

Local Delivery of *Ox40l*, *Cd80*, and *Cd86* mRNA Kindles Global Anticancer Immunity

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Abstract

Localized expression of effector molecules can initiate antitumor responses through engagement of specific receptors on target cells in the tumor microenvironment. These locally induced responses may also have a systemic effect, clearing additional tumors throughout the body. In this study, to evoke systemic antitumor responses, we utilized charge-altering releasable transporters (CART) for local intratumoral delivery of mRNA coding for costimulatory and immune-modulating factors. Intratumoral injection of the CART-mRNA complexes resulted in mRNA expression at the site of administration, transfecting a substantial proportion of tumor-infiltrating dendritic cells, macrophages, and T cells in addition to the tumor cells, resulting in a local antitumor effect. Using a two-tumor model, we further show that mRNA therapy locally administered to one tumor stimulated a systemic antitumor

response, curing both tumors. The combination of *Ox40l*, *Cd80*, and *Cd86*-encoding mRNA resulted in the local upregulation of proinflammatory cytokines, robust local T-cell activation, and migration of immune cells to local draining lymph node or to an anatomically distant tumor. This approach delayed tumor growth, facilitated tumor regression, and cured tumors in both A20 and CT26 tumor models. These results highlight mRNA-CART therapy as a viable approach to induce systemic antitumor immunity from a single localized injection.

Significance: The mRNA-CART system is a highly effective delivery platform for delivering immunostimulatory genes into the tumor microenvironment for potential therapeutic development.

Introduction

With recent technological advances in oligonucleotide delivery, mRNA therapy in living animals has become a feasible strategy to treat a variety of pathologies (1). All mRNAs are polyanions that vary only in sequence and length, whereas the proteins that they encode can elicit countless functions. mRNA therapies offer many of the attractive features of conventional DNA and protein-based therapy without some of the associated risks and limitations such as insertional mutagenesis (2–4), cost, *in vitro* instability, short half-life, and inherent immune-activating (immunogenicity) properties (5). Furthermore, the transient nature of mRNA lends itself to temporary expression of target proteins, which in many therapeutic contexts is advantageous over permanent protein

expression (6). Most DNA-based gene therapies and vaccination strategies are based on viral delivery of the DNA payload; however, the inherent immunogenicity (7) of the viral delivery vehicles is often more pronounced, leading to an antiviral response rather than a response to the antigen it delivers. While "naked" mRNA is potentially recognized by intracellular Toll-like receptor 7 molecules, and thus can be mildly immunogenic (8), nanoparticle-based delivery vehicles hide the mRNA from systemic recognition. Upon cellular internalization, the vehicle and its cargo must escape the endosome and release the mRNA to the cytoplasm to enable protein expression. In the cytoplasm, the immunogenicity concerns of mRNA are minimized by introducing structural modifications to the mRNA (9). Effective mRNA delivery remains a major technical barrier, only successfully overcome by a few approaches, including lipid nanoparticles (LNP), charge-altering releasable transporters (CART), and a few other systems (10).

Intratumoral delivery of immunostimulatory mRNA ensures transfection of tumor-infiltrating cells, which can then initiate a robust, global antitumor response by either bolstering the ongoing antitumor immune response, or by enhancing local antigen presentation in the tumor and the tumor-draining lymph node (11, 12). The virtually unlimited number of encodable proteins, ease of synthesis, and scalability of mRNA production enable delivery of a multitude of genes that can synergistically overcome the immunosuppressive environment and/or enhance the ongoing antitumor immune response. Systemic administration of immune modulators often leads to autoimmune responses (13–16); therefore, it is appealing to deliver mRNA "prodrugs" that express nonsecreted immunostimulatory

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proteins. Ideally, mRNA prodrugs and the vectors that deliver them would demonstrate minimal inherent immunogenic properties but convey target-specific immunogenicity by the introduction of tumor-specific antigens or immunostimulatory modulators. However, the most common LNP-based delivery vehicles are inherently immunogenic (17, 18), which can trigger undesirable off-target effects such as a vaccination effect against the delivered mRNA-encoded immunostimulatory molecules it delivers (19, 20). Regardless, nanoparticle-based approaches for transient mRNA expression of immunomodulatory genes within the tumor microenvironment represent a novel, safe, and versatile immunotherapeutic approach.

We recently reported (Haabeth and colleagues, 2018) an mRNA-based cancer vaccination strategy using CARTs (21–23), where we were able to cure mice with large established tumors. Importantly, this work showed that CARTs themselves have little inherent immunogenicity but allows tailored modulation of immune responses by the incorporation of adjuvants into the mRNA-containing electrostatic complex. Furthermore, unlike LNP formulations, fluorophores are easily covalently attached to CART delivery vehicles (BDK-CART; McKinlay and colleagues, 2018; Benner and colleagues, 2018), which can be utilized to correlate location of transfected cells (either anatomic, or within specific cell populations) with gene expression, thus providing insight into the mechanistic basis of effective therapeutic outcomes. In this study, we utilized the BDK-CART-mRNA delivery platform to induce local expression of genes encoding the well described immunomodulatory molecules *CD70* (11), *OX40L* (24, 25), *CD80* (26, 27), *CD86*, *IL12* (28–30), and *IFN γ* (31), both individually and in combination. We used a two-tumor model to demonstrate that local administration of mRNA coding for immunomodulatory molecules can elicit a systemic response that can cure both the treated (local) and untreated (distal) tumors.

Materials and Methods

Mice and cells lines

Eight- to 12-week-old female Balb/c mice were purchased from The Jackson Laboratory and housed in the Laboratory Animal Facility of the Stanford University Medical Center (Stanford, CA). All experiments were approved by the Stanford Administrative Panel on Laboratory Animal Care and conducted in accordance with Stanford University Animal Facility and NIH guidelines. The A20 and CT26 cell line was obtained from ATCC (ATCC no. TIB-208). A20 is a Balb/c B-cell lymphoma line derived from a spontaneous neoplasm found in an old Balb/cAnN mice, expressing MHC class I and class II H-2d molecules.

CT26 is an N-nitroso-N-methylurethane (NNMU)-induced, undifferentiated colon carcinoma cell line. *Mycoplasma* testing of cell lines was performed with 3-month intervals using a MycoAlert Detection Kit (Lonza). All experiments were performed within five cell passages after thawing. A20 cells (5×10^6) and CT26 (5×10^5) were implanted at two subcutaneous sites on the right and left sides of the abdomen. Treatment began when tumors reached 7–10 mm in largest diameter. mRNA-CARTs were injected intratumorally into one tumor site at indicated doses on days 7, 11, and 15 after tumor inoculation, unless otherwise specified. Tumor size was monitored with a digital caliper (Mitutoyo) every 2–3 days and

expressed as volume (length \times width \times height). Mice are sacrificed when tumor size reached 1.5 cm in the largest diameter as per guidelines.

CART

CART D13:A11 was prepared as described previously (22) using benzyl alcohol as an initiator and matched reported characterization. Fluorescent BDK-CART was also prepared as described previously (21, 23) using the difluoroboron dibenzoylmethane initiator prepared according to a procedure described by Zhang and colleagues (32). Endgroup analysis of the protected polymer showed block lengths of 12 dodecyl carbonate monomers and 14 cationic amino ester monomers.

General CART formulation methods

For all experiments, CARTs were formulated with mRNA at a 10:1 cation:anion ratio assuming full protonation of the CART and full deprotonation of the oligonucleotide. Formulations were carried out in acidic PBS (pH adjusted to 5.5 by addition of 0.1 mol/L HCl) before injection (*in vivo*) or addition to treatment wells (*in vitro*).

CART *in vitro* transfection

For *in vitro* transfections, cells were seeded at 40,000 cells/well in 24-well plates (for HeLa). Immediately prior to treatment, cells were washed and resuspended in serum-free media. CART formulations were made using the general method above. In a standard *in vitro* experiment, 0.42 μ g of mRNA (2.1 μ L of a 0.2 mg/mL stock) was added to 5.71 μ L of PBS (pH 5.5). To this 0.59 μ L of CART (from a 2 mmol/L stock) was added and mixed for 20 seconds. A total of 2.5 μ L of this formulation was added to each of three wells, resulting in a final mRNA dose of 125 ng/well. This was incubated for 8 hours, after which, gene expression was determined by antibody staining or direct flow cytometric analysis.

Flow cytometry

The following fluorochrome-conjugated rat anti-mouse mAbs were used for flow cytometry: CD4-Pe, IFN γ -PE, CD11c-Pe, perforin-PE, FoxP3-Pe, CD49b-Pe, CD154-Pe, CD137-Pe, CD4-BV-605, B220-FITC, CD69-FITC, granzyme B-FITC, CD25-FITC, CD8-Pe-Cy7, F4/80-APC, Ki-67-APC, CD11b-PerCP-Cy5.5, CD3 Alexa Fluor 700, CD357-BV-711, and rat isotype controls for the listed fluorochromes and antibodies. The following rat anti-mouse unconjugated antibodies were used: anti-CD16/32. Staining was done using two brilliant violet (BV) fluorochromes stained in Brilliant stain buffer according to the manufacturer's protocol (BD Biosciences). For intracellular staining, cells were treated with GolgiStop (BD Biosciences) for 5 hours prior to staining. Cells were fixed and permeabilized according to manufacturer's protocol (BD Biosciences and eBiosciences FoxP3 Staining Kit). Antibodies were purchased from either BD Biosciences, Invitrogen, or eBioscience. All surface marker staining was done for 20 minutes at room temperature. Cells were surface stained in wash buffer [PBS, 0.5% BSA (Sigma), and 0.01% sodium azide], either fixed in 2% paraformaldehyde or run fresh, and analyzed by flow cytometry on a FACSCalibur or LSR II System (BD Biosciences). Data were analyzed using either Cytobank (Cytobank Inc.) or FlowJo version 10.0 (FlowJo).

***In vivo* bioluminescence**

For bioluminescence assessment, mice were anesthetized with isoflurane gas (2% isoflurane in oxygen, 1 L/min) during injection and imaging procedures. Intraperitoneal injections of D-Luciferin (Biosynth AG) were done at a dose of 150 mg/kg, providing a saturating substrate concentration for Fluc enzyme (luciferin crosses the blood–brain barrier). Mice were imaged in a light-tight chamber using an *in vivo* optical imaging system (IVIS 100; Xenogen Corp.) equipped with a cooled charge-coupled device camera. During image recording, mice inhaled isoflurane delivered via a nose cone, and their body temperature was maintained at 37°C in the dark box of the camera system. Bioluminescence images were acquired between 10 and 20 minutes after luciferin administration. Mice usually recovered from anesthesia within 2 minutes of imaging.

mRNA

Firefly Fluc (Fluc), and secreted alkaline phosphatase (SEAP; control mRNA) mRNA were purchased from Trilink BioTechnologies Inc. All purchased mRNAs were capped and polyadenylated and contain 5-methoxyuridine modification. For in-house production of noncommercial mRNAs, mRNA sequences were exported from the NCBI nucleotide database (<https://blast.ncbi.nlm.nih.gov/Blast.cgi>). Gene sequences for *Cd70* (NCBI reference sequence: NM_011617.2), *Ox40l* (NCBI reference sequence accession number: NM_011659.2), *Ifng* (NCBI reference sequence: NM_008337.4), *Il12* single-chain construct monomerized by introduction of a protein linker between the *Il12p35* (NCBI reference sequence: NM_001159424.2), and *Il12p40* (NCBI reference sequence: NM_001303244.1) protein chains, *Cd80* (NCBI reference sequence: NM_001359898.1) and *Cd86* (NCBI reference sequence: NM_019388.3) were cloned into pcDNA3.1 expression vectors containing a T7 promoter sequence (TAATACGACTCACTATAG) and a Kozak sequence to ensure initiation of translation. Plasmids were linearized using *DraIII* or *SmaI* restriction enzyme (New England Biolabs) and extracted using phenol:chloroform extraction with subsequent alcohol precipitation. mRNA was then transcribed from the linearized plasmids using INCOGNITO T7 ARCA 5mC- & ψ -RNA Transcription Kit (Cellscript).

Tumor supernatant

Tumors were excised and treated with 1 mL RPMI1640 medium (Gibco) supplemented with 1 mg/mL collagenase type IV from *Clostridium histolyticum* and 0.3 mg/ml DNase I from bovine pancreas (Sigma), at 37°C for 30 minutes. Dissolved tumors were passed through a stainless steel sieve (Sigma) and centrifuged at 300 × *g* for 7 minutes. The cell pellet was analyzed by flow cytometry. Cell-free supernatant was pressed through a 0.45- μ m syringe filter (PALL Corporation) and kept at –70°C until analysis by Luminex technology.

Luminex–eBioscience/Affymetrix magnetic bead kits

Cytokine levels in tumor supernatant and serum was assayed on the Luminex Platform. This assay was performed in the Human Immune Monitoring Center at Stanford University (Stanford, CA). Mouse 38-plex kits were purchased from eBioscience/Affymetrix and used according to the manufacturer's recommendations with modifications as described below. Briefly, beads were added to a 96-well plate and washed in a BioTek ELx405 washer. Samples were added to the plate containing the mixed

antibody-linked beads and incubated at room temperature for 1 hour followed by overnight incubation at 4°C with shaking. Cold and room temperature incubation steps were performed on an orbital shaker at 500–600 rpm. After the overnight incubation, plates were washed in a BioTek ELx405 washer and then biotinylated detection antibody added for 75 minutes at room temperature with shaking. Plate was washed as above and streptavidin-PE was added. After incubation for 30 minutes at room temperature, wash was performed as above and reading buffer was added to the wells. Each sample was measured in duplicate. Plates were read using a Luminex 200 instrument with a lower bound of 50 beads per sample per cytokine. Custom assay control beads by Radix BioSolutions were added to all wells.

Statistical analysis

Prism software (GraphPad) was used to analyze tumor growth and to determine statistical significance of differences between groups by applying a nonparametric Mann–Whitney *U* test. *P* < 0.05 was considered significant. The Kaplan–Meier method was employed for survival analysis.

Results

CARTs efficiently deliver mRNA to cells in the tumor microenvironment

The tumor microenvironment, like other localized tissue spaces (organs, etc.), is a dynamic entity with resident cells migrating to other areas in the body. It is therefore important to monitor the trafficking of cells that were treated within the microenvironment as they migrate elsewhere. However, tracking the migration of cells transfected *in vivo* with reporter genes such as *mCherry* and *eGFP* is impractical because expression levels are below the detection limits of flow cytometric analysis. Therefore, to determine the specific localization, populations, and lineages of cells transfected upon intratumoral injection of CART–mRNAs, we used difluoroboron-beta-diketonate fluorophore–functionalized CARTs (BDK–CART; refs. 21, 23). This fluorophore has a high fluorescence quantum yield (32) and was readily installed on every CART molecule by using it as an initiator for ring-opening polymerization, resulting in approximately 1,000 fluorophores per mRNA molecule in the CART–mRNA nanoparticle complexes based on formulation stoichiometry. The BDK fluorophore shares excitation and emission properties with the well-known Pacific Blue fluorochrome and is easily detected by flow cytometry. To validate this approach, we injected an established subcutaneous tumor with BDK–CART/irrelevant mRNA nanoparticles. FACS analysis revealed that 37% of the A20 tumor cell population was transfected with BDK–CART nanoparticles (Fig. 1A). Moreover, phenotypic identification revealed that CARTs successfully transfected 10% of the infiltrating CD4 T cells, 7% of the infiltrating CD8 T cells, 18% of the infiltrating dendritic cells (DC), and 28% of the infiltrating macrophages (Fig. 1A). These data indicate that CARTs effectively transfect multiple immunomodulatory cell subsets within the tumor, and thus provide a means for the *in situ* delivery and expression of molecules encoded by the appropriate mRNA. The flexibility and generality of the mRNA delivery strategy enables direct modification of the presence of effector molecules within the tumor microenvironment. In a proof-of-principle study, we generated mRNAs encoding six well-described immunomodulators; *CD70*, *OX40L*, *CD80*, *CD86*, *IL12*, and *IFN γ* . *In vitro* transfection of HeLa cells with each of the

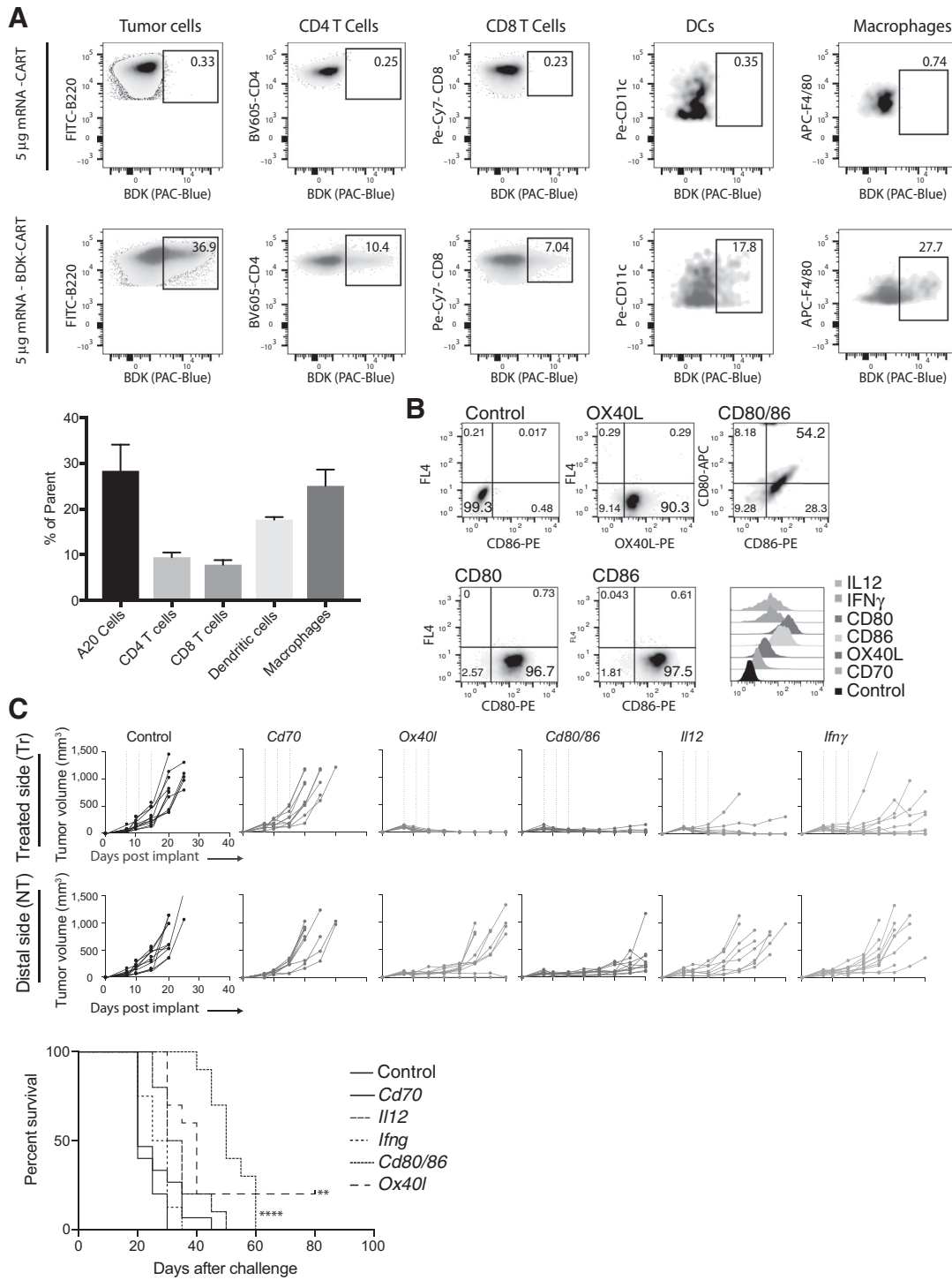


Figure 1. Highly efficient local delivery of immunomodulatory encoded mRNA mediates eradication of the treated tumor. **A**, Twelve established A20 tumors were injected with either fluorophore (BDK) or non-fluorophore mRNA-CART. Phenotypic surface marker expression and BDK was used to identify transfected cells. Top, established A20 tumors were injected with non-fluorophore mRNA-CART. Gates indicate background staining. Bottom, established A20 tumors were injected with BDK mRNA-CART. Gates indicate percentage of parent population transfected with mRNA-CARTs. Bar graph, average percentage of transfected cells in the injected tumor. **B**, Expression of immunomodulatory mRNA in HeLa cells 12 hours after treatment with mRNA-CARTs. **C**, Tumor growth and survival of control, *Cd70*, *Ox40l*, *Cd80/86*, *Il12*, and *Ifng* mRNA-CART-treated animals. Mice with tumors >15 mm in the largest diameter were euthanized. Survival of *Ox40l* and *Cd80* and *Cd86* mRNA-CART-treated mice were compared with control mRNA-CART treated. Survival significance was calculated using log-rank (Mantel-Cox) test. **, $P < 0.01$; ****, $P < 0.0001$. Data are representative of 2-4 independent experiments.

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six individual CART-mRNA nanoparticles revealed that all mRNAs were delivered and functionally expressed by the transfected cells (Fig. 1B and data not shown). Moreover, in a proof-of-principle experiment, we injected CD80, CD86, and OX40L mRNA-CARTs into tumors and assayed, by flow cytometry, the relative expression of these molecules on tumor-infiltrating monocytes, T cells, and DCs, compared with an un-treated contralateral tumor (Supplementary Fig. S1). As expected, we only detected upregulated CD80, CD86, and OX40L on the protein level for a small proportion of the parent populations. This observation is reflected by the relative number of cells belonging to each subset we transfect (Fig. 1A). However, we were able to detect expression in all of the three tested tumor-infiltrating subsets (Supplementary Fig. S1). These studies suggest that the levels of immunomodulatory molecules within the tumor microenvironment can be increased, which can in turn lead to a systemic adaptive immune response.

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***In situ* monotherapy with *Ox40l* or *Cd80/86* mRNA-CARTs clears treated tumor and slows the growth of distal tumors**

Balb/c mice with established, subcutaneous A20 B-cell lymphoma tumors were treated three times with local injections of CARTs loaded with 5 μ g mRNA encoding an irrelevant protein (secreted alkaline phosphatase; SEAP), *Cd70*, *Ox40l*, *Il12*, *Ifn γ* , or 5 μ g of both *Cd80* and *Cd86*. Growth of the treated and the distal tumor was monitored for up to 40 and 80 days after tumor inoculation, respectively. SEAP control mRNA was generated in the same way as all of our functional mRNAs. Thus, the observed difference between control treated and the other treatment groups should not be due to the inherent properties of the mRNAs, but rather the properties of the proteins they encode. Complete clearance of the treated tumor was observed in 100% of the *Ox40l*-treated mice. Moreover, *Cd80/86* and *Il12* mRNA-CART treatment cured nearly all of the treated tumors, while control mRNA and *Cd70* mRNA had no effect and *IFN γ* mRNA-CART only showed a partial response (Fig. 1C). While effects of single mRNAs (monotherapies) were most pronounced within the treated tumor, in 2 mice, *Ox40l* monotherapy stimulated an effective systemic response that cleared both tumors. Importantly, because the monotherapy triggered rapid involution of many of the treated tumors, repeated intratumoral injections became technically difficult because of the very low residual tumor volume. Regardless, significant growth delay of the distal, nontreated tumor was observed with *Cd80/86*, *Ox40l*, and *Il12* mRNA-CART administration (Fig. 1C). Monotherapy with *CD80/86*, *Ox40l*, or *Il12* mRNA-CART elicited strong local antitumor immunity, with delayed tumor growth at the distal site; however, to achieve more robust systemic immunization, more potent approaches appear to be required.

***In situ* therapy with a combination of *Ox40l* and *Cd80/86* or *Ox40l* and *Il12* mRNA-CARTs results in global antitumor immunity**

Next, we sought to optimize the induction/stimulation of antitumor immune responses by combining two or more mRNAs in the same CART complexes. Because monotherapy with *Ox40l* proved to be highly immunostimulatory (Fig. 1C), we combined

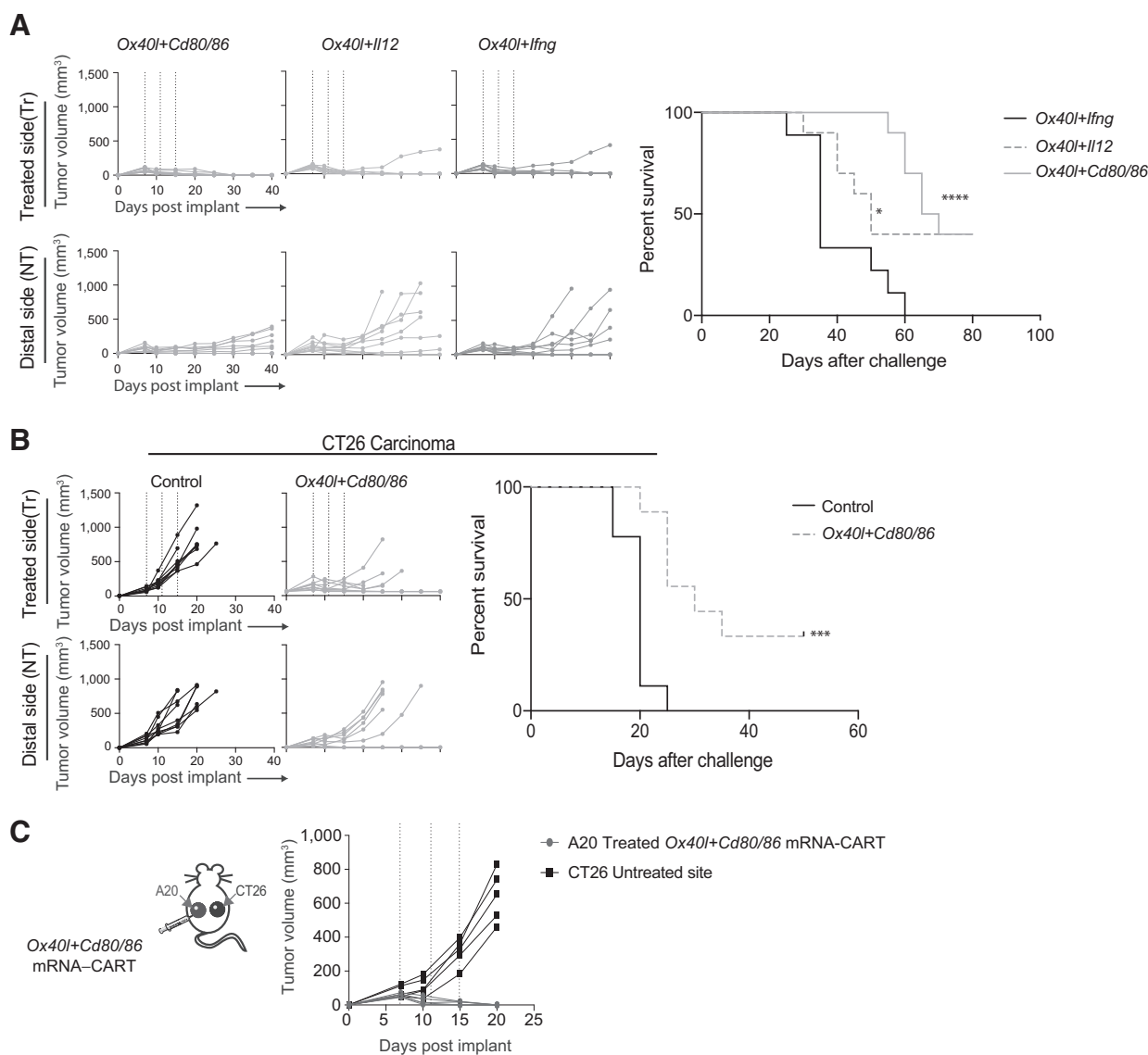
Ox40l with *Cd80* and *Cd86*, *Ox40l* with *Il12*, and *Ox40l* with *Ifn γ* . Mice bearing two A20 lymphoma tumors of comparable size were treated with mRNA-CART nanoparticles formulated with 5 μ g mRNA per component (three treatments in 4-day intervals). The combinations of *Ox40l* with *Cd80* and *Cd86*, and *Ox40l* with *Il12* dramatically increased both survival and delayed the tumor growth in uncured animals. However, while the *Ifn γ /Ox40l* combination therapy induced a strong local therapeutic effect, it did not increase the survival, nor did it delay the tumor growth of the nontreated tumor compared with OX40L monotherapy (Fig. 1C and 2A). On the other hand, *Il12/Ox40l* and *Cd80/86/Ox40l* mRNA therapies induced complete tumor eradication of both tumors in 40% of the mice. Although *Il12/Ox40l* and *Cd80/86/Ox40l* mRNA therapies achieved similar overall responses, *Cd80/86/Ox40l* mRNA-CART therapy induced a significant delay in tumor growth. Moreover, *Cd80/86/Ox40l* mRNA-CART that had completely rejected the tumor was rechallenged with 10^7 A20 tumor cells and monitored for tumor development and overall survival. The rechallenged mice completely rejected the second challenge, while the previously unchallenged and untreated mice developed tumors, indicating the induction of long-lasting tumor-specific immune responses in these mice (Supplementary Fig. S2). To determine the general applicability of this approach, treatment was performed on established subcutaneous CT26 colon carcinoma tumors. Again, combining *OX40L*, *CD80*, and *CD86* mRNA-CART, we were able to completely cure 40% of the mice, with a significant delay in tumor outgrowth in the rest of the mice (Fig. 2B).

***In situ* therapy with a combination of *Ox40l* and *Cd80/86* incites tumor-specific responses restricted to related tumors**

It is feasible that some of the therapeutic distal effects observed upon local administration of mRNA-CARTs could arise from circulation/leakage of mRNA-CART complexes to the distal tumor or its draining lymph nodes. Furthermore, locally induced cytokines may enter circulation and nonspecifically enhance effector functions at the distal tumor. To investigate the possibility of indirect, distal immunostimulatory effects, we designed a variation for the two-tumor model, in which the Balb/c mice were inoculated with the A20 B-cell lymphoma tumor on one flank, and CT26 colon carcinoma-tumor on the contralateral flank. The established A20 tumor was treated intratumorally with the combination therapy using *Cd80/86/Ox40l* mRNA-CART (three times, in 4-day intervals). Growth of both tumors was monitored for 20 days posttumor inoculation (Fig. 2C). In this experiment, we observed complete regression of the treated A20 tumor, with no effect on the growth of the contralateral CT26 tumor. This experiment clearly indicates that the antitumor immunity brought about by local treatment is specific to the phenotype of the injected tumor. Thus intratumoral immunomodulation strategy does not seem to incite nonspecific systemic immunostimulation/inflammation, but triggers a focused, local immune response that leads to systemic tumor-specific immunity.

***In situ* therapy with a combination of *Ox40l* and *Cd80/86* promotes local activation of T cells and secretion of proinflammatory cytokines that can be traced to the local draining lymph node and the distal nontreated tumor**

To better understand the local and systemic effects of our treatment, we assayed the change in T-cell activation, effector function, and change in the tumor microenvironment-secreted

**Figure 2.**

Ox40l plus *Cd80* and *Cd86* mRNA-CART treatment evokes tumor-specific systemic antitumor immunity. **A**, Mice harboring two A20 tumors were treated intratumorally in one of the tumors with either *Ox40l* plus *Cd80* and *Cd86* mRNA-CARTs, *Ox40l* plus *Il12* mRNA-CARTs, or *Ox40l* plus *Ifng* mRNA-CARTs. Tumor growth of both tumors and overall survival was monitored for 40 and 80 days, respectively. **B**, Mice harboring two CT26 colon carcinoma tumors were treated intratumorally in one of the tumors with either *Ox40l* + *Cd80/86* mRNA-CARTs or control mRNA-CARTs. Tumor growth of both tumors and overall survival was monitored for 40 and 50 days, respectively. **C**, Mice harboring one A20 tumor and one CT26 tumor were treated intratumorally in the A20 tumor with *Ox40l*+*Cd80/86* mRNA-CARTs. Tumor growth was measured over the course of 20 days. **A–C**, Mice with tumors >15 mm in largest diameter were euthanized. Survival of *Ox40l* plus *CD80* and *CD86*, and *Ox40l* and *IL12* mRNA-CART-treated mice were compared with *Ox40l* and *IFN γ* mRNA-CART-treated mice. Survival significance was calculated using log-rank (Mantel-Cox) test. *, $P < 0.05$; ****, $P < 0.0001$. Data are representative of two independent experiments.

cytokines. Balb/c mice bearing two A20 tumors on opposite flanks were injected with either 5 μ g *Ox40l*, *Cd80*, and *Cd86* mRNA-CART or 5 μ g control mRNA-CART on one flank. After 36 hours, serum and tumor extracellular matrix was collected. In addition, single-cell suspensions from the treated tumor, nontreated tumor, and draining lymph nodes were prepared. Flow cytometry analysis revealed that for the treated groups, compared with nontreated controls, both the local and distal tumors, as well as the draining lymph nodes showed activated CD4 T cells, CD8 T cells, and natural killer cells (NK cells). This included upregula-

tion of activation markers such as CD137, CD69, CD357, and CD25; cytotoxicity markers such as perforin and granzyme B; and the proliferation marker Ki-67. Furthermore, compared with the control group, we observed a significant downregulation of the T regulatory T-cell marker FOXP3 and the immunosuppressive marker CTLA-4 (CD152). The enhanced local immune response observed in the treated cohort clearly demonstrates a proinflammatory shift in the tumor microenvironment of both tumors, as well as in the secondary lymphatic tissues (Fig. 3A–D). We observed significant, but various degrees of upregulation of

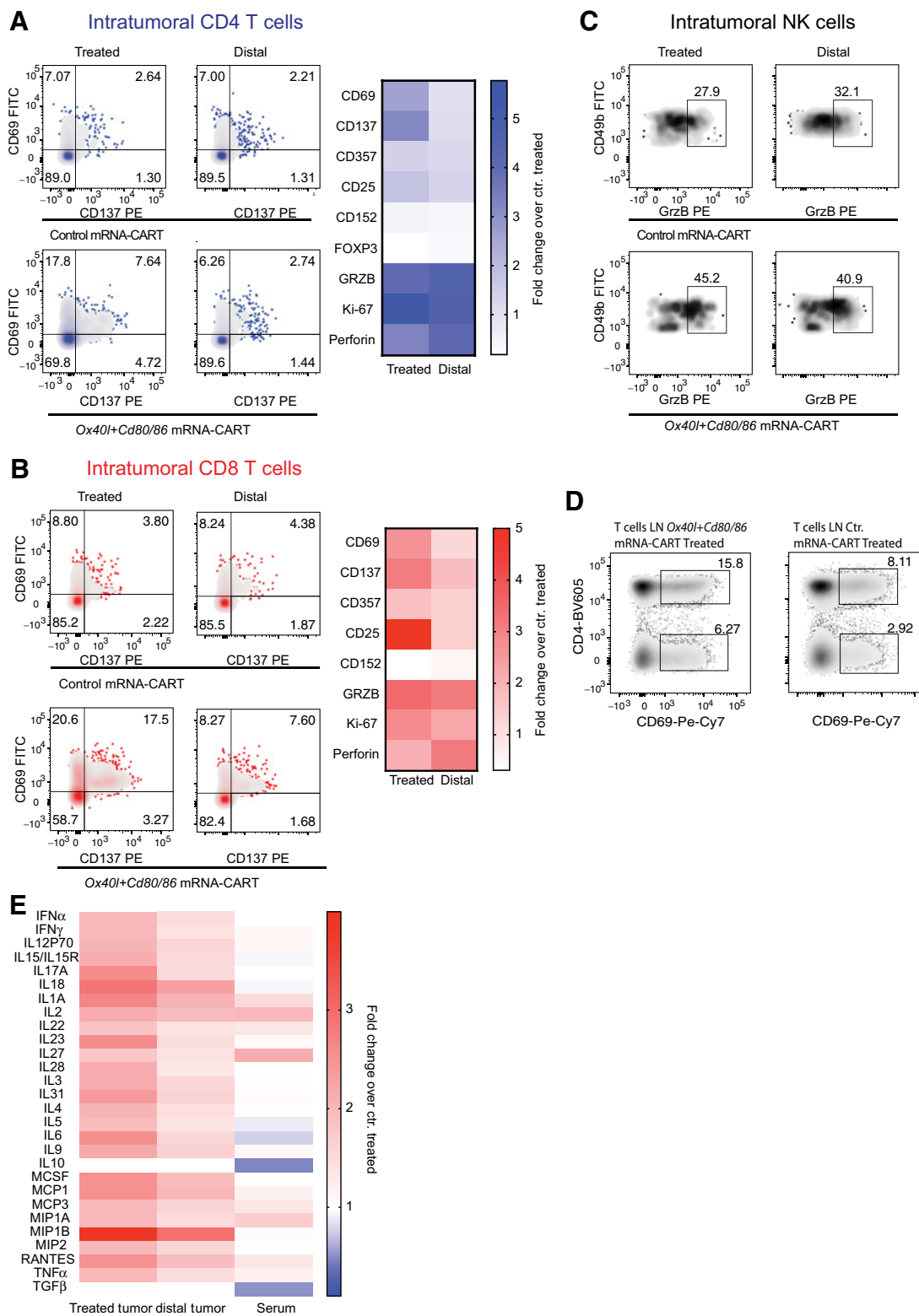


Figure 3. *In situ* therapy with a combination of Ox40l+Cd80/86 induces local activation of T cells and secretion of proinflammatory cytokines that can be traced to the local draining lymph node and the distal nontreated tumor. Balb/c mice bearing two A20 tumors on opposite flanks were injected with either 5 μ g Ox40l+Cd80/86 mRNA-CART or 5 μ g control mRNA-CART on one flank. (Continued on the following page.)

activation markers on CD4 and CD8 T cells within the treated tumor (Fig. 3A and B), the local draining lymph node (Fig. 3D), and the distal tumor. The relative upregulation of activation markers at the distal site was more pronounced for CD8 T cells as compared with CD4 T cells. In general, both CD4 and CD8 T cells showed a more activated phenotype at the treated tumor compared with the distal tumor (Fig. 3A and B). However, the cytotoxicity markers perforin and granzyme B were expressed at similar levels on CD4 and CD8 T cells in both tumors. Moreover, compared with control-treated animals, CD49b-positive NK cells were highly activated as assessed by granzyme B expression in both the treated and the distal tumor in *Ox40l+Cd80/86* mRNA-CART-treated animals. The phenotypical characterization of tumor-infiltrating lymphocytes indicated an enhanced immune response resulting from our treatment strategy. This argument is supported by the observed changes in locally secreted cytokines. Quantitative comparison of secreted cytokines within the tumor microenvironments of the control and treated animals (measured for both tumors and sera) reveals a strong induction of a local proinflammatory milieu in the group of treated mice (Fig. 3E). Cytokine quantification from the treated and distal tumors shows an upregulation within both tumors, although at a higher magnitude within the treated tumor. On the other hand, serum levels of tested cytokines were similar between treated and controls. Thus, from a safety perspective, local treatment with *Ox40l+Cd80/86* mRNA-CARTs seems to effectuate a highly localized inflammatory response with no signs of systemic adverse effects. Hence, we propose that the observed systemic tumor-specific effects are mediated by migrating cells and not by bystander activation through elevated systemic cytokine concentrations. However, we did observe decreased systemic levels of the immunosuppressive cytokines TGF β and IL10, which may indicate a therapy-induced conversion of the cells that secrete these cytokines. Importantly, when assayed 22 days after the last treatment, we did not observe any difference in serum levels of the canonical proinflammatory cytokines IFN α , IL6, TNF α , IFN γ , IL1 α , or IL1 β , between the treated group and aged match untreated controls (Supplementary Fig. S3). Thus, we do not observe any signs of delayed adverse effects with our treatment.

CART delivery is extremely local, solely transfecting cells in the injected tumor

To investigate the biodistribution of CARTs upon local intratumoral injection and to determine whether the expression of immunostimulatory proteins could occur at distal sites, we performed experiments using Fluc-encoding mRNA and fluorophore-labeled CARTs (BDK-CARTs). This was designed to provide direct insight into cellular transfection as opposed to the pheno-

typic readout from the A20/CT26 two-tumor model. In this experiment, mice bearing two A20 tumors were injected with 5 μ g Fluc mRNA-BDK-CARTs and monitored by bioluminescence imaging for expression of Fluc at 12-hour intervals up to 96 hours. At all timepoints, no reporter gene expression was detectable outside of the tumor (Fig. 4A). At 48 hours, a group of mice was sacrificed, and single-cell suspension of injected tumor, draining lymph node, spleen, and distal tumor was generated. A group of mice injected with non-fluorophore-labeled CARTs were used as a negative control to normalize for the BDK signal. At the 48-hour timepoint, cells were assayed by flow cytometry to detect BDK-positive cells. Surprisingly, no signal was detected in cells from the distant tumor or any of the other organs.

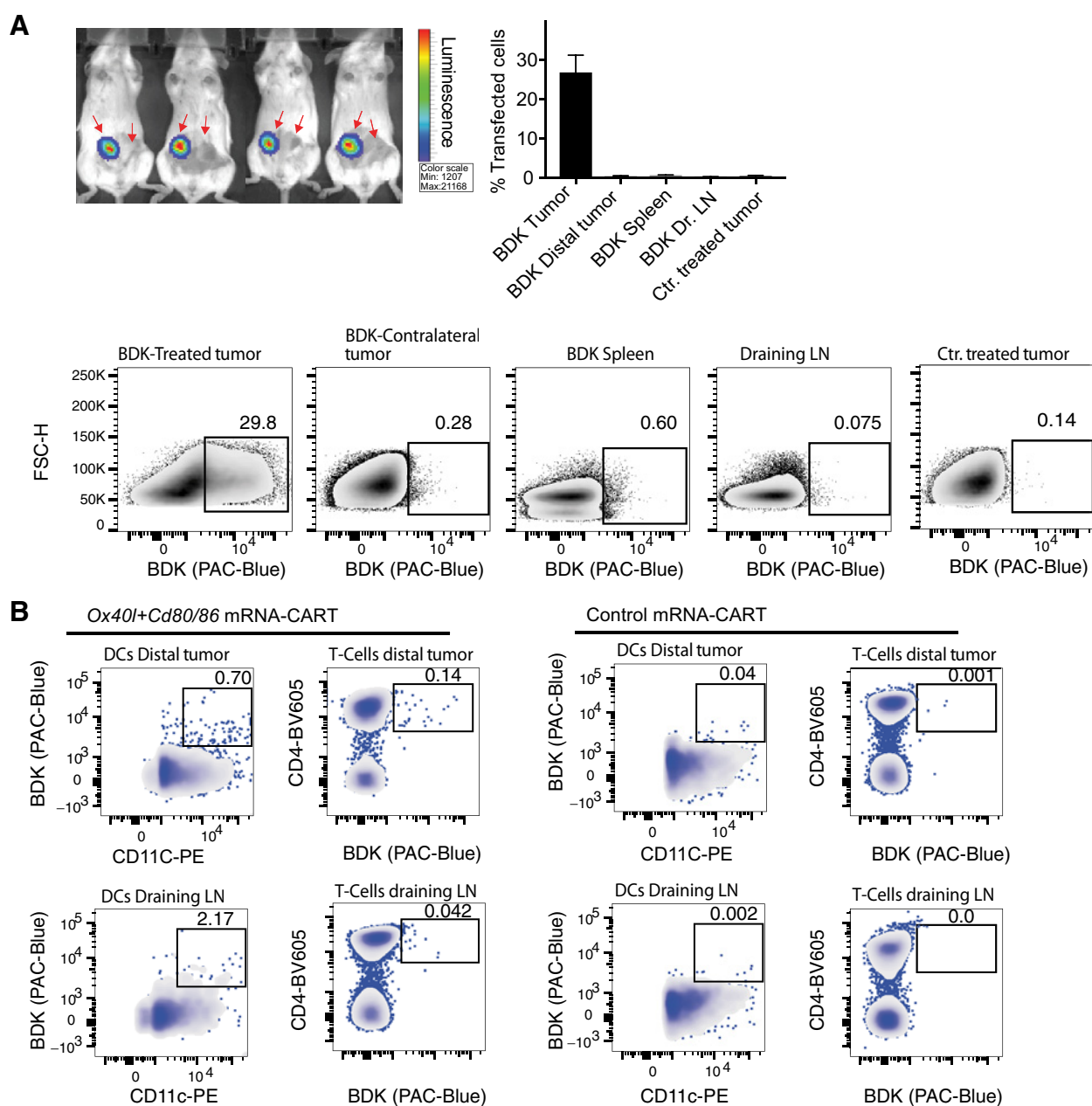
OX40L and CD80/86 mRNA-CARTs mobilize cells to migrate to the local draining lymph node and distal tumor

To further study the mechanistic basis of the observed systemic antitumor immunity after local mRNA-CART treatment, we assayed distribution/localization of locally transfected cells after treatment with 5 μ g *Ox40l+Cd80/86* mRNA-BDK-CARTs. Interestingly, we were able to detect BDK-positive dendritic cells and T cells in both the local draining lymph nodes and the distal tumors 48 hours after treatment (Fig. 4B). This observation indicates that using mRNA delivery to increase expression of costimulatory molecules in the local tumor microenvironment may mobilize a small fraction of cells to enter the circulation and exert their effector functions throughout the body. Notably, the observed migration of cells induced by immunostimulatory protein-encoded mRNA-CARTs, as opposed to the absence of migration when we used control mRNA or reporter gene (fLuc)-encoded mRNA-CARTs, indicates that changing the local tumor microenvironment may enable cells to become activated and migrate.

Discussion

With increasing understanding of immunomodulatory networks operational within tumors, new and improved immunotherapeutic approaches are being implemented in cancer care. With these advances, more precise tools are required to facilitate therapeutic utilization of new discoveries. Thus, there is a high demand for versatile therapeutic platforms that can combine multiple drugs or targeted effects, while minimizing toxicities. Local mRNA delivery using nontoxic delivery vehicles meets these requirements; mRNA can be coded to produce a vast array of proteins, that can be effectively delivered and expressed alone or in a combinatorial fashion using the readily tunable CART delivery platform. Thus, mRNA provides the technological basis

(Continued.) Thirty-six hours later, serum and tumor extracellular matrix was collected. In addition, single-cell suspension of treated, nontreated, and draining lymph nodes was generated. **A**, Representative plots of activation marker CD137 and CD69 expression by intratumoral CD4 T cells from treated and distal tumors of control (ctr.) mRNA-CART and *Ox40l+Cd80/86* mRNA-CART-treated mice (left). Right, fold change in expression of activation and cytotoxic markers by intratumoral CD4 T cells in both treated and untreated tumors from *Ox40l+Cd80/86* mRNA-CART-treated mice compared with tumors from control mRNA-CART-treated mice. **B**, Representative plots of activation marker CD137 and CD69 expression by intratumoral CD4 T cells from treated and distal tumors of control mRNA-CART and *Ox40l+Cd80/86* mRNA-CART-treated mice (left). Right, fold change in expression of activation and cytotoxic markers by intratumoral CD8 T cells in both treated and untreated tumors from *Ox40l+Cd80/86* mRNA-CART-treated mice compared with tumors from control mRNA-CART-treated mice. **C**, Representative plots of cytotoxicity marker granzyme B (GrzB) on intratumoral CD49b-positive NK cells from treated and distal tumors of control mRNA-CART and *Ox40l+Cd80/86* mRNA-CART-treated mice. **D**, Representative plots of activation marker CD69 expression by tumor draining lymph node (LN) CD4 T cells from treated and distal tumors of control mRNA-CART and *Ox40l+Cd80/86* mRNA-CART-treated mice. **E**, Fold change in secreted cytokine protein levels in the local tumor microenvironment of both treated and untreated tumors, and serum from *Ox40l+Cd80/86* mRNA-CART-treated mice, compared with tumors and serum from control mRNA-CART-treated mice. Data are representative of two independent experiments.

**Figure 4.**

Ox40l+ Cd80/86 mRNA-CARTs mobilize cells to migrate to the local draining lymph node and distal tumor. **A**, Mice harboring two A20 tumors were injected with Fluc mRNA-BDK-CART into one tumor. Top left, whole-body bioluminescence 24 hours after injection. Top right, frequency of transfected cells in injected tumor, distal tumor, draining lymph nodes, and spleen from Fluc mRNA-BDK-CART injected mice 24 hours after injection. Tumor from Fluc mRNA-CART without the BDK fluorophore was included as a negative control (ctr). Bottom, representative density plots of data presented in top right histogram. **B**, Mice harboring two A20 tumors were injected with *Ox40l+ Cd80/86* mRNA-BDK-CART or control mRNA-BDK-CART into one tumor. Data show representative density plot of BDK-positive dendritic cells (DC) and CD4 T cells in the distal tumor and the injected tumor draining lymph node 48 hours after treatment. Gates indicate locally transfected cells that have migrated either to the local draining lymph node or the distal tumor. Mice injected with control mRNA-BDK-CARTs were included as control. Data are representative of three independent experiments.

to deliver a wide variety of antigens, modulators, and cell signaling factors in a single molecule.

Local injections may overcome some of the potential toxicity associated with systemic delivery, but few strategies ensure a truly local delivery and deposition of the therapeutic substance.

Although injected locally, delivery vehicles such as LNPs tend to accumulate in distal organs including the liver (33), which may cause unwanted side effects. Among the most problematic is the potential toxicity of LNP components, including cationic lipids, phospholipids, or combinations thereof (20, 34). In contrast to

the LNP delivery vehicles, CARTs degrade to neutral byproducts (22) and are uniquely specific to the injected tissue with no detectable leakage. This work details immunologic trafficking pathways initiated by local/*in situ* treatment, which remarkably lead to a robust, global, therapeutic response. This is the first report of a nanoparticle-mediated mRNA-based immunostimulatory intervention against the tumor microenvironment. Thus, CARTs represent a novel and truly unique means of local gene therapy. Our data shows that highly efficient intratumoral delivery of immunomodulatory particles encoding mRNA is a fast, safe, versatile, robust, and flexible strategy to induce specific antitumor responses against disseminated tumors in a variety of solid cancer types.

Furthermore, the CART platform can be modified with fluorophores that enable monitoring the migration of cells throughout complex immunomodulatory pathways. This study demonstrates the therapeutic potential and potency of *in situ* gene therapy using mRNA encoding well known immunomodulatory molecules. OX40 is mainly expressed by CD4 T cells, and recent publications have highlighted OX40 ligation as a potent means of inhibiting CD4 regulatory T-cell function and enhancing effector cell functions of conventional CD4 T cells (24, 25). The classical costimulatory molecules CD80 and CD86 interact with CD28 molecules on T cells to ensure potent activation (35–37). Several reports have demonstrated the necessity for CD80 and CD86 expression on professional antigen presenting cells (APC) for T-cell activation and propagation (36–40). IL12 is a heterodimeric proinflammatory cytokine that induces the production of IFN γ , which induces the differentiation of Th1 cells, and forms a link between the innate and adaptive immune responses (28–30). Recombinant IL12 has shown remarkable properties as an antitumor agent in preclinical models (41, 42). However, in addition to the toxic side effects of high dose IL12 (43), a caveat to the systemic administration of immunostimulatory mediators such as IL12 the inability to reach high enough local concentrations within tumors to impart a meaningful effect on resident immune cells. Notwithstanding these complications, the impressive preclinical results with IL12 gene therapy merits new approaches whereby cytokine production would only occur in close proximity to the tumor, thereby maintaining low serum IL12 concentrations and reducing systemic toxicity (44). We find that the intratumoral induction/production of OX40L with either IL12 or CD80 and CD86 is sufficient to overcome the local immunosuppressive environment of a tumor and surpass the threshold for effective systemic antitumor immunity.

Importantly, the immunomodulatory molecules chosen in this study represents only a small fraction of the molecules available. Thus, combining our mRNA delivery platform and the array of immunomodulatory molecules available, including the potential for multiplexed gene delivery, represents a robust strategy to

therapeutically reverse intratumoral immunosuppression and elicit curative antitumor immune responses. We believe that this strategy is a general approach for personalized therapy against a wide variety of tumors either as a stand-alone therapy or in combination with existing treatments.

Disclosure of Potential Conflicts of Interest

R. Levy reports receiving commercial research grant from Medimmune, Pharmacyclics, BMS, and Dynavax and is a consultant/advisory board member for Checkmate, Immune Design, Beigene, TeneoBio, Sutro, Dragonfly, Innate, Apexigen, and Forty Seven. No potential conflicts of interest were disclosed by the other authors.

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