

The Receiver Operating Characteristics Curve in the Evaluation of a Random Urine Specimen as a Screening Test for Diabetic Nephropathy

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OBJECTIVE — To assess the performance of measurements of urinary albumin concentration (UAC) and urinary albumin:creatinine ratio (UACR) in a diurnal random urine specimen (RUS) for the screening of diabetic nephropathy.

RESEARCH DESIGN AND METHODS — A total of 95 ambulatory NIDDM patients (49 women, ages 40–75 years) collected 123 RUSs during the morning after completing a timed 24-h urine collection. Albumin was measured by immunoturbidimetry. According to timed urinary albumin excretion rate (UAER) measured in the 24-h collection (criterion standard), samples were classified as normoalbuminuric (UAER <20 µg/min; n = 54), microalbuminuric (UAER 20–200 µg/min; n = 44), and macroalbuminuric (UAER >200 µg/min; n = 25). The receiver operating characteristics (ROC) curve approach was used. The ROC curves of UAC and UACR in RUS for screening of microalbuminuria (normo- and microalbuminuric samples; n = 98) and macroalbuminuria (micro- and macroalbuminuric samples; n = 69) were plotted.

RESULTS — Spearman's coefficients of correlation of 24-h UAER vs. UAC and UACR were 0.91 and 0.92, respectively ($P < 0.001$). The calculated areas (\pm SE) under the ROC curves to screen microalbuminuria for UAC (0.9766 ± 0.015) and UACR (0.9689 ± 0.014) were similar ($P > 0.05$) as were the corresponding areas for macroalbuminuria (0.9868 ± 0.0094 and 0.9614 ± 0.0241 , respectively; $P > 0.05$). The first point with 100% sensitivity and the point of intersection with a 100%-to-100% diagonal for microalbuminuria were as follows: 16.9 and 33.6 mg/l for UAC and 15.0 and 26.8 mg/g for UACR; for macroalbuminuria 174.0 and 296.2 mg/l for UAC and 116.0 and 334.3 mg/g for UACR, respectively.

CONCLUSIONS — Albumin measurements (UAC and UACR) in an RUS presented almost perfect accuracy for the screening of micro- and macroalbuminuria and UAC measured in an RUS is simpler and less expensive than UACR and UAER. It is suggested as a valid test for use in screening for diabetic nephropathy.

The screening for diabetic nephropathy has become increasingly relevant since it is the most common cause of end-stage renal failure in the U.S. (1). It is also associated with increased cardiovascular morbidity and mortality, especially in NIDDM patients (2,3). From a clinical perspective, diabetic nephropathy has two distinct but interconnected stages: incipient

nephropathy, or microalbuminuria; and overt nephropathy, or macroalbuminuria. The microalbuminuria phase is characterized by potential reversibility if proper therapeutic measures are used. The macroalbuminuria is a more advanced stage with a progressive, virtually inexorable, decline in renal function (4). This difference—potential reversibility—makes the possibil-

ity of identifying the disease stage in the screening a very useful tool for immediate intervention. Urinary albumin excretion is the main parameter used to diagnose both incipient and overt diabetic nephropathy (5,6). A timed urine collection, either 24 h or overnight, is clearly the most sensitive assay to measure urinary albumin excretion rate (UAER). Determination of albumin in a random urine specimen (RUS) is more practical and convenient than timed urine collection. Recently, recommendations for screening and diagnosis of diabetic nephropathy, with special reference to microalbuminuria, have been published. Measurement of urinary albumin concentration (UAC) (7) or urinary albumin:creatinine ratio (UACR) (5–8) in an RUS or early morning urine sample (6,9) have been recommended. According to the American Diabetes Association consensus statement (5), microalbuminuria is defined as a UAER of 20–200 µg/min or a UACR of 30–300 mg/g in an RUS, and macroalbuminuria is defined as a UAER >200 µg/min or a UACR >300 mg/g. Although the cutoff points have been established in the consensus statement (5), there are very few data regarding the accuracy of the RUS to screen for diabetic nephropathy.

The receiver operating characteristics (ROC) curve has been used increasingly as a measurement of overall test performance (10,11) and for comparison of the discriminating ability of clinical tests (12). The ROC curve analysis is based on the curve plotting the relationship between the true-positive rate (sensitivity) and the false-positive rate (100-specificity) over a range of cutoff points of a test.

This study was carried out to assess the performance of UAC and UACR measurements in a diurnal RUS for screening of micro- and macroalbuminuria in patients with diabetes by using the ROC curve approach.

RESEARCH DESIGN AND METHODS

— This is a study of screening tests for micro- and macroalbuminuria.

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Received for publication 26 July 1996 and accepted in revised form 31 October 1996.

ROC, receiver operating characteristics; RUS, random urine specimen; UAC, urinary albumin concentration; UACR, urinary albumin:creatinine ratio; UAER, urinary albumin excretion rate.

The criterion standard was 24-h UAER. The study was performed at the outpatient diabetes clinic of the Hospital de Clínicas de Porto Alegre (a tertiary care center), between November 1994 and April 1995. Informed consent was obtained from each patient and the protocol was approved by the ethics committee.

Every NIDDM patient (per World Health Organization criteria) without evidence of cardiac failure or renal tract disease other than diabetic nephropathy (urinary tract infection, hematuria, abnormal urinary sediment, and/or plasma creatinine increase without proteinuria) was considered for the study. Ninety-five NIDDM outpatients (49 women), ages 40–75 years (60.6 ± 8.5 years; mean \pm SD), with 1–45 years of known diabetes duration (11.3 ± 8.6 years), BMI of 18.2 – 41.0 kg/m² (27.7 ± 4.3 kg/m²), and mean blood pressure of 70 – 147 mmHg (106.4 ± 14.6 mmHg) were included. Their HbA_{1c} ranged from 6.9 to 15.6% (10.1 ± 2.1 %), plasma creatinine from 0.6 to 2.3 mg/dl (1.05 ± 0.3 mg/dl), and 24-h UAER from 0.13 to 4056.8 μ g/min (median: 18.3 μ g/min). The patients were oriented to collect timed 24-h urine samples and to return on the morning after the end of urine collection. No specific recommendation was made about fluid intake, physical exercise, or dietary protein intake. Women were not examined during menstruation. At the time of this clinic visit, after an overnight fast, a blood sample was taken to measure biochemical parameters, and an RUS (after first urine voided in the morning) was taken for UAC and for UACR measurements.

Urinary albumin was measured in duplicate by immunoturbidimetry (Microalb, Ames). At our laboratory, the mean intra- and interassay coefficients of variation are 4.5 and 11.0%, respectively. HbA_{1c} was analyzed by agarose gel electrophoresis (Celmigel; normal range: 6.0–9.2%) and creatinine by Jaffé's reaction.

The patients collected 132 24-h UAER and 132 RUS. All urine samples were confirmed to be sterile by culture. The 24-h UAER was considered adequate when creatinine measurements in the same sample were 700–1,500 mg for women and 1,000–1,800 mg for men. Nine samples were excluded based on this criterion, two from women patients (441 and 556 mg) and seven from men patients (701–980 mg). Thus, 123 24-h UAER and 123 RUS were examined. Samples were divided into normoalbuminuric (UAER <20 μ g/min; n

= 54), microalbuminuric (UAER = 20–200 μ g/min; n = 44), and macroalbuminuric (UAER >200 μ g/min; n = 25) groups, according to the criterion standard.

The relationship between UAER vs. UAC and UACR was calculated by Spearman's correlation coefficients (r_s). Sensitivities and specificities of RUS measurements (UAC and UACR) as a screening test for microalbuminuria were calculated using normo- and microalbuminuric samples (n = 98) and for macroalbuminuria using micro- and macroalbuminuric samples (n = 69). The ROC curve approach was used to analyze the performance of the screening test. The true-positive rate (sensitivity) versus the false-positive rate (100-specificity) was plotted for each measurement. Sensitive tests are helpful to screen people without complaints, as is the case in the early stages of diabetic nephropathy. Thus, the first point with a sensitivity of 100% was chosen in each curve. A second cutoff point was also determined in each curve by the intersection of the curves with the 100%-to-100% diagonal. The latter point represents the best equilibrium between sensitivity and specificity. The statistical analyses of ROC curves were performed with a Visicalc program (10), using the ROC analyzer software

version 5.0, which calculates the area under the curve and the significance of differences between areas (12). The level of significance was set at 0.05.

RESULTS—Spearman's coefficients of correlation of 24-h UAER vs. UAC (n = 123) and UACR ratio (n = 123) were 0.91 and 0.92, respectively (P < 0.001). Median values of 24-h UAER, UAC, and UACR were 42.7 μ g/min, 42.3 mg/l, and 44.2 mg/g, respectively.

Figure 1 depicts the ROC curves for UAC and UACR as a screening test for microalbuminuria and macroalbuminuria. The areas (mean \pm SE) under the ROC curves for microalbuminuria were 0.9766 ± 0.015 for UAC and 0.9689 ± 0.014 for UACR. Corresponding areas for macroalbuminuria were 0.9868 ± 0.0094 and 0.9614 ± 0.0241 , respectively. There was no statistical difference between calculated areas for UAC and UACR for micro- or macroalbuminuria (P > 0.05). ROC curves for UAC and UACR as a screening test for micro- and macroalbuminuria were constructed separately for men and women (data not shown). The curves were skewed to the left from the 45° diagonal and all the areas under the curves were >0.9542,

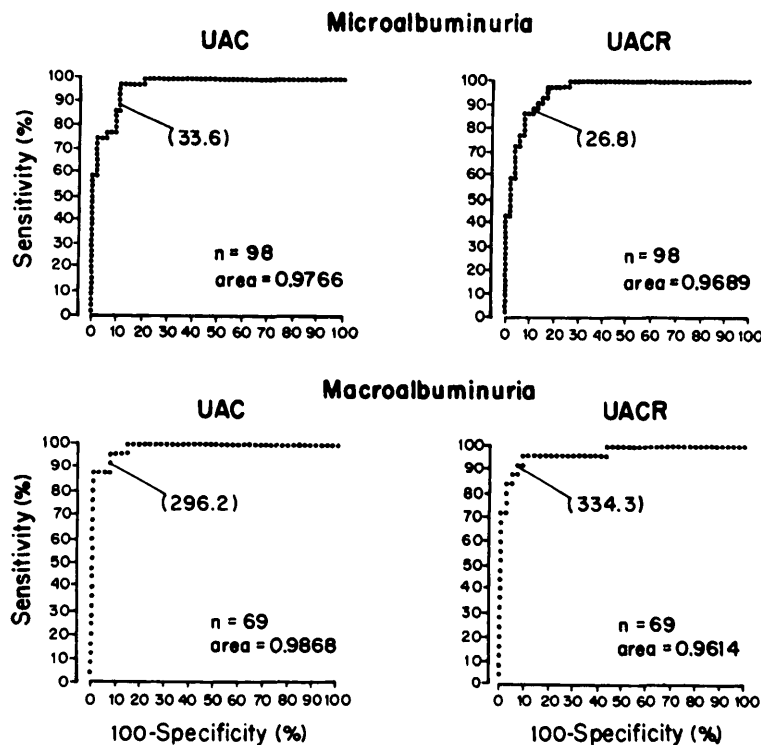


Figure 1—ROC curves for UAC and UACR as a screening test for microalbuminuria and macroalbuminuria. Values in parentheses (UAC = mg/l; UACR = mg/g) correspond to the intersection of the curve with the 100%-to-100% diagonal.

Table 1—Characteristics of the cutoff points of UAC and UACR as a screening test for micro- and macroalbuminuria in NIDDM patients

	Microalbuminuria		Macroalbuminuria	
	UAC mg/l	UACR mg/g	UAC mg/l	UACR mg/g
Cutoff point	16.9 (33.6)	15.0 (26.8)	174.0 (296.2)	116.0 (334.3)
Sensitivity %	100 (88.6)	100 (88.6)	100 (96)	100 (92)
Specificity %	79.6 (88.9)	74.1 (88.9)	86.4 (93)	56.8 (90.9)

Data are the first cutoff point with 100% sensitivity (the nearest point to the intersection of the curve with the 100%-to-100% diagonal).

without any difference in area between men and women ($P > 0.05$).

Table 1 presents the characteristics of the cutoff points for screening of micro- and macroalbuminuria according to the first point with a sensitivity of 100% and the nearest point to the intersection of the curves with the 100%-to-100% diagonal.

CONCLUSIONS — In this study UAC and UACR measured in an RUS showed an excellent performance as a screening test for the diagnosis of both micro- and macroalbuminuria.

UAC and UACR presented a strong correlation with the 24-h UAER ($r_S > 0.9$), over a wide range of UAC (24-h UAER of 0.13–4,056.8 $\mu\text{g}/\text{min}$), confirming data from other authors. In one study in which 25 diabetic patients were evaluated, albumin measured in single-void urine samples and expressed as $\mu\text{g}/\text{mg}$ creatinine had an excellent correlation with 24-h UAER ($r = 0.80$) (13). In another study (14), albumin ($\mu\text{g}/\text{ml}$) was determined in 94 single-void random upright urine collections from patients with diabetes and correlated well with 24-h UAER ($r = 0.79$).

In the present study the accuracy of UAC and UACR analyzed by area under the ROC curves was almost perfect for the screening of micro- and macroalbuminuria because the observed values varied from 0.9614 to 0.9868. Similar accuracy was observed for women and men. The estimated area under the fitted smooth curve ranges from 0.5 (no apparent accuracy) to 1.0 (perfect accuracy) as the ROC curves moves toward the left and top boundaries of the ROC graph (11). The selection of the best diagnostic test is based on the statistical comparison of measurements of the area under the curve. Other authors used the ROC curve approach to analyze the performance of urinary albumin measurements, but not in RUS. One study (15) evaluated UAC ($\mu\text{g}/\text{ml}$) in spot urine samples during

water-induced diuresis and night UAER against 24-h UAER in patients with diabetes. According to the authors, the ROC curve for spot concentration was displaced to the right as compared with the ROC curve for night UAER. This increase of false-positive results would be expected because of the known effect of water loading on the increase of urinary albumin (16). However, visual inspection of the curves suggests that any difference is minimal, but it is not possible to confirm that the curves are in fact different, because statistical data were not presented. In another study (17), the investigators analyzed UAC and UACR in a timed overnight urine collection and observed that the UACR outperformed UAC in detecting a UAER of 30 $\mu\text{g}/\text{min}$. Also in this study, the areas under the curves were not calculated, and the comparison between the curves was performed only by visual inspection. In the present study no difference was observed when comparing the performance of UAC and UACR based on statistical comparison of measurements of the area under the ROC curves. UAC measurements have been considered less reliable because variation in urine flow rate might significantly influence the results. This was the case in one study that compared UAC and UAER measured in the same timed 12-h nightly urine collection. The wide range of urine volumes (up to 3 liters) could explain the low sensitivity (70%) of UAC at the level of 20 mg/l (18). The better sensitivity observed in the present study is probably due to a lesser effect of dilution in one voided urine sample because of the limited capacity of the bladder.

The ROC curve allows the comparison of the sensitivity and specificity of a test over a wide range of cutoff points and the selection of the best diagnostic criterion for that test (11). Two criteria were used for the selection of cutoff points to diagnose micro- and macroalbuminuria: the first point with 100% sensitivity and the point that represents the best equilibrium between sensi-

tivity and specificity. According to the latter criterion, the observed values of UACR of 26.8 mg/g for the diagnosis of microalbuminuria and of 334.3 mg/g for the diagnosis of macroalbuminuria were very similar to the UACR values of 30 and 300 mg/g, respectively, as recommended by the American Diabetes Association in a recent consensus statement (5). As expected, corresponding values (15 and 116 mg/g, respectively) were lower when the 100% sensitivity criterion was used. Other authors also described lower values of UACR for the diagnosis of microalbuminuria. In a recent study (8), albumin (UACR) was measured in a random daytime urine sample. A lower boundary between normoalbuminuria and microalbuminuria was described, both for women (17 mg/g) and for men (25 mg/g). According to the investigators, these values corresponded to the 95th percentiles of the respective distributions of the UACR in healthy control subjects. The adoption of lower cutoff points to diagnose diabetic nephropathy, at least regarding the screening for microalbuminuria, could be relevant. Recent data from prospective studies suggest that UAER values $<20 \mu\text{g}/\text{min}$ (median: 15.7 $\mu\text{g}/\text{min}$; 17 mg/24-h or 11.8 $\mu\text{g}/\text{min}$) could already be associated with the transition from normoalbuminuria to microalbuminuria in IDDM patients (19). Also, in a 5-year prospective study with normoalbuminuric NIDDM patients, the geometric mean value of UAER of 6.8 $\mu\text{g}/\text{min}$, although still in the normal range, was related to later development of microalbuminuria (20).

In conclusion, albumin measurements (UAC and UACR) in an RUS presented almost perfect accuracy for the screening of micro- and macroalbuminuria in NIDDM patients, and UAC measured in an RUS is simpler and less expensive than UACR and UAER. It is suggested as a valid test for use in screening for diabetic nephropathy.

Acknowledgments — This study was supported by grants from FAPERGS (Fundação de Amparo à Pesquisa do Rio Grande do Sul) and Hospital de Clínicas de Porto Alegre. T.Z. is the recipient of a scholarship from CAPES (Coordenação de Aperfeiçoamento do Pessoal de Nível Superior), and AP and MT are the recipients of scholarships from CNPq (Conselho Nacional de Desenvolvimento Científico e Tecnológico).

Parts of this study were presented as an abstract at the 56th Annual Meeting of the American Diabetes Association, San Francisco, CA, June 8–11, 1996.

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