Technological viewpoints (frames) about electronic prescribing in physician practices

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ABSTRACT
Objective Physician practices may adopt and use electronic prescribing (eRx) in response to mandates, incentives, and perceived value of the technology. Yet, for the most part, diffusion has been limited and geographically confined, and even when adopted, use of eRx in many practices has been low. One explanation for this phenomenon is that decision-makers in the practices possess different technological viewpoints (frames) related to eRx and these frames have formed the basis for the adoption decision, expectations about the technology, and patterns of use. In this study eRx technological frames were examined.

Design Focus groups, direct observation, and semi-structured interviews were conducted with physicians, practice managers, nurses, and other medical staff.

Measurements Focus groups were observed, taped, transcribed, and analyzed to reveal themes. These themes guided the observational visits and subsequent interviews. A triangulation process was used to confirm the findings.

Results Seven frames emerged from the qualitative analysis ranging from positive to neutral to negative: (1) eRx as an efficiency and effectiveness enhancing tool; (2) eRx as the harbinger of new practices; (3) eRx as core to the clinical workflow; (4) eRx as an administrative tool; (5) eRx as the artifact; (6) eRx as a necessary evil; and (7) eRx as an unwelcome disruption.

Conclusion Frames provide a unique perspective within which to explore the adoption and use of eRx and may explain why perceptions of value vary greatly. Some frames facilitate effective use of eRx while others impose barriers. Electronic prescribing can be viewed as a transitional technology on the path to greater digitization at the physician practice level. Understanding the impact of technological frames on the effectiveness of eRx use may provide lessons for the implementation of future health information technology innovations.

INTRODUCTION
Health information technology (HIT) potentially addresses quality and cost concerns plaguing the US healthcare system.12 Proponents argue that greater adoption of HIT—Electronic Medical Record (EMR), CPOE (Computerized Physician Order Entry), and related systems—will improve efficiency and safety. Yet, uptake has been slow and effective use rates disappointing. Possibly, care providers do not adopt or effectively use HIT because they simply perceive little value to be gained.34

We draw on the concept of ‘technological frames’ to understand the adoption decision and user perceptions of electronic prescribing (eRx). Technological frames are the cognitive structures through which technology users make sense of the nature and role of technology, its use, and the consequences of use.56 These perceptions help decision-makers understand what an innovation can do for them7 and can either create barriers to adoption or facilitate effective use. Because frames exist at the level of the individual (but may also be shared across the organization) and are formed on the basis of prior experience and knowledge, specific biases are likely to carry over from one innovation to the next.8 Thus, not only do frames provide individuals with a means of interpreting and adapting to technology, they define users’ expectations and bring preconceived notions and potentially unwarranted positive/negative feelings to the adoption and use process.9–11 Using frames allows us to identify contexts where the implementation and effective use of the technology is likely to be more challenging.

We use the term adoption for the acquisition and implementation of eRx. We define effective use as utilization of eRx in a way that (1) fundamentally displaces a manual or paper-based process, (2) was intended by its designers, and (3) provides the greatest likelihood of delivering on anticipated value objectives.1 We note that practices adopt eRx for different reasons, ranging from a desire to improve efficiencies to a response to external mandate. Thus the expectations of users and what benefits they perceive are likely to vary (figure 1).

Although recent mandates are accelerating adoption of eRx, most research puts ambulatory care practitioner adoption at less than 10%.12 Results have been mixed,1314 but some studies suggest eRx can address non-clinical inefficiencies, reduce cost through formulary decision-support,15 and improve clinical effectiveness by reducing adverse drug events.16 This variability may in part be due to the technological frames related to eRx and the value proposition assigned to it by the users.

Finally, while several definitions of eRx exist,1718 an explicit conceptualization is not universally accepted.19 In light of this ambiguity, we sought to understand how these definitions are internalized.
Facilitate effective use of technology and which frames pose obstacles. Thus, our research questions are: (1) What technological frames do individuals have about eRx? (2) How do individuals’ frames about eRx influence the manner in which the technology is used? (3) Which frames facilitate effective use of technology and which frames pose obstacles?

**METHODOLOGY**

**Study design**

We designed and executed a three-stage qualitative study with the intent of obtaining a more grounded understanding of eRx. In the first stage, we conducted four focus groups with members of physician practices: two with groups of physicians and two with groups of office managers (OM) and staff to examine their attitudes towards the technology and patterns of use. By the end of the third session, we were acquiring very little ‘new’ information and appeared to have reached theoretical saturation insofar as novel insights were concerned. Nonetheless, we completed the final session to confirm that we had indeed reached saturation.

The second stage consisted of detailed interviews with adopters and non-adopters of eRx. In this portion of the study, our goal was to delve more deeply into the beliefs and behaviors of the subjects as a means of informing the final stage of the study in which we performed day-long observations at two urban practices, shadowing the clinicians and staff as they interacted with patients, prescribed medications, responded to refill requests, and managed charts (see online appendix OA1 for more details on data collection). The project was approved by institutional review boards at the University of Maryland and Brigham and Women’s Hospital.

**Analytical approach**

To understand variation in the conceptualization of eRx, that is, the frames held by individual users, we used qualitative methodologies to analyze focus group and interview transcripts and researchers’ notes from the field visits (see table 1). In qualitative research, to reach an appropriate degree of internal validity, multiple sources of data should be used, such as focus groups, direct observation, and interviews. We applied a triangulation methodology where data obtained from one collection method were cross-validated with data from the other two methods to identify the full range of conceptualizations that existed. We began this process with two of the co-authors and two PhD-trained research assistants experienced with qualitative methods independently reviewing the transcripts of the focus groups. The goal was to immerse oneself in the data and search for patterns or surprising inconsistencies between people/groups while also identifying keywords, topics, and/or themes.

After receiving input from each of the researchers and following discussions with the other co-authors, the research assistants combined all of the newly generated information and created a table that included the themes and representative quotes. Using this table as a reference, the second stage of data collection began in which we interviewed adopters and non-adopters. The initial themes provided a basis for the interviews and site visits; however, we did not specifically direct the conversations toward any of the aforementioned topics or themes. We chose not to formally code our data because we did not want to hold too firmly to our initial classifications. As several qualitative researchers have noted, coding schemes provide a ‘powerful conceptual grid’ that is difficult to escape and deflects attention away from uncategorized

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**Table 1** Stage of research and source and description of data

<table>
<thead>
<tr>
<th>Description</th>
<th>1: Focus groups</th>
<th>2: Interviews</th>
<th>3: Site observations</th>
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<tbody>
<tr>
<td>Number and type of participants</td>
<td>13 MDs, 1 Nurse practitioner</td>
<td>2 OM, 1 MD</td>
<td>Interviewed/shadowed: 6 MDs, 1 OM</td>
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<tr>
<td>Number and type of practices represented</td>
<td>14 Total, 7 Pediatrics, 1 Family practice, 1 Endocrinology, 1 Rheumatology</td>
<td>7 Total, 1 Pediatrics, 1 Family practice, 1 Rheumatology</td>
<td>Interviewed/shadowed: 3 Residents, 4 Nurses, 1 OM</td>
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<tr>
<td>Descriptive data and notes</td>
<td>The practice sizes varied from 1 to 79 doctors (mean=67, median=2; mode=1; standard deviation=16.9). The population of the cities represented in our sample of practices ranged from 2635 to 67097 (mean=31372; median=28748; standard deviation=19805)</td>
<td>The pediatric practice was part of a physician-hospital organization (PHO) consisting of three offices</td>
<td>We collected unstructured observational notes and created workflow diagrams</td>
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activities. Rather than compiling all of the data from the three sources (focus groups, interviews, site visits) and analyzing it in its entirety after all steps were complete, our approach followed an analytical induction process in which we developed some broad hypotheses prior to beginning the study that were based on assumptions, prior knowledge, and literature. Following several iterations between the researchers and assistants we revised our hypotheses—which eventually became the frames—as more data were collected and emerging interpretations were formulated. The two co-authors who were not deeply involved with the data analysis then performed additional credibility checks through a process of peer debriefing on the frames extracted during the analysis.

RESULTS
While conducting the focus group sessions, it became evident that participants' perceptions differed regarding the value of eRx and the concerns they had about it. While many noted the benefits, some perceived the technology to be of limited value and believed that it detracted from, rather than added to, the practice of medicine. Following several iterations between the researchers and assistant, we refined the themes and ultimately seven distinct frames about eRx emerged, ranging from positive to neutral to negative (see figure OA1).

We define positive frames as those that create an environment conducive to the effective use of eRx, and negative frames as those that impede effective use of the technology. The three neutral frames represent a continuum of how effectively the technology is being incorporated, from better (core to the workflow) to intermediate (administrative tool) to not so effective (the artifact). Although the frames are not mutually exclusive, we found evidence to suggest that each has unique distinguishing characteristics. In addition, it was not uncommon to find mixed frames within a single practice. In some cases, staff members held very positive frames while the clinicians had negative or neutral frames. In others, the reverse was true.

In the next section we describe each of the frames observed within our study. We have taken great care to validate our findings as genuinely based on a critical investigation of all data and not on a few select data points.

Positive frames
eRx as an efficiency- and effectiveness-enhancing tool
Efficiency is frequently one of the first effects to be noted when IT is used in place of previously non-automated processes. In our observations, eRx was often noted to significantly influence the productivity of the practice in a positive way. Office personnel were discovering valuable new ways to use it and thinking ahead to what features they would like to see in the future. Several people commented that eRx improved both quality and safety (see box 1 for direct quotes from subjects).

eRx as the harbinger of new practices
In this frame, people viewed eRx as one component toward the important step of 'connecting everything together'. The term 'transition' was mentioned several times in the context that people viewed eRx as a somewhat simple first step towards adopting more advanced information technologies such as EMRs. They observed that this was going to be the way medical practice would operate in the future. In contexts where such frames exist, the uptake of eRx was significant and there appeared to be few barriers to use. As with the first frame, this frame created an environment where eRx implementation was generally viewed as desirable.

Neutral frames
eRx as core to the clinical workflow
It has been argued that IT is an agent of change, particularly in professional jobs. One significant frame that emerged from our analysis suggested that eRx triggered changes in workflow. This pattern is reflected in extensive use of the technology, seamlessly integrated into the practice workflow. Our analysis suggests that the frame is driven by key decision-makers such as physician-owners or practice managers, and these opinion leaders encourage other doctors and staff to adopt a similar frame (see box 2).

Actions that emerged as a result of this frame included carrying laptops and personal digital assistants (PDAs) everywhere within the practice: clinicians and staff folded the laptops or left them open and walked with them cradled in the crook of their arm. In several cases, we observed the doctor greeting the patient, then sitting down with the computer at a desk near the patient. While some doctors had the computer opened or immediately opened it on arrival in the room, most did not open it immediately but instead engaged in conversation. After information was received, the doctor used the laptop to enter pertinent information in the chart. After information was
documented, in most cases, the doctor engaged in a brief physical exam. While discussing prescriptions with the patient, the doctor altered the script in the exam room with the patient involved in the conversation. The doctor then confirmed the location of the pharmacy of choice and sent the script. Other examples reinforcing the ‘core workflow’ frame were queuing up refill and renewal notices by the medical staff, allowing the treating physician to approve the requests electronically in batches. One medical assistant informally responded “I don’t even think about it...I’m really not sure how this office would run without it”; it is important to note that integration into the workflow does not necessarily translate into improvement in processes. In fact, some suggested a somewhat ambivalent view of the changes in workflow. One doctor pulled a paper prescription pad from his coat and stated, “it’s still a lot easier and faster to just pull this out and write it up”, yet he was quick to point out the advantages of eRx. While this theme could be regarded to be positive in nature, there were some instances when it was neutral and even negative.

eRx as an administrative tool
Embedded within this frame is the conceptualization of eRx being used primarily by the office staff; physicians are either reluctant to learn or do not believe that it is the best use of their time. As opposed to the example noted above wherein the pharmacy electronically sends renewals and refills to the doctor’s office, in this case the medical assistants will consolidate all prescriptions and put them in the doctor’s queue, allowing her/him to conduct batched approvals. Interestingly, we noted that both staff and clinicians conceptualized eRx using this frame (see box 3 and OA2.1).

eRx: the artifact
We found that viewing eRx purely as a device or tool generated specific feelings and influenced use. Further, the form-factor itself elicited strong feelings, with some envisioning eRx as a PDA-based device, while others viewed it as a PC-based software application. The frames varied with respect to individual conceptualizations of the artifact (see box 4).

An interesting dynamic we observed among the individuals participating in the OM focus groups was that they typically mimed an interaction with a keyboard and/or mouse when they pretended their use would be concomitant with use of the device. Often we heard phrases like, “we just click, click, click and it...indicates with her hand a screen size about four inches square” and the doctors were like...we’re out of here. They wanted no part of it! [laughing from the group]...But since it has evolved to the [laptops/tablet PCs], we’re happy about that so that’s what (we) do.” (OM Focus Group A)

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<th>Box 3 Administrative tool</th>
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| “...out of the 12 doctors, there’s probably 5 of them that won’t do any [e]prescribing. Won’t even send any prescriptions to the printer. Especially if it’s a controlled substance or if the patient is doing mailaway.”
| Question from moderator: “So the doctors who were not using it. They’re not even using it in a chauffeured manner in the sense that they’re telling you or somebody else to go enter it?”
| “…they can tell nursing to enter it. But for the most part, they do not. And the medical secretaries don’t have access to it. They would probably be more inclined to tell the medical secretaries to do it and less inclined to tell nursing to do it.” (OM Focus Group A) |

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<th>Box 4 The artifact</th>
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<td>“the instrumentation that we used...was like ‘this big’ &lt;indicating with her hand a screen size about four inches square&gt; and the doctors were like...we’re out of here. They wanted no part of it!” (OM Focus Group A)</td>
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<th>Negative frames</th>
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<td>eRx as a necessary evil</td>
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<td>The public discourse on HIT in general and eRx in particular has been surrounded by much talk about mandates and incentives to promote use. Thus, it is not surprising that both adopters and non-adopters viewed digitization of healthcare as inevitable. In the case of adopters of eRx, people engaged with the technology because of familiarity, mandate, or the desire to receive an incentive for something that they viewed as inevitable. Potentially users who had not begun to use eRx experienced significant pressure to adopt, but were often resistant. Illustrative comments included: “We have to do it, but we don’t have to like it” (Clinician Interview A); and “This is the way it is all going...whether we like it or not” (Clinician Focus Group A). Overall, users with this frame expressed the sentiment of apathy towards the technology. They may adopt it because they have limited choice in the decision, but their use of it is limited. An office manager described this apathy: “[the eRx package] is up on our computers all day long. But we still use sticky notes and write notes on the chart. No one can believe it.”</td>
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<th>eRx as an unwelcome disruption</th>
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| Health information technology applications have been classified as disruptive innovations.[35] The adjective ‘disruptive’ is used in prior work to describe technological innovations in two ways. From one perspective, disruptive innovations are typically }
Box 5 Unwelcome disruption

“The problem with all of this (digitization) is that it is so impersonal. It takes all the joy out of practicing medicine. I want to build a relationship with the patient. It isn’t all about the medication; they want to share their pain, anxiety, family issues…we can’t change the tide…we can’t do anything about this…[the move to digital].” (Clinician Interview A)

“Nothing is free” …in response to a query asking whether he would use eRx if it were free. “If it is free, then that means it doesn’t work well—otherwise why would they give it away. Plus, later they would make me pay for it.” (Clinician Interview B)

inferior to the practice or process they replace in the short term, they introduce new performance dimensions to an industry, and their benefits are not immediately evident to the user population. A second perspective asserts that technologies are disruptive as a result of the upheaval they create in established work patterns and routines. Our analysis revealed both views of a disruptive technology as prevalent among users: some clinicians and OMs believe that eRx use impedes their work, and they see limited or no value in it (see OA2.1). Finally, we encountered an interesting subset of clinicians who had very strong feelings about eRx. We term these clinicians, ‘near-retirement doctors’ who have grandfathered themselves out in that they will retire at the point when eRx becomes fully mandated (see box 6).

Table 2 summarizes the technological frames described above, the expectations associated with each, issues that should be considered, and representative quotes from the observations.

DISCUSSION

Technological frames reflect how people cognitively construe a technology. These frames can be positive, negative, or neutral, and often materialize at multiple levels within and across individuals. The frames we identified provide a mechanism for segmenting the population of users to better address the specific barriers they may experience relative to adoption and effective use of eRx and of future HIT innovations. We first underscore the point that different frames can exist in the same practice at any point in time. When all users within a practice hold similar frames, frame congruence is said to exist. In such settings, the behavior of the practice toward the target innovation will be driven by this dominant frame. In situations where there is variability in the frames users hold, the cognitions of the key decision-maker (eg, the physician-owner) may drive initial adoption and use behavior. However, it is important to note that even if the key decision-maker holds a positive frame, if other users find the technology to be of little value and/or disruptive, overall effective use will be challenging.

Users who hold a strongly positive frame about eRx expect, and in many instances, experience the productivity enhancements and quality improvements that arise as a result of using eRx. In general, their positive attitude toward and experience with the technology facilitates implementation and effective use. Individuals who hold this frame are willing to spend the time and effort required to learn to use the technology productively. Our data suggest that early use of eRx may help build the confidence and self-efficacy that others have noted as being important for the adoption of any type of advanced technology that requires changes in workflow and common practice. Holders of neutral frames perceive eRx to be a tool that is useful for facilitating clinical and staff work in the practice, but not necessarily contributing in major ways to improving the quality of healthcare. This stands in distinction to the positive frames, for which holders perceive a potential for eRx to improve efficiency (frame 1) or at least see eRx as a central component in the prescribing process (frame 2). As a consequence, motivating clinicians to adopt and engage in effective use of eRx in such settings may prove to be challenging. Yet, the frames that users of the technology hold will evolve over time with technological developments and greater experience with use. For example, with the advent of higher resolution screens, very small devices are now able to transmit images that are much sharper and more readable. We also discovered frames that view eRx as a necessary evil and an unwelcome disruption. When physicians hold either of these frames, they are resistant to the use of eRx and do not see any value in the technology. It may be the case that these users hold negative views because they see eRx as a transitional technology on the way to even more disruptive technologies that they envision will cause undesirable changes to their work practices. As can be expected, because ‘use’ here is not driven by perceptions of benefits but rather as something that is non-voluntary, this frame engenders the type of use that does not fully exploit all the capabilities of eRx. In such settings, effective use is unlikely to occur because users do not perceive the technology as delivering any value.

Prior research suggests that in group settings, divergent technological frames may result in conflict and various appropriations of the technology that may negatively impact performance. Two forms of dissent were present within practices—people who resisted in active ways and those who resisted in passive ways. Active resisters not only refused to adopt eRx but they also tried to convince others of the negative consequences of adoption. Passive dissenters took less vocal stances with respect to their actions and feelings related to eRx. As an illustration, some simply opted-out of use by delegating responsibility to subordinates. Others complained about processes that were clearly better than the paper-based alternative but not yet optimal in their minds. In these types of settings, it might be beneficial to reorganize groups or offer more extensive training if users’ frames are highly disparate. It is important for those who implement technology to realize that once frames are institutionalized, they tend to produce organizational inertia and resistance to change.

Box 6 Unwelcome disruption: Near-retirement

“I think it is very hard to get the old-school doctors to jump on-board. The doctor I worked for before this pediatrician was 85 before he retired. And there is no way, ever, that you’re going to get him to e-prescribe, or medical records, or anything. And I think he retired when he should have because that’s the way: you write a prescription, you hand it to the patient, that is it.” (OM Focus Group A)

“…there’s really a lot of people in that 5-year plan [referring to his belief that eRx will be mandated within five years]… and there is a lot of people who will not switch over… the truth is, they’re biding their time to continue the practice until they retire.” (Clinician Focus Group A)
A further interesting discovery was what we have termed ‘misuse’ of eRx. Elements of this phenomenon appeared in multiple frames. For example, a few doctors (and nurses and OMs' perceptions of their doctors) suggested that eRx could ‘encourage’ unethical and sometimes unlawful behavior. Financial incentives for use were discussed in a number of different contexts. We also encountered a few situations in which nurses, medical assistants, and other staff were given authorization to ‘sign-in’ as the clinician in order to send or approve a prescription. Within the focus groups, these comments were not frequent and were often met with objections from other participants such as, ‘We do not allow that in our practice!’ To the extent that a given individual’s frame includes substantial misuse of eRx, their adoption and use of the technology is likely to be less effective than ideal.

The e-HI CIMM report isolates specific benefits of eRx as improved medication safety, enhanced practice efficiency, cost savings, more effective medication management, increased patient adherence, and improved integrity of the prescribing process. It is striking that our study showed no evidence of a majority of these benefits as either anticipated or currently being experienced. Although respondents spoke about medication safety and efficiency, the perception of cost savings did not surface. Further, we did not hear any discussion about the effect of eRx on patient adherence. There was some conversation related to its effects on the overall integrity of the prescription process. For example, several noted increased legibility and a very small number alluded to the potential of eRx to reduce tampering. This again suggests that there is a gap between the benefit perceptions of those responsible for diffusing the technology and those responsible for actually using it.

Our study is subject to certain limitations inherent to qualitative research, but we have considered the four areas of rigor or trustworthiness (generalizability, credibility, confirmability, and dependability) for qualitative studies to ensure that we have minimized these limitations. Additional details are provided in the online appendix (OA3). Analytical induction is less constraining for researchers than a coding scheme, but it can potentially lead to a priori interpretations that are not entirely malleable. We also recognize that additional validation of our frames is necessary.

**CONCLUSION**

Our investigation shows that technological frames provide a basis for understanding why some practices adopt eRx rapidly while others continue to resist or delay adoption. When decision makers have no frame on which to base adoption and use decisions (eg, they have little knowledge of the technology and no experience with its use), they will delay or defer the adoption decision until they are able to acquire knowledge about the system. When forced to adopt a technology by external mandate, users may actively resist the technology, misuse it, or otherwise not utilize it in the manner intended by its designers. Thus, the gains realized from technology use are likely to be minimal.

Creating an organizational culture that is positively framed may be a precursor to meaningful use. This frame could be accomplished through strong and frequent messaging about the value of eRx that impacts users in a more visceral way than an operational benefit such as improvements in workflow. One suggestion is to post messages (posters, emails, notepads, etc) about the social welfare aspect of using eRx or identify situations in which safety could have been compromised had it not been for eRx. Thus, practice managers must pay attention to insuring that the benefits and relative advantage of eRx over non-automated prescribing are clearly, consistently, and unambiguously communicated and that the possible limitations are acknowledged in a way that reassures prescribers. In addition, technology vendors must continue to seek guidance from users through all phases of development and implementation in order to fully incorporate best practices in workflow, organizational culture, and usability within the IT design to engender positive frames.

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**Competing interests** None.

**Ethics approval** The project was approved by institutional review boards at the University of Maryland and Brigham and Women’s Hospital.
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