

Evaluating Patient-Derived Colorectal Cancer Xenografts as Preclinical Models by Comparison with Patient Clinical Data

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Abstract

Development of targeted therapeutics required translationally relevant preclinical models with well-characterized cancer genome alterations. Here, by studying 52 colorectal patient-derived tumor xenografts (PDX), we examined key molecular alterations of the IGF2–PI3K and ERBB–RAS pathways and response to cetuximab. PDX molecular data were compared with that published for patient colorectal tumors in The Cancer Genome Atlas. We demonstrated a significant pattern of mutual exclusivity of genomic abnormalities in the IGF2–PI3K and ERBB–RAS pathways. The genomic anomaly frequencies observed in microsatellite stable PDX reproduce those detected in nonhypermethylated patient tumors. We found frequent *IGF2* upregulation (16%), which was mutually exclusive with *IRS2*, *PIK3CA*, *PTEN*, and *INPP4B* alterations, supporting *IGF2* as a

potential drug target. In addition to maintaining the genomic and histologic diversity, correct preclinical models need to reproduce drug response observed in patients. Responses of PDXs to cetuximab recapitulate also clinical data in patients, with partial or complete response in 15% (8 of 52) of PDXs and response strictly restricted to *KRAS* wild-type models. The response rate reaches 53% (8 of 15) when *KRAS*, *BRAF*, and *NRAS* mutations are concomitantly excluded, proving a functional cross-validation of predictive biomarkers obtained retrospectively in patients. Collectively, these results show that, because of their clinical relevance, colorectal PDXs are appropriate tools to identify both new targets, like *IGF2*, and predictive biomarkers of response/resistance to targeted therapies. *Cancer Res*; 75(8); 1560–6. ©2015 AACR.

Introduction

Colorectal cancer remains a major cause of mortality worldwide and colorectal cancer patient death is generally attributable

to metastasis development. Comprehensive molecular characterization of colorectal cancer has identified key gene and pathway alterations important for initiation and progression of colorectal cancer, including alterations in the PI3K and ERBB–RAS pathways (1, 2). Some genetic anomalies have been also shown to predict response to specific therapies, such as activating mutations in *KRAS*, which predict resistance to anti-EGFR monoclonal antibodies (MAB; ref. 3). For efficient development of new therapies and companion biomarkers, preclinical models mimicking the molecular epidemiology and drug sensitivity of human tumors are needed.

In colorectal cancer, tumor-specific patient-derived xenograft (PDX) models have shown to retain the intratumoral clonal heterogeneity, chromosomal instability, and histology of the parent tumor through passages in mice (4–7). To extend these observations, we investigated here a collection of 52 colorectal PDXs (6), composed of 48 microsatellite stability (MSS) and four microsatellite instability (MSI) tumors, for the presence and prevalence of molecular features reported in large colorectal cancer patient cohorts (1, 2, 8). In particular, we studied key alterations in IGF2–PI3K and ERBB–RAS pathways and the role of these alterations in predicting response to cetuximab.

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Materials and Methods

Patient-derived tumor xenografts

Tumor xenografts were established directly from patient tumors (6) and were routinely passaged by subcutaneous

engraftment in immunodeficient CB17-SCID mice (Charles River Laboratories). Xenografts, passage P6-P9, were harvested from 3 mice for each model, when they reached around 150 to 300 mm³ in size for RNA and DNA extraction. For *in vivo* pharmacological studies, cetuximab (Imclone) was given at 12.5 mg/kg/adm, (Q3D×2) ×2 i.p.), mice bearing 100 to 200 mm³ tumors at start of therapy (*n* = 8–10 per group) as already described (6). All experimental procedures were approved by Sanofi Laboratory Animal Care and Use committee.

MSI status

MSI testing was performed according to the National Cancer Institute guidelines using a five-microsatellite consensus panel (6).

DNA sequencing

Next-generation sequencing and mutation calling were performed at Beijing Genomics Institute (BGI). Library preparation was performed using exome capture Agilent SureSelect All Exon 50M. Libraries were sequenced using the Illumina HiSeq platform. Quality single-nucleotide polymorphism (SNP) calling criteria have been applied: SNP quality is equal or greater than 20; the minimum sequencing depth is 4× and the mean is 100×, with 99% of coverage target region. To evaluate and eliminate the false-positive SNPs calls generated by cross hybridization with mouse DNA, we have detected and filtered out reads aligned to mouse reference sequences before doing human whole-exome sequencing analysis; by this way, only specific human calling are considerate. Besides, *KRAS*, *BRAF*, and *PIK3CA* mutations were validated by Sanger method in a different tumor sample.

CGH array analysis

Evaluation of genome-wide, gene copy number was performed using the 250k and 400k oligonucleotide CGH array Agilent technology using two biologic duplicates and two independent experiments. Oligonucleotide array CGH processing was performed as detailed in the manufacturer's protocol (version 6.2 October 2009; <http://www.agilent.com>). The log₂ ratio and segmentation were generated using Array Studio software. Array Studio, Array Viewer, Array Server, and all other Omicsoft products or service names are registered trademarks or trademarks of Omicsoft Corporation.

Gene expression profiling

The analysis of gene expression was done using U133 Plus Affymetrix microarrays with biologic triplicate (three tumor tissues removed from three distinct mice for each model, passage P6-P9).

Real-time RT-PCR

Affymetrix data of candidate genes were confirmed by qRT-PCR using previously described methodology (9).

Immunohistochemistry

PTEN and INPP4B expression were determined on 4-μm-thick AFA-fixed paraffin-embedded sections. Antigen retrieval was done by incubating tissue sections in an 850-Watt microwave oven for 36 minutes in Tris-EDTA or in citrate buffer for INPP4B and PTEN staining, respectively. Tissue sections were

then incubated for 1 hour at room temperature with primary antibodies (anti-INPP4B, clone EPR3108Y, dilution 1:50, rabbit mAb, LSBio; anti-PTEN, clone SP218, dilution 1:50, rabbit mAb, Spring). Staining was revealed by using OmniMap HRP anti-Rabbit (Ventana Medical Systems) and diaminobenzidine (Dako) as chromogen.

Results and Discussion

Comprehensive molecular characterization of tumor samples from colorectal cancer patients has identified a handful of recurrent mutated genes within critical pathways (1, 2, 10). Among these, the PI3K and ERBB-RAS signaling, accurately dissected by the Cancer Genome Atlas Network (TCGA; ref. 2), provide promising therapeutic targets.

To gain more insight into the genomic abnormalities within the PI3K and ERBB-RAS signaling pathways, a large cohort of 52 colorectal PDXs established by the CREMEC consortium (48 MSS and four MSI tumors; ref. 6) was analyzed.

We first examined six genes identified as key upstream elements in the PI3K pathway (2): *IGF2*, *IRS2*, *PIK3CA*, *PIK3R1*, *PTEN*, and *INPP4B* (Fig. 1A, Table 1). Several lines of evidence underline the importance of IGF2 in colorectal cancer. *IGF2* is the single most overexpressed gene in colorectal neoplasia relative to normal colorectal mucosa (11) and loss of imprinting of *IGF2*, one mechanism for its frequent overexpression, is also a risk factor for colorectal cancer (12). More recently, TCGA revealed IGF2 as an important node in the PI3K pathway with mutual exclusion between *IGF2*, *IRS2*, *PIK3CA*, *PIK3R1*, and *PTEN* genomic alterations. Here, gene expression analyses identified *IGF2* overexpression in seven PDXs. As reported in patients (1, 2), *IGF2* overexpression in PDXs (5 out of 7) is mainly due to focal *IGF2* amplification (Fig. 1A and B).

The binding of IGF2 to IGF1R activates the intrinsic tyrosine kinase activity of IGF1R, which results in the phosphorylation of the insulin receptor substrates (IRS), leading to PI3K activation. Gene expression analysis of *IRS1* and *IRS2* revealed no alterations in *IRS1*. However, overexpression of *IRS2* (*n* = 5) was detected in mutually exclusive pattern with *IGF2* amplification or overexpression (Fig. 1A). All *PIK3CA* aberrations (*n* = 12) were oncogenic mutations, affecting all functional domains of the enzyme but with preferential mutation hotspots within exons 9 and 20, as previously described in colorectal cancer (13). *PIK3R1* mutations have been rarely reported in colorectal cancer (2) and none were detected in the present PDX collection.

Two PDXs showed *PTEN* homozygous deletion associated with loss of protein expression, whereas no *PTEN* mutation was detected (Fig. 1C). Recently, another lipid phosphatase, inositol polyphosphate 4-phosphatase type II (INPP4B), has emerged as a potential tumor suppressor in prostate, breast, and ovarian cancers (14). Downregulation of *INPP4B* gene expression was detected here in two PDXs, with concomitant loss of protein expression. Immunohistochemical analyses confirmed mutual exclusion between *PTEN* and *INPP4B* downexpression (Fig. 1C).

Interestingly, a pattern of mutual exclusion in the PI3K pathway also exists between *IGF2*, *IRS2*, *PIK3CA*, *PTEN*, and *INPP4B* alterations. These data imply that therapeutic targeting of the IGF2 pathway could inhibit PI3K activity and suggest *INPP4B* as a tumor suppressor gene in colorectal cancer.

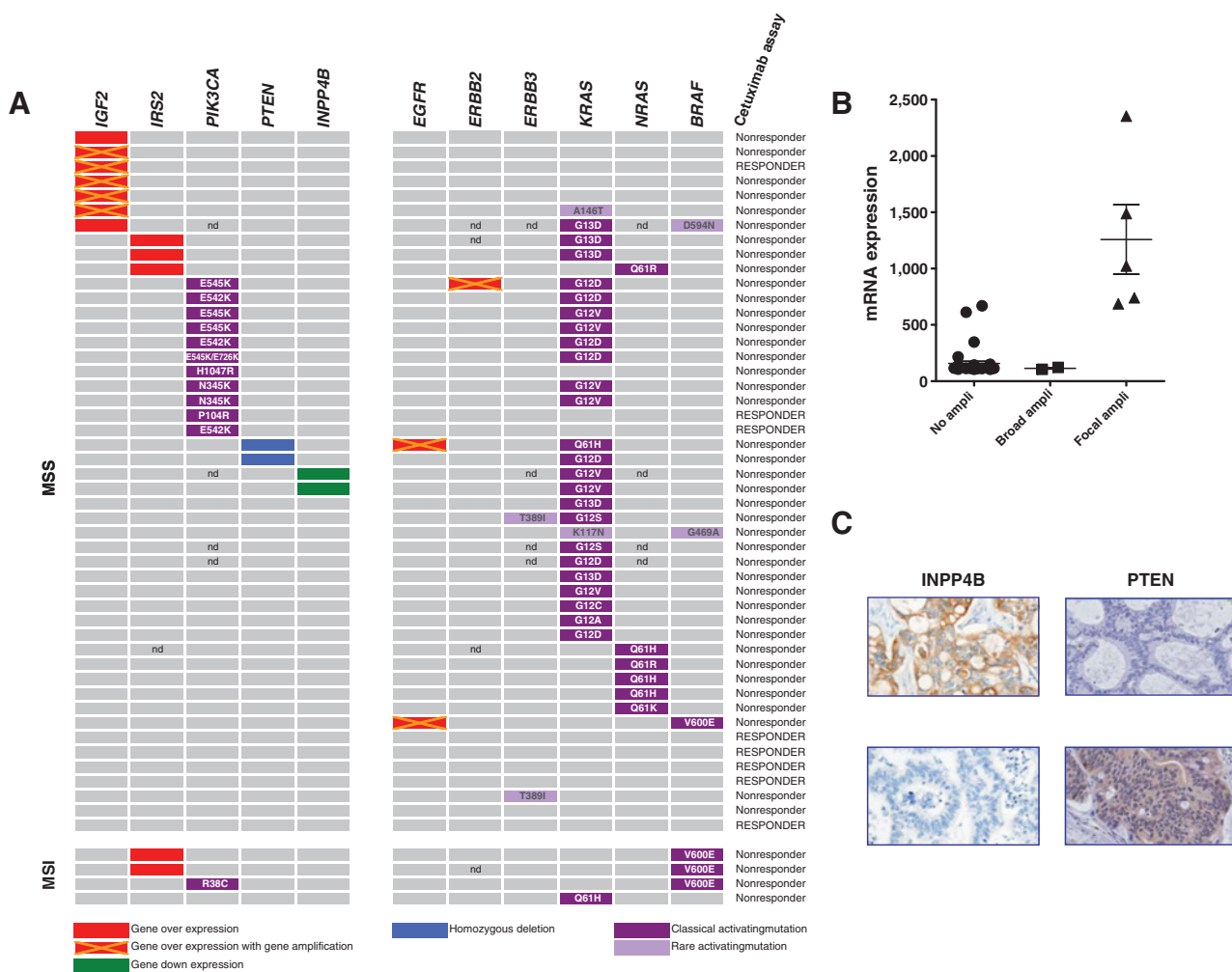


Figure 1. Molecular alterations of the IGF2–PI3K and ERBB–RAS pathways in MSS and MSI colorectal cancer xenografts. A, genomic alterations of the IGF2–PI3K and ERBB–RAS pathways. Mutations were determined by NGS. Tumors were considered to be amplified if the gene copy number was >3 using CGH array analysis. Gene overexpression is defined by an expression superior to average in all PDX panel + 1 SD. B, correlation of expression levels with copy-number changes for IGF2. Amplification, >3 gene copy; focal amplification, <10 genes. C, *in situ* expression of PTEN and INPP4B proteins. Anti-PTEN and INPP4B immunohistochemistry results for representative negative (blue staining) and positive (brown staining) PDXs. Magnification, ×40.

Mutations or gene amplification of candidate genes in the ERBB–RAS pathway was then analyzed. *EGFR* displayed no mutations but gene amplification associated with gene overexpression in two MSS PDXs. No mutation was identified in *ERBB2*, but one PDX showed *ERBB2* amplification, accompanied by overexpression. Two PDXs displayed a T389I *ERBB3* mutation, probably damaging (PolyPhen prediction software). No gene alteration was present in *ERBB4*.

We found that 69% (33 of 48) of MSS tumors and 100% (4 of 4) of MSI tumors have oncogenic alterations in *KRAS*, *NRAS*, or *BRAF* with a significant pattern of mutual exclusion (Fig. 1A). In accordance with published data, *KRAS* missense mutations in codons 12, 13, and 61 were the most frequent *KRAS* mutations (observed mutated in 18, 5 and two PDX models, respectively). Two additional PDXs showed two oncogenic *KRAS* mutations, K117N and A146T, previously reported in colorectal cancer with similar low frequencies (1, 15). Six PDXs displayed *NRAS* muta-

tions, with all mutations occurring in codon 61. Six PDXs displayed *BRAF* mutations: four of these were the frequent hot-spot V600E mutation and two were less frequent mutations, D594N and G469A, already reported in colorectal cancer (16). *BRAF* V600E mutations were associated with MSI, as this mutation was present in 75% (3 of 4) of MSI tumors compared with 2% (1 of 48) of MSS tumors, ($P < 0.0001$, Yates χ^2 test). *BRAF* V600E mutations were mutually exclusive from *KRAS* and *NRAS* mutations as usually described (16).

Finally, we observed no significant association of alterations in the RAS and PI3K pathways, suggesting that simultaneous inhibition of the RAS and PI3K pathways might be necessary for successful therapy in the subgroup displaying cooccurrence of these molecular alterations.

These genomic analyses enable an assessment of the diversity and the frequency of genomic changes altering these two major signaling pathways in our colorectal cancer PDX models and

Table 1. The 52 PDXs have been analyzed for gene expression and gene copy number

| PDX # | Tumor site | Patient tumor information | | Gene expression | | | | | | | | | | | | Gene copy number | | | |
|---------|------------|---------------------------|---------|-----------------|---------|-------------|---------|-------------|---------|-------------|---------|-----------|---------|------|-------|------------------|--------|------|--|
| | | Primary tumor location | Stage | Microsat status | IGF2 | IRS2 | EGFR | | ERBB2 | | INPP4B | | IGF2 | EGFR | ERBB2 | INPP4B | | | |
| | | | | | qRT-PCR | 201983_s_at | qRT-PCR | 201983_s_at | qRT-PCR | 216836_s_at | qRT-PCR | 205376_at | qRT-PCR | IGF2 | EGFR | ERBB2 | INPP4B | | |
| PDX #1 | Primary | Rectum | pt3NOMO | MSS | 76 | 136 | 1268 | 1033 | 495 | 1424 | 1646 | 1684 | 1268 | 468 | 2.51 | 2.51 | 2.58 | 184 | |
| PDX #2 | Primary | Rectum | pt4NIMO | MSS | 67 | 114 | 1589 | 948 | 273 | 1075 | 853 | 1236 | 30 | 164 | 1.82 | 2.75 | 2.32 | 168 | |
| PDX #3 | Metastasis | Left | pt4NIMI | MSS | 5790 | 348 | 2431 | 1083 | 488 | 695 | 2186 | 1184 | 55 | 29 | 2.15 | 2.27 | 2.41 | 121 | |
| PDX #4 | Primary | Left | pt2NOMO | MSS | 65 | 119 | 5972 | 3682 | 513 | 1550 | 1622 | 1054 | 506 | 332 | 2.44 | 2.79 | 2.27 | 2.05 | |
| PDX #5 | Metastasis | Right | pt3N2MI | MSS | 69 | 114 | 1256 | 1141 | 451 | 947 | 1621 | 1811 | 451 | 259 | 2.36 | 2.46 | 3 | 185 | |
| PDX #6 | Metastasis | Left | pt2NIMI | MSS | 67 | 123 | 1117 | 833 | 1037 | 1561 | 1158 | 1275 | 236 | 218 | 2.17 | 5.09 | 2.2 | 1.48 | |
| PDX #7 | Primary | Right | pt3NIMI | MSS | 10989 | 669 | 637 | 438 | 42 | 69 | 386 | 585 | 192 | 172 | 2.44 | 2.32 | 1.75 | 2.13 | |
| PDX #8 | Metastasis | Right | pt3NIMI | MSS | 69 | 125 | 970 | 764 | 229 | 910 | 1279 | 703 | 424 | 135 | 1.61 | 3.03 | 1.63 | 2.09 | |
| PDX #9 | Primary | Left | pt3NOMO | MSS | 4 | 71 | 4526 | 1848 | 617 | 598 | 1951 | 846 | 531 | 70 | 2.36 | 2.7 | 1.47 | 1.34 | |
| PDX #10 | Metastasis | Right | pt2NIMI | MSS | 17017 | 612 | 2289 | 1918 | 444 | 778 | 1465 | 1581 | 563 | 110 | 2.09 | 1.86 | 1.94 | 1.81 | |
| PDX #11 | Metastasis | Left | pt4NOMO | MSS | 20 | 839 | 571 | 1035 | 256 | 786 | 2198 | 2100 | 489 | 247 | 2.14 | 2.55 | 3.96 | 1.3 | |
| PDX #12 | Primary | Right | pt3NOMO | MSS | 44 | 59 | 4263 | 2927 | 762 | 1189 | 2401 | 1713 | 689 | 542 | 2.5 | 3.77 | 2.36 | 2.16 | |
| PDX #13 | Primary | Left | pt3NIMI | MSS | 6 | 198 | 589 | 614 | 455 | 1127 | 927 | 1261 | 221 | 47 | 3.03 | 2.04 | 1.46 | 2.19 | |
| PDX #14 | Metastasis | Left | pt3NIMI | MSS | 7 | 61 | 1303 | 777 | 859 | 1233 | 1187 | 1190 | 440 | 162 | 2.65 | 3.51 | 1.95 | 2.09 | |
| PDX #15 | Primary | Rectum | pt2NOMO | MSS | 29902 | 2354 | 431 | 546 | 303 | 826 | 1081 | 1292 | 255 | 190 | 5 | 2.44 | 2.49 | 1.84 | |
| PDX #16 | Metastasis | Left | pt3NOMI | MSS | 3 | 76 | 6939 | 1920 | 1013 | 1098 | 2070 | 975 | 1504 | 159 | 2.98 | 3.33 | 1.9 | 1.71 | |
| PDX #17 | Metastasis | Left | pt4NIMI | MSS | 47 | 230 | 17254 | 4728 | 416 | 783 | 1934 | 1242 | 423 | 253 | 2.02 | 2.01 | 2.11 | 1.96 | |
| PDX #18 | Primary | Left | pt4NIMO | MSS | 26 | 64 | 5582 | 2774 | 167 | 536 | 818 | 1413 | 179 | 162 | 2.07 | 2.16 | 2.15 | 1.49 | |
| PDX #19 | Primary | Left | pt2NIMO | MSS | 128707 | 687 | 789 | 410 | 296 | 577 | 2429 | 1885 | 1564 | 285 | 3.38 | 2.49 | 2.57 | 1.86 | |
| PDX #20 | Primary | Left | pt3NIMI | MSS | 3 | 97 | 5793 | 3261 | 374 | 1085 | 1025 | 1565 | 1347 | 501 | 2.13 | 2.12 | 1.51 | 1.42 | |
| PDX #21 | Primary | Rectum | pt4NOMO | MSS | 66 | 106 | 2056 | 1091 | 984 | 1345 | 1008 | 1165 | 1424 | 447 | 3.4 | 2.65 | 2.35 | 1.55 | |
| PDX #22 | Primary | Rectum | pt3N2MI | MSS | 27 | 86 | 6353 | 3169 | 241 | 767 | 1008 | 1623 | 456 | 252 | 2.16 | 2.59 | 1.99 | 1.32 | |
| PDX #23 | Primary | Right | pt3NIMO | MSS | 65 | 114 | 7049 | 1531 | 1185 | 1219 | 3538 | 1570 | 4545 | 372 | 1.85 | 2.25 | 1.62 | 2.17 | |
| PDX #24 | Primary | Left | pt3N2MI | MSS | 81 | 120 | 3164 | 1647 | 400 | 787 | 1269 | 977 | 827 | 410 | 2.08 | 2.05 | 2.15 | 2.03 | |
| PDX #25 | Carcinosis | Left | pt4NOMO | MSS | 15 | 55 | 930 | 578 | 462 | 774 | 1623 | 1623 | 865 | 158 | 1.79 | 1.77 | 2.62 | 1.76 | |
| PDX #26 | Primary | Rectum | pt3N2MO | MSS | 107 | 128 | 1852 | 2284 | 341 | 833 | 3302 | 1359 | 594 | 216 | 2.23 | 1.98 | 2.95 | 1.96 | |
| PDX #27 | Primary | Rectum | pt3N2MO | MSS | 3 | 72 | 8003 | 2179 | 206 | 489 | 2681 | 1293 | 819 | 207 | 1.96 | 1.95 | 1.99 | 1.94 | |
| PDX #28 | Primary | Left | pt3NIMI | MSS | 39 | 86 | 112 | 1339 | 885 | 885 | 224 | 214 | 885 | 965 | 2.24 | 2.44 | 9.67 | 1.21 | |
| PDX #29 | Metastasis | Right | pt3NOMI | MSS | 3 | 83 | 1311 | 249 | 568 | 823 | 1071 | 5896 | 574 | 394 | 2.47 | 4.31 | 1.54 | 1.49 | |
| PDX #30 | Primary | Rectum | pt3N2MO | MSS | 0 | 68 | 3576 | 672 | 241 | 1534 | 1181 | 903 | 619 | 232 | 2.27 | 2.06 | 2.09 | 1.4 | |
| PDX #31 | Primary | Right | pt3NOMI | MSS | 0 | 69 | 735 | 732 | 416 | 1151 | 1766 | 1388 | 852 | 188 | 1.38 | 2.15 | 2.25 | 1.13 | |
| PDX #32 | Primary | Left | pt3N2MI | MSS | 9 | 82 | 208 | 393 | 434 | 1259 | 1691 | 1936 | 885 | 207 | 1.68 | 2.83 | 2.17 | 1.48 | |
| PDX #33 | Primary | Right | pt3N2MI | MSS | 16573 | 3521 | 5779 | 2368 | 1606 | 2423 | 1767 | 1302 | 852 | 419 | 2.46 | 3.63 | 2.38 | 1.3 | |
| PDX #34 | Carcinosis | Right | pt3N2MO | MSS | 3 | 56 | 2026 | 1612 | 700 | 1562 | 1528 | 1592 | 741 | 272 | 1.72 | 2.23 | 1.56 | 1.52 | |
| PDX #35 | Primary | Rectum | pt3N2MO | MSS | 0 | 68 | 2708 | 1645 | 566 | 1074 | 761 | 955 | 44 | 30 | 1.29 | 2.17 | 2.28 | 1.12 | |
| PDX #36 | Primary | Left | pt3NIMI | MSS | 109409 | 26618 | 1469 | 2033 | 249 | 1052 | 724 | 1374 | 171 | 162 | 4.05 | 2.51 | 2.62 | 1.87 | |
| PDX #37 | Metastasis | Left | pt4N2MI | MSS | 117346 | 22391 | 741 | 1588 | 219 | 723 | 585 | 937 | 434 | 285 | 4.16 | 2.66 | 1.63 | 2.15 | |
| PDX #38 | Metastasis | Left | pt3NIMI | MSS | 154 | 106 | 3975 | 2706 | 528 | 1364 | 1684 | 1841 | 1100 | 618 | 1.95 | 2.39 | 2.41 | 1.63 | |
| PDX #39 | Primary | Right | pt3NOMO | MSS | 137361 | 23511 | 990 | 501 | 339 | 989 | 1133 | 1344 | 711 | 223 | 3.26 | 2.01 | 2.1 | 1.5 | |
| PDX #40 | Metastasis | Left | pt4N2MI | MSS | 20 | 93 | 1968 | 1107 | 425 | 895 | 1617 | 1273 | 872 | 284 | ND | ND | ND | 1.93 | |
| PDX #41 | Carcinosis | Left | pt4N2MI | MSS | 1688 | 122 | 4003 | 2175 | 490 | 888 | 2176 | 1139 | 1531 | 326 | 2 | 1.98 | 2.02 | 1.95 | |
| PDX #42 | Primary | Right | pt4N2MI | MSS | 4 | 72 | 900 | 642 | 186 | 347 | 1843 | 1720 | 636 | 165 | 2.98 | 2.57 | 3.33 | 1.18 | |
| PDX #43 | Metastasis | Right | pt4N2MI | MSS | 2 | 66 | 112 | 1015 | 175 | 349 | 1457 | 1526 | 809 | 195 | 2.33 | 2.4 | 2.44 | 1.21 | |
| PDX #44 | Carcinosis | Left | NA | MSS | 3 | 69 | 5976 | 2330 | 696 | 1122 | 1457 | 1771 | 656 | 203 | 2.31 | 3.09 | 2.66 | 1.24 | |
| PDX #45 | Primary | Left | pt3NIMO | MSS | 369 | ND | 6989 | ND | 726 | ND | 1607 | ND | 2741 | ND | 2.5 | 2.2 | 2.63 | 1.78 | |
| PDX #46 | Primary | Right | pt3NIMI | MSS | 1 | 76 | 1775 | 1412 | 409 | 896 | 929 | 1061 | 375 | 209 | 1.93 | 2.49 | 1.79 | 1.68 | |
| PDX #47 | Primary | Left | pt3NIMO | MSS | 22 | 76 | 5343 | 1820 | 663 | 1301 | 1301 | 892 | 736 | 168 | 2.47 | 2.48 | 1.37 | 1.28 | |
| PDX #48 | Carcinosis | Left | pt3NIMI | MSS | 2 | ND | 5567 | ND | 307 | ND | 973 | ND | 773 | ND | 2.64 | 2.23 | 1.61 | 1.48 | |
| PDX #49 | Primary | Right | pt4NOMO | MSI | 0 | ND | 7060 | ND | 546 | ND | 2742 | ND | 458 | ND | 2 | 1.98 | 2.04 | 1.97 | |
| PDX #50 | Primary | Right | pt4NIMO | MSI | 0 | 67 | 6806 | 3154 | 458 | 606 | 2335 | 2051 | 513 | 139 | 1.97 | 1.96 | 1.99 | 1.95 | |
| PDX #51 | Primary | Left | pt4NIMx | MSI | 11 | 75 | 4174 | 1724 | 749 | 1485 | 2005 | 1731 | 326 | 113 | 2.02 | 2.31 | 2.6 | 1.48 | |
| PDX #52 | Primary | Right | pt3NOMO | MSI | 504 | 152 | 1792 | 861 | 472 | 92 | 839 | 908 | 829 | 156 | 1.97 | 1.96 | 2.01 | 1.95 | |

NOTE: Gene expression value measured by qRT-PCR is expressed as normalized expression. For each gene, only probe sets specific for gene transcript sequences have been analyzed. Abbreviation: NA, not applicable.

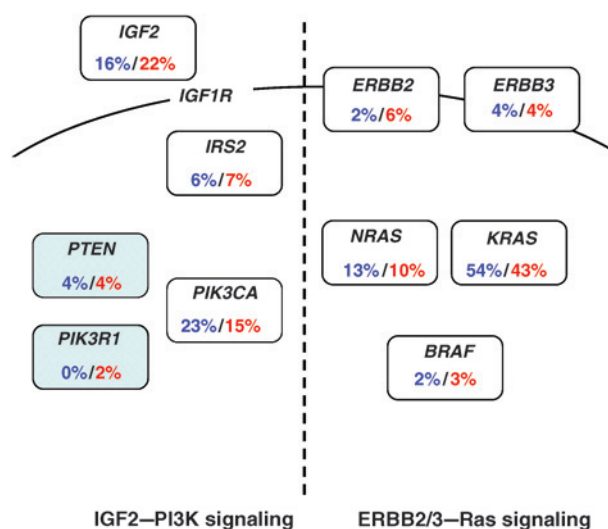


Figure 2.

Diversity and frequency of genetic changes leading to deregulation of IGF2-PI3K and ERBB-RAS signaling pathways in the colorectal cancer patient-derived xenograft panel compared with published human tumors. MSS xenografts were analyzed for somatic mutations (*ERBB2*, *ERBB3*, *KRAS*, *NRAS*, *BRAF* V600E, *PIK3CA*, *PIK3R1*), homozygous deletion (*PTEN*), amplifications (*IGF2*, *ERBB2*), and significant gene overexpression (*IGF2*, *IRS2*). Alteration frequencies are expressed as a percentage of the 50 MSS xenografts in blue. Data obtained in nonhypermethylated patient tumor samples reported by TCGA (2) are noted in red.

comparison with TCGA data (Fig. 2). TCGA has reported that 77% (23 of 30) of hypermethylated tumors are MSI tumors (2). As the present PDX collection displays a low frequency of MSI tumors (4 of 52, 8%) close to that of patient tumors (23 of 224, 10%; ref. 2), we focused on MSS PDX and patient tumors. The frequency of studied molecular epidemiology data from these two groups showed remarkable concurrence, suggesting that the PDX bank represents a useful set of preclinical models for testing new therapies and emphasizing the potential therapeutic value of targeting IGF2 in colorectal cancer. In the same way, recent analyses by the Bodmer laboratory have shown that *in vitro* colorectal cancer cell lines provide useful preclinical tools because of well represented genetic diversity of patient tumors in cell lines (17, 18). It led us to an analysis of gene abnormalities specifically within IGF2-PI3K pathway in a large panel of 62 human colorectal cancer cell lines using the Broad-Novartis Cancer Cell Line Encyclopedia data (<http://www.broadinstitute.org/ccle/home>). We carefully separated MSS and MSI cell lines because of overrepresentation of MSI cell lines, which could interfere with mutation frequencies. IGF2-PI3K pathway alterations appear almost mutually exclusive within the 36 MSS cell lines with some redundancy between *PIK3CA* activating mutations and *IRS2* overexpression (Supplementary Fig. S1). Whereas *PIK3CA*, *PTEN*, and *PIK3R1* aberration profiles recapitulate the patient tumor observation, the frequencies of *IGF2* and *IRS2* upregulation in MSS colorectal cancer cell lines are under- and overrepresented, respectively.

In addition to maintaining the genomic and histologic heterogeneity, translationally relevant preclinical models need to reproduce drug response observed in patients. Although *KRAS* mutations had been identified as a strong predictive

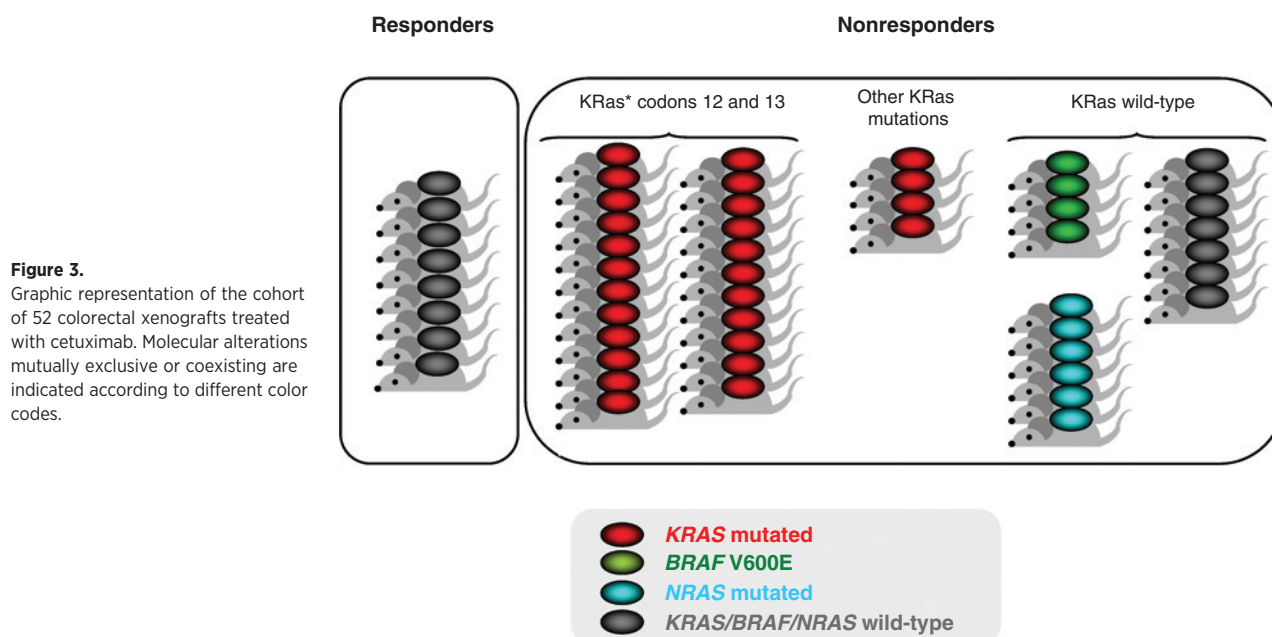
biomarker of resistance to cetuximab and panitumumab (3), only a subset of *KRAS* wild-type (WT) patients respond to anti-EGFR MABs, underlining that additional predictive biomarkers exist within *KRAS* WT tumors. The characterization of alterations occurring in additional candidate genes (*NRAS*, *BRAF*, *PIK3CA*, *PTEN*) increased indeed the negative predictive value up to 70%, but it is not sufficient to identify all resistant cases (19).

To assess drug response prediction in our PDX models, cetuximab response was analyzed in the PDX panel. To be consistent with clinical criteria, we considered responders the PDXs displaying partial or complete response and nonresponders the PDXs displaying growth stabilization or progression (Supplementary Fig. S2). With these scoring criteria, eight out of 52 PDXs (15%) were responders to cetuximab, with complete tumor disappearance in three PDX models. The all eight responders were WT *KRAS* tumors (Figs. 1A and 3), outlining the requirement of WT *KRAS* genotype for clinical benefit. This low proportion of PDX responders in an unselected population (15%) is highly concordant with patient data (8) and PDX data from an independent metastatic colorectal cancer xenograft series (7). Noteworthy, cetuximab has been shown to be active against *KRAS*-mutated xenografts (6), leading to a statistically significant reduced tumor growth but with no tumor shrinkage. This kind of PDX response means nevertheless progressive disease from a clinical point of view. Therefore, assessment parameters have to be carefully analyzed to avoid over- or misinterpretation of drug efficacy.

The majority of nonresponder PDXs (27 of 44) showed canonical activating mutations in *KRAS* (codons 12, 13, 61, 117, and 146). The Trusolino group reported similar results involving *KRAS* mutations in codons 61, 117, and 146 in primary resistance to cetuximab in a large and independent colorectal PDX series (7). While most clinical studies limited *KRAS* mutation assessment to codons 12-13, *KRAS* codon 61 and 146 mutations, in addition to *NRAS* and *BRAF* mutations, have also been shown in retrospective studies to predict resistance to cetuximab or panitumumab in WT *KRAS* codon 12 and 13 metastatic colorectal cancer (19, 20). The European Medicines Agency recently updates and restricts the indication for cetuximab to WT RAS metastatic colorectal cancer (not only WT *KRAS* codon 12-13).

Likewise, none of the four *BRAF* V600E-mutated, four *NRAS*-mutated, and four *KRAS* (codon 61, 117 or 146) mutated PDXs responded to cetuximab with tumor shrinkage. Therefore, exclusion of these mutations enables an improved selection of PDXs likely to respond to cetuximab, increasing the response rate from 28% (8 out of 29 12-13 codons *KRAS* WT) to 53% (8 of 15) in PDXs that are fully wild-type for all three genes, as reported retrospectively in patients (19, 20). This study functionally cross validates a recent clinical stratification based on combination of predictive biomarkers obtained retrospectively in patients (19). These data support the utility of our PDX panel for identifying predictors of drug response in metastatic colorectal cancer patients.

As for *PTEN* and *PIK3CA* impact, clinical data are more conflicting (8, 13, 19). In the present preclinical work, only *PTEN* homozygous deletion, leading to absolute *PTEN* inactivation, has been taken into account. This *PTEN* loss occurred within *KRAS*-mutated xenografts, displaying lack of response to cetuximab. Individual contribution of *PIK3CA* mutations to the



absence of response is difficult to assess because of the *PIK3CA* mutation diversity in different protein domains and coexistence of these mutations with *KRAS* and *BRAF* mutations (13). Moreover, one PDX with *IGF2* activation and two other PDXs with *PIK3CA* mutation respond to cetuximab. Among the five *IGF2*-overexpressed *KRAS* WT tumors, only one responds to cetuximab. Taken together, these data suggest that *IGF2*-PI3K components are not biomarkers of resistance to anti-EGFR therapies and underline the interest to combine anti-*IGF2* and anti-EGFR treatment.

Within the group of 15 *KRAS/BRAF/NRAS* wild-type PDXs, further investigation has been performed for additional putative predictive biomarkers of resistance to anti-EGFR (8, 21): *EGFR* gene amplification, overexpression and mutation; gene overexpression of two EGFR ligands (epiregulin and amphiregulin), *MET* gene amplification and overexpression, *KRAS* gene amplification and *HRAS* mutation (Supplementary Table S1). Noteworthy, none of the patients with colorectal cancer, from whom the triple wild-type PDXs were derived, had been exposed to anti-EGFR therapy before surgery, ruling out the possibility of acquired resistance in the pretreated PDXs. The analysis of these parameters did not allow to statistically discriminating between the responder and nonresponder groups (Fisher exact test, $P > 0.05$). Nevertheless, it is noteworthy that cetuximab treatment was ineffective in mice engrafted with the three PDX models carrying *KRAS* amplification.

Collectively, the present data demonstrate the relevance of colorectal PDXs as models for preclinical drug development. The PDX models remarkably fit the molecular epidemiology and the cetuximab drug response profiles of colorectal cancer patient populations, justifying the growing use of mouse clinical trials in cancer drug development and decision making (5). More importantly, these data support the identification of *KRAS* (exon 2, 3, and 4)/*NRAS/BRAF* wild-type patients for treatment with cetuximab, and *IGF2* as an attractive novel cancer drug target in a large subset of colorectal cancer patients.

Disclosure of Potential Conflicts of Interest

P. Vrignaud is an employee of and is a stock holder of Sanofi. J. Watters is Head, Translational Medicine Oncology, at Sanofi. No potential conflicts of interest were disclosed by the other authors.

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