factors, for example, cervix (70.9% vs. 68.3%) and liver (20.7% vs. 17.1%) cancers. For most cancer sites, the gap between estimates was smaller with more advanced stage.

Conclusion: RS is the preferred approach to report cancer survival from registry data because cause of death may be inaccurate, particularly for older patients and long-term survivors as comorbidities increase challenges in determining cause of death. However, CSS proved to be more reliable in patients diagnosed with localized disease or cancers related to specific risk factors as general population life tables may not capture other causes of mortality.

Impact: Different approaches for net survival estimation should be considered depending on cancer under study.

Introduction

Information on survival has long been recognized as an important component in cancer surveillance. Cancer researchers and policy makers are usually interested in net survival (i.e., the survival that would be observed if the only possible underlying cause of death was the cancer under study; ref. 1). Net survival is a useful measure of cancer prognosis for tracking survival over time, comparing populations with different socio-demographic and socio-economic characteristics, and evaluating the progress in cancer control at the population level (2). Relative survival (RS) and cause-specific survival (CSS) are two distinct frameworks that have been used to estimate net survival and which rely on different assumptions (3).

Because patients with cancer can also die from competing causes (e.g., heart disease, diabetes, etc.), crude survival measures are more relevant survival statistics for cancer patients and the

clinicians treating them as it captures these non-cancer deaths. Nonetheless, net survival can still provide some useful information to patients and physicians as it reflects a "cancer prognosis" measure not affected by changes in other causes mortality (4). It can also provide information on "cure" by identifying when the net survival curve levels off, and cancer patients are no longer at risk of dying from their cancer.

In brief, RS is defined as the ratio of the observed survival (i.e., the likelihood of surviving all causes of death) in a cohort of cancer patients to the expected survival in a comparable population, usually matched for age, sex, race, and calendar year, and considered to be free of cancer (5). Expected survival is estimated using general population life tables with the assumption that cancer deaths are a negligible proportion of all deaths in the general population and that cancer and non-cancer are independent competing causes of death (6). This approach removes the effect of mortality due to other causes (background mortality), providing a measure of the excess mortality experienced by patients with cancer, and dispenses the need of relying on information about cause of death, which in many settings is either unavailable or unreliable. For these reasons, RS has been the most frequently reported survival statistic when using data from population-based cancer registries, not least when the goal is to compare different populations or registries with diverse access to cause of death information. The main bias associated with RS is when life tables used to estimate expected survival are not representative of the other causes of mortality the cohort of patients with cancer would experience in the absence of a cancer diagnosis (Fig. 1).

In contrast, the cause-specific framework uses cause of death information and standard survival methods to estimate net survival (7). Here, deaths due to the disease being studied are treated

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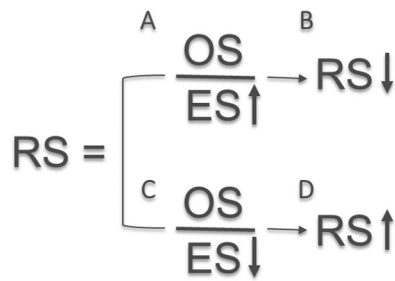


Figure 1. Main bias impacting estimates of net survival in a RS setting. An overestimation of expected survival (A) would lead to an underestimation of RS (B), while an underestimation of expected survival (C) would result in an overestimation of RS (D). ES, expected survival; OS, observed survival. Adapted from Schaffar et al. (2015).

as events and deaths from other causes are treated as censored observations. The assumption is that by removing from the group at risk patients that died of other causes of death, the result would represent a "net" survival of the disease under study. Cancer-specific analyses have been most often used in clinical studies, where detailed clinical information is available, which in turn is used to more accurately identify and assign cause of death. However, in population-based cancer registries, cause of death information is often unavailable and if available ascertained only from death certificates. As cause-specific survival relies on the accuracy of causes of death, if these are misclassified it could lead to biased estimates (Fig. 2).

To account for potential misclassification in the cause of death, the U.S. National Cancer Institute's Surveillance, Epidemiology, and End Results (SEER) Program has developed an algorithm to improve the assignment of causes of death to a cancer (8). Several studies have been using the SEER cause of death classification in cause-specific survival calculations, either because life tables did not represent well the cohort of patients with cancer being studied (9) or because of their improved accuracy in estimating cause-specific survival (10–12). More recently, the SEER Program introduced new life tables that account for geography, race, ethnicity, and county level socio-economic differences in life expectancy (13).

The aim of our study is to address the question of "which framework one should use for estimating net cancer survival" by quantifying differences in cancer survival estimates through relative and cause-specific survival approaches using the new life tables and the SEER cause of death classification. Several studies have addressed this topic (7, 14–16), but to our knowledge, this is the first comparison after the new life tables have been introduced.

Materials and Methods

We used data from the SEER 18 Registries Database (17) for patients diagnosed with a malignant tumor from January 1, 2000, through December 31, 2013, with follow-up through December 31, 2014. These registries cover approximately 28% of the U.S. population (based on 2010 census). We stratified cancer patients by age group (20–49, 50–64, 65–74, 75–84, 85+, all ages), summary stage (localized, regional, distant), race [Non-Hispanic white (NHW), non-Hispanic Black (NHB), non-Hispanic American Indian/Alaska Native (NHAIAN), non-

Hispanic Asian or Pacific Islander (NHAPI), Hispanic], and time since diagnosis (1-, 2-, 3-, 4-, 5-, 10-year survival).

Cancer sites selected were based on SEER Site Recode (18) and represent the most common malignancies diagnosed in men and women: esophagus, stomach, colorectal, liver, pancreas, lung, melanoma, female breast, cervix uteri, ovary, prostate, thyroid, lymphoma. We excluded cases first diagnosed at autopsy, cases for which the death certificate was the only source of the cancer diagnosis, cases that were alive but with no survival time, and cases with unknown stage. We also excluded cases with missing or unknown cause of death, but only in the cause-specific setting. Analyses were limited to patients diagnosed with one primary only or with the first of multiple primaries.

We calculated RS estimates by actuarial method as the ratio of observed (all-cause) survival to expected survival. Estimates were calculated for patients with one or more cancer diagnoses, with analyses limited to first primary only (sequence number 0 or 1 in SEER*Stat software). Expected survival rates were calculated through the Ederer II method based on life expectancy tables that match the cohort of cancer patients for age, year, sex, race, ethnicity, and county-level SES index (13, 19). Although more recent methods exist (e.g., Pohar–Perme), Ederer II is the default to report survival using SEER data and software such as SEER*Stat (20) and has been shown to align well with the concept of net cancer survival, provided estimates are age-specific or age-standardized (21). Nevertheless, we provide supplemental tables showing cancer survival estimates calculated using both Ederer II and Pohar–Perme approaches.

To highlight differences between the frameworks and to better help interpret results, we decided to report unadjusted RS that is increasing or greater than 100%, even though the default calculation in SEER*Stat adjusts for this. It should be noted that for values of 100% or higher, a confidence interval could not be calculated.

We calculated CSS estimates by actuarial method in patients with one or more cancer diagnoses, with analyses limited to first primary only (sequence number 0 or 1 in SEER*Stat software). We used the SEER cause-specific death classification variable as the endpoint (8). According to this classification, deaths attributed to the incident cancer as well as deaths attributed to other cancers, AIDS, and/or site-related diseases are treated as events. All other

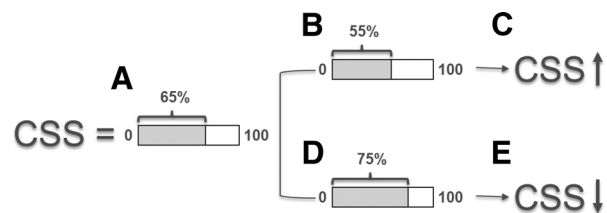


Figure 2. Main bias impacting estimates of net survival in a cause-specific survival setting. In a hypothetical scenario, where the true proportion of deaths attributed to the cancer under study is known (e.g., 65%; A), cancer deaths that are misclassified as non-cancer deaths would lead to a decrease of the proportion of cancer deaths (e.g., 55%; B) and an overestimation of cause-specific survival (C), while noncancer deaths that are misclassified as cancer deaths would lead to an increase of the proportion of cancer deaths (e.g., 75%; D) and an under-estimation of cause-specific survival (E). Adapted from Schaffar et al. (2015).

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deaths are censored. Survival times were censored at the date of lost to follow-up, the date of death from causes not considered as deaths due to the cancer according to our endpoint, or on December 31, 2014, whichever occurred first. We interpreted survival differences between RS and CSS of greater than 3% and no overlap between confidence as significant. All statistical analyses were performed using NCI's SEER*Stat software version 8.3.5 (22).

Results

The selected cancer sites accounted for more than 70% of all cancers diagnosed in 2000 to 2013 in the SEER 18 catchment area. There was a slightly lower number of cases for analysis in the CSS setting due to exclusion of cases with missing or unknown cause of death, e.g., 30,749 cases or 0.7% for all sites combined and all ages (Table 1). Differences in survival estimates calculated through the Ederer II and Pohar-Perme approaches were negligible, ranging between 0.0 and 0.7 survival points (Supplementary Tables S1–S3). Because there were no marked differences by sex, we reported results for both sexes combined except for sex-specific sites or when otherwise mentioned.

Differences by age

Overall 5-year RS and CSS survival were similar, for example, the five-year RS and CSS were 65.9% and 66.5%, respectively, for all cancer sites combined and for all ages (Table 1). The largest and statistically significant differences were observed among the oldest cancer patients, especially those aged 85 years and older diagnosed with prostate, breast, and thyroid cancers, with differences being over 10% survival points. Five-year CSS estimates were higher than 5-year RS estimates for most cancer sites (all ages) except for female breast (87.8% and 89.2%, respectively), melanoma (89.6% and 91.2%), prostate (93.7% and 98.5%), and thyroid (96.9% and 97.6%) and the differences increased with age. For most of the remaining cancer sites, differences were in the opposite direction, that is, CSS estimates were higher than RS estimates. However, the differences were not statistically significant, except for cervical cancer at age groups 50–64 (65.2% vs. 61.9%) and 65–74 (60.7% vs. 56.1%), and liver cancer at age groups 20–49 (26.4% vs. 21.7%) and 50–64 (24.3% vs. 19.5%).

Differences by stage

Similar patterns were observed for patients diagnosed with localized disease. Here, RS estimates were always higher than CSS estimates for female breast, melanoma, prostate, and thyroid, with the last two presenting values that even surpassed 100% (102.9% and 100.7%, respectively; Table 2). Conversely, higher CSS estimates were observed in patients with localized disease for cervix uteri, esophagus, liver, lung, pancreas, and stomach cancers. The differences between the two frameworks was smaller with more advanced stage. A notable exception were patients with colorectal cancer, who consistently presented similar estimates in both approaches across all stages.

Differences by race

In general, survival differences between the two approaches were very small when stratifying by race. RS estimates were higher than CSS estimates in NHW, NHB, and NHAIAN patients diagnosed with prostate cancer as well as in NHW patients diagnosed with thyroid cancer (Table 3). The opposite was observed in NHW

and NHB patients diagnosed with cervix uteri cancer, and in NHAIP and Hispanic with liver cancer and lymphoma.

Differences from time since diagnosis

Figure 3 presents the differences between 5-year RS and 5-year CSS in survival points by time since diagnosis. Patterns varied by cancer site. For all sites combined as well as for colorectal and ovary, the gap between frameworks was smaller with increasing time since diagnosis, with differences varying between –1.4% and –0.5% (colorectal) and –0.9% and –0.1% (ovary) survival points, at 1 year and 10 years after diagnosis, respectively (Fig. 3A). For liver and pancreas, that gap was relatively stable, although in the former the difference was always high throughout the follow-up period, varying between –3.4% and –3.9% survival points. For the remaining cancers, the gap became larger with increasing time since diagnosis, with cervix uteri, esophagus, lymphoma, and stomach presenting CSS estimates always higher than RS estimates, and female breast, melanoma, prostate, and thyroid showing the opposite pattern (Fig. 3B). The increasing gap was especially pronounced in patients diagnosed with prostate cancer.

Discussion

Our study shows that, in general, RS and CSS are reliable and provide similar estimates of net cancer survival, with discrepancies between the estimates being negligible for most cases. However, some important systematic differences have been observed, which underscore the need for continued improvements to these methods. For some cancer sites most commonly detected through screening (female breast, melanoma, prostate, thyroid), RS was consistently higher than CSS. For cancer sites usually associated with specific risk factors (cervix uteri, liver, lung) or that present poor prognosis (esophagus, pancreas), the opposite was observed, with CSS being higher than RS. In general, these patterns held even when survival was stratified by age, stage, and time since diagnosis. For colorectal cancer, the two approaches provided very similar estimates. Our discussion focuses on the source of biases related to the assumptions of each framework, that is, appropriateness of life tables in the RS setting and cause of death misclassification in the CSS setting.

For screen-detectable cancers, we found that RS was higher than CSS. Two explanations are possible. First, RS estimates might be overestimated due to the so-called healthy screener effect, where patients with cancer more commonly diagnosed through screening examination are more likely to be healthier and their other causes mortality lower than the general population's, as observed in a large cohort study in the United States (23). Thus, using general population life tables to estimate expected survival among patients with cancer that were either screened or diagnosed with localized disease would underestimate their expected survival and, therefore, overestimate their net survival using an RS approach.

Second, CSS estimates for screen-detectable cancers might be underestimated due to misclassification of cause of death. In this case, more deaths than those actually caused by the cancer under study would have been misclassified as cancer deaths (16). The largest differences were observed for patients diagnosed at older ages or for longer term survival, when cancer survivors age. Because older individuals are more prone to comorbidities, assigning a single underlying cause of death is challenging and

Table 1. Five-year RS and CSS, by cancer site and age group, 2000–2013^a

| | RS | | CSS | | Abs. Dif. (%) | | RS | | CSS | | Abs. Dif. (%) |
|-------------------------|---------|------------------|---------|------------------|-------------------|---------------------|-----------|------------------|-----------|------------------|-------------------|
| | N | 5-y (95% CI) | N | 5-y (95% CI) | | | N | 5-y (95% CI) | N | 5-y (95% CI) | |
| Esophagus | | | | | | Breast | | | | | |
| 20–49 | 3,329 | 19.7 (18.3–21.2) | 3,299 | 21.8 (20.3–23.3) | –2.1 | 20–49 | 158,585 | 88.8 (88.6–88.9) | 158,005 | 89.1 (88.9–89.3) | –0.3 |
| 50–64 | 15,114 | 19.7 (19.0–20.4) | 14,998 | 22.1 (21.4–22.9) | –2.4 | 50–64 | 243,843 | 89.9 (89.8–90.1) | 242,910 | 89.6 (89.4–89.7) | 0.3 |
| 65–74 | 11,423 | 19.4 (18.6–20.3) | 11,324 | 22.3 (21.4–23.2) | –2.9 | 65–74 | 131,276 | 90.9 (90.6–91.1) | 130,592 | 89.3 (89.1–89.5) | 1.6 |
| 75–84 | 8,300 | 13.7 (12.7–14.7) | 8,223 | 15.2 (14.3–16.1) | –1.5 | 75–84 | 88,889 | 88.2 (87.8–88.7) | 88,190 | 83.9 (83.7–84.2) | 4.3 ^b |
| 85+ | 2,789 | 5.8 (4.5–7.4) | 2,770 | 6.5 (5.3–7.8) | –0.7 | 85+ | 30,515 | 80.3 (79.0–81.5) | 30,261 | 69.9 (69.3–70.5) | 10.4 ^b |
| All | 40,960 | 17.6 (17.2–18.1) | 40,619 | 19.8 (19.4–20.3) | –2.2 | All | 653,181 | 89.2 (89.1–89.3) | 650,031 | 87.8 (87.8–87.9) | 1.4 |
| ages | | | | | | ages | | | | | |
| Stomach | | | | | | Cervix uteri | | | | | |
| 20–49 | 9,091 | 32.4 (31.3–33.4) | 8,906 | 34.0 (32.9–35.1) | –1.6 | 20–49 | 24,736 | 77.8 (77.2–78.3) | 24,591 | 79.1 (78.5–79.6) | –1.3 |
| 50–64 | 20,313 | 31.1 (30.4–31.8) | 20,034 | 33.7 (33.0–34.4) | –2.6 | 50–64 | 12,282 | 61.9 (61.0–62.9) | 12,167 | 65.2 (64.3–66.1) | –3.3 ^b |
| 65–74 | 17,688 | 30.5 (29.7–31.3) | 17,433 | 33.0 (32.2–33.8) | –2.5 | 65–74 | 4,523 | 56.1 (54.3–57.8) | 4,444 | 60.7 (59.1–62.3) | –4.6 ^b |
| 75–84 | 16,626 | 24.6 (23.7–25.4) | 16,415 | 26.2 (25.4–27.0) | –1.6 | 75–84 | 2,503 | 40.4 (37.9–42.9) | 2,459 | 44.8 (42.6–47.0) | –4.4 |
| 85+ | 43,882 | 16.1 (14.8–17.5) | 43,547 | 16.4 (15.4–17.5) | –0.3 | 85+ | 966 | 24.2 (19.9–28.6) | 957 | 28.1 (24.6–31.7) | –3.9 |
| All | 71,223 | 28.1 (27.8–28.5) | 70,238 | 30.2 (29.8–30.6) | –2.1 | All | 45,082 | 68.3 (67.8–68.8) | 44,690 | 70.9 (70.4–71.3) | –2.6 |
| ages | | | | | | ages | | | | | |
| Colon and rectum | | | | | | Ovary | | | | | |
| 20–49 | 48,471 | 67.4 (67.0–67.9) | 48,096 | 68.8 (68.3–69.2) | –1.4 | 20–49 | 13,824 | 67.9 (67.0–68.7) | 13,727 | 69.3 (68.4–70.1) | –1.4 |
| 50–64 | 133,070 | 69.0 (68.7–69.3) | 132,212 | 70.2 (69.9–70.5) | –1.2 | 50–64 | 23,241 | 50.7 (50.0–51.5) | 23,055 | 51.6 (50.9–52.3) | –0.9 |
| 65–74 | 103,897 | 66.7 (66.4–67.1) | 103,123 | 67.9 (67.6–68.3) | –1.2 | 65–74 | 13,864 | 37.4 (36.4–38.3) | 13,755 | 37.5 (36.5–38.4) | –0.1 |
| 75–84 | 96,744 | 60.2 (59.8–60.7) | 95,937 | 59.9 (59.6–60.2) | 0.3 | 75–84 | 11,452 | 23.9 (22.9–24.9) | 11,364 | 22.2 (21.4–23.1) | 1.7 |
| 85+ | 43,882 | 50.5 (49.6–51.4) | 43,547 | 44.2 (43.6–44.7) | 6.3 ^b | 85+ | 4,723 | 12.7 (11.2–14.3) | 4,701 | 10.7 (9.7–11.8) | 2.0 |
| All | 426,388 | 64.4 (64.2–64.5) | 423,235 | 64.7 (64.6–64.9) | –0.3 | All | 68,107 | 45.3 (44.9–45.8) | 67,604 | 45.6 (45.2–46.0) | –0.3 |
| ages | | | | | | ages | | | | | |
| Liver | | | | | | Prostate | | | | | |
| 20–49 | 6,998 | 21.7 (20.7–22.8) | 6,860 | 26.4 (25.3–27.6) | –4.7 ^b | 20–49 | 21,758 | 97.3 (97.0–97.6) | 21,711 | 96.6 (96.3–96.9) | 0.7 |
| 50–64 | 31,450 | 19.5 (19.0–20.0) | 30,955 | 24.3 (23.7–24.9) | –4.8 ^b | 50–64 | 276,792 | 98.9 (98.8–99.0) | 275,721 | 96.7 (96.6–96.7) | 2.2 |
| 65–74 | 15,192 | 14.7 (14.0–15.4) | 14,886 | 17.5 (16.8–18.3) | –2.8 | 65–74 | 261,579 | 100.3 | 259,828 | 95.3 (95.2–95.4) | 5.0 |
| 75–84 | 10,283 | 7.9 (7.2–8.6) | 10,142 | 9.2 (8.5–10.0) | –1.3 | 75–84 | 127,944 | 97.7 (97.3–98.1) | 126,540 | 88.2 (88.0–88.4) | 9.5 ^b |
| 85+ | 3,013 | 4.4 (3.2–5.8) | 2,989 | 5.2 (4.1–6.4) | –0.8 | 85+ | 25,588 | 77.7 (76.2–79.1) | 25,303 | 64.5 (63.8–65.2) | 13.2 ^b |
| All | 67,786 | 17.1 (16.7–17.4) | 66,674 | 20.7 (20.4–21.1) | –3.6 ^b | All | 713,710 | 98.5 (98.4–98.6) | 709,152 | 93.7 (93.6–93.8) | 4.8 ^b |
| ages | | | | | | ages | | | | | |
| Pancreas | | | | | | Thyroid | | | | | |
| 20–49 | 7,748 | 18.0 (17.0–18.9) | 7,651 | 19.2 (18.2–20.1) | –1.2 | 20–49 | 60,554 | 99.4 (99.3–99.5) | 60,489 | 99.4 (99.4–99.5) | 0.0 |
| 50–64 | 32,116 | 8.7 (8.3–9.1) | 31,772 | 9.4 (9.0–9.7) | –0.7 | 50–64 | 34,732 | 97.8 (97.5–98.0) | 34,644 | 97.1 (96.9–97.3) | 0.7 |
| 65–74 | 29,637 | 6.3 (6.0–6.7) | 29,352 | 6.7 (6.4–7.1) | –0.4 | 65–74 | 12,093 | 94.6 (93.8–95.4) | 12,024 | 92.3 (91.7–92.8) | 2.3 |
| 75–84 | 27,823 | 4.0 (3.7–4.3) | 27,616 | 4.3 (4.0–4.5) | –0.3 | 75–84 | 5,227 | 88.3 (86.3–90.0) | 5,175 | 82.3 (81.1–83.4) | 6.0 ^b |
| 85+ | 12,544 | 2.4 (2.0–2.9) | 12,463 | 2.0 (1.7–2.3) | 0.4 | 85+ | 1,173 | 69.8 (63.3–75.4) | 1,164 | 57.6 (54.3–60.8) | 12.2 ^b |
| All | 109,944 | 6.9 (6.8–7.1) | 108,930 | 7.4 (7.2–7.5) | –0.5 | All | 116,193 | 97.6 (97.5–97.8) | 115,909 | 96.9 (96.8–97.0) | 0.7 |
| ages | | | | | | ages | | | | | |
| Lung | | | | | | Lymphoma | | | | | |
| 20–49 | 30,167 | 23.0 (22.5–23.5) | 29,876 | 24.8 (24.2–25.3) | –1.8 | 20–49 | 51,247 | 82.4 (82.0–82.7) | 50,988 | 84.1 (83.8–84.5) | –1.7 |
| 50–64 | 160,257 | 19.1 (18.9–19.3) | 158,884 | 21.2 (21.0–21.4) | –2.1 | 50–64 | 58,899 | 75.8 (75.4–76.2) | 58,534 | 78.2 (77.9–78.6) | –2.4 |
| 65–74 | 171,025 | 17.7 (17.4–17.9) | 169,590 | 20.2 (19.9–20.4) | –2.5 | 65–74 | 43,012 | 68.4 (67.9–69.0) | 42,692 | 70.5 (70.0–71.0) | –2.1 |
| 75–84 | 136,239 | 13.4 (13.2–13.7) | 135,168 | 15.1 (14.9–15.4) | –1.7 | 75–84 | 37,579 | 55.8 (55.1–56.5) | 37,234 | 55.9 (55.3–56.5) | –0.1 |
| 85+ | 38,075 | 7.6 (7.2–8.1) | 37,844 | 8.2 (7.9–8.6) | –0.6 | 85+ | 13,990 | 41.4 (39.8–42.9) | 13,857 | 37.5 (36.5–38.5) | 3.9 ^b |
| All | 535,963 | 16.8 (16.6–16.9) | 531,562 | 18.8 (18.7–18.9) | –2.0 | All | 212,410 | 71.0 (70.8–71.3) | 210,949 | 72.5 (72.3–72.7) | –1.5 |
| ages | | | | | | ages | | | | | |
| Melanoma | | | | | | All sites | | | | | |
| 20–49 | 56,518 | 93.8 (93.6–94.0) | 56,409 | 93.7 (93.5–93.9) | 0.1 | 20–49 | 686,354 | 78.1 (78.0–78.2) | 682,669 | 79.4 (79.3–79.5) | –1.3 |
| 50–64 | 60,673 | 91.5 (91.2–91.8) | 60,497 | 90.6 (90.4–90.9) | 0.9 | 50–64 | 1,464,683 | 70.0 (70.0–70.1) | 1,455,838 | 71.3 (71.2–71.4) | –1.3 |
| 65–74 | 33,335 | 90.9 (90.4–91.4) | 33,171 | 87.9 (87.5–88.3) | 3.0 | 65–74 | 1,104,756 | 65.4 (65.3–65.5) | 1,096,155 | 66.0 (65.9–66.1) | –0.6 |
| 75–84 | 23,964 | 86.6 (85.6–87.5) | 23,790 | 82.5 (82.0–83.1) | 4.1 ^b | 75–84 | 802,420 | 54.7 (54.6–54.9) | 795,307 | 54.2 (54.0–54.3) | 0.5 |
| 85+ | 8,908 | 79.5 (76.8–82.0) | 8,826 | 74.7 (73.5–75.9) | 4.8 ^b | 85+ | 278,456 | 41.8 (41.5–42.2) | 276,247 | 38.6 (38.3–38.8) | 3.2 ^b |
| All | 184,971 | 91.2 (91.0–91.4) | 184,263 | 89.6 (89.4–89.7) | 1.6 | All | 4,392,176 | 65.9 (65.8–65.9) | 4,361,427 | 66.5 (66.5–66.5) | –0.6 |
| ages | | | | | | ages | | | | | |

^aAll estimates are for both sexes except those for breast (women only) and sex-specific cancers. Estimates are for the first cancer diagnosed.

^bSurvival differences of greater than 3 percentage points and no overlap between confidence intervals.

physicians may be more prone to assign death to cancer than to other causes, given a diagnosis of cancer in this cohort of patients. This assumption may vary by cancer site, although our results suggest that for screen-detectable cancers, there might be an increase in the number of deaths erroneously attributed to cancer with advancing age. The same rationale may apply to long-term

cancer survivors, with CSS estimates becoming progressively lower as time since diagnosis increases (15). Misclassification of causes of death may thus be the driving force behind the differences observed both in older patients and long-term survivors.

For cancers associated with specific risk factors or presenting poor prognosis, we found that CSS was usually higher than RS.

Table 2. Five-year RS and CSS, by cancer site and stage at diagnosis, 2000–2013^a

| | RS | | | CSS | | | Abs. Dif. (%) | RS | | | CSS | | | Abs. Dif. (%) |
|-------------------------|---------|------------------|--|---------|------------------|-------------------|---------------|---------------------|--------------|------------------|-----|--------------|------------------|-------------------|
| | N | 5-y (95% CI) | | N | 5-y (95% CI) | | | N | 5-y (95% CI) | | N | 5-y (95% CI) | | |
| Esophagus | | | | | | | | | | | | | | |
| Localized | 8,709 | 38.9 (37.7–40.2) | | 8,651 | 43.6 (42.4–44.8) | –4.7 ^b | | Localized | 397,404 | 98.4 (98.3–98.5) | | 395,965 | 95.9 (95.8–96.0) | 2.5 |
| Regional | 12,479 | 21.0 (20.2–21.9) | | 12,377 | 23.3 (22.4–24.1) | –2.3 | | Regional | 208,154 | 84.2 (84.0–84.4) | | 207,057 | 84.0 (83.8–84.1) | 0.2 |
| Distant | 14,731 | 4.0 (3.6–4.4) | | 14,608 | 4.5 (4.1–4.9) | –0.5 | | Distant | 34,646 | 25.1 (24.6–25.6) | | 34,345 | 26.1 (25.6–26.7) | –1.0 |
| Stomach | | | | | | | | Cervix uteri | | | | | | |
| Localized | 18,056 | 63.9 (63.0–64.8) | | 17,903 | 67.4 (66.6–68.1) | –3.5 ^b | | Localized | 21,285 | 91.1 (90.6–91.6) | | 21,179 | 92.5 (92.1–92.8) | –1.4 |
| Regional | 20,978 | 28.4 (27.7–29.2) | | 20,657 | 30.0 (29.3–30.7) | –1.6 | | Regional | 16,022 | 57.5 (56.6–58.3) | | 15,862 | 60.7 (59.8–61.5) | –3.2 ^b |
| Distant | 24,539 | 4.4 (4.1–4.7) | | 24,165 | 4.8 (4.5–5.1) | –0.4 | | Distant | 5,681 | 17.0 (16.0–18.2) | | 5,597 | 18.8 (17.6–19.9) | –1.8 |
| Colon and rectum | | | | | | | | Ovary | | | | | | |
| Localized | 166,299 | 89.6 (89.4–89.9) | | 165,406 | 89.4 (89.2–89.6) | 0.2 | | Localized | 9,950 | 92.7 (91.9–93.4) | | 9,910 | 92.4 (91.8–92.9) | 0.3 |
| Regional | 153,806 | 69.8 (69.4–70.1) | | 152,793 | 69.3 (69.0–69.5) | 0.5 | | Regional | 12,419 | 72.5 (71.6–73.5) | | 12,350 | 71.9 (71.0–72.7) | 0.6 |
| Distant | 85,924 | 12.5 (12.2–12.7) | | 85,083 | 12.9 (12.7–13.2) | –0.4 | | Distant | 41,153 | 27.8 (27.3–28.3) | | 40,812 | 27.9 (27.4–28.4) | –0.1 |
| Liver | | | | | | | | Prostate | | | | | | |
| Localized | 29,122 | 29.9 (29.2–30.5) | | 28,685 | 35.8 (35.1–36.4) | –5.9 ^b | | Localized | 570,072 | 102.9 | | 566,718 | 97.3 (97.2–97.3) | 5.6 |
| Regional | 17,948 | 10.6 (10.0–11.1) | | 17,600 | 13.0 (12.4–13.6) | –2.4 | | Regional | 84,723 | 99.9 (99.1–100) | | 84,286 | 95.3 (95.1–95.4) | 4.6 ^b |
| Distant | 11,421 | 2.9 (2.5–3.2) | | 11,212 | 3.5 (3.1–3.9) | –0.6 | | Distant | 31,848 | 29.3 (28.7–30.0) | | 31,442 | 30.9 (30.3–31.5) | –1.6 |
| Pancreas | | | | | | | | Thyroid | | | | | | |
| Localized | 9,823 | 27.3 (26.3–28.4) | | 9,752 | 28.6 (27.6–29.6) | –1.3 | | Localized | 78,753 | 100.7 | | 78,633 | 99.5 (99.5–99.6) | 1.2 |
| Regional | 30,449 | 10.1 (9.7–10.5) | | 30,136 | 10.5 (10.1–11.0) | –0.4 | | Regional | 29,810 | 97.4 (97.1–97.7) | | 29,738 | 97.0 (96.8–97.2) | 0.4 |
| Distant | 57,661 | 2.3 (2.1–2.4) | | 57,159 | 2.4 (2.3–2.6) | –0.1 | | Distant | 5,098 | 55.2 (53.7–56.8) | | 5,031 | 57.2 (55.7–58.6) | –2.0 |
| Lung | | | | | | | | Lymphoma | | | | | | |
| Localized | 82,328 | 53.4 (53.0–53.9) | | 81,694 | 58.4 (58.0–58.7) | –5.0 ^b | | Localized | 56,862 | 82.3 (81.9–82.7) | | 56,539 | 82.3 (82.0–82.7) | 0.0 |
| Regional | 118,592 | 26.0 (25.7–26.3) | | 117,715 | 28.6 (28.3–28.9) | –2.6 | | Regional | 39,072 | 78.0 (77.5–78.5) | | 38,875 | 79.1 (78.7–79.6) | –1.1 |
| Distant | 301,629 | 4.0 (3.9–4.1) | | 299,112 | 4.6 (4.5–4.7) | –0.6 | | Distant | 99,633 | 62.3 (61.9–62.6) | | 98,912 | 64.4 (64.1–64.7) | –2.1 |
| Melanoma | | | | | | | | All sites | | | | | | |
| Localized | 154,801 | 98.0 (97.8–98.2) | | 154,346 | 95.7 (95.6–95.8) | 2.3 | | Localized | 1,992,651 | 91.2 (91.1–91.2) | | 1,982,451 | 89.6 (89.6–89.7) | 1.6 |
| Regional | 15,922 | 62.4 (61.5–63.4) | | 15,825 | 63.6 (62.8–64.5) | –1.2 | | Regional | 890,389 | 64.6 (64.5–64.7) | | 884,322 | 65.4 (65.3–65.5) | –0.8 |
| Distant | 7,008 | 17.7 (16.7–18.8) | | 6,962 | 18.9 (17.9–20.0) | –1.2 | | Distant | 849,123 | 19.2 (19.1–19.3) | | 841,383 | 20.6 (20.5–20.7) | –1.4 |

^aAll estimates are for both sexes except those for breast (women only) and sex-specific cancers. Estimates are for the first cancer diagnosed.

^bSurvival differences of greater than 3 percentage points and no overlap between confidence intervals.

The largest differences were observed for cervical cancer (age groups 50–64 and 65–74) and liver cancer (age groups 20–49 and 50–64), and for localized esophagus, liver, and lung cancers. The most plausible explanation is that expected survival might be overestimated due to inadequacy of general life tables to represent the background mortality of patients that have an increased risk of dying from causes of death associated with common risk factors. For example, Cho and colleagues (2013) showed that other causes mortality for patients diagnosed with lung cancer were much higher than the general population mortality (24). As the majority of patients with lung cancer are smokers, and therefore carry a higher risk of dying from other smoking-related causes, their other causes mortality is not comparable with the mortality of the general population (25).

Interestingly, with the exception of older patients (≥ 85 years), 5-year colorectal survival estimates using the two approaches did not differ significantly. Cho and colleagues also showed that noncancer mortality for colorectal patients was similar to the mortality of the general population, and thus life tables represent their other causes mortality accurately. We have also shown that the only two cancer sites presenting statistically significantly higher CSS estimates by age are those caused by infectious agents, namely human papillomavirus in cervical cancer and hepatitis B and C viruses in liver cancer (26). Future research focused on these two cancer sites is warranted to further elucidate these results.

To what extent discrepancies between estimates reflect bias from either the RS approach or the CSS approach is difficult to assess, as both frameworks are vulnerable to error, that is, neither can be used as the "gold standard" by which to measure the other (27). However, the small differences observed confirm that

both can reliably estimate net survival in most situations, although findings are not directly generalizable outside the SEER setting. As the largest differences were observed for patients aged 85 years or older, we recommend exercising caution when interpreting results. Large differences were also more easily observable when survival was high. When survival was very low, with patients dying quickly from their cancer (e.g., pancreatic cancer), the approaches provided similar estimates and were less affected by biases.

Strengths of this study include the quality of the registry data and the availability of a large number of cases from a representative population in the U.S. However, this study has several limitations. First, we compared results by age, stage, race, and time since diagnosis, but did not compare estimates for other factors that are also known to play an important role in survival differences, such as geography. Second, we only included first primary cancers because the algorithm that improved the classification of cause of death was only developed for first cancers. We are working to improve this algorithm and to include second or later primary cancers, which in some populations may now range between 3.5% to 36.9%, depending on the cancer site and age at diagnosis (28). Third, although the life tables are an improvement to the previous general U.S. life tables, because they include mortality data at the county level by detailed race-ethnicities and socio-economic status, they still do not take into account general health status of cancer patients or risk factors such as infectious agents, smoking or obesity. For particular studies, researchers have constructed tailored life tables for estimation of RS in specific cohorts of cancer patients, like patients diagnosed with a common cancer (e.g., prostate cancer; ref. 29) or tobacco-related

Table 3. Five-year RS and CSS, by cancer site and race/ethnicity, 2000–2013^a

| | RS | | CSS | | Abs. Dif. (%) | | RS | | CSS | | Abs. Dif. (%) | |
|-------------------------|---------|------------------|---------|------------------|-------------------|---------------------|----------|--------------|------------------|--------------|------------------|-------------------|
| | N | 5-y (95% CI) | N | 5-y (95% CI) | | | N | 5-y (95% CI) | N | 5-y (95% CI) | | |
| Esophagus | | | | | | | | | | | | |
| NHW | 31,068 | 18.6 (18.1–19.1) | 30,898 | 20.6 (20.1–21.1) | –2.0 | Breast | NHW | 466,726 | 90.6 (90.5–90.8) | 464,861 | 87.8 (87.7–88.0) | 2.8 |
| NHB | 4,823 | 11.2 (10.1–12.4) | 4,780 | 13.7 (12.5–14.9) | –2.5 | | NHB | 67,853 | 78.7 (78.1–79.3) | 67,504 | 78.1 (77.7–78.6) | 0.6 |
| NHAIAN | 227 | 20.5 (14.2–27.6) | 225 | 17.9 (12.7–23.7) | 2.6 | | NHAIAN | 3,237 | 85.6 (82.5–88.2) | 3,219 | 83.6 (81.6–85.5) | 2.0 |
| NHAPI | 1,774 | 16.1 (14.2–18.2) | 1,715 | 18.9 (16.8–21.1) | –2.8 | | NHAPI | 47,784 | 89.6 (89.1–90.2) | 47,419 | 90.0 (89.6–90.4) | –0.4 |
| Hispanic | 2,942 | 15.5 (14.0–17.1) | 2,878 | 18.1 (16.5–19.8) | –2.6 | | Hispanic | 64,373 | 85.6 (85.0–86.1) | 63,861 | 86.0 (85.6–86.4) | –0.4 |
| Stomach | | | | | | | | | | | | |
| NHW | 38,057 | 27.2 (26.6–27.7) | 37,841 | 28.8 (28.3–29.3) | –1.6 | Cervix uteri | NHW | 24,094 | 54.3 (53.2–55.5) | 23,972 | 57.7 (56.7–58.8) | –3.4 ^b |
| NHB | 9,736 | 27.2 (26.2–28.3) | 9,656 | 30.2 (29.1–31.2) | –3.0 | | NHB | 6,243 | 48.6 (46.4–50.7) | 6,204 | 53.3 (51.3–55.2) | –4.7 ^b |
| NHAIAN | 602 | 21.5 (17.5–25.7) | 597 | 23.3 (19.6–27.3) | –1.8 | | NHAIAN | 346 | 42.0 (31.8–51.8) | 343 | 44.9 (35.0–54.3) | –2.9 |
| NHAPI | 10,122 | 35.8 (34.7–36.9) | 9,858 | 38.5 (37.4–39.5) | –2.7 | | NHAPI | 3,888 | 62.6 (60.0–65.0) | 3,819 | 66.7 (64.3–68.9) | –4.1 |
| Hispanic | 12,422 | 26.5 (25.6–27.5) | 12,012 | 29.1 (28.2–30.1) | –2.6 | | Hispanic | 10,171 | 62.2 (60.1–64.3) | 10,019 | 66.2 (64.3–68.0) | –4.0 |
| Colon and rectum | | | | | | | | | | | | |
| NHW | 299,360 | 65.8 (65.5–66.0) | 297,732 | 65.6 (65.4–65.8) | 0.2 | Ovary | NHW | 48,975 | 40.7 (40.2–41.2) | 48,734 | 40.3 (39.8–40.7) | 0.4 |
| NHB | 49,542 | 55.9 (55.4–56.5) | 49,219 | 57.2 (56.7–57.7) | –1.3 | | NHB | 5,452 | 30.2 (28.8–31.7) | 5,411 | 31.1 (29.7–32.5) | –0.9 |
| NHAIAN | 2,497 | 62.8 (60.0–65.5) | 2,479 | 61.0 (58.8–63.2) | 1.8 | | NHAIAN | 409 | 36.6 (30.9–42.3) | 405 | 37.1 (31.7–42.5) | –0.5 |
| NHAPI | 32,202 | 66.1 (65.4–66.7) | 31,647 | 68.2 (67.7–68.8) | –2.1 | | NHAPI | 4,891 | 44.5 (42.7–46.4) | 4,799 | 46.1 (44.2–47.9) | –1.6 |
| Hispanic | 40,205 | 61.7 (61.1–62.3) | 39,607 | 63.7 (63.2–64.3) | –2.0 | | Hispanic | 7,744 | 39.7 (38.3–41.1) | 7,620 | 41.0 (39.6–42.4) | –1.3 |
| Liver | | | | | | | | | | | | |
| NHW | 33,540 | 14.4 (14.0–14.8) | 33,268 | 17.4 (16.9–17.8) | –3.0 | Prostate | NHW | 498,139 | 99.1 (99.0–99.3) | 495,845 | 93.6 (93.5–93.7) | 5.5 ^b |
| NHB | 8,511 | 10.6 (9.6–11.7) | 8,415 | 13.4 (12.3–14.5) | –2.8 | | NHB | 102,609 | 95.5 (95.1–95.9) | 102,048 | 90.7 (90.4–90.9) | 4.8 ^b |
| NHAIAN | 743 | 10.9 (8.3–14.0) | 736 | 13.5 (10.5–16.9) | –2.6 | | NHAIAN | 2,332 | 95.5 (92.1–97.4) | 2,315 | 88.9 (87.3–90.3) | 6.6 ^b |
| NHAPI | 11,530 | 21.5 (20.6–22.4) | 11,098 | 25.0 (24.1–26.0) | –3.5 ^b | | NHAPI | 32,642 | 96.0 (95.5–96.4) | 32,108 | 94.4 (94.1–94.7) | 1.6 |
| Hispanic | 12,524 | 12.5 (11.8–13.2) | 12,237 | 16.0 (15.2–16.9) | –3.5 ^b | | Hispanic | 61,707 | 94.8 (94.4–95.2) | 60,724 | 92.5 (92.2–92.7) | 2.3 |
| Pancreas | | | | | | | | | | | | |
| NHW | 77,344 | 7.3 (7.0–7.5) | 76,944 | 7.6 (7.4–7.8) | –0.3 | Thyroid | NHW | 77,534 | 94.9 (94.3–95.5) | 77,426 | 91.4 (91.0–91.8) | 3.5 ^b |
| NHB | 13,268 | 6.1 (5.7–6.6) | 13,176 | 6.9 (6.4–7.4) | –0.8 | | NHB | 7,521 | 91.4 (88.8–93.4) | 7,501 | 89.8 (88.3–91.1) | 1.6 |
| NHAIAN | 594 | 5.6 (3.8–7.8) | 587 | 6.2 (4.3–8.6) | –0.6 | | NHAIAN | 623 | 94.8 (68.2–99.2) | 622 | 90.4 (82.4–94.8) | 4.4 |
| NHAPI | 7,580 | 9.1 (8.3–9.9) | 7,382 | 9.9 (9.1–10.8) | –0.8 | | NHAPI | 11,590 | 89.1 (87.5–90.6) | 11,512 | 89.1 (87.9–90.2) | 0.0 |
| Hispanic | 10,903 | 7.5 (7.0–8.1) | 10,591 | 8.2 (7.6–8.9) | –0.7 | | Hispanic | 17,181 | 89.2 (87.5–90.7) | 17,107 | 89.4 (88.2–90.4) | –0.2 |
| Lung | | | | | | | | | | | | |
| NHW | 413,723 | 17.4 (17.3–17.6) | 411,518 | 19.4 (19.3–19.6) | –2.0 | Lymphoma | NHW | 150,762 | 69.5 (69.2–69.8) | 150,029 | 70.1 (69.9–70.4) | –0.6 |
| NHB | 59,520 | 13.6 (13.2–13.9) | 59,057 | 15.5 (15.2–15.9) | –1.9 | | NHB | 17,512 | 60.0 (58.7–61.3) | 17,404 | 62.7 (61.6–63.7) | –2.7 |
| NHAIAN | 2,492 | 14.4 (12.8–16.0) | 2,473 | 15.3 (13.7–17.0) | –0.9 | | NHAIAN | 928 | 66.6 (61.3–71.3) | 922 | 64.6 (60.6–68.3) | 2.0 |
| NHAPI | 30,078 | 19.2 (18.6–19.7) | 29,141 | 21.1 (20.6–21.7) | –1.9 | | NHAPI | 12,555 | 62.4 (61.4–63.5) | 12,391 | 65.9 (64.9–66.8) | –3.5 ^b |
| Hispanic | 29,146 | 16.5 (16.0–17.0) | 28,406 | 18.6 (18.1–19.2) | –2.1 | | Hispanic | 24,723 | 61.1 (60.3–62.0) | 24,333 | 64.9 (64.1–65.6) | –3.8 ^b |
| Melanoma | | | | | | | | | | | | |
| NHW | 168,152 | 90.0 (89.7–90.4) | 167,604 | 87.4 (87.2–87.7) | 2.6 | All sites | NHW | 3,145,182 | 65.6 (65.5–65.6) | 3,130,029 | 65.5 (65.5–65.6) | 0.1 |
| NHB | 873 | 65.7 (60.8–70.2) | 867 | 66.5 (62.5–70.1) | –0.8 | | NHB | 458,538 | 57.2 (57.0–57.4) | 455,535 | 58.5 (58.3–58.6) | –1.3 |
| NHAIAN | 361 | 85.2 (73.6–91.9) | 359 | 81.1 (74.2–86.3) | 4.1 | | NHAIAN | 21,592 | 55.9 (54.9–56.8) | 21,436 | 55.7 (55.0–56.5) | 0.2 |
| NHAPI | 1,157 | 70.9 (66.7–74.7) | 1,130 | 73.5 (70.0–76.7) | –2.6 | | NHAPI | 268,759 | 60.9 (60.7–61.2) | 263,876 | 63.3 (63.0–63.5) | –2.4 |
| Hispanic | 5,826 | 75.4 (73.2–77.4) | 5,743 | 78.8 (77.2–80.4) | –3.4 | | Hispanic | 414,991 | 61.0 (60.8–61.2) | 408,195 | 63.3 (63.1–63.4) | –2.3 |

^aAll estimates are for both sexes except those for breast (women only) and sex-specific cancers. Estimates are for the first cancer diagnosed.

^bSurvival differences of greater than 3 percentage points and no overlap between confidence intervals.

cancers (25, 30, 31). However, given the challenges in making these life tables up to date [e.g., by single calendar year, gender, geography, detailed race-ethnicities, SES, or an important clinical factor (e.g., cancer patient's health status)], we do not anticipate that these tailored life tables will be readily available for users of SEER*stat software or become part of routine reports of cancer survival statistics (e.g., the Cancer Statistics Review). Another and more recent example would concern survival in cancer patients that are opioid-dependent (CPOD). This specific cohort of patients is now part of an increasing proportion of people in the U.S., as the opioid epidemic has been escalating in this country (32). Finally, a bootstrap analysis might be applied to generate a single confidence interval of the difference between RS and CSS estimates, for each cancer site. This would bring more assurance when interpreting differences between approaches.

Estimating net survival through a RS framework still holds the great advantage of independence from potential miscoding of the

underlying cause of death, which may vary considerably among jurisdictions, as many cancer registries may not have cause of death information or its accuracy is variable (8). This makes RS the gold standard approach for international comparisons. RS may also be the best approach when including patients with multiple tumors, as the assessment of cause of death for these patients is challenging. However, as the cohorts of patients with cancer become more and more specific in this era of increasing interest for minorities and smaller groups, the RS framework might lose some of its potential to estimate net survival due to inadequacy of life tables to represent the patients' background mortality. When accurate information on cause of death is available, cause-specific survival estimates are thus likely to provide less biased net survival rates than RS estimates, although this may be limited to patients diagnosed with screen-detectable cancers, namely those with localized disease, and patients that have been heavily exposed to specific risk factors, such as certain types of infectious agents or smoking.

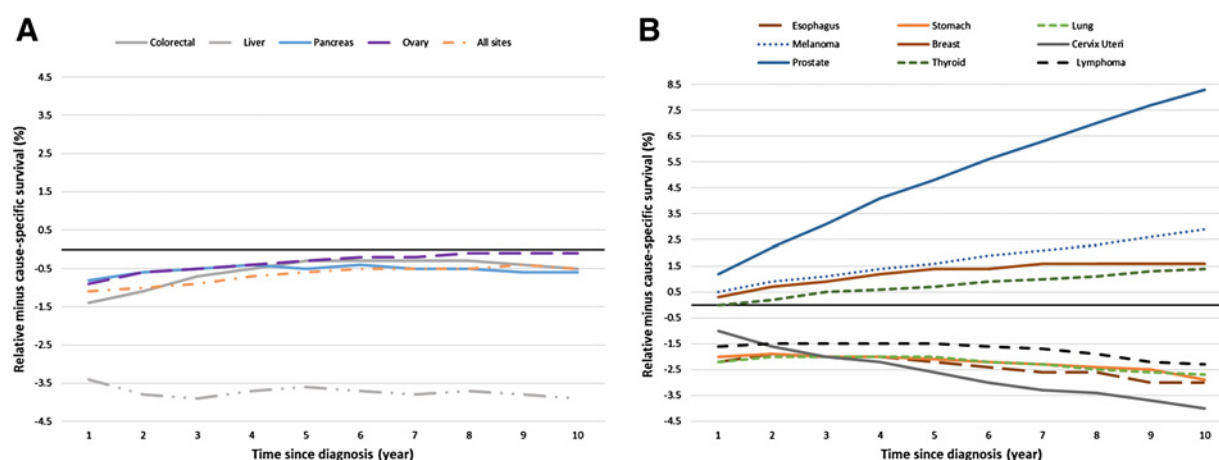


Figure 3.

The difference in percentage points between 5-year RS and 5-year CSS estimates by time since diagnosis for selected sites and all sites combined. **A**, Cancers that showed a stable or decreasing gap between methods. **B**, Cancers that showed an increasing gap between methods.

In conclusion, our study emphasizes that the choice of the framework to estimate net survival may depend on the specific cancer and on the nature of the study. This is important to keep providing researchers, patients, and policy makers with accurate and up-to-date cancer survival figures.

Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

Authors' Contributions

Conception and design: G.F. de Lacerda, N. Howlader, A.B. Mariotto
Development of methodology: G.F. de Lacerda, N. Howlader
Analysis and interpretation of data (e.g., statistical analysis, biostatistics, computational analysis): G.F. de Lacerda, N. Howlader, A.B. Mariotto
Writing, review, and/or revision of the manuscript: G.F. de Lacerda, N. Howlader, A.B. Mariotto

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