Polyhydroxyalkanoate (PHA) production from sludge and municipal wastewater treatment


ABSTRACT

Polyhydroxyalkanoates (PHAs) are biodegradable polyesters with comparable properties to some petroleum-based polyolefins. PHA production can be achieved in open, mixed microbial cultures and thereby coupled to wastewater and solid residual treatment. In this context, waste organic matter is utilised as a carbon source in activated sludge biological treatment for biopolymer synthesis. Within the EU project Routes, the feasibility of PHA production has been evaluated in processes for sludge treatment and volatile fatty acid (VFA) production and municipal wastewater treatment. This PHA production process is being investigated in four units: (i) wastewater treatment with enrichment and production of a functional biomass sustaining PHA storage capacity, (ii) acidogenic fermentation of sludge for VFA production, (iii) PHA accumulation from VFA-rich streams, and (iv) PHA recovery and characterisation. Laboratory- and pilot-scale studies demonstrated the feasibility of municipal wastewater and solid waste treatment alongside production of PHA-rich biomass. The PHA storage capacity of biomass selected under feast–famine with municipal wastewater has been increased up to 34% (g PHA g VSS$^{-1}$) in batch accumulations with acetate during 20 h. VFAs obtained from waste activated sludge fermentation were found to be a suitable feedstock for PHA production.

Key words | municipal wastewater treatment, pilot, polyhydroxyalkanoates (PHAs), volatile fatty acids (VFAs)

INTRODUCTION

A shift in mindset for the management of wastes is underway. Wastewater treatment plants long considered as end-of-pipe processes are increasingly considered within biorefinery frameworks in efforts to move towards a bio-based society (De Vegt et al. 2012). In a wastewater biorefinery, wastewater organics and inorganics represent raw resources from which renewable products (energy, minerals and green chemicals) can be obtained as part of waste management. Polyhydroxyalkanoates (PHAs) are completely biodegradable polymers that can be produced in open, mixed microbial cultures as part of biological treatment of municipal and industrial wastes and residuals (Serafim et al. 2008). Hence, PHAs are potential by-products from wastewater bio-refining (Dias et al. 2006), whose thermoplastic properties and flexible applicability make them key ingredients to biodegradable plastics (Philip et al. 2007).

PHA production involving microbial cultures treating wastewater addresses strategies to decrease production costs of conventional pure-culture PHA processes. PHA production further valorises wastewater treatment by recycling and channelling carbon towards products and reducing sludge production. PHA production with wastewater treatment implies selectively growing/enriching PHA-storing bacteria in activated sludge based on engineered environments exerting dynamic conditions with respect to substrate feeding (i.e., alternating conditions of carbon availability and unavailability) (Dionisi et al. 2004) or electron acceptor availability (aerobic vs anaerobic) (Satoh et al. 1998; Bengtsson et al. 2008a). Substrate aerobic dynamic feeding (ADF) or feast–famine has been the commonly applied enrichment approach (Dias et al. 2006). The main substrates used for the selective growth of PHA-storing
organisms and PHA production have been volatile fatty acids (VFAs) since VFAs are efficiently converted into PHAs, whereas carbohydrates are preferably stored as polysaccharides (Dircks et al. 2001). Until now, PHA production together with wastewater treatment has been preferentially investigated in a three-stage process (Serafim et al. 2008): (i) wastewater acidogenic fermentation for the production of a VFA-rich stream, (ii) ADF enrichment of PHA-storing organisms based on a VFA-rich stream, and (iii) PHA accumulation using the same VFA-rich stream as for enrichment, and a final stage for PHA recovery and purification. PHA production from different industrial and solids streams has been demonstrated under such conditions (e.g., Dionisi et al. 2005; Coats et al. 2007; Bengtsson et al. 2008b). Although readily biodegradable carbohydrates combined with VFAs have also been shown to support PHA production in open systems (Voltolini 2009), limited knowledge exists on the applicability of broad-composition feedstocks, such as municipal wastewater, in PHA production (Takabatake et al. 2002; Bengtsson et al. 2012).

As part of the European Union project Routes (Braguiglia et al. 2012), the technical feasibility of PHA production integrated to municipal waste management is being evaluated. Selective growth of PHA-storing organisms is being considered based not on VFAs from a VFA-rich stream but on the readily biodegradable chemical oxygen demand (COD) of municipal wastewater, a strength-variable and sometimes VFA-poor stream. For PHA production, a VFA-rich stream is sourced from the acidogenic fermentation of primary sludge. In the present work, the feasibility of producing a functional biomass with PHA-storing ability is demonstrated at laboratory- and pilot-scales while providing some level of municipal wastewater treatment. Furthermore, PHA production from VFAs obtained from waste activated sludge (WAS) acidogenic fermentation is benchmarked with biomass with PHA storage capacity. The piloting system reported herein constitutes, to the best knowledge of the authors, the first pilot-scale system treating municipal wastewater and producing biomass with enhanced PHA storage capacity.

**METHODS**

PHA production together with municipal wastewater and sludge treatment is investigated as a four-stage process: (i) removal of readily biodegradable carbon from wastewater coupled to the selective growth of biomass with PHA storage capacity, (ii) sludge acidogenic fermentation for the production of a VFA-rich liquid stream, (iii) PHA accumulation from the VFA-rich liquid stream using enriched biomass from step (i), and (iv) PHA recovery and characterisation (Figure 1).

![Figure 1](https://iwaponline.com/wst/article-pdf/69/1/177/471789/177.pdf)
Laboratory-scale experiments

A one-litre sequencing batch reactor (SBR) was used to select and produce biomass with increased PHA-storing capacity. The SBR was inoculated with activated sludge (Roma North wastewater treatment plant (WWTP)) and operated for 31 days: organic loading rate (OLR) = 3.1 g COD L⁻¹ d⁻¹, hydraulic retention time (HRT) = 2.1 h, solids retention time (SRT) = 1.5 d, cycle length = 1.5 h, temperature (T) = 22.5 °C (controlled by a thermal jacket), and pH of 8.0 (uncontrolled). The SBR operating cycle included: biomass withdrawal (0.5 min), settling (30 min), supernatant withdrawal (3 min), feeding (3 min), and reaction phase (53.5 min). Aeration (membrane compressors) and stirring (100 rpm) were provided during the reaction phase, and stirring during biomass withdrawal. Synthetic municipal wastewater fed to the SBR contained acetic acid (24%; Merck KGaA 1.07213), with a total COD concentration (275 mg L⁻¹), and NH₄Cl (Sigma-Aldrich 09718; 35 mg NH₄⁺-N L⁻¹) and KH₂PO₄ (Riedel-de Haën 33270; 5 mg P-PO₄³⁻ L⁻¹). The synthetic wastewater mimicked the soluble and biodegradable organic content of medium-strength municipal wastewater after primary treatment. A pseudo-steady state was considered reached when the feast phase length, based on dissolved oxygen (DO) consumption, remained within 5% deviation from average values for at least five consecutive days.

A PHA-accumulation potential test (0.5-L reactor; 0.5 g volatile suspended solids (VSS) L⁻¹; 22.5 °C) was conducted with SBR biomass in a fed-batch laboratory-scale reactor under aerobic conditions (DO = 2–8 mg L⁻¹ via air pump and glass diffuser), N excess (100 mg NH₄⁺-N L⁻¹ at the beginning of the tests), magnetic stirring (300 rpm), T control (water jackets connected to water bath), pH manual control at 8.0 via 0.8 M H₂SO₄ addition. A mixture of acetic and propionic acids (85 and 15% COD basis, respectively) was spiked twice during the accumulation (6–8 h), targeting a concentration of 1.0 g CODVFA L⁻¹ in the reactor for the first spike. The second 1-mL spike ensured continued VFA availability and PHA storage. Mixed liquor was sampled regularly for analyses (COD, VFA, storage compounds, and NH₄-N).

Parallel, fed-batch PHA accumulations were also conducted with biomass enriched using fermented cheese whey permeate in a pilot-scale, feast–famine SBR (V = 400 L, OLR = 2.0 g COD L⁻¹ d⁻¹, SRT = 4 days, HRT = 1). Acetate and permeate from fermented WAS were used as substrates. The accumulation with fermented WAS provided for a performance benchmark using a well-enriched biomass. These accumulations were conducted aerobically (DO = 1–4.5 mg L⁻¹) in reactors with 0.5-L working volume at T = 50 °C and pH ~8.5, similar to those of the pilot SBR. The mixed liquor was first aerated for 20 min until constant pH and DO levels were achieved. Substrate feed aliquots were dosed via a diaphragm pump (Grundfos DME) targeting a maximum respiration response based on feed-on-demand respirometric control (Werker et al. 2011). Mixed liquor was sampled during the accumulations for PHA, CODsol (1.6 μm filter), N and P analyses.

Pilot-scale experiments

Pilot-scale testing comprised three units located at the Brussels North WWTP (Aquiris, Belgium): (i) WAS acidogenic fermenter, (ii) biomass production process (BPP), and (iii) polymer production or accumulation process (PAP), designed based on the production of 1 kg PHA. The pilot units, in comparison to the laboratory equipment, consisted of larger volume reactors (500–1,000 L) and process units operating with actual WAS and municipal wastewater under regular process variations typical of a full-scale WWTP.

The fermentation unit includes an anaerobic batch reactor (V = 1,000 L) and a centrifugation unit. Five fermentation batches at 42 °C with mixing and without pH control (pH = 5.5–6.5) were conducted with thickened WAS (3–5% w/w TS, Brussels North, SRT = 14 d). The centrifugation unit used for solids/liquid separation comprises a cylindro-conical bowl-scroll centrifuge (Andritz EX-SC-8000) connected to a conditioning/delivery unit of cationic polyacrylamide (granular FO4800SH, SNF/FLOERGER), used as coagulant/floculant.

The BPP includes a wastewater delivery line with a drum filter for solids/fibre removal (mesh of 150–600 μm), a feeding tank (V = 500 L) and an aerobic feast–famine SBR (V = 500 L). Screened municipal wastewater after fat/sand removal is fed into BPP. The SBR treating the filtered wastewater operated under a feast-to-aerobic cycle length ratio of 0.15 at high OLRs (3 g COD L⁻¹ d⁻¹, 1.5 g COD g VSS⁻¹ d⁻¹) and short retention times (HRT = 3 h and SRT = 1–2 d), with 12 cycles per day including feeding (aeration and mixing), reaction (aeration and mixing), settling and effluent discharge under quiescent conditions, and further reaction (aeration mixing). Excess sludge targeting an SRT was wasted once per day in a single cycle. The filtered wastewater feeding the SBR was characterised by
200–700 mg COD L$^{-1}$, 30–270 mg COD$_{sol}$ L$^{-1}$, 18–65 mg COD$_{VFA}$ L$^{-1}$ (17–60% of COD$_{sol}$) 60–450 mg total suspended solids (TSS) L$^{-1}$, 30–270 mg VSS L$^{-1}$, 19–76 mg N L$^{-1}$, 14–60 mg N$_{sol}$ L$^{-1}$, 2–9 mg P L$^{-1}$, and 1–5 mg P$_{sol}$ L$^{-1}$.

The PAP includes an accumulation reactor ($V = 500 \text{ L}$) and sludge thickening units (settler and diffused air floatation) in one container, and a dewatering centrifuge and an oven in a second container. The PHA-storage capacity of BPP biomass was characterised based on triplicate fed-batch PHA accumulations (20–30 h) with large acetate additions (1,000 mg COD L$^{-1}$ in reactor per pulse) and with small acetate additions using feed-on-demand respirometric control (Werker et al. 2011).

TSS and VSS, PHA, and VFAs were monitored as reported elsewhere (Bengtsson et al. 2008b; Valentino et al. 2013). PHA content in the pilot-scale biomass was determined based on thermal gravimetric analysis (Q500 TA Instruments; Werker et al. 2012). Briefly, dried PHA-rich biomass (2 and 10 mg) was heated in N$_2$ up to 550°C. First, $T$ was raised to 105°C (10°C/min and held for 10 min) and moisture loss was assessed. Then, $T$ was raised to 550°C (10°C/min) and weight loss assessed. At 550°C, the oven atmosphere was changed from N$_2$ to air. Ash content was assessed based on weight loss at 550°C in air for 30 min, and weight losses and weight loss rate changes as a function of $T$ were analysed. For PHA contents greater than 5%, a well-defined peak of weight loss is resolvable.

## RESULTS AND DISCUSSION

### BPP municipal wastewater treatment and enrichment of PHA-storing biomass

In the laboratory-scale SBR, the length of the feast, corresponding to the depletion of easily biodegradable substrate after feeding in each cycle, was indicated by a sudden DO increase (Figure 2(a)). A relatively constant feast was achieved after 4–5 SRTs, in which the average substrate depletion time was 3.6 min and the feast length was less than 7% of the total aerobic time. In the traditional and well-documented enrichment with VFAs or VFA-rich streams (Dionisi et al. 2004; Albuquerque et al. 2007; Jiang et al. 2012), a short feast in SBRs (<20% of the cycle length) has resulted in a strong selective pressure and in the enrichment of biomass with increased storage capacity. On the other hand, the slow DO increase after the removal of the easily biodegradable COD (Figure 2(a)) showed that the uptake of the slowly biodegradable COD fraction, estimated to correspond to 40–50% of the total soluble COD, occurred during the ‘famine’. PHA accumulation was not observed during the uptake of this slowly degradable COD. The ability of the selected biomass to store poly(3-hydroxybutyrate-co-3-hydroxyvalerate) (PHBV) was affected by a storage rate of 82 mg COD$_{PHA}$ g COD$_{X}$ h$^{-1}$ and yield of 0.18 g COD$_{PHA}$ g COD$^{-1}$ at the end of the feast (Table 1). The harvested SBR biomass was able to accumulate in 8 hours up to 15% (g PHA g VSS$^{-1}$) in batch accumulations with acetate and propionate as substrates.

The pilot-scale SBR (BPP) was operated for 7 months, after start-up and performance optimisation with respect to a decrease in the feast length and increase in biomass activity. The organic loadings to the SBR changed during the day based on the variations in the incoming wastewater organic levels, with higher loading occurring in the
In terms of wastewater treatment, in the laboratory-scale SBR, an average 90% COD removal was achieved with an effluent COD < 50 mg L\(^{-1}\) and TSS < 40 mg L\(^{-1}\). The pilot-scale SBR removed 70–80% of COD, 15–50% of TN, and 50–60% of TP with respect to the incoming filtered wastewater. A post-treatment may be required for extended treatment, particularly for enhanced nutrient removal.

### Sludge as a source of VFAs for PHA production

Acidogenic fermentation of WAS at 42 C was feasible, achieving maximum VFA levels of 7.0 ± 1.0 g COD\(_{\text{VFA}}\) L\(^{-1}\) during 5.9 ± 0.2 days of batch fermentation (Figure 3(b)). VFA production yields corresponded to 27 ± 3% g COD\(_{\text{VFA}}\) g \(V\)\(_{\text{init}}\)\(^{-1}\) (20 ± 4% g COD\(_{\text{VFA}}\) g COD\(_{\text{init}}\)\(^{-1}\) with solids reduction of 35 ± 7% (VS) and solubilisation of 29 ± 2% (g COD\(_{\text{sol}}\) g \(V\)\(_{\text{init}}\)\(^{-1}\)). Of the final soluble COD, 90 ± 10% (g COD\(_{\text{VFA}}\) g COD\(_{\text{sol}}\)) corresponded to VFAs. The fermentation products were dominated by VFAs: 38% acetic (COD basis), 18% butyric, 14% propionic, 13% iso-valeric, 11% valeric, 5% iso-butyric, and 1% caproic acids. Lactic acid levels were below 10 mg COD L\(^{-1}\). Nutrient release yielded a final

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### Table 1 | Main operating parameters (mean ± standard deviation) measured in the laboratory-scale SBR fed with synthetic municipal wastewater. Active biomass, \(X_a\), is defined as non-polymer biomass (\(X_a = VSS\)-PHA); 3-HV = 3-hydroxyvalerate

<table>
<thead>
<tr>
<th>Parameter</th>
<th>End of cycle</th>
<th>End of feast</th>
</tr>
</thead>
<tbody>
<tr>
<td>Active biomass ((X_a), mg COD L(^{-1}))</td>
<td>–</td>
<td>3518 ± 126</td>
</tr>
<tr>
<td>PHBV (mg COD L(^{-1}))</td>
<td>45 ± 5</td>
<td>62 ± 9</td>
</tr>
<tr>
<td>% PHA (g PHA g VSS(^{-1}))</td>
<td>–</td>
<td>1.7 ± 0.2</td>
</tr>
<tr>
<td>% 3-HV (g 3-HV g PHBV(^{-1}))</td>
<td>11 ± 2</td>
<td>8 ± 1</td>
</tr>
<tr>
<td>Substrate depletion time (min)</td>
<td>3.6 ± 0.2</td>
<td></td>
</tr>
<tr>
<td>Specific substrate uptake rate</td>
<td>446 ± 23</td>
<td></td>
</tr>
<tr>
<td>Specific storage rate</td>
<td>82 ± 26</td>
<td></td>
</tr>
<tr>
<td>Storage yield ((Y_{\text{STO}}), g COD(_{\text{PHA}}) g COD(^{-1}))</td>
<td>0.18 ± 0.06</td>
<td></td>
</tr>
</tbody>
</table>

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Figure 3 | Pilot-scale experimental results. (a) Typical profiles obtained during a feed-on-demand batch PHA accumulation in PAP using acetate as substrate, and (b) typical experimental and fitted VFA profiles during a batch acidogenic fermentation of WAS at 42 C.
fermented liquor with an average COD sol:N:P ratio of 100:12:7. After centrifugation of the fermented sludge, the fermented WAS centrate was characterised by TSS levels /C20 1gL/C0 1 and a volumetric recovery of 70% v/v centrate/fermented sludge was preliminarily obtained.

The WAS fermentation performance obtained here is comparable or superior to that reported elsewhere for WAS or primary sludge/WAS mixtures, which indicates the high fermentability of the sludge from Brussels North WWTP without primary treatment. Ucisik & Henze (2008) reported yields of 0.011–0.024 g CODVFA gVSinit -1 and 0.20–0.26 g CODVFA gVSinit -1 from the batch fermentation (room T, 5 d) of WAS and primary sludge, respectively, from different Danish WWTPs (nutrient removal, SRTs = 20–36 d). Also, yields of 0.06, 0.11 and 0.27 g CODVFA gVS -1 and COD solubilisations of 7, 21 and 19% were reported from the semi-continuous fermentation (35–37 °C, SRT = 5 d, 8.5 g VSS L -1) of WAS, mixed primary/WAS, and primary sludge, respectively. Slightly lower yields (0.18 and 0.20 g CODVFA gVSS -1) were obtained at higher VSS levels (30 g VSS L -1) from the fermentation of primary sludge. Yuan et al. (2009) obtained yields in the range of 0.06–0.14 g CODVFA g COD -1 when fermenting WAS from a synthetic-wastewater-fed enhanced biological phosphorus-removal process (4.3–13.0 g VSS L -1) at 22 °C with SRT = 5–10 days. The higher temperature of 42 °C used in the present study exhibited a negligible effect on the maximum VFA levels achieved with respect to fermentation at 35 °C, but led to higher VFA yields (results not shown).

PHA accumulation with the liquid fraction of fermented WAS from the pilot plant and biomass enriched with fermented cheese whey permeate proceeded continuously with an almost constant specific storage rate of 330 mg PHA gXa -1 h -1. A biomass PHA content of 52% g PHA gVSS -1 was already achieved after 4 hours of accumulation (Figure 2(b)), in which the 3-HV fraction of the produced PHBV was 34% wt. Biomass growth was relatively low (0.15 g CODXa gCODsol -1) and correspondingly, PHA storage was an important mechanism of substrate utilisation, as indicated by a PHA storage yield of 0.48 g CODPHA gCODsol -1.

Similar yields were obtained in the parallel accumulation test conducted with acetate with similar nutrient levels as feed (0.11 g CODXa g CODsol -1 and 0.51 g CODPHB gCODsol -1). After 6 h, the poly(3-hydroxybutyrate) (PHB) fraction of the biomass was almost 42% (g PHA g VSS -1), slightly lower than that obtained with the fermented WAS. These PHA accumulation results support the technical feasibility of using the VFA-rich stream from WAS fermentation for PHA production despite its high nutrient content. PHA production at pilot scale using centrate from fermented WAS and biomass enriched on municipal wastewater is currently under development.

**Production of value-added PHAs and sludge management**

PHA production integrated with residuals management and environmental protection implies diversion of waste organic

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**Table 2** | PHA accumulation performance in PAP of the biomass from BPP (pilot-scale) during different tests conducted at different times using two different accumulation approaches: feed spiking and feed-on-demand based on respirometry using acetic acid as substrate

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Unit</th>
<th>Test 1</th>
<th>Test 2</th>
<th>Test 3</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Large substrate spiking</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Date</td>
<td>h</td>
<td>18.01.2012</td>
<td>31.01.2012</td>
<td>28.02.2012</td>
</tr>
<tr>
<td>Accumulation time</td>
<td>h</td>
<td>30</td>
<td>30</td>
<td>30</td>
</tr>
<tr>
<td>Maximum PHA content</td>
<td>g PHA g VSS -1</td>
<td>0.19</td>
<td>0.20</td>
<td>0.25</td>
</tr>
<tr>
<td>YPHA/COD</td>
<td>g COD g CODsol -1</td>
<td>0.38</td>
<td>0.35</td>
<td>0.35</td>
</tr>
<tr>
<td>YXa/COD</td>
<td>g COD g CODsol -1</td>
<td>0.30</td>
<td>0.21</td>
<td>0.30</td>
</tr>
<tr>
<td><strong>Feed-on-demand</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Date</td>
<td>h</td>
<td>22.05.2012</td>
<td>06.06.2012</td>
<td>11.07.2012</td>
</tr>
<tr>
<td>Accumulation time</td>
<td>h</td>
<td>20</td>
<td>20</td>
<td>20</td>
</tr>
<tr>
<td>Maximum PHA content</td>
<td>g PHA g VSS -1</td>
<td>0.34</td>
<td>0.30</td>
<td>0.27</td>
</tr>
<tr>
<td>YPHA/COD</td>
<td>g COD g CODsol -1</td>
<td>0.20</td>
<td>0.28</td>
<td>0.30</td>
</tr>
<tr>
<td>YXa/COD</td>
<td>g COD g CODsol -1</td>
<td>0.04</td>
<td>0.07</td>
<td>0.05</td>
</tr>
</tbody>
</table>

Y = yield; *COD conversions: 1 g acetic acid = 1.0667 g COD; 1 g poly-3-hydroxybutyrate = 1.67 g COD; 1 g VSS = 1.45 g COD; 1 g Xa = 1.45 g COD, where Xa corresponds to non-PHA VSS.
carbon into a value-added product. This carbon reutilisation may further improve wastewater treatment by reducing waste sludge production, aeration costs and nutrient requirements (Werker et al. 2007). Nevertheless, the scope of the implications of PHA production on municipal wastewater and sludge treatment remains to be fully defined. The PHA accumulation capacity of the biomass selected with municipal wastewater requires optimisation with the whole process in production mode. These aspects together with nutrient and waste management and downstream processing of the PHA-rich biomass are being evaluated in mass and energy balances and life cycle assessments (LCA) as part of Routes (Braguglia et al. 2012). A first LCA, however, motivates PHA production alongside industrial wastewater treatment from environmental and economical impact perspectives when referenced to pure-culture PHA production and conventional waste management producing biogas (Gurieff & Lant 2007).

CONCLUSIONS

The production of biomass with PHA storage capacity was demonstrated both at laboratory and pilot scales based on carbon removal from municipal wastewater treatment. The wastewater is a VFA-poor stream, but a feast–famine approach can nevertheless be applied. The feasibility of using complex, VFA-rich waste streams for PHA accumulation, differing from their use for biomass selection, was demonstrated at laboratory scale, achieving a PHA biomass content of 52% (g PHA g VSS−1) after 4 h fed-batch accumulation. Some level of municipal wastewater treatment (70–90% COD, 15–30% N, 30–60% P removals) could be coupled to PHA production, where VFAs for PHA accumulation could be produced via acidogetic fermentation of primary sludge/WAS. Therefore, waste streams rich in VFAs and nutrients generated during sludge management may be used for PHA production. This study is the first to report pilot-scale results on a PHA production process integrated to actual waste management.

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