

# Potential Markers from Serum-Purified Exosomes for Detecting Oral Squamous Cell Carcinoma Metastasis



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## Abstract

**Background:** Blood contains exosomes that are related to tumor cells. Those exosomes can regulate communication between cells and have a great influence on a variety of tumor-associated proceedings through their target cells. Therefore, serum exosomes (SE) were supposed to play a crucial role in cancer development.

**Methods:** This study presented a quantitative proteomics analysis to identify the protein content in SEs including 30 subjects from oral squamous cell carcinoma (OSCC) patients with lymph node metastasis (LNM), OSCC patients with no LNM (NLNM), and healthy controls (HC). Differentially expressed proteins (DEP) were analyzed by bioinformatics, and then a total of 30 subjects were used for Western blot and 60 subjects for IHC, ELISA, and RT-PCR verifications. The correlations were assessed between DEP expression and clinicopathologic factors.

**Results:** A total of 415 proteins were identified. Comparing with HC and OSCC-NLNM, we found 37 proteins and 28 proteins in the SEs of OSCC-LNM, respectively. There were significant correlations among the expression of PF4V1 with tumor differentiation level, PF4V1 and F13A1 with the number of positive nodes, and ApoA1 with smoking and drinking. ROC curve analysis indicated that the combinations of the different biomarkers or specimen were obviously superior to single biomarker or specimen for diagnosing OSCC-LNM.

**Conclusions:** We conclude that PF4V1, CXCL7, F13A1, and ApoA1 from SEs may be related to the metastasis of OSCC, which would be helpful in the diagnosis of OSCC-LNM.

**Impact:** Biomarkers from SEs could help with the diagnosis of metastasis in OSCC.

## Introduction

Oral cancer is a type of head and neck cancer, and the location of oral cancer occurrence includes the lip, tongue, floor of mouth, buccal, gingiva, and palate (1). Oral cancer is the sixth most common cancer diagnosed worldwide and causes more than 145,000 deaths annually; it is also the sixth most common cause of cancer death, especially for men who drink alcohol and smoke. More than 90% of oral cancers are oral squamous cell carcinomas (OSCC), and more than 50% of

OSCCs have a high rate of lymph node metastasis (LNM; ref. 2). Once patients are diagnosed with OSCC with LNM, their 5-year survival rate will fall from 80% to less than 40% (3). Therefore, it is important to understand the mechanisms behind the metastasis that is a leading cause in most deaths of OSCC patients and poses a major challenge in the early detection and timely treatment of OSCC with LNM. As one of the 10 hallmarks of cancer, the activation of tumor invasion and metastasis is a complex and critical multistep process (4, 5). Consequently, there is an area of intense research on the molecular pathogenesis behind the development of such metastatic advances and specific markers that can be used to screen for metastasis in LNM patients rapidly, noninvasively, and with high sensitivity. Recent studies have found that extracellular vesicles called exosomes played an important role in explaining metastasis (6).

Exosomes are small, spherical bilayered membrane vesicles, with an average diameter of 30 to 100 nm, and are secreted from all kinds of cells, including tumor cells, in a range of biological fluids, including plasma, saliva, urine, blood, cerebrospinal fluid, and cell culture media (6–8). An intercellular communication system consists of these vesicles, meaning that exosomes are potentially valuable for biomarker selections as well as disease therapies, such as cancer therapies. Exosomes involve a variety of proteins and bioactive lipids, and exosome compositions change with the specific donor cell type. The protein members of exosomes include the transmembrane 4 superfamily (CD9, CD63, and CD81) and tumor susceptibility gene 101 (TSG101; ref. 9). There is still not a complete understanding of the functional

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characteristics of exosomes (10). A new study indicated that tumor-derived exosomes could recruit bone marrow-derived cells to the premetastatic niche, which is similar to the functions of cytokines and soluble factors, thereby contributing to the production of a permissive microenvironment for tumor metastases and spreading by transferring oncoproteins (11). Furthermore, tumor-derived exosomes mediate vascular leakiness, which have become a crucial feature of premetastatic niche formation (12, 13). Exosomes are not just simple cellular debris. They act as extracellular organelles that play local or distinct roles in cell-cell communication while promoting the motility of recipient cells to proliferate and remodel the extracellular matrix (ECM) that supports the proliferation and migration of tumor (14). Tumor cell-derived exosomes manifest multiple sites of action. Some recent studies confirmed that the tumor cell-derived exosomes could induce apoptosis of tumor cells and enhance antitumor immunity (15, 16). However, other studies indicated that tumor cell-derived exosomes may promote tumor progression by exhibiting immunosuppressive properties, facilitating tumor invasion and metastasis, stimulating tumor cell proliferation, and inducing drug resistance (17–22). Therefore, exosomes are closely associated with tumor development and could serve as markers and novel therapeutic targets for early prognostic and treatment benefit. Up to now, no study has focused on differentially expressed proteins (DEP) of exosomes purified from serum of OSCC patients, especially OSCC-LNM patients.

In this study, we applied proteomic and bioinformatic strategies to determine the biological functions of serum exosomes (SE) derived from OSCC-LNM. The proteome profiles of SEs from OSCC-LNM patients, OSCC-NLNM patients, and healthy controls (HC) were compared using a combination of LC-MS/MS analyses. Identified DEPs might be associated with tumor cell growth and adhesion. This study provides the first evidence that an initial relationship between the proteins of SEs and OSCC-LNM patients may be useful in both the early evaluation of OSCC-LNM and the development of novel diagnostics and therapeutics.

## Materials and Methods

### Study subjects and sample collections

Patients diagnosed with primary OSCC in the Affiliated Stomatology Hospital of Guangxi Medical University (ASHGMU, Nanning, China) and HCs from the Guangxi Medical University First Affiliated Hospital were recruited to this study from May 2017 to January 2018. All samples from patients who were from 25 to 75 years old and without diabetes, blood diseases, or other tumors were collected before primary tumor resection at ASHGMU, apart from obtainment of tissue samples during surgery. None of the patients received chemotherapy or radiotherapy before sample collection. We also obtained samples from HCs who had no evidence of disease. They ranged from 25 to 75 years old and also underwent a full diagnostic assessment to exclude diabetes, blood diseases, or tumors. We distributed OSCC patients into two groups: those with LNM without extranodal extension or lymphovascular invasion and those with NLNM. Informed consent was obtained from all study subjects. The ethics committee of Guangxi Medical University approved the study.

Serum and SE samples from a total of 30 subjects were used for screening test and Western blotting verification. Subject demo-

graphics were as follows: Male/female ratio was 7/3, 8/2, and 7/3 for OSCC with LNM, OSCC with NLNM, and HC groups, respectively; the average age was 43.5, 43.8, and 44.2 for OSCC with LNM, OSCC with NLNM, and HC groups, respectively. Serum and whole blood from a total of 60 subjects were used for RT-PCR and ELISA verification from serum and SEs, and the subject demographics were as follows: For the OSCC with LNM group, the male/female ratio was 12/8, and the average age was 44.6; for the OSCC with NLNM group, the male/female ratio was 12/8, and the average age was 44.2; for the HC group, the male/female ratio was 13/7, and the average age was 44.9. A total of 60 pairs of cancerous tissues and their corresponding adjacent tissues were used for RT-PCR and IHC verification. The demographics of the patients who provided the tissues were as follows: For the OSCC group, the male/female ratio was 33/27, and the average age was 45.6; for the OSCC with LNM group, the male/female ratio was 17/13, and the average age was 45.3; and for the OSCC with NLNM group, the male/female ratio was 16/14, and the average age was 44.9. The age and sex were matched between all case groups and control groups. The whole experimental workflow was shown in Supplementary Fig. S1.

### Isolation and identification of SEs

The exosomes of 500  $\mu$ L serum from each sample were isolated according to the instructions from the manufacturer (SBI System Biosciences). The pellet from every 500  $\mu$ L serum was resuspended in 500  $\mu$ L of PBS. The samples were fixed with 3% glutaraldehyde for 3 hours, and soaked and rinsed three times in 0.1 mmol/L sodium cacodylate buffer at room temperature. The samples were fixed with osmium tetroxide for 1 hour and soaked and rinsed in 0.1 mmol/L sodium cacodylate buffer. A Hitachi S7650 scanning electron microscope visualized the exosomes after samples were dried, mounted on specimen stubs, and sputter coated. We also characterized the amount and size of exosomes to confirm this information using nanosight analysis with Flow NanoAnalyzer (NanoFCM Inc.). Western blotting was utilized to identify the marker proteins of exosomes. Fifty micrograms of the protein was used for Western blotting and was then separated on a 12% SDS-PAGE gel and transferred to membranes (Millipore). Membranes were blocked and then incubated overnight with rabbit anti-CD9 (1:1,000, Santa Cruz Biotechnology), rabbit anti-CD63 (1:1,000, Santa Cruz Biotechnology), rabbit anti-HSP70 (1:1,000, Abcam), or rabbit anti-GAPDH (1:5,000, Abcam). The secondary antibody was anti-rabbit IgG (1:2,000, Sino Biological Inc.). A chemiluminescent gel imaging system (FluorChem HD2; ProteinSimple) scanned the bands. A fraction of the resuspended exosomes was lysed using Radio Immunoprecipitation Assay buffer, and then the total protein concentration was detected using a BCA protein assay kit.

### Label-free quantitative MS and bioinformatic analysis

Samples were processed and then analyzed for LC-MS. All analyses were performed using a QExactive plus Orbitrap mass spectrometer (Thermo Fisher Scientific) equipped with a nano-electrospray ion source. All samples after enzymolysis were dissolved in 0.1% formic acid in water (buffer A), and 0.1% formic acid Acetonitrile in water (84% Acetonitrile, buffer B). Peptides were desalted and then separated by Zorbax 300SB-C18 peptide traps (Agilent Technologies) and reversed-phase liquid chromatography (Column Technology Inc.). We operated the survey

mass spectrometer with the following settings: Scanning range of positive ion was 300 to 2,000  $m/z$ ; the survey mass spectrum with a resolving power was 60,000; AGC target was  $1.0 \times 10^{-6}$ ; the survey Maximum IT was 50 ms; the number of scan ranges was 1; and dynamic exclusion was 30.0 s. The quality charge of polypeptides and their fragments were collected with the following methods: MS2 Activation Type: CID; Isolation window: 1  $m/z$ ; the secondary mass spectrum with a resolving power: 15,000 at  $m/z$  200; Microscans: 1; Maximum IT: 150 ms; AGC target:  $5 \times 10^4$ ; Normalized collision energy: 35eV; and Underfill ratio: 0.1%. The raw data were analyzed by Maxquant software and UniProt Homo sapiens (Version 1.4.1.1). The search parameters were as follows: (1) Up to 2 leakage points were allowed; (2) fixed modification was carbamidomethylation; and (3) dynamic modifications were oxidation and acetyl.

The identified (score > 0) proteins were next clustered into Gene Ontology (GO) and categories to identify the OSCC-LNM-related physiologic processes implicated by the exosomal proteins using bioinformatic tools. The UniProt online ID mapping server was applied to convert UniProtKB accessions into Entrez Gene IDs in GO analysis, which were subjected to FunRich software 2.1.221. GO included biological processes, molecular functions, and cellular components, and the terms of the charts were acquired by applying default statistical parameters (threshold: count 2, ease 0.1).

Protein class analysis was performed with the Panther classification system, which was conducted by applying the WEB-based GENE SeT AnaLysis Toolkit (<http://www.webgestalt.org/>) in Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway. For pathways, the statistical significance was on the base of a  $P$  value of less than 0.05 and the appearance of at least two target genes.

The threshold of DEPs was 1.5-fold change ( $>1.5$  or  $<0.067$ ) for both up- and downregulation of expression in the comparison of case group/control group.

#### ELISA, Western blot, IHC, and RT-PCR verification

To verify the proteomics results, ELISA and Western blot verification were exercised to test the expression levels of identified proteins from SEs and serum. Based on the bioinformatics analysis, four proteins including platelet factor 4 variant (PF4V1), Apolipoprotein A-I (ApoA1), C-X-C motif chemokine (CXCL7), and coagulation factor XIII, A1 (F13A1) were chosen for validation. PF4V1 was derived from CXC chemokine 4 (CXCL4), which was also chosen for validation in this study. The protein concentrations of CXCL7 were measured by the ELISA kit (ab100613, Abcam). The protein concentrations of CXCL4 and ApoA1 were measured by a different ELISA kit (Neobioscience). There were no suitable commercial kits for F13A1 and PF4V1. Mouse anti-F13A1 (1:500 for Western blot/1:50 for IHC, ab1834, Abcam), rabbit anti-PF4V1 (1:1,000 for Western blot/1:200 for IHC, Sigma), rabbit anti-CXCL7 (1:1,000 for Western blot/1:200 for IHC, Sigma), and GAPDH (1:2,000, Sino Biological Inc.) antibodies were applied in Western blot and IHC verification. Goat serum (ZSGB-BIO) used for secondary antibodies was applied in IHC verification, respectively.

We also conducted RT-PCR verification. Expression levels of *PF4V1*, *CXCL4*, *CXCL7*, *ApoA1*, and *F13A1* mRNA in tissue, serum, and whole blood were quantitated using RT-PCR. Total RNA was extracted and purified using RNeasy spin columns,

which was then reverse transcribed into cDNA following the manufacturer's specifications (Takara). Quantification of mRNA expression was performed using the SYBR Green qRT-PCR Kit (Takara) on an ABI StepOne/StepOnePlus Detection System (Applied Biosystems). Conditions for PCR were 95 degrees for 15 seconds and 68 degrees for 2 minutes for 40 cycles. The amplified products were normalized using GAPDH as an internal control, and the values of gene expression were computed as fold change in relation to GAPDH by using the  $2^{-\Delta\Delta C_t}$  or  $2^{-\Delta C_t}$  method (23, 24). The primer information is shown in Supplementary Table S1.

#### Clinicopathologic data analysis of DEPs and statistical analysis

Relevant clinical details and basic characteristics were collected when the patients consented to clinical examination. The correlation was analyzed with statistic methods between different clinicopathologic factors and DEP expression by using  $\chi^2$  test, McNemar, and Fisher exact tests from SPSS 20.0 software package. The confounders were eliminated by using regression multivariate modeling. The clinicopathologic factors included gender (male/female), nationality (Han nationality/Zhuang nationality), tumor stage (I/II stage/III/IV stage), tumor differentiation degree (high differentiated squamous cell carcinoma/low differentiated squamous cell carcinoma), smoking state (smoking/no smoking), and drinking state (drinking/no drinking). A more detailed assessment was conducted to investigate DEP expression in the six grades of age brackets, including 20 to 40 years, 41 to 50 years, 51 to 60 years, 60 to 80 years,  $\leq 50$  years, and  $>50$  years, and the number of positive nodes of OSCC-LNM, including 1 positive node, 2 to 4 positive nodes,  $\geq 5$  positive nodes,  $\geq 2$  positive nodes,  $<5$  positive nodes in tissue, 1 to 2 positive nodes, 4 to 5 positive nodes in serum and  $\geq 5$  positive nodes, and  $<5$  positive nodes in SEs. Gene Expression Profiling Interactive Analysis (GEPIA), a Web server for cancer and normal gene expression profiling and interactive analyses, was used to evaluate the connection between *ApoA1*, *CXCL7*, *PF4V1*, *F13A1*, and the overall survival of head and neck squamous cell carcinoma.

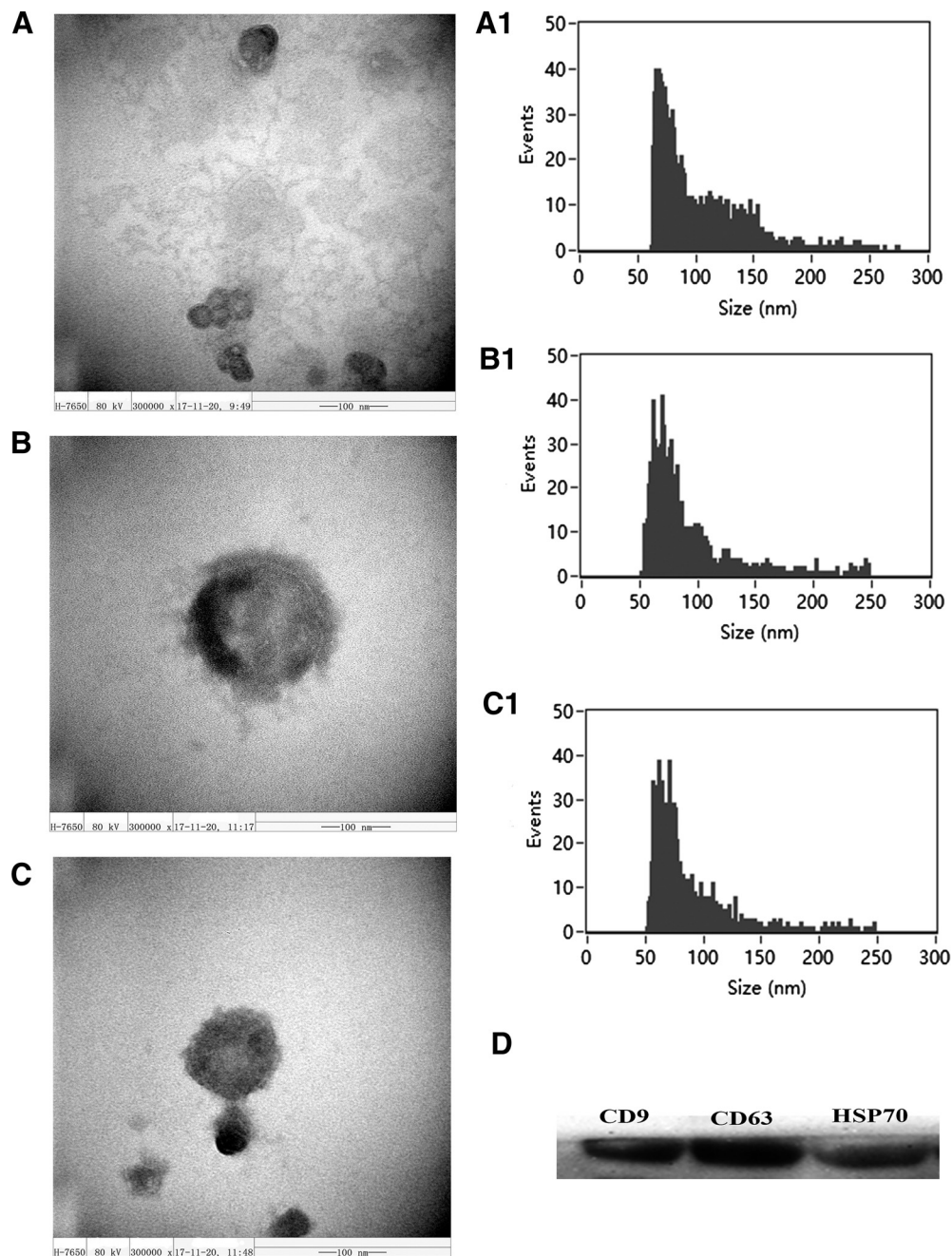
#### Establishment of diagnostic models

The statistically significant findings from the verification analyses were used to establish various diagnostic models to have further insight into the utility of these four candidate biomarkers. ROC curves and the AUC were calculated to analyze the specificity and sensitivity in the comparisons of single-candidate biomarkers and their combinations (25). The statistical analyses were performed using a binary logistic regression analysis in SPSS 20.0 software package (26). Data analyses were conducted from triplicate experiments. Results are shown as mean  $\pm$  SD. Statistically significant differences were analyzed by the Student  $t$  test and nonparametric test ( $P < 0.05$ ) using the SPSS 20.0 software package.

## Results

#### Extraction and identification of SEs

The integrity morphologic images of SEs are displayed in the electron micrographs (Fig. 1A–C). The specific exosome markers of CD9, CD63, and HSP70 were also detected in Western blot test (Fig. 1D). The particle size distribution maps



**Figure 1.**

The characterization of SEs. The pictures of transmission electron microscopy (**A**) and nanosight analysis with Flow NanoAnalyzer (**A1**) of SEs preprocessed from the HC group. The pictures of transmission electron microscopy (**B**) and nanosight analysis with Flow NanoAnalyzer (**B1**) of SEs preprocessed from the OSCC with LNM group. The pictures of transmission electron microscopy (**C**) and nanosight analysis with Flow NanoAnalyzer (**C1**) of SEs preprocessed from the OSCC with NLNM group. **D**, SE markers identified by Western blotting.

of SEs are shown in Fig. 1A1–C1. The particle size and density of SEs from the HC group, LNM group, and NLNM group were  $99.49 \pm 36.50$  nm,  $86.76 \pm 33.96$  nm,  $83.56 \pm 31.71$  nm and  $1.51 \times 10^{10}$  particles/mL,  $1.44 \times 10^{10}$  particles/mL, and  $1.24 \times 10^{10}$  particles/mL, respectively. An average diameter of SEs from the HC group, LNM group, and NLNM group was 50 to 250 nm.

#### Quantitative proteomics and bioinformatic analysis

A total of 415 proteins were identified including 252 proteins for OSCC-LNM when compared with HC, 255 proteins for OSCC-LNM when compared with OSCC-NLNM, and 257 proteins for OSCC-NLNM when compared with HC. According to the inclusion criteria of the DEPs, a total of 37 DEPs with 20 upregulated proteins and 17 downregulated proteins were

**Table 1.** Comparison of DEPs identified in SEs of the OSCC-LNM group versus the HC group

Number	Accession number	Abbreviation	Full name	Molecular weight (kDa)	Sequence length	Q value <sup>a</sup>	Score <sup>b</sup>	OSCC-LNM/HC	P value	Expression
1	sp P04275	VWF	von Willebrand factor	309.26	2813	0	157.03	3.4326479	0.005	↑
2	tr D3DP13	FGB	Fibrinogen beta chain, isoform CRA_e	39.736	344	0	100.2	3.1698038	0.008	↑
3	tr B4E1I8	LRG1	cDNA FLJ54228, highly similar to leucine-rich alpha-2-glycoprotein	36.494	330	0	56.239	2.8420843	0.029	↑
4	tr Q6LAM1	CFI	Heavy chain of factor I (fragment)	35.883	321	0	31.891	2.4030773	0.002	↑
5	tr A2J1M2	ENSG00000223931	Rheumatoid factor RF-IP9 (fragment)	10.549	98	0	30.441	2.2035086	0.028	↑
6	sp P02671	FGA	Fibrinogen alpha chain	94.972	866	0	88.917	2.0509267	0.006	↑
7	tr A0A125QYY9	ENSG00000223931	IBM-B2 heavy-chain variable region (fragment)	13.569	123	0	98.895	1.8935852	0.003	↑
8	tr A0A0F7SYK7	ENSG00000223931	IGHV1-46 protein (fragment)	10.173	91	0	15.422	1.8274823	0.027	↑
9	tr A0A024RAA7	CIQC	Complement component 1, q subcomponent, C chain, isoform CRA_a	25.773	245	0	94.934	1.8169817	0.003	↑
10	tr V9GYE7	CFHR2	Complement factor H-related protein 2	28.912	254	0	24.488	1.6460515	0.002	↑
11	tr A8K2T4	C7	cDNA FLJ78207, highly similar to human complement protein component C7 mRNA	93.406	843	0	323.31	1.6075309	0.040	↑
12	sp Q06033	ITIH3	Inter-alpha-trypsin inhibitor heavy-chain H3	99.848	890	0	82.334	1.5961385	0.019	↑
13	tr Q6N091	ENSG00000223931	Uncharacterized protein DKFZp686C02220 (fragment)	54.159	500	0	63.267	1.5460653	0.030	↑
14	tr A0A0 × 9UWK7	ENSG00000223931	MS-D4 heavy-chain variable region (fragment)	13.525	122	0	76.321	1.5418579	0.035	↑
15	tr A8K008	ENSG00000223931	Uncharacterized protein	51.596	472	0	62.743	1.5019136	0.016	↑
16	sp P10909	CLU	Clusterin	52.494	449	0	286.73	0.6652614	0.008	↓
17	tr HOYAC1	KLKB1	Plasma kallikrein (fragment)	76.773	686	0	148.39	0.6526857	0.005	↓
18	tr Q5VY30	RBP4	Retinol-binding protein 4, plasma, isoform CRA_b	22.974	199	0	94.794	0.630816	0.029	↓
19	tr B4E1B2	TF	cDNA FLJ53691, highly similar to serotransferrin	74.831	678	0	323.31	0.6304394	0.039	↓
20	sp P05546	SERPIND1	Heparin cofactor 2	57.07	499	0	130.1	0.6268114	0.004	↓
21	tr Q53FV4	LUM	Lumican variant (fragment)	38.413	338	0	21.207	0.6033161	0.001	↓
22	sp A2NJV5	IGKV3D-7	Immunoglobulin kappa variable 2-29	13.085	120	0	23.778	0.5528563	0.006	↓
23	sp P06727	APOA4	Apolipoprotein A-IV	45.398	396	0	261.29	0.5293484	0.030	↓
24	tr A0A024R3E3	APOA1	Apolipoprotein A-I, isoform CRA_a	30.777	267	0	323.31	0.4976017	0.015	↓
25	tr X6RLJ0	CIQA	Complement C1q subcomponent subunit A (fragment)	23.351	220	0	23.923	0.4945711	0.005	↑
26	tr E9KL36	TTR	Transthyretin	15.887	147	0	239.06	0.4477275	0.009	↑
27	tr V9GYM3	APOA2	Apolipoprotein A-II	14.914	133	0	54.723	0.4400852	0.008	↑
28	tr D9YZU5	HBB	Beta-globin	15.998	147	0	126.29	0.3773419	0.010	↑
29	tr Q53H26	TF	Transferrin variant (fragment)	77.079	698	0	81.553	0.3412472	0.021	↓
30	tr A0A024R0T9	APOC2	Apolipoprotein C-II isoform 1	11.284	101	0	30.162	0.2207795	0.008	↓
31	tr A0A0G2JRK6	IGKV3D-7	Uncharacterized protein (fragment)	12.748	117	0.00303	11.523	Only detected in OSCC-LNM		↑
32	tr A0A193CHR0	ENSG00000223931	10E8 heavy-chain variable region (fragment)	14.429	131	0	18.246	Only detected in OSCC-LNM		↑

(Continued on the following page)

**Table 1.** Comparison of DEPs identified in SEs of the OSCC-LNM group versus the HC group (Cont'd)

Number	Accession number	Abbreviation	Full name	Molecular weight (kDa)	Sequence length	Q value <sup>a</sup>	Score <sup>b</sup>	OSCC-LNM/HC	P value	Expression
33	tr B2R6V9	F13A1	cDNA, FLJ93141, highly similar to Homo sapiens coagulation factor XIII, A1 polypeptide (F13A1), mRNA	83.24	732	0	17.861	Only detected in OSCC-LNM		↑
34	sp P02741	CRP	C-reactive protein	25.038	224	0	29.616	Only detected in OSCC-LNM		↑
35	sp P0DOX4	ENSG00000223931	Immunoglobulin epsilon heavy chain	60.322	547	0	35.141	Only detected in OSCC-LNM		↑
36	sp P10720	PF4V1	Platelet factor 4 variant	11.553	104	0	20.13	Only detected in HC		↓
37	tr Q965B0	IGKV3D-7	Anti-streptococcal/anti-myosin immunoglobulin lambda light-chain variable region (fragment)	11.594	108	0	35.763	Only detected in HC		↓

<sup>a</sup>Q value, the probability that the protein is a false hit.

<sup>b</sup>Score, the sum of the scores of the individual peptides.

identified as associated with OSCC-LNM when compared with HC (Table 1), and a total of 28 DEPs with 15 upregulated proteins and 13 downregulated proteins were identified as associated with OSCC-LNM when the results were compared with OSCC-NLNM (Table 2). The DEPs were identified to be associated with OSCC-NLNM compared with HC, which are shown in Supplementary Table S2. A protein score >10 was exhibited in all the DEPs. The relative abundance of these proteins is listed in Tables 1 and 2. The fragments of four DEPs including the b-ion and y-ion series are shown in Supplementary Fig. S2.

To identify the OSCC-LNM-related physiologic processes involving the exosomal proteins, all identified proteins were then clustered into GO categories and KEGG signaling pathway enrichment analysis by applying bioinformatics tools.

- (i) A total of nine significant enrichment results were obtained in GO classification analysis of DEPs in OSCC-LNM relative to HC (Fig. 2A1). When referring to biological processes, the proteins were mostly enriched in biological adhesive, cellular component organization, or biogenesis and cellular process. When referring to molecular functions and cellular component, the proteins were mostly enriched in the molecular carrier activity, structural molecule activity, transporter activity, macromolecular complex, and membrane-enclosed lumen. KEGG signaling pathway enrichment analysis revealed that most of the proteins were greatly responsible for graft-versus-host disease, platelet activation, and calcium signaling pathway (Fig. 2A2).
- (ii) A total of one significant enrichment result was obtained in GO classification analysis of DEPs in OSCC-LNM relative to OSCC-NLNM (Fig. 2B1). When referring to biological processes, the proteins were enriched the most in the response to stimulus. KEGG signaling pathway enrichment analysis revealed that most of the proteins were greatly responsible for GPI anchor biosynthesis, gap junction, protein export, 2-oxocarboxylic acid metabolism, arginine biosynthesis, and chemokine signaling pathway (Fig. 2B2).
- (iii) All 415 identified proteins went through the GO classification analysis. When referring to biological processes

(Fig. 2C1), most of the proteins (>100) were involved in biological regulation, response to stimulus, metabolic process, extracellular region, extracellular region part, and binding. KEGG signaling pathway enrichment analysis revealed that most of the proteins were greatly responsible for complement and coagulation cascades and human papillomavirus infection (Fig. 2C2). Figure 2D also shows the hierarchical clustering representation of DEPs identified (Fig. 2D1: OSCC with LNM vs. HC; Fig. 2D2: OSCC with LNM vs. OSCC with NLNM) and the common DEPs identified between the OSCC with LNM versus HC group and the OSCC with LNM versus OSCC with NLNM group (Fig. 2E).

#### Verification of DEPs

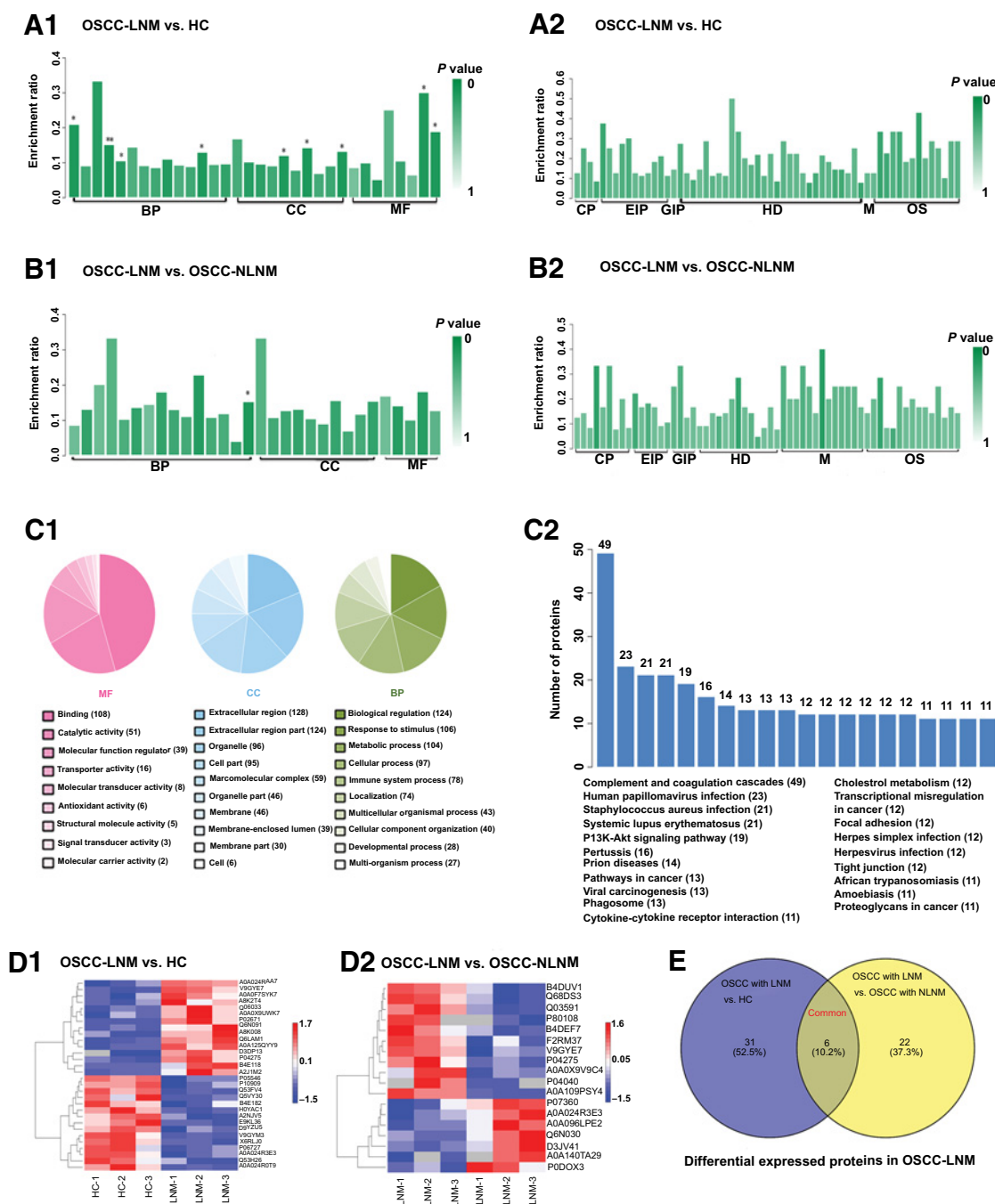
PF4V1, ApoA1, CXCL7, and F13A1 were chosen for validation according to the bioinformatics analysis, available commercially antibodies and ELISA kits, and some evidence from previous research. Proteins were selected for the next step of validation based on three results. First, PF4V1 and CXCL7 participated in cytokine-cytokine receptor interaction and chemokine signaling pathway, and CXCL7 participated in transcriptional misregulation in cancer according to the bioinformatics analysis; moreover, chemokines had multiple functions such as chemotaxis, angiogenesis, tumorigenesis, and so on (27). Some publications have shown that these two proteins have been linked to various cancer-related pathways, including the PI3K-Akt signaling pathway, that were associated with tumor proliferation. Second, the expression of F13A1 was only detected in OSCC with LNM, and has been found to be associated with the risk of oral cancer (28). Third, ApoA1, which has been found to be associated with the risk of squamous cell carcinoma (29, 30), participated in PPAR signaling pathway and cAMP signaling pathway according to the bioinformatics analysis. PF4V1 was derived from CXCL4 and was found to have similar functions as CXCL4, so our study chose CXCL4 as the negative control in the validations.

**ELISA, Western blot, and IHC validation.** Western blot results indicated that the expression profiles of these three proteins in

**Table 2.** Comparison of the DEPs identified in SEs of the OSCC-LNM group versus the OSCC-NLNM group

Number	Accession number	Abbreviation	Full name	Molecular weight (kDa)	Sequence length	Q value <sup>a</sup>	Score <sup>b</sup>	OSCC-LNM/OSCC-NLNM	P value	Expression
1	tr A0A109PSY4	IGKV3D-7	MS-A1 light-chain variable region (fragment)	11.376	106	0.000	49.696	3.874	0.0003564	↑
2	sp P04040	CAT	Catalase	59.755	527	0.000	20.916	2.503	0.0193373	↑
3	sp P04275	VWF	von Willebrand factor	309.26	2813	0.000	157.030	1.807	0.0184345	↑
4	tr V9GYE7	CFHR2	Complement factor H-related protein 2	28.912	254	0.000	24.488	1.762	0.0150898	↑
5	tr B4DEF7	HSPA5	cDNA FLJ60062, highly similar to 78 kDa glucose-regulated protein	30.419	278	0.000	29.640	1.702	0.0380467	↑
6	tr A0A0 × 9V9C4	ENSG00000223931	GCT-A8 heavy-chain variable region (fragment)	13.228	120	0.000	37.772	1.662	0.034641	↑
7	tr B4DUV1	FBLN1	Fibulin-1	70.154	641	0.000	153.190	1.648	0.0107605	↑
8	sp P80108	GPLD1	Phosphatidylinositol-glycan-specific phospholipase D	92.335	840	0.000	17.994	1.576	0.0105536	↑
9	tr F2RM37	F9	Coagulation factor IX	51.744	461	0.000	25.634	1.556	0.0244641	↑
10	sp Q03591	CFHR1	Complement factor H-related protein 1	37.65	330	0.000	117.800	1.552	0.0057128	↑
11	tr Q68DS3	CLEC3B	Uncharacterized protein DKFZp686H17246 (fragment)	14.708	133	0.000	71.101	1.517	0.0094758	↑
12	tr Q6N030	ENSG00000223931	Uncharacterized protein	57.019	518	0.000	323.310	0.595	0.0352034	↓
13	sp P07360	C8G	Complement component C8 gamma chain	22.277	202	0.000	121.680	0.587	0.0305837	↓
14	tr A0A024R3E3	APOA1	Apolipoprotein A-I, isoform CRA_a	30.777	267	0.000	323.310	0.539	0.0326735	↓
15	tr A0A096LPE2	SAA4	SAA2-SAA4 readthrough	23.353	208	0.000	33.364	0.519	0.0309882	↓
16	tr D3JV41	CXCL7	C-X-C motif chemokine (fragment)	13.708	126	0.000	156.490	0.340	0.0427637	↓
17	sp P0DOX3	ENSG00000223931	Immunoglobulin delta heavy chain	56.224	512	0.000	164.380	0.243	0.0377757	↓
18	tr A0A140TA29	C4B	Complement C4-B	187.66	1698	0.000	63.495	0.166	0.0162487	↓
19	sp A0A0C4DH39	ENSG00000223931	Immunoglobulin heavy variable 1-58	13.005	117	0.003	10.683		Only detected in OSCC-NLNM	↓
20	tr A0A0F7T6Q1	ENSG00000223931	IGHV1-2 protein (fragment)	11.911	105	0.000	78.857		Only detected in OSCC-NLNM	↓
21	sp P10720	PF4V1	Platelet factor 4 variant	11.553	104	0.000	20.130		Only detected in OSCC-NLNM	↓
22	tr Q5NV70	IGKV3D-7	VI-11 protein (fragment)	10.333	97	0.000	18.509		Only detected in OSCC-NLNM	↓
23	tr Q8TCF0	LBP	LBP protein	52.933	477	0.000	19.414		Only detected in OSCC-NLNM	↓
24	tr Q96SA9	IGKV3D-7	Human anti-streptococcal/anti-myosin immunoglobulin kappa light-chain variable region (fragment)	11.52	107	0.000	12.329		Only detected in OSCC-NLNM	↓
25	tr A0A0G2JRK6	IGKV3D-7	Uncharacterized protein (fragment)	12.748	117	0.003	11.523		Only detected in OSCC-LNM	↑
26	tr B2R6V9	F13A1	cDNA, FLJ93141, highly similar to Homo sapiens coagulation factor XIII, A1 polypeptide (F13A1), mRNA	83.24	732	0.000	17.861		Only detected in OSCC-LNM	↑
27	tr B2RA39	CFHR5	cDNA, FLJ94686, highly similar to Homo sapiens complement factor H-related 5 (CFHL5), mRNA	64.391	569	0.000	13.285		Only detected in OSCC-LNM	↑
28	tr Q0ZCH1	ENSG00000223931	Immunoglobulin heavy-chain variable region (fragment)	12.863	118	0.000	19.922		Only detected in OSCC-LNM	↑

<sup>a</sup>Q value, the probability that the protein is a false hit.<sup>b</sup>Score, the sum of the scores of the individual peptides.

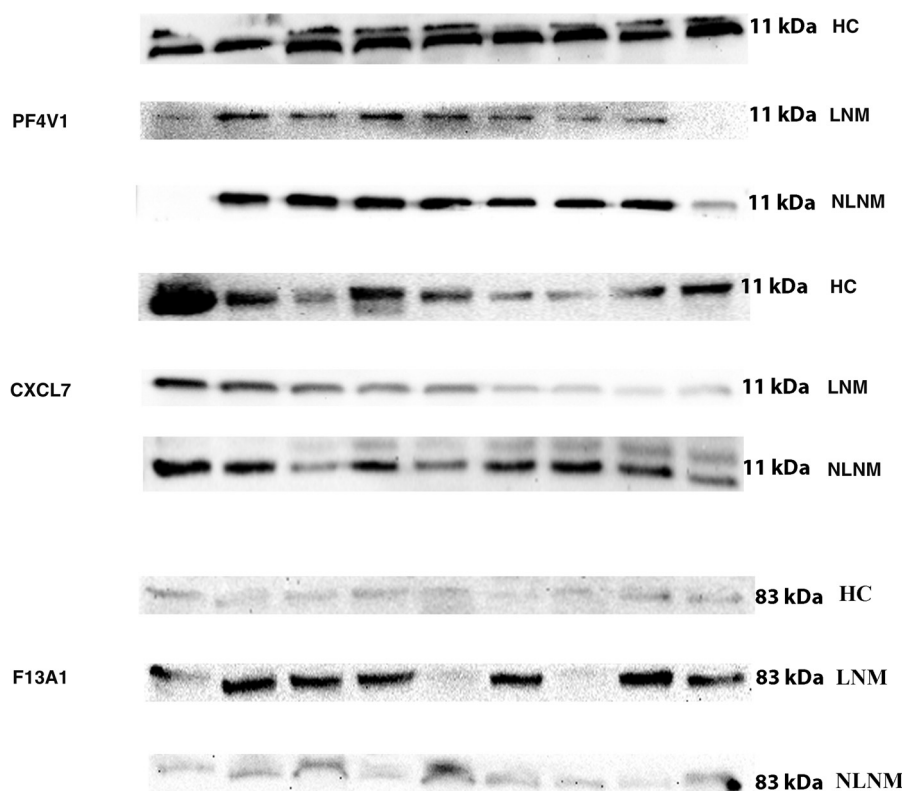


**Figure 2.** Bioinformatics analysis of DEPs. GO classification analysis (**A1**) and KEGG analysis (**A2**) of DEPs in OSCC-LNM relative to HC. GO classification analysis (**B1**) and KEGG analysis (**B2**) of DEPs in OSCC-LNM relative to OSCC-NLNM. GO classification analysis (**C1**) and KEGG analysis (**C2**) of all identified proteins. **D**, The hierarchical clustering representation of DEPs identified (**D1**, OSCC with LNM vs. HC; **D2**, OSCC with LNM vs. OSCC with NLNM). **E**, Common DEPs identified between OSCC with LNM vs. HC group and OSCC with LNM vs. OSCC with NLNM group. BP, biological processes; CC, cellular component; CP, cellular processes; EIP, environmental information processing; GIP, genetic information processing; M, metabolism; MF, molecular functions; OS, organismal systems.

SEs were consistent with the proteomics results (Fig. 3). Similar results were obtained except for the missing detection of F13A1 in all serum samples. ELISA results were as follows (Supplementary Fig. S3): The expression level of CXCL7 was significantly

reduced in serum or SEs from the OSCC-LNM group compared with OSCC-NLNM and HC groups ( $P < 0.05$ , Supplementary Fig. S3B1 and S3B2); the expression level of ApoA1 was significantly reduced in serum or SEs from OSCC-LNM and





**Figure 3.**

Western blot validation of MS/MS data. Quantification of the expression levels of PF4V1, CXCL7, and F13A1 from Western blotting. "HC," "LNM," and "NLNM" represent the SEs of individual HCs, OSCC with LNM patients, and OSCC with NLNM patients, respectively.

OSCC-NLNM groups compared with the HC group ( $P < 0.05$ , Supplementary Fig. S3C1 and S3C2); and the expression levels of CXCL4 were not statistically different among the three groups ( $P > 0.05$ , Supplementary Fig. S3A1 and S3A2). These results were consistent with the proteomics results. IHC was used to examine the expression location of the identified proteins in tissues. For PF4V1 (Supplementary Fig. S4A1–S4A3) and CXCL7 (Supplementary Fig. S4B1 and S4B2), higher expression levels were present only in highly differentiated cancer cells than that in poorly differentiated cancer cells in cancer tissues. Stronger expression of F13A1 was observed in cancer tissues (Supplementary Fig. S4C1) when compared with that in the corresponding paracancerous tissues (Supplementary Fig. S4C2). There was no statistical difference in the expression of these three proteins in the LNM group and NLNM group of cancer tissue.

#### RT-PCR validation.

(i) mRNA expression levels in tissues were analyzed (Supplementary Fig. S3). Our findings showed a statistically significant increase of *ApoA1* expression in cancer tissue compared with paracancerous tissue (Supplementary Fig. S3C3), whereas there was no statistical difference in the LNM group and NLNM group of cancer tissue (Supplementary Fig. S3C4). Furthermore, the expression levels of *PF4V1*, *CXCL4*, *CXCL7*, and *F13A1* were not statistically different between cancer tissue and paracancerous tissue (Supplementary Fig. S3A3, S3B3, S3D1, and S3D3), and the same results were obtained between the LNM group and NLNM group of cancer tissue (Supplementary Fig. S3A4, S3B4, S3D2, and S3D4).

- (ii) mRNA expression levels in serum were examined (Supplementary Fig. S5). Our finding showed a statistically significant increase for the expression of *ApoA1* in the OSCC with LNM group compared with the HC group, whereas there was no statistical difference in the OSCC with LNM group and OSCC with NLNM group in serum samples. Furthermore, the expressions of *PF4V1*, *CXCL4*, *CXCL7*, and *F13A1* were not statistically different among the LNM group, NLNM group, and HC group.
- (iii) mRNA expression levels from the whole blood were examined (Supplementary Fig. S5). Our finding showed a statistically significant decrease for the expression of *ApoA1* and *F13A1* in the OSCC with LNM group and OSCC with NLNM group when compared with the HC group, whereas there was no statistical difference in the LNM group and NLNM group. Furthermore, the expression levels of *CXCL4* and *CXCL7* were significantly decreased in the OSCC with LNM group compared with the HC group, whereas there was no statistical difference between those in the LNM group and NLNM group or between the NLNM group and HC group. *PF4V1* expression level was significantly decreased in the OSCC with LNM group compared with the HC and OSCC with NLNM groups, whereas no statistical differences were found between the OSCC with NLNM group and HC group.

#### Clinicopathologic findings of patients with OSCC

When in comparison with all the clinicopathologic parameters, *ApoA1* was significantly higher in OSCC patients with smoking and drinking than in patients without smoking and

drinking (Supplementary Fig. S6C5), but no significant change in HCs with smoking and drinking than in HCs without smoking and drinking; *PF4V1* was significantly higher in well-differentiated squamous cell carcinoma patients than in low-differentiated squamous cell carcinoma patients (Supplementary Fig. S6A4). *PF4V1* was significantly lower in OSCC-LNM patients with  $\geq 5$  positive nodes than in patients with  $< 5$  positive nodes and 2 to 4 positive nodes in tissue specimen; *F13A1* was significantly lower in OSCC-LNM patients with 1 to 2 positive nodes than in patients with 4 to 5 positive nodes in serum specimen (Supplementary Fig. S7). *ApoA1* and *PF4V1* had no statistical difference in other parameters. In terms of *CXCL4*, *CXCL7*, and *F13A1* expression, there was no statistically significant difference in any set of parameters (Supplementary Table S3). In terms of all validated DEP expression, there was no statistically significant difference among different age groups except for *F13A1* between  $\leq 50$  years and  $> 50$  years (Supplementary Table S4). Survival analysis provided by GEPIA showed that *ApoA1*, *CXCL7*, *PF4V1*, and *F13A1* had no connection with the overall survival of head and neck squamous cell carcinoma (Supplementary Fig. S8).

#### Combination biomarker models

Figure 4 shows the findings of various joint diagnoses, including the AUC, sensitivity, and specificity. Regarding *CXCL7*, the sensitivity and specificity of the combination of SE and whole blood specimen were higher than other combinations in differentiating OSCC with LNM from the OSCC with NLNM and HC groups (Fig. 4A); the sensitivity of the combination of serum, SE, and whole blood specimen and the specificity in serum were the highest in differentiating OSCC from the HC group (Fig. 4B). Regarding *ApoA1*, the sensitivity in whole blood specimen and the specificity in SE specimen were the highest; the sensitivity and specificity of the combination of serum, SE, and whole blood specimen were higher than other combinations and single specimens in differentiating OSCC from the HC group (Fig. 4D). Regarding whole blood specimen, the sensitivity and specificity of the combination of *PF4V1* and *ApoA1* were higher than other combinations and single biomarkers in differentiating OSCC with LNM from the OSCC with NLNM and HC groups (Fig. 4E). The sensitivity in whole blood specimen for single *CXCL7* and *ApoA1* and the specificity for single *PF4V1* and the combination of *PF4V1* and *ApoA1* were the highest in differentiating OSCC from the HC group (Fig. 4F). The sensitivity of *F13A1* in whole blood and the specificity of *ApoA1* were the highest in serum in differentiating OSCC with LNM from the OSCC with NLNM and HC groups. The sensitivity of *F13A1* in whole blood and the specificity of the combination of *F13A1* in whole blood and *ApoA1* in serum were the highest in differentiating OSCC from the HC groups (Fig. 4H).

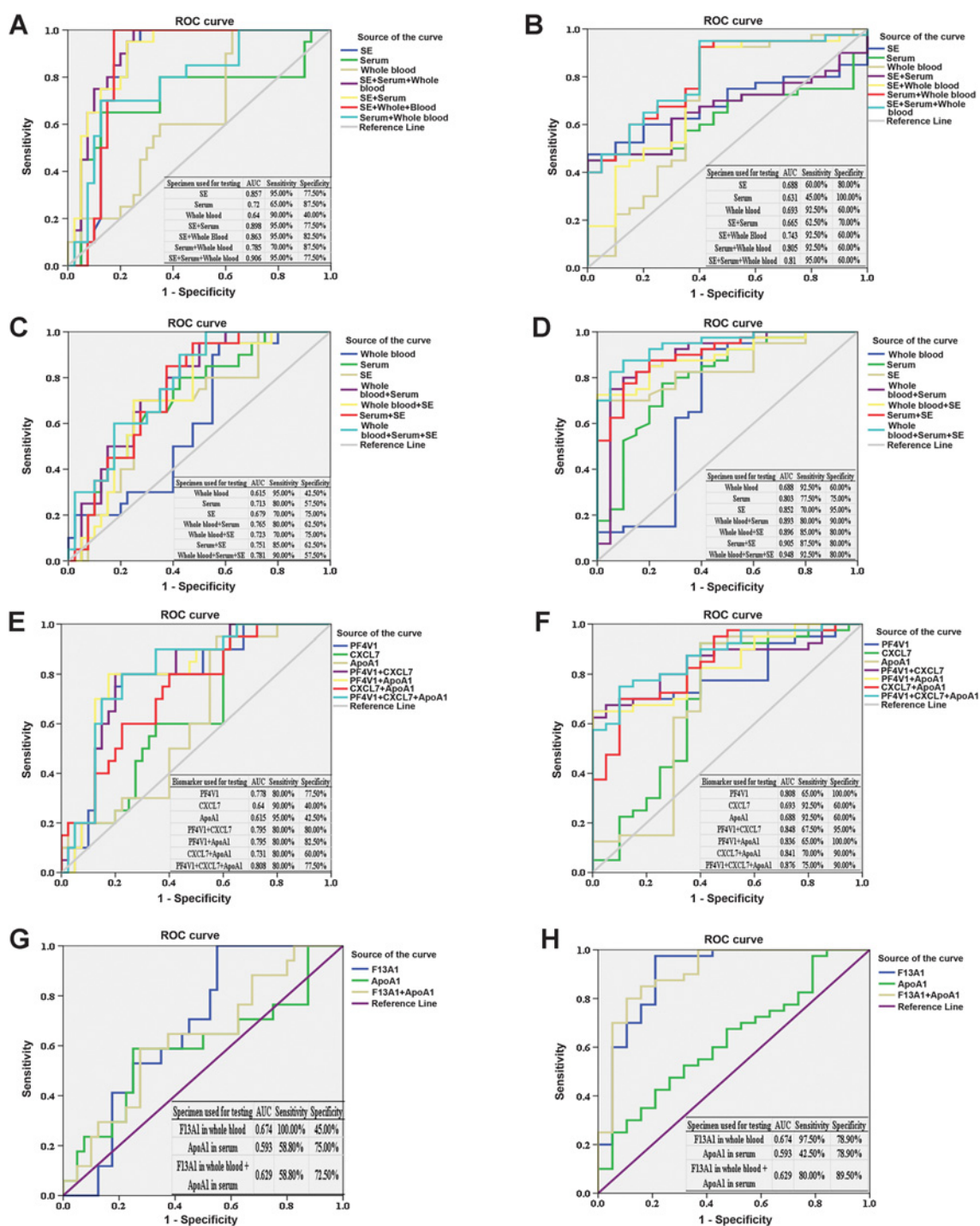
## Discussion

Previous research has examined exosomes isolated from OSCC cells (3, 31, 32); however, there was no study measuring the differences in protein expression levels in SEs from serum using proteomics, especially with samples from OSCC with LNM patients. In this study, differences in protein levels were identified in the SEs of patients with OSCC with LNM relative to OSCC with NLNM patients and HCs on the basis of MS

analysis, which identifies and quantifies the maximum protein levels precisely. There is a wide use for quantitative proteomics to examine the differences in global protein expression between HCs and disease samples to search for disease biomarker and target discovery (33). The label-free approaches used in our study were on the basis of one MS feature of abundance, for example, spectral and peptide count, chromatographic peak area, and height values (33, 34). Previous studies have found that label-free proteomics achieved statistically significant comprehensiveness and systematic analysis in DEP identification (35). The proteins identified in this study could be involved in different processes and functions that regulated the metastasis, occurrence, and progression of OSCC with LNM. It has been shown that SEs of colorectal cancer patients had a significant effect on accelerating the tumor invasion, which elucidated the pathophysiologic functions of tumor-derived exosomes and aided in the development of tumor diagnostics and therapeutics (36).

The exosomes secreted into the blood circulation of OSCC with LNM patients might establish the premetastatic niche by influencing tumor migration. This study revealed that several typical proteins involved in the establishment for the premetastatic niche of cancer had differential expression levels in the SEs of patients with OSCC with LNM.

Our findings show that two chemokine factors, *CXCL7* and *PF4V1*, had decreased or absent expression in OSCC with LNM group; besides, it turned out that there was a very strong correlation between *PF4V1* and the differentiation degree of OSCC and the number of positive nodes of OSCC-LNM. These two factors played a critical role in the homing of metastatic tumor cells. *PF4V1* has recently been considered as a naturally nonallelic gene variant and was derived from *CXCL4*, which was identified to have no relationship with OSCC with LNM in this study (37). Some research has shown that *PF4V1* could be cloned, and the purified recombinant protein was found to have a more active and stronger role in preventing the tumor metastases to the lymph nodes by inhibiting tumor lymphangiogenesis, cell migration, tumor growth, angiogenesis, and mobilizing bone marrow-derived cells relative to *CXCL4* (38, 39). Our study showed that the deletion of *PF4V1* was found in the OSCC with LNM group compared with the other two controls, implying that the antitumor effect of *PF4V1* was ineffective with a high lymph node metastatic rate. Therefore, in this study, it was speculated that the absence of SE *PF4V1* may induce the development of OSCC under the possible mechanisms as follows (38–41): (i) inducing the formation of prelymph node metastatic niche by hindering immune effector cells reaching the tumor site; (ii) promoting tumor angiogenesis; (iii) regulating the function and differentiation of immune effector cells; (iv) promoting tumor cell migration and invasion, and the remodeling of ECM and tumor vessel basement membrane. Furthermore, it was found that human *PF4V1* expression was induced in human osteosarcoma cells and detected in leiomyosarcoma, liposarcoma, and colorectal carcinoma tissue samples (42), whereas *PF4V1* had weak to negative expression in squamous cell carcinoma of the exophages (43). Biological effects of *PF4V1* on leukocytes included chemotaxis of dendritic cells and activated T-cell migration. *CXCR3* has recently been identified as the functional receptor for *PF4V1* in humans, which was shown to functionally participate in the angiostatic and chemotactic



**Figure 4.** ROC analysis of the newly developed biomarker panels. ROC curves of CXCL7 and ApoA1 expression levels in SE, serum, whole blood, and the combinations of the three specimens are shown for differentiating OSCC with LNM vs. OSCC with NLNM and HC group (A for CXCL7, C for ApoA1), and OSCC vs. HC groups (B for CXCL7, D for ApoA1). ROC curves of PF4V1, CXCL7, ApoA1, and the combinations of the three novel biomarkers are shown for differentiating OSCC with LNM vs. OSCC with NLNM and HC group (E), and OSCC vs. HC groups (F). ROC curves of F13A1 in whole blood, ApoA1 in serum, and the combinations are shown for differentiating OSCC with LNM vs. OSCC with NLNM and HC group (G), and OSCC vs. HC groups (H).

activities of PF4V1. Most interestingly, our findings also indicated that another chemokine, CXCL7, had a significantly decreased expression level in the OSCC with LNM group than that in the OSCC with NLNM group. CXCL7, also known as neutrophil-activating peptide-2, has previously been reported to be used for diagnosis of tumors (44–46). It has been found that the CXCL7 and CXCL4 genes were inextricably related (separated by 7 kb) and formed a complex that appeared in the process of megakaryocyte differentiation. It was an exciting finding that both CXCL7 and PF4V1 protein levels decreased in the OSCC with LNM group, which further corroborated that CXC chemokines were involved in promoting angiogenesis, occurrence, and metastasis of tumor by increasing the expression levels of lymph angiogenesis factors. Remarkably, there was no significant difference in CXCL7 protein expression between the NLNM group and HC group. Based on the analyses above, we could draw a conclusion that CXCL7 was a useful biomarker in differentiating the LNM group from the NLNM group but was not useful in identifying the OSCC group and HC group. Based on KEGG pathway and interaction network analysis, PF4V1 combined with CXCL7 also plays an important role in promoting tumor cell metastasis via the activation of cytokine–cytokine receptor interaction and the chemokine signaling pathway. Further evaluations of PF4V1 and CXCL7 structures and functions are necessary to point to a new direction for their roles in OSCC with LNM. A lot of studies have reported that exosomal-mediated cellular adhesion in tumor cell lines drives the adhesion process (36).

F13A1 was only detected in the OSCC with LNM group in our study, implying that F13A1 was relevant to lymphatic metastasis; moreover, F13A1 was significantly lower in OSCC-LNM patients with 1 to 2 positive nodes than in patients with 4 to 5 positive nodes. A previous study demonstrated that *F13A1* genotypes were identified to have a strong correlation with OSCC risk; furthermore, *F13A1* gene polymorphism led to the generation of a fibrous network with thinner fibers and smaller pores that contributed to the acceleration of the initial tumor cell proliferation (28). Beyond that, however, there are few reports on its relationship to tumors. We would explore the mechanism of F13A1 with OSCC as our next step.

ApoA1 constituted about 70% of high-density lipoprotein particles. Several reported investigations revealed the changes of the expression level of serum lipids and lipoprotein in cancer patients, and some of those changes were in connection with cancer progression. The reported serum level ApoA1 had significant changes in patients with bladder cancer (47), esophageal squamous cell carcinoma (30), cervical squamous cell carcinoma (29), and ovarian cancer (48). We observed that the ApoA1 level in SEs was significantly lower in OSCC with LNM patients than that in OSCC with NLNM patients and HCs, which was in agreement with previous reports. Low serum levels of pretreatment ApoA1 in nasopharyngeal carcinoma patients were associated with an increased risk of distant metastasis. A recent study revealed that ApoA1 had an important role in tumor invasion and metastasis in colonic adenocarcinoma (49). Our finding implies that low levels of ApoA1 could indirectly promote tumor lymph node metastasis in OSCC patients. Intriguingly, ApoA1 was significantly higher in OSCC patients with smoking and drinking than in patients

without smoking and drinking in comparison with all the clinicopathologic parameters. Moreover, the expression of ApoA1 had no significant change in HC with smoking and drinking than in HC without smoking and drinking in this study. Therefore, ApoA1 may be one of the potential biomarkers for OSCC in SEs and serum samples, especially for the smokers and alcohol drinkers.

Those results verified by RT-PCR and IHC, however, did not correspond to the serum and SE verification. Moreover, survival analysis provided by GEPIA showed that *ApoA1*, *CXCL7*, *PF4V1*, and *F13A1* had no connection with the overall survival of head and neck squamous cell carcinoma. Because of common clinical misdiagnosis, the proposed joint applications of biomarkers were recommended in order to improve the diagnostic accuracy of OSCC-LNM as required. A panel of proteins relevant to OSCC with LNM was observed in this study, and the results of the ROC analysis indicated that the combinations of the new panels were obviously superior to single biomarker for the diagnosis of OSCC with LNM.

In conclusion, our study showed that ApoA1, CXCL7, PF4V1, and F13A1 have certain potential application values as novel predictive circulating biomarkers for OSCC with LNM but are not useful in prognosis. Moreover, the combined applications of these biomarkers are recommended in order to reduce the clinical misdiagnosis of OSCC-LNM. The limitation to mention was that a relatively small sample size existed in the validation phase. Well-designed and large-sample studies are needed.

#### Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

#### Authors' Contributions

**Conception and design:** C. Li, Y. Zhou, X. Su, H. Qin, S. Huang, X. Huang, N. Zhou

**Development of methodology:** Y. Zhou, X. Su

**Acquisition of data (provided animals, acquired and managed patients, provided facilities, etc.):** J. Liu, X. Su, H. Qin

**Analysis and interpretation of data (e.g., statistical analysis, biostatistics, computational analysis):** C. Li, Y. Zhou, J. Liu, S. Huang

**Writing, review, and/or revision of the manuscript:** C. Li, X. Huang, N. Zhou

**Administrative, technical, or material support (i.e., reporting or organizing data, constructing databases):** X. Huang

**Study supervision:** C. Li

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