Systemic embolism: a serious complication after cardiac transplantation avoidable by bicaval technique

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Abstract

Objective: Systemic embolism is a serious complication after classical orthotopic transplantation, presumably originating from enlarged left atrium. We specifically studied this problem after classical and modified bicaval transplantation.

Methods: Between December 1985 and March 1999 we consecutively performed 72 classical and 106 modified heart transplantation. Modification included bicaval anastomosis and recipient left atrium maximal reduction. Mean age was 47 years. All the patients received an antiplatelet therapy and were routinely followed. When clinical signs of systemic embolism were present, a neurological evaluation and transesophageal echocardiography were done. Sixty matched patients (30 of each group) had comparative transesophageal echocardiography study, at least 6 months after transplantation.

Results: Perioperative mortality was 17.4%. Mean follow-up was 6.8 ± 1.47 years. All patients were in sinus rhythm. Among 147 survivors, 11 patients who underwent classical transplantation had a systemic embolism, 1 month to 12 years after transplantation, 15.3%, (11/72). Two limb ischemia and one mesenteric ischemia (needing surgery), seven strokes (one death, two permanent neurological deficit). There was no systemic embolism in the modified technique group (P < 0.01). Left atrial comparative transesophageal echocardiography study showed a larger left atrial surface in classical transplantation. 33 ± 4 cm² versus 20 ± 3 cm² in a modified technique, P = 0.01. Spontaneous echo contrast was present in 56% of classical technique group associated with atrial thrombosis in nine patients, there were no atrial thrombosis in modified technique group and spontaneous echocontrast was present in 0.5% (P < 0.001).

Conclusion: The occurrence of systemic embolism, left atrial spontaneous echocontrast and thrombosis when using classical technique, and the absence of these complications with the bicaval technique justified the use of this method. Our experience with atrial thrombosis and spontaneous echocontrast rises the question of anticoagulation in classical transplantation. © 2001 Elsevier Science B.V. All rights reserved.

Keywords: Cardiac transplantation; Atrial; Thrombosis; Systemic embolism

1. Introduction

Systemic embolism is a serious complication or classical orthotopic heart transplantation. The frequency of intracardiac thrombi after cardiac transplantation is probably underestimated. The incidence of reported cardiac embolism after cardiac transplantation varies between 2 and 15% [1]. It is accepted that most patients with intracardiac thrombi are symptom free. However, several cases of systemic embolism in patients undergoing a classical heart transplantation (CHT) have been reported [2–6]. The reasons for formation of intracardiac thrombi after classical heart transplantation are presumably the enlarged left atria, (resulting for addition of donor and recipient atria), the non-contractile portion of recipient atrium and the asynchrony between the donor and the recipient atria which encourages stasis [5,6].

The purpose of this study is to report our experience with systemic embolism and to analyze the risk factors for atrial thrombosis and systemic embolism after classical and modified bicaval heart transplantation (BCHT).

2. Materials and Method

2.1. Patients

Between December 1985 and April 1999 we consecutively performed 72 classical heart transplantation (CHT) and subsequently 106 modified BCHT. Mean age was...
Pre-operative stroke 3
Atrial fibrillation 5
Atherosclerosis of the carotid arteries 28

2.2. Surgical technique in recipients

Modifications of the classical heart transplantation technique have consisted in: total right atrium resection, reduction of the left atria to a minimal cuff containing the four pulmonary veins and evertting suture between the recipient pulmonary veins and the donor left atrium.

2.3. Surgical technique in donors

Modifications of cardiac harvesting consisted in dissection and division of the superior vena cava just below the Pyrogoff confluence.

When lungs were also harvested the heart was separated from the lungs by division of the pulmonary artery 1 cm below the bifurcation and reduction of the left atrium, in order to keep a cuff of atrial tissue around the right and left pulmonary veins.

2.4. Follow-up

All survivors (147 patients) were followed-up in our institution. A double immunosuppression including Cya and steroid was administrated in the first two years following the transplantation, and then steroids were stopped and substituted by Azathioprine.

All patients received an antiplatelet treatment (aspirin), which was stopped if poorly tolerated. In patients who had peripheral embolism an oral anticoagulant treatment was administrated. Surveillance consisted in routine physical examination, chest X-rays, surface EKG, yearly left and right cardiac catheterization with coronary angiography and myocardial biopsy. Transthoracic echocardiography was usually utilized for evaluate cardiac function and detect rejection. Transesophageal echocardiography was done in 60 matched patients (30 CHT and 30 BCHT) 6 months after transplantation, and a comparative study was undertaken. Subsequently TEE was performed routinely in all patients.

2.5. Statistical methods

Univariate analysis (Chi-square or Student t-test) and multivariate analysis (Cox test) were used.

To calculate the risk of event and the survival rate the Kaplan–Meier method was utilized. A P value equal or less than 0.05 was considered statistically significant (Software S.P.S.S.).

3. Results

Since the beginning of our experience in December 1985, 178 patients underwent a cardiac transplantation in our institution.

Seventy-two had a classical heart transplantation (group I) and 106 a modified total bicaaval transplantation (group II). There was no significantly difference between the two groups concerning sex ratio, pre-operative diagnosis, functional NYHA class, and pulmonary vascular resistance. Mean age was slightly greater in group II (48 years versus 44 years), and there were more patients over 60 years of age in group II, 40.2 versus 19.8% in group I (P = 0.02), due to wider acceptance of older patients.

There was no complication due to the surgical technique. The aortic cross-clamping time in the recipient (cardiac excision and donor heart implantation) was longer in group II (87.2 ± 12 versus 65.4 ± 15, P = 0.001). The donor ischemic time was also longer in group II (87.2 ± 53 versus 210 ± 67 MN P = 0.01). The increased ischemic time was partially due to the surgical technique, but also to harvesting carried out far from our center which were more frequent in group II, 50 versus 24% in group I (P = 0.003). Perioperative mortality (30 days) was 17.4% (32/178) in the whole group, without significantly difference between the two groups, 15.7% in group I and 18.4% in group II (P = 0.1).

The follow-up period was longer in group I, than in group II 8.70 years (±4.50) versus 5.90 years (±3.28) P = 0.001. The actuarial survival rate was 76.9,70.59 and 66.19% at 3, 6

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Table 1

<table>
<thead>
<tr>
<th>Age</th>
<th>46.6 ± 19 years (7 month–71 years)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sex</td>
<td></td>
</tr>
<tr>
<td>Male</td>
<td>145</td>
</tr>
<tr>
<td>Female</td>
<td>33</td>
</tr>
<tr>
<td>Pre-operative diagnosis</td>
<td></td>
</tr>
<tr>
<td>Dilated</td>
<td>123</td>
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<tr>
<td>Ischemic</td>
<td>47</td>
</tr>
<tr>
<td>Congenital</td>
<td>9</td>
</tr>
<tr>
<td>Valvular</td>
<td>4</td>
</tr>
<tr>
<td>Atherosclerosis of the carotid arteries</td>
<td>28</td>
</tr>
<tr>
<td>Atrial fibrillation</td>
<td>5</td>
</tr>
<tr>
<td>Pre-operative stroke*</td>
<td>3</td>
</tr>
</tbody>
</table>

* One permanent neurological deficit.
and 9 years for the classical technique and 71.01, 69.47 and
67.43% for bicaval, without difference between the two
groups ($P = n.s.$) (Fig. 1).

Among 82.5% perioperative (1 month) survivors 11
patients of group I (15.2%) had a systemic embolism 21
days to 12 years after operation. There were one mesenteric
ischemia, two lower limb ischemia, and eight strokes. Three
patients needed a surgical treatment: one a bowel resection
and two a surgical embolectomy of the lower limb. One
patient die due to a stroke, two had permanent neurological
deficit (one hemiplegia, one monoplegia) and another had a
cerebral hemorrhage due to the anticoagulant treatment
given after a stroke. Left atrial thrombosis was shown at
TEE in 81.8% of these patients (9/11) The atrial thrombi
were located in four cases in the left atrial appendage, in two
cases on the atrio-atrial suture line and in the others three in
the recipient left atrial wall, close to the suture line. None of
the patient who underwent a BCHT had arterial embolism or
atrial thrombosis at TEE ($P = 0.013$) (Fig. 2).

The vascular echo-doppler showed that 28 patients had
vascular lesions of the supraortics trunks before the opera-
tion. Among these, 26 had diffuse atherosclerosis lesions
without evidence of stenosis and did not need any medical
or surgical treatment. Two patients had a stenotic lesion of
the carotid artery one of them needed a percutaneous dilata-
tion of the lesion with stenting. The other presented a mild
carotid stenosis and an antiplatelet treatment was admini-
strated. Eight patients who had diffuse atherosclerosis
lesions of supraortics trunks underwent a classical cardiac
transplantation and 3 of them had an episode of systemic
embolism (1 stroke two limb ischemia).

Among the three patients who had a stroke before the
transplantation, two underwent a cardiac transplantation
with the modified technique and the other with the classical
technique. None of these patients presented any evidence of
systemic embolism along their follow-up. None of the
patients transplanted (group I and group II) presented a
right sided (right atrium, ventricle or pulmonary artery)
 thrombosis or embolism.

Patient’s characteristics are detailed in Table 2. All trans-
planted patients were in long term sinus rhythm, the post
operative surface EKG showed no major difference between
the two groups except a double atrial activity in patients
who had a CHT (14 versus 0) $P = 0.003$.

The occurrence of acute rejection episodes was no signif-
ically different in patients who had a peripheral embolism
than in patients free from embolism ($1.8 \pm 2$ versus
$2.1 \pm 3$) $P = 0.1$. Chronic rejection defined by coronary
vascular lesions at yearly coronary angiograms were
presents in 19 patients. None of these patients bad any
evidence of systemic embolism.

Sixty matched patients (30 of each group) had a compara-
tive transthoracic and transeophageal echocardiography
study, at least 6 months after surgery, which showed a
major incidence of mild mitral incompetence in group I
66 versus 33% in group II, ($P = 0.03$), a major incidence
of left atria thrombosis, 12.5% (9/72) group I versus 0 in
group II, ($P = 0.02$). Moreover a larger surface of the left
atria in CHT than in BCHT ($33 \pm 4 \text{ cm}^2$ versus $20 \pm 3 \text{ cm}^2$)
$P = 0.01$, and an increased incidence of spontaneous echo-
contrast in group I than in group II ($56 \pm 0.5\%$) $P = 0.001$
were founded. Nine patients had a concomitant lung
harvesting in donors, four of group I and five of group II.
The measurement of the left atrium surface reveled also in
these cases significantly larger atria in patients operated
with CHT $29 \pm 5 \text{ cm}^2$ versus $21 \pm 21 \text{ cm}^2$ in the BCHT
($P = 0.03$).

The univariate and multivariate analysis of risk factors:
age peripheral vascular status, the absence of antiplatelet
treatment, the impaired left diastolic function, (defined as
an end diastolic left ventricular pressure greater than 17
mmHg at cardiac catheterization), the decreased left systolic
function, (defined as an ejection fraction inferior to 50% at
echocardiography and or at cardiac angiocardiogram), atrial
fibrillation or sinus rhythm before surgery, and surgical
technique, recognized the surgical technique as the only
factor favoring peripheral embolism. In contrast the altered
diastolic function and the absence of antiplatelet treatment
were risk factor for death (Table 3).
4. Discussion

Systemic embolism is a serious complication after classical cardiac transplantation. The incidence of left atrial thrombi in patients who underwent a CHT is unknown since the majority of these patients are asymptomatic [3,4] and intracardiac thrombi are only detected by TEE or thoracic CT scan [1–3,6–8].

The physiopathology of intracardiac thrombi formation in patients undergoing CHT is not completely understood, although several factors may be involved:

- Alteration in hemostatic mechanism has been shown to exist. An increase in platelet aggregation has been observed which is due to the inhibited endothelial pros-tacycline synthesis mediated by cyclosporine. This abnormality in platelet aggregation is resistant to conventional antiplatelet treatment [9]. In our series of patients, there was no difference in the incidence of atrial thrombosis and peripheral embolism between patients with or without antiplatelet treatment. On the other hand rejection deplete the endothelial thrombomodulin and the antithrombin III which results in an increased thrombogenic incidence [10]. In our experience there were no difference in rejection incidence between the group of patients having peripheral embolism and the free of systemic embolism group.

- The resulting enlarged left atrium after CHT favors blood stasis and thrombi formation. The presence of spontaneous echocontrast has been observed in a high percentage of patients undergoing a CHT [1,2,5–8,11,12].

- In our series all patients who had an episode of peripheral embolism had undergone a classical cardiac transplantation, and in this group of patients an enlarged left atrium and an increased incidence of spontaneous echocontrast (SEC) were observed by TEE when compared to BCHT group. Others technical factors were found to predispose a patient to forming thrombi, because the TEE showed thrombotic formation along the atrial suture line [2,11]. This was also reported after lung transplantation on the cuff of left atrium [12,13]. In all patients undergoing a CHT the suture line was visualized and in two of them an intracardiac thrombus was observed at TEE. None of patients who underwent a BCHT had an apparent suture line at TEE. This is probably due to the everting suture technique used in the anastomosis between the recipients pulmonary veins and the donor left atrium. The impaired diastolic and systolic function may be linked to the formation of intracardiac thrombi [3,7,14]. In our series two patients among the 11 having a systemic embolism had an impaired diastolic function. The systolic function was normal in all patients of this group.

- The asynchronous contraction of the recipient and donor atrial components as well as the presence of arrhythmia induce blood stasis and may result in an increasing thrombogenic propensity [3,7,11,15,16]. Three patients who had a stroke in our series presented a double atrial activity and this was observed in 46.6% of patients undergoing a CHT. Atrial fibrillation in the recipient atrial component has been reported as favoring atrial thrombosis [1,15]. None of the patients having an atrial thrombosis and/or a systemic embolism had a fibrillation of the recipient component of the atria in our experience.

<table>
<thead>
<tr>
<th>Patients No.</th>
<th>Age (years)</th>
<th>Clinical signs</th>
<th>Time to embolism</th>
<th>Surgical technique</th>
<th>Evolution</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>30</td>
<td>Limb ischemia</td>
<td>21 days</td>
<td>CHT</td>
<td>Surgical embolectomy</td>
</tr>
<tr>
<td>2</td>
<td>48.5</td>
<td>Stroke</td>
<td>28 days</td>
<td>CHT</td>
<td>No sequel</td>
</tr>
<tr>
<td>3</td>
<td>51.8</td>
<td>Stroke</td>
<td>3 months</td>
<td>CHT</td>
<td>Permanent hemiplegia</td>
</tr>
<tr>
<td>4</td>
<td>38.9</td>
<td>Mesenteric ischemia</td>
<td>3 years</td>
<td>CHT</td>
<td>Surgical bowel ressect ion</td>
</tr>
<tr>
<td>5</td>
<td>60.08</td>
<td>Stroke</td>
<td>5 years</td>
<td>CHT</td>
<td>No sequel</td>
</tr>
<tr>
<td>6</td>
<td>41.5</td>
<td>Stroke</td>
<td>6 years</td>
<td>CHT</td>
<td>Cerebral hemorrhage</td>
</tr>
<tr>
<td>7</td>
<td>42.0</td>
<td>Stroke</td>
<td>7 years</td>
<td>CHT</td>
<td>Monoplegia</td>
</tr>
<tr>
<td>8</td>
<td>55.8</td>
<td>Stroke</td>
<td>8 years</td>
<td>CHT</td>
<td>Death</td>
</tr>
<tr>
<td>9</td>
<td>69.3</td>
<td>Stroke</td>
<td>9 years</td>
<td>CHT</td>
<td>No sequel</td>
</tr>
<tr>
<td>10</td>
<td>54.2</td>
<td>Limb ischemia</td>
<td>10 years</td>
<td>CHT</td>
<td>Surgical embolectomy</td>
</tr>
<tr>
<td>11</td>
<td>56</td>
<td>Stroke</td>
<td>12 years</td>
<td>CHT</td>
<td>No sequel</td>
</tr>
</tbody>
</table>

$^a$ None of patients undergoing BCHT had a systemic embolism.

$^b$ Cerebral haematoma due to the anticoagulant treatment.

Table 3

<table>
<thead>
<tr>
<th>Variant</th>
<th>Free of embolism</th>
<th>Embolism</th>
<th>$P^*$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age (years)</td>
<td>46.3 ± 17</td>
<td>48.4 ± 11</td>
<td>1</td>
</tr>
<tr>
<td>Carotid disease</td>
<td>25</td>
<td>3</td>
<td>0.9</td>
</tr>
<tr>
<td>No anti platelets treatment</td>
<td>35</td>
<td>2</td>
<td>0.3</td>
</tr>
<tr>
<td>Impaired left diastolic function</td>
<td>32</td>
<td>2</td>
<td>1</td>
</tr>
<tr>
<td>Decreased left systolic function</td>
<td>12</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>Atrial fibrillation before surgery</td>
<td>5</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>Surgical technique</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CHT</td>
<td>61</td>
<td>11</td>
<td>0.04</td>
</tr>
<tr>
<td>BCHT</td>
<td>106</td>
<td>0</td>
<td></td>
</tr>
</tbody>
</table>

$^a$ $P = <0.05$ was significant.
Moreover we don’t have observed arrhythmia among our cohort of patients.

- The peripheral vascular status of patients undergoing heart transplantation may also predispose to arterial thrombosis or embolism. In our cohort of patients eight among the CHT group presented a diffuse atherosclerosis of the supraaortics trunks, three of them had an episode of arterial embolism and demonstration of a left atrial thrombi, which suggest that the arterial embolism was probably due to the left atrial thrombus. In the CHT group only two patients among eleven presenting an arterial embolism were free of left atrial thrombosis at TEE study and did not presented any evidence of peripheral vascular disease at the echodoppler study. Another possible important factor in thrombo-embolic events is the duration of the follow-up period. Incidence of thrombo-embolic complications increases with time [17]. In our series 54.5% (6/11) of episodes of arterial embolism occurred in the early or mid term follow up and 45.5% (5/11) in the late follow-up period, and this represents a limitation in our study since the two compared series are consecutive and the mean follow-up for the bicaival group is shorter than in classical technique group 5.90 years versus 8.70 years, respectively.

5. Conclusion

Atrial thrombosis and systemic embolism after heart transplantation are probably underestimated, and more studies are necessary to shed light on its actual incidence. All the conditions favoring blood stasis and left atrial thrombosis are found after CHT, in contrast BCHT seems to decrease considerably this problem, without increasing the perioperative mortality and morbidity and this only fact justifies the use of this technique. Our experience of left atrial thrombosis, and frequent left atrial echocontrast rises the question of the anticoagulation in patients who had undergone a CHT.

References


Appendix A. Conference discussion

Dr P. Totaro (Brescia, Italy): I did a similar study when I was working in Northern General Hospital in Sheffield. We found a similar incidence of atrial thrombosis in patients without any sign of peripheral embolism. We treated them with oral anticoagulation and they recovered very well and their thrombosis disappeared.

So my question is, does your incidence regard overall population or only the patients with clinical signs of peripheral embolism? And the second one is, how did you treat the patient with the left atrial thrombosis?

Dr Riberi: For all patients with left arterial thrombosis with an anticoagulant treatment by an oral – is this your question?

Dr Totaro: Yes. Did you give just oral anticoagulant, or did you give intravenous heparin for some time?

Dr Riberi: In the beginning we induced the treatment with heparin in vein and then we go on oral anticoagulant treatment with it. I’m sorry, I didn’t understand the first question.

Dr Totaro: Does your incidence of left atrial thrombosis regard all the patients with a heart transplant or only the patients with clinical signs of peripheral embolism?

Dr Riberi: Forty percent of patients with classical heart transplantation in our population have a left atrial thrombosis.

Dr Totaro: I mean we found 14% of incidence of left atrial thrombosis just as a routine surveillance of echocardiographic in asymptomatic patients.

Dr Riberi: Yes.
Dr Totaro: Is yours the same?

Dr Riberi: Yes.

Dr C. Yankah (Berlin, Germany): You may have a peripheral thrombosis or thromboembolic episodes without having atrial thrombosis. These patients sometimes have preoperatively heparin therapy. And we have some experience in some certain patients who developed heparin-induced thrombocytopenia and also caused some peripheral thromboembolic episodes, even as well as also the cerebral. Have you observed or diagnosed heparin-induced thrombocytopenia (HIT type II). Perhaps these two patients were HIT patients.

Dr Riberi: No, we have never found these kinds of complications with heparin.

Dr A. Haverich (Hannover, Germany): The bicaval technique in and by itself does not influence thromboembolism from the left atrium. Therefore the title of the presentation is somewhat misleading.

On the other hand, if you also change the technique of suturing the left atrium, making it much smaller, that has definitely an influence. Our cardiologist always tell us to occlude the left atrial appendage on the donor heart. Did you change that as well when changing your technique between the first and the second group of your study?

Dr Riberi: We sutured the left appendage – this is your question?

Dr Haverich: Yes. And did you occlude the left atrial appendage?

Dr Riberi: Yes, always.

Dr Haverich: With both techniques?

Dr Riberi: No. We began with the bicaval techniques to do it.