Immune Reconstitution of CD56<sup>dim</sup> NK Cells in Individuals with Primary HIV-1 Infection Treated with Interleukin-2

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Natural killer (NK) cells are believed to play a role in human immunodeficiency virus type 1 (HIV-1) disease progression, and NK cell levels are reduced in individuals with chronic HIV-1 infection. Interleukin (IL)–2 therapy results in an expansion of CD4<sup>+</sup> T cells as well as NK cells; however, little is known about the detailed effects of IL-2 therapy on NK cells in HIV-1 infection in general and in early infection in particular. Here, we investigated the effects of combined IL-2 therapy and antiretroviral therapy (ART) on the number, frequency, phenotype, and interferon (IFN)–γ production of NK cells in individuals with early HIV-1 infection. Patients randomized to receive combined ART and IL-2 therapy predominantly expanded CD56<sup>dim</sup> NK cells, and the expansion was greater than in patients randomized to receive ART alone. Importantly, NK cell receptor expression and IFN-γ production were maintained over time. This reconstitution of NK cells may be useful in helping contain viremia if patients discontinue therapy or develop drug resistance.

Subcutaneous administration of low doses of interleukin (IL)–2 to HIV-1–infected patients elevates the numbers of CD4<sup>+</sup> T cells [1–8], and IL-2 therapy has been shown to enhance recall responses to tetanus toxoid [4, 5]. In contrast, less is known about IL-2 therapy in HIV-1 infection with regard to innate immune cells, particularly early in infection. IL-2 therapy in HIV-1–infected subjects expands both NKT cells [9] and NK cells [5, 10–13]. However, NK cells consist of heterogeneous populations with different phenotypes and functions. As such, very little is known about the effects of combined antiretroviral therapy (ART) and IL-2 therapy on the different NK cell subsets, receptor expression, and function.

NK cells are an important source of chemokines in HIV-1–infected individuals and suppress HIV-1 entry and replication in vitro [14–16]. Epidemiological studies of killer immunoglobulin-like receptor (KIR) and human leukocyte antigen (HLA) haplotypes suggest that the combination of HLA-Bw4<sup>40kDa</sup> and the NK cell receptor (NKR) KIR3DS1 has a protective effect on disease progression [17]. The effect of HIV-1 infection on the frequency and absolute number of NK cells remains unclear, partially because of the variation in definition of NK cells. Traditionally, NK cells have been defined as CD3<sup>−</sup>CD56<sup>+</sup> lymphocytes, which have been further divided into CD56<sup>bright</sup>CD16<sup>−</sup> and CD56<sup>dim</sup>CD16<sup>+</sup> subpopulations [18]. The frequency and absolute numbers of CD3<sup>−</sup>CD56<sup>+</sup> NK cells is decreased in subjects with chronic HIV-1 infection [19–26], and the majority of studies have found that the CD56<sup>dim</sup> NK cell subpopulation is most affected [23–25]. Interestingly, a recent study of acutely infected patients reported an initial increase in the frequency of CD56<sup>dim</sup> NK cells [21]. In addition, the frequency of CD3<sup>−</sup>CD16<sup>−</sup>CD56<sup>−</sup> NK cells is increased in HIV-1–infected individuals with viremia.
but is normal in HIV-1–infected subjects without viremia [27, 29].

In addition to changes in NK cell subsets, the pattern of NKR expression differs between HIV-1–infected individuals with and without viremia as well as between healthy and HIV-1–infected subjects [21, 26, 29–32]. However, longitudinal studies are required to demonstrate the causal relationship between changes in viral load and changes in NKR expression.

In the present longitudinal study, the main goal was to investigate the number, frequency, phenotype, and interferon (IFN)–γ production of NK cells in treatment-naive subjects with early HIV-1 infection who were randomized to initiate ART alone or to initiate combined ART and IL-2 therapy.

SUBJECTS, MATERIALS, AND METHODS

Study design and research subjects. Samples from early HIV-1–infected patients were obtained from the OPTIONS cohort. The outline of the study design is depicted in figure 1A. Thirty-two subjects were followed up for 1 year, all of whom were treat-
ment naive at study entry. Of these subjects, 11 chose to continue not receiving treatment (hereafter, “untreated”), and 21 entered a trial investigating the effects of combined ART and IL-2 therapy. The latter 21 subjects initiated ART at study entry and were randomized to receive IL-2 either at an early or late stage. Early stage randomized subjects started IL-2 therapy once viral load had decreased to <500 copies/mL. These 10 subjects are referred to as “ART + IL-2.” IL-2 was given subcutaneously (7.5 million units, twice daily) for 5 days at 8-week intervals, with dose adjustments for toxicity. Late-stage randomized subjects continued receiving ART alone for 1 year before the initiation of IL-2 therapy. These 11 subjects are referred to as “ART alone.”

The first blood sample was drawn and cryopreserved at study entry (week 0), when all subjects were treatment naive and had viremia. On entering the study, blood samples were scheduled to be collected for cryopreservation at weeks 4, 12, 24, 48, and 72 after study entry. The initiation of IL-2 therapy was triggered by a reduction in viral load to <500 copies/mL, which is why subjects in the early stage randomized group did not initiate IL-2 at the same time after study entry. The actual median time from study entry to the second blood sample was 4 weeks (range, 4–8 weeks). The median time from study entry to the third and fourth blood sample was 24 weeks (range, 23–29 weeks) and 48 weeks (range, 45–56 weeks), respectively. In subjects receiving IL-2 therapy, the median time from the last dose of IL-2 to sampling was 6 weeks (range, 3–8 weeks) and from the first dose to sampling (at week 24) was 14 weeks (range, 11–20 weeks). The subject characteristics are presented in table 1 and the average viral loads in figure 1B. Peripheral blood from 10 healthy control subjects was obtained from the Stanford Blood Bank (n = 6) or from healthy volunteers after informed consent. The University of California, San Francisco, Committee for Human Research approved this study, and patients gave signed consent.

**Samples.** Peripheral blood was collected by venipuncture in evacuated blood-collection tubes with acid citrate dextrose (Vacutainer; BD Diagnostics). Peripheral blood mononuclear cells (PBMCs) were isolated from whole blood and cryopreserved in fetal calf serum containing 10% dimethyl sulfoxide.

**Cell culture.** Frozen PBMCs were thawed, washed in R15 medium (RPMI 1640 [MediaTech] with 15% fetal calf serum [Gemini BioProducts]), 2 mmol/L L-glutamine, 10 mmol/L HEPES, and 100 U/mL penicillin/streptomycin [Invitrogen]), counted, and plated at 4.0 × 10^5 cells/well in 96-well plates in R15 medium. We measured IFN-γ production by NK cells in 5 patients in each of the 3 different patients group. Cells were left untreated or stimulated with 50 ng/mL human recombinant IL-12 (PeProtech) for 24 h at 37°C in 5% CO2. Brefeldin A was added to a final concentration of 5 μg/mL for the last 5 h of culture, after which cells were harvested and analyzed for intracellular IFN-γ by flow cytometry.

**Cell staining and flow cytometry.** The first set of samples, including samples from 5 subjects from each treatment group, was stained with a common panel of antibodies (P1): energy-coupled dye (ECD)–conjugated anti-CD3 (Beckman Coulter), allophycocyanin (APC)–Cy7–conjugated anti-CD16, and phycoerythrin (PE)–Cy7–conjugated anti-CD56 (BD Biosciences). In addition, cells were stained with fluorescein isothiocyanate (FITC)–conjugated anti-KIR3DL1 (DX9) (BD Biosciences), PE-conjugated anti-NKG2C, allophycocyanin-conjugated anti-NKG2A (R&D Systems), FITC-conjugated anti-CD16, and FITC-conjugated anti-perforin (BD Biosciences). The second set of samples was stained with a common panel of antibodies (P2): ECD-conjugated anti-CD3, Alexa700-conjugated anti-CD4, APC-Cy7–conjugated anti-CD14 and anti-CD19, PE-Cy7–conjugated anti-CD56, and Pacific Blue–conjugated anti-CD16 (BD Biosciences). In addition to the common antibodies, NK cells were stained with antibodies directed against receptors, including: FITC-conjugated anti-KIR3DL1 (DX9), PE-conjugated anti-KIR2D (DX27), FITC-conjugated anti-CD94, APC-conjugated anti-CD161, FITC-conjugated anti-CD11c (BD Biosciences), PE-conjugated anti-NKG2C, APC-conjugated anti-NKG2A, PE-conjugated anti-NKp30, and APC-conjugated anti-NKp46 (R&D Systems). The anti–hu-

**Table 1. Subject characteristics.**

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>ART + IL-2</th>
<th>ART</th>
<th>Untreated</th>
</tr>
</thead>
<tbody>
<tr>
<td>Subjects, no.</td>
<td>10</td>
<td>11</td>
<td>11</td>
</tr>
<tr>
<td>HIV RNA load at week 0, log_{10} copies/mL</td>
<td>4.1 (1.1)</td>
<td>5.6 (1.0)</td>
<td>3.8 (0.7)</td>
</tr>
<tr>
<td>CD4+ cell count at week 0, cells/μL</td>
<td>596 (211)</td>
<td>569 (188)</td>
<td>700 (245)</td>
</tr>
<tr>
<td>Male, %</td>
<td>90</td>
<td>100</td>
<td>91</td>
</tr>
<tr>
<td>Age, years</td>
<td>36 (7.4)</td>
<td>36 (9.1)</td>
<td>38 (5.5)</td>
</tr>
<tr>
<td>Estimated time from infection to treatment, median, weeks</td>
<td>91</td>
<td>86</td>
<td>NA</td>
</tr>
<tr>
<td>PI-containing ART regimen, %</td>
<td>40</td>
<td>64</td>
<td>NA</td>
</tr>
</tbody>
</table>

**NOTE.** Data are mean (SD) values, unless otherwise indicated. NA, not applicable; PI, protease inhibitor.

* Subjects in these groups were randomized to receive antiretroviral therapy (ART) alone or combined interleukin (IL)–2 therapy and ART.

b Subjects in this group chose to remain untreated and thus were not randomized. The group is included as a reference but is not directly compared with the ART and ART + IL-2 groups.
man CD94, NKG2A, and NKG2C antibodies were developed by a collaborative effort between L.L.L. and J. P. Houchins (R&D Systems). PBMCs were analyzed for intracellular perforin content using a FITC-conjugated anti-perforin monoclonal antibody (MabTech).

PBMCs from functional assays were stained with the common antibody panel P2, fixed in 2% paraformaldehyde, permeabilized with FACS Perm II (BD Biosciences), and stained with ECD-conjugated anti-CD3 (Beckman Coulter) and APC-conjugated anti–IFN-γ (BD Biosciences). Anti–mouse immunoglobulin compensation particles (BD CompBeads; BD Biosciences) were used as compensation controls for software-based compensation. Cells were analyzed by flow cytometry using a 4-laser LSR-II instrument (BD Biosciences). Flow cytometry data were analyzed using FlowJo software (TreeStar). The gating strategy used is shown in figure 1C–1J. Briefly, we identified NK cells by gating on single cells within a lymphocyte gate, excluding CD3+ cells, followed by gating on CD56+ and/or CD16+ cells. For analyses of CD56−CD16− NK cells, we excluded a subset of CD3−CD14−CD19−CD56−CD5dimCD11cbright cells that also express CD16. Statistical analysis was performed using GraphPad Prism statistical software (version 4.0; GraphPad Software). Unless otherwise noted, frequencies and absolute cell counts were compared over time in the same treatment group. Statistical significance was tested using a Wilcoxon signed rank test, unless otherwise noted.

RESULTS

Expansion of NK cells by combined ART and IL-2 therapy but not by ART alone in HIV-1–infected individuals. At study entry, there were no statistically significant differences in the frequency or absolute number of NK cells between the subjects randomized to receive ART alone or combined ART and IL-2 therapy (figure 2A and 2D). Moreover, there was no correlation between the overall absolute number or frequency of NK cells and HIV load or CD4+ T cell count at study entry (data not shown). However, the frequency of NK cells in the HIV-infected subjects was significantly lower than that in the healthy control subjects (P < .05, Mann-Whitney U test; data not shown). The absolute number and frequency of NK cells did not change significantly over 1 year in the subjects randomized to receive ART alone (figure 2A and 2D). In contrast, there was a 2-fold increase in the absolute number of NK cells in subjects randomized to receive combined ART and IL-2 therapy (figure 2A; P < .01). In addition, this group had a significantly higher number of NK cells at week 48 than did the subjects receiving ART alone (figure 2A; P < .05 by Student’s unpaired t test). The increase in NK...
cells was evident at 6 months after study entry and was sustained thereafter (figure 2A). The time from the last dose of IL-2 to sampling, as well as the time from the first dose to sampling, varied between the subjects receiving combined ART and IL-2 therapy. However, there was no correlation between the fold increase in NK cell numbers and the time from the last or first dose to sampling (data not shown). It should be noted that, despite the large increase in the absolute number of NK cells, there was only a moderate increase in NK cell frequency in response to combined ART and IL-2 therapy (figure 2B; \(P > .05\)), reflecting a parallel increase in CD4\(^+\) T cells (figure 2B). Interestingly, the fold increase in NK cell numbers correlated with the fold increase in CD4\(^+\) T cells (\(P < .01\) and \(r = 0.77\), linear regression analysis; data not shown). As a reference, the nonrandomized subjects who chose to remain untreated throughout the study had similar frequencies and absolute numbers of NK cells at study entry as the randomized, treated groups. The absolute number and frequency of NK cells and CD4\(^+\) T cells did not change significantly over 1 year in these subjects (figure 2).

**Increase in CD56\(^{dim}\), but not CD56\(^{bright}\), NK cells after combined ART and IL-2 therapy in early HIV-1 infection.** To investigate whether NK cells expanded uniformly as a consequence of IL-2 therapy, we analyzed changes in each of the major NK cell subsets separately. The number of CD56\(^{dim}\) NK cells increased ~2-fold in subjects receiving combined ART and IL-2 therapy (figure 3A; \(P < .01\)), making up the majority of the increase in NK cells. Surprisingly, there was no increase in the number or frequency of CD56\(^{bright}\) NK cells in peripheral blood as a consequence of IL-2 therapy (figure 3B and 3E). In fact, the frequency of these cells was reduced after the initiation of IL-2 therapy (figure 3E; \(P < .05\)), reflecting the expansion of CD56\(^{dim}\) NK cells (figure 3A). In contrast, there was a significant increase in the number and frequency of CD56\(^{bright}\) NK cells apparent by 4 weeks after the initiation of ART (figure 3B and 3E; \(P < .05\)), whereas no significant increase in CD56\(^{dim}\) NK cells was seen at that time (figure 3A). The increase in CD56\(^{bright}\) NK cells continued over time in the group receiving ART alone, but not in the group receiving combined ART and IL-2 therapy (figure 3B and 3E). The number and frequency of CD56\(^{dim}\) and CD56\(^{bright}\) NK cells did not change significantly over time in the nonrandomized subjects who chose to remain untreated throughout the study (figure 3A, 3B, 3D, and 3E).

In addition to the CD56\(^{dim}\) and CD56\(^{bright}\) NK cell subsets, we analyzed the size of the CD16\(^+\) CD56\(^{dim}\) NK cell subset. This subset constituted, on average, 7% of the NK cells at study entry, when all subjects were untreated, and correlated with the viral load at this time (\(P < .01\) and \(r = 0.7\), linear regression analysis; data not shown). The frequency of these cells in HIV-1–infected individuals was elevated compared with that in healthy control subjects (\(P < .05\), Mann-Whitney U test; data not shown), and a statistically significant decrease in the frequency (figure 3F), but not absolute number (figure 3C), was noted over time in...
subjects receiving ART alone, in agreement with previous reports [27]. In contrast, the number of CD16^+CD56^+ NK cells was elevated 2-fold after IL-2 therapy (figure 3C), although this did not reach statistical significance (P = .16). No significant changes in the frequency or absolute number of CD16^+CD56^+ NK cells were found over time in subjects who chose to remain untreated (figure 3C and 3F).

**Effect of combined ART and IL-2 therapy on NKR and perforin expression.** Overall, NKR expression was stable over time in all groups, with some individual exceptions. Subjects receiving combined IL-2 therapy and ART maintained the composition of NKR expression on their expanding CD56^dim NK cells, and no changes in expression of NKG2C (figure 4A), NKG2A (figure 4B), KIR3DL1 (figure 4C), KIR2D (figure 4D), NKp30 (figure 4E), NKp46 (figure 4F), CD161 (figure 4G), or perforin (figure 4H) could be attributed to the combined ART and IL-2 therapy (figure 4A–4H). However, a small decrease in KIR3DL1-expressing NK cells was detected by 4 weeks after the initiation of ART (P < .05), but no further decrease was noted over time in subjects receiving ART alone or combined ART and IL-2 therapy (figure 4C). In addition, there were small but significant increases in the frequency of CD94/NKG2C^+ cells in all 3 groups (figure 4A; P < .05). In some individuals, the increased frequency of NKG2C^+ NK cells was dramatic and correlated with a decrease in the frequency of NKG2A^+ NK cells (figure 5A–5C), whereas it remained stable in others (figure 5D–5F).

**Maintenance of NK cell production of IFN-γ after combined IL-2 therapy and ART.** The frequency of NK cells producing IFN-γ after culture of PBMCs in medium alone did not increase as a consequence of IL-2 therapy (data not shown). IL-12 stimulation of PBMCs in vitro induced a strong response by NK cells in all 3 groups, with no significant changes over time (figure 6A–6C). The absolute number of IL-12–induced IFN-γ–producing NK cells increased over time in the group undergoing combined ART and IL-2 therapy; however, this increase was secondary to the overall expansion of NK cells (data not shown).

**DISCUSSION**

Here we demonstrate that a sustained reconstitution of NK cells in HIV–1–infected subjects, in parallel with CD4^+ T cells and NKT cells, can be achieved by combining ART with intermittent subcutaneous administration of IL-2. The expansion of NK cells was sustained for at least 6 weeks after IL-2 treatment cycles and was mainly restricted to CD56^dim NK cells.

Several lines of evidence point to an important role for NK cells in the immune defense against HIV. Epidemiological data indicate that NK cells are important both in the protection against de novo infection and in slowing progression to AIDS [17, 33, 34]. Highly exposed intravenous drug users who remain HIV seronegative have increased NK cell activity compared with that in intravenous drug users who seroconvert [35], and NK cells can inhibit HIV infection of CD4^+ T cells in vitro through production of chemokines [14, 15, 36]. In addition, NK cells are important in the control of infections with other pathogens, including viruses, bacteria, and parasites [37–41], in particular in...
immunocompromised individuals. Reconstituting or increasing NK cell numbers in HIV-1–infected individuals, where the number of NK cells is decreased, is thus potentially clinically important not only for control of HIV but also for the defense against other pathogens and tumors.

From previous studies of IL-2 therapy, both in subjects with chronic HIV-1 infection [5, 10–13] and in HIV-1–seronegative subjects with malignancies [42–44], it is known that IL-2 therapy can induce an expansion of NK cells. However, it has not been well characterized which NK cells expand in response to IL-2 therapy nor how NKR expression and function is affected.

Virtually all NK cells express the intermediate-affinity IL-2 receptor (CD122), and, in addition, the CD56bright subset expresses the high-affinity IL-2 receptor (CD25). Previous studies of IL-2 therapy in subjects with cancer have demonstrated that the CD56bright subset expands more than the CD56dim NK cell subset.

Figure 5. Expansion of NKG2C⁺ NK cells is paralleled by a decrease in NKG2A⁺ NK cells in some, but not all, HIV-1-infected subjects over time. Shown are flow cytometry plots of NKG2A and NKG2C expression by CD56dim NK cells in 2 subjects receiving antiretroviral therapy (ART) at study entry (month 0) (A and D) and after 12 months of ART (B and E). C and F, Percentage of CD56dim NK cells expressing NKG2A, NKG2C, and KIR3DL1 over time. Note how the expression of NKG2A and NKG2C was stable in one of the subjects, but not the other, whereas KIR3DL1 expression remained stable over time in both subjects.

Figure 6. Conserved NK cell interferon (IFN)–γ production after interleukin (IL)–2 therapy in HIV-1–infected subjects. A, Percentage of NK cells expressing IFN-γ after stimulation with IL-12. B, Percentage of CD56dim NK cells expressing IFN-γ after stimulation with IL-12. C, Percentage of CD56bright NK cells expressing IFN-γ after stimulation with IL-12. Bars indicate SEs. IFN-γ production by NK cells (defined by gating on CD3⁻CD14⁻CD19⁻CD56⁺ lymphocytes) was measured by intracellular cytokine flow cytometry (n = 5 for all groups).
expression and spontaneous IFN-

obtains the function of NK cells, allowing maintenance of NK cell

levels already have decreased from peak levels. It thus remains

possible that an initial, transient increase in CD56bright NK cells

might have been missed because of the lag between therapy and

sampling. A second potential explanation for the differences in

NK cell expansion is differences in the dose and the duration of

IL-2 therapy. It is possible that the higher dose (~5–10-fold higher) and shorter duration in this study, compared with the

longer, lower-dose treatment in previous studies, resulted in an

advantage for the CD56dim NK cells. Finally, it should be noted

that the present and previous studies have sampled only periph-

eral blood. CD56bright cells are the major NK cell subset in lymph

nodes, whereas CD56dim NK cells make up the major NK cell

subset found in peripheral blood. It is thus possible that there is

an expansion of CD56bright NK cells in the lymph nodes, which is

not reflected by the expansion of NK cells in the blood. Because

of the discomfort and risk for complications of sequential biop-

sies of secondary lymphoid tissue (e.g., lymph nodes and spleen),

we collected samples only from peripheral blood and could not address the question of the effects of IL-2 on NK cells

in lymphoid tissue directly.

The balance between activating and inhibitory receptors gov-

erns the function of NK cells, allowing maintenance of NK cell

self-tolerance and recognition of aberrant cells. IL-2 therapy

could potentially distort the balance between these receptors and

result in NK cell autoreactivity. Here we show that the NKR

expression and spontaneous IFN-γ production was conserved
during IL-2 therapy, despite the large increase in NK cell num-

bers. Together, these findings indicate that combined ART and

IL-2 therapy expands the CD56dim NK cells without excessive,

potentially harmful, activation or skewing of the NKR reper-

toire.

In addition to investigating the effects of combined ART and

IL-2 therapy, our study enabled us to investigate the dynamics of

NKR expression over time in HIV infection. Previous cross-

sectional studies have noted large differences in NKR expression

between treated (aviremic) and untreated (viremic) groups of

patients [29, 31]. This has been interpreted as a causal link be-

tween viral load and changes in NKR expression. However,

comparing subjects who choose to initiate ART and subjects

who chose to remain untreated are more likely to have some

degree of viral control and hence have lower viral loads than do

subjects who subsequently choose to initiate ART (and have

higher viral loads before ART). This is evident also in our study,

where the subjects who remained untreated had 1–2 log lower

viral load at study entry than did the subjects who subsequently

initiated ART. Here we show that the expression of a number of

NKRs is remarkably stable over 1 year in the same patients, irre-

spective of treatment or reductions in viral loads. There are,

however, large variations in the pattern of NKR expression be-
	

between patients, even though the pattern is conserved within each

patient over the year. These findings support the notion that

NKR expression could be a determinant of viral load rather than

being determined by the viral load. Although the expression of

NKRs remained stable over time in most individuals, we noted a

marked expansion of CD94/NKG2C+ NK cells in some individ-

uals, irrespective of treatment (figure 5). Increased frequencies

of NKG2C+ NK cells in HIV-1–infected individuals was recently

shown to be associated with seropositive reactions to cytomeg-

alovirus (CMV) rather than HIV-1 infection [46], indicating

that the marked increase in NKG2C+ NK cells over time in some

individuals in our study may reflect a change in CMV serostatus

or reactivation of CMV during early HIV-1 infection.

In conclusion, we show that CD56dim NK cells are expanded in

subjects with early HIV infection undergoing combined ART

and IL-2 therapy, with conserved receptor expression and IFN-γ

production over time. The impact of IL-2 treatment on increas-

ing the frequency of innate immune cells, including NKT cells

[9] and NK cells, in primary HIV-1 infection may be critical in

restoring immune responses to other pathogens and tumors, as

well as in helping contain HIV viremia if patients choose to dis-

continue therapy or develop drug resistance.

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