

Patterns of Preoperative Consultation and Surgical Specialty in an Integrated Healthcare System

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ABSTRACT

Background: Many patients scheduled for elective surgery are referred for a preoperative medical consultation. Only limited data are available on factors associated with preoperative consultations. The authors hypothesized that surgical specialty contributes to variation in referrals for preoperative consultations.

Methods: This is a cohort study using data from Group Health Cooperative, an integrated healthcare system. The

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What We Already Know about This Topic

- Limited data are available on factors associated with preoperative consultations
- The investigators thus tested the hypothesis that surgical specialty contributes to variation in referrals for preoperative consultations

What This Article Tells Us That Is New

- Among 13,673 patients in a single health system, patients having ophthalmologic, orthopedic, or urologic surgery were more likely to have consultations compared with those having general surgery—adjusted odds ratios (95% CI) of 3.8 (3.3–4.2), 1.5 (1.3–1.7), and 2.3 (1.8–2.8), respectively
- There is substantial practice variation among surgical specialties with regard to the use of preoperative consultations that does not appear to be based on underlying risk

authors included 13,673 patients undergoing a variety of common procedures—primarily low-risk surgeries—representing six surgical specialties, in 2005–2006. The authors identified consultations by family physicians, general internists, pulmonologists, or cardiologists in the 42 days preceding surgery. Multivariable logistic regression was used to estimate the association between surgical specialty and consultation, adjusting for potential confounders including the revised cardiac risk index, age, gender, Deyo comorbidity index, number of prescription medications, and 11 medication classes.

Results: The authors found that 3,063 (22%) of all patients had preoperative consultations, with significant variation by surgical specialty. Patients having ophthalmologic, orthopedic, or urologic surgery were more likely to have consultations compared with those having general surgery—adjusted odds ratios (95% CI) of 3.8 (3.3–4.2), 1.5 (1.3–1.7), and 2.3

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(1.8–2.8), respectively. Preoperative consultations were more common in patients with lower revised cardiac risk scores.

Conclusion: There is substantial practice variation among surgical specialties with regard to the use of preoperative consultations in this integrated healthcare system. Given the large number of consultations provided for patients with low cardiac risk and for patients presenting for low-risk surgeries, their indications, the financial burden, and cost-effectiveness of consultations deserve further study.

THE fragmented and economically costly U.S. health-care system presents potential opportunities for improvements in quality and efficiency in healthcare delivery. An estimated 20–34% of healthcare dollars are spent on ineffective measures, so identification and reduction of these costs are now of particular interest.^{1–5} Accordingly, there is a growing recognition that improvements are needed in U.S. health care to improve quality and patient experience, and reduce costs, as proposed in the Triple Aim⁶ strategy.

Within the realm of perioperative medicine, preoperative medical consultation of patients undergoing low-risk surgery may warrant closer evaluation in light of the Triple Aim strategy. Several previous studies focusing on patients with comorbidities undergoing major surgery did not demonstrate any associated improvement in outcomes from preoperative consultations.^{7–9} Consequently, there is reason to believe that preoperative consultations for relatively healthy patients having low-risk surgery may be a practice with unproven health benefit. Indeed, no current practice guideline recommends that such patients be routinely referred for consultation.^{10,11}

Prior research on preoperative medical consultation has generally focused on patients with comorbidities undergoing major surgery.^{7–9,12–16} In these previous reports, frequency of consultations for patients undergoing intermediate- to high-risk surgery (sometimes referred to as major surgery) has ranged from 10 to 40%. Some investigators have also found that whereas increased age and comorbidities did predict referral for preoperative consultation, increased surgical risk did not.⁹ Specifically, surgical procedures with inherently lower perioperative risks (*e.g.*, major joint replacement) had similarly frequent consultations as procedures with much higher risks (*e.g.*, major vascular surgery). In contrast to the previous work on major surgery, there is a paucity of research on preoperative consultations among patients undergoing low-risk surgery, although low-risk surgeries are more common.¹⁷ The total cost of frequent consultations for such low-risk surgeries may be substantial, potentially exceeding the costs associated with common preoperative tests such as chest x-rays, electrocardiograms, and laboratory studies.¹⁸

To begin to examine patterns of preoperative medical consultations among patients undergoing common, predominantly low-risk surgical procedures, we conducted a cohort study of such patients in an integrated healthcare system.¹⁹ Our objectives were to describe the frequency and determinants of preoperative consultations in this population. We

also specifically sought to evaluate the association of surgical specialty after accounting for age, burden of comorbidity, and operative risk.

Materials and Methods

The Group Health Cooperative (GHC) and the Veterans Affairs Puget Sound Healthcare System Institutional Review Boards (Seattle, WA) approved the study, and waived the requirement of informed consent. This cohort study used linked administrative and clinical data from GHC, an integrated healthcare insurance and delivery system in the Pacific Northwest. GHC insures approximately 675,000 participants across Washington State. Characteristics of GHC databases and their advantages and limitations for health services research have been described previously.²⁰

Clinical Setting

There were no preanesthesia or preoperative clinics where patients were routinely seen. GHC did not have a policy addressing which patients should be referred for preoperative consultations. Therefore, preoperative consultations were initiated at the surgeons' discretion. Nurse practitioners were available to do history and physical examinations when requested by surgeons, but these history and physical examinations were not identified in this study.

Assembly of Cohort

Using administrative data, we identified all adults aged 18 and older who had one of 21 inpatient or outpatient surgical procedures performed by six different surgical specialties in 2005 or 2006. The surgical procedures were chosen because they represented low-, intermediate-, and high-risk surgeries that are commonly performed in the United States¹¹ (see Appendix for list of included procedures and their frequencies in the study cohort). We identified patients based on the first occurrence of a *Current Procedural Terminology* code reflecting one of the aforementioned selected procedures during the study period. The main outcome of interest, the occurrence of preoperative medical consultation, was identified by the presence of codes for moderate to high level preoperative consultations (outpatient consultations *Current Procedural Terminology* codes 99243, 99244, 99245 and inpatient consultations *Current Procedural Terminology* codes 99253, 99254, 99255) that were provided by family physicians, general internists, pulmonologists, or cardiologists. We also included office visits (new patient *Current Procedural Terminology* codes 99203, 99204, 99205, and established patient 99213, 99214, 99215) if they were associated with an *International Classification of Diseases, 9th Revision, Clinical Modification (ICD-9-CM)* code v72.81–v72.84 indicating a preoperative evaluation. For a visit to be defined as a preoperative consultation, it had to occur within 42 days before surgery. Although we assumed that the vast majority of preoperative consultations occurred within 28 days before surgery, we used a more conservative time window of 42

days to ensure that we captured all visits associated with an elective surgical procedure.

Using diagnostic codes present within 365 days before surgery, we calculated both the revised cardiac risk index (RCRI),²¹ using the method previously described by Lindenauer *et al.*,²² and the Deyo comorbidity index.²³ Briefly, the RCRI is calculated by adding one point for the presence of each of the following: History of ischemic heart disease, history of cerebrovascular disease, history of congestive heart failure, chronic renal insufficiency, diabetes, and high-risk surgery. Consistent with the original study that derived the RCRI, we defined high-risk surgery as intraperitoneal, intrathoracic, and suprainguinal vascular procedures.²¹ Patients with 0, 1, 2, or ≥ 3 factors were assigned to classes I, II, III, or IV, respectively, as was described in the original report.²¹ The Deyo comorbidity index was used as an ordinal variable with categories 0, 1, 2, and 3 representing an index score of 0, 1, 2, and ≥ 3 . Demographic information included age and gender. To identify medications that patients were receiving before their preoperative medical consultation, we searched the automated pharmacy database for records of filled prescriptions between 6 months and up to 43 days prior to index surgery. Medication classes included analgesics, aspirin, nonaspirin antiplatelet agents, oral anticoagulants, angiotensin converting enzyme inhibitors or angiotensin II receptor antagonists, β blockers, cardiac medications,** calcium channel blockers, other antihypertensives, insulin, oral hypoglycemic agents, antiinfectives, anticonvulsants, lipid-lowering agents, antineoplastics, α blockers, diuretics, psychotherapeutics, thyroid medications, and uric acid agents. We also counted the total number of medication classes that had been filled. Based on their relevance to perioperative medical care, we included 11 individual medication classes in our primary analysis, namely, analgesics, aspirin, nonaspirin antiplatelet agents, oral anticoagulants, angiotensin converting enzyme inhibitors or angiotensin II receptor antagonists, β blockers, cardiac medications, calcium channel blockers, other antihypertensives, insulin, and oral hypoglycemic agents.

Statistical Analysis

Consultations were summarized using frequency distributions by surgical specialty and by day preceding surgery up to 42 days prior to index surgery. In bivariate analyses, we tested the association of the occurrence of preoperative consultation (outcome variable) with age, comorbidity, surgery risk category, and referring surgical specialty (predictors). We fit a multivariable logistic regression model to estimate the association between preoperative consultation (outcome variable) and surgical specialty (main predictor of interest), with adjustment for the other explanatory variables and potential confounders, including RCRI, age, gender, Deyo comorbidity index, total number of prescription medications, and 11 different classes of medications. We performed

** Cardiac medications include class IA, class IC, and class III antiarrhythmic agents, digitalis glycosides, and vasodilator nitrates.

a priori planned secondary analyses in the subgroup of patients undergoing low-risk surgical procedures to evaluate whether this subgroup of patients influenced the results. In these exploratory analyses, we excluded cataract surgery from the subgroup of patients referred by ophthalmology.

Cataract surgery dominated the ophthalmologic procedures, so we sought to explore if the increase in preoperative consultations for ophthalmologic surgery was driven by cataract surgery alone. A two-sided α level of 0.05 was considered for statistical significance. All statistical analyses were performed using Stata 12 (Stata Corporation, College Station, TX).

Results

Study Population

A total of 13,673 patients were identified, and the final sample consisted of 13,670 patients with complete data who underwent one of the 21 selected surgical procedures (see Appendix). The mean age was 63 years and 60% of patients were female. Table 1 shows the cohort characteristics and bivariate associations comparing patients undergoing preoperative consultation or not. Overall, 3,063 (22%) patients underwent preoperative consultation. The distribution of preoperative consultations showed peaks on weekly intervals before surgery (days 7 and 14, see fig. 1). The median time interval from consultation to surgery was 8 days, with an interquartile range of 5–14 days (*i.e.*, 75% of consultations occurred within 14 days of surgery). Patients who were provided a preoperative medical consultation in the 42 days preceding the surgical procedure were older, more likely to be male, and more likely to have a low underlying cardiac risk (RCRI 1) compared with patients who did not have a preoperative consultation. In addition, patients seen in consultation had a slightly higher proportion of ischemic heart disease and diabetes, and were more likely to have filled prescriptions for aspirin, oral anticoagulants, angiotensin converting enzyme inhibitors or angiotensin II receptor antagonists, β -blockers, cardiac medications, calcium channel blockers, other antihypertensives, and insulin but less likely to have filled a prescription for analgesics.

Characteristics of Consultations and Association with Surgical Specialty

Family physicians provided the majority of consultations (table 2). Level 3 and 4 preoperative consultations were the most common, 50 and 47%, respectively. Level 5 visits represented only 2.5% of all consultations. Referrals for preoperative consultation varied significantly by specialty, with ophthalmologic, urologic, and orthopedic surgeries being associated with the highest proportion of preoperative consultations (table 2).

Multivariable Regression

In adjusted models, patients undergoing ophthalmologic, orthopedic, and urologic surgeries were more likely to be seen

Table 1. Characteristics of Patients Receiving and Not Receiving Preoperative Consultation

	Consultation, n = 3,063	No Consultation, n = 10,607	P Value‡
Age, mean (SD)	66.8 (13.0)	61.4 (14.6)	<0.01
Female, n (%)	1,818 (54.5)	6,384 (61.6)	<0.01
Surgical specialty, n (%)			<0.01
General	424 (13.9)	2,737 (25.8)	
Ophthalmology	1,713 (55.9)	2,933 (27.7)	
Gynecology	19 (0.6)	1,123 (10.6)	
Orthopedics	712 (23.3)	3,098 (29.2)	
Urology	169 (5.5)	484 (4.6)	
Vascular	26 (0.9)	232 (2.2)	
RCRI, n (%)*			<0.01
I	1,947 (63.6)	6,245 (58.9)	
II	681 (22.2)	2,861 (27.0)	
III	278 (9.1)	971 (9.2)	
IV	157 (5.1)	530 (5.0)	
Comorbidities, n (%)			
Ischemic heart disease	519 (16.9)	1,501 (14.2)	<0.01
Congestive heart failure	251 (8.2)	785 (7.4)	0.14
Cerebrovascular disease	213 (7.0)	797 (7.5)	0.30
Diabetes mellitus	614 (20.1)	1,907 (18.0)	0.01
Chronic renal insufficiency	108 (3.5)	315 (3.0)	0.12
High-risk surgery, n (%)	61 (2.0)	1,294 (12.2)	<0.01
Low-risk surgery, n (%)	2,406(78.6)	6,184(58.4)	<0.01
Deyo comorbidity index, n (%)†			
0	1,684 (55.0)	5,929 (55.9)	<0.01
1	595 (19.4)	1,910 (18.0)	
2	450 (14.7)	1,588 (15.0)	
3	334 (10.9)	1,180 (11.1)	
Number of drugs, median (IQR)	2(1–4)	2(1–4)	0.03§
Medication classes, n (%)			
Analgesics	791 (25.8)	3,211 (30.3)	<0.01
Aspirin	366 (12.0)	980 (9.2)	<0.01
Nonasp antiplt agents	36 (1.2)	153 (1.4)	0.27
Oral anticoagulants	175 (5.8)	447 (4.2)	<0.01
ACE inhibitors or ARBs	914 (29.8)	2,665 (25.1)	<0.01
β blockers	803 (26.2)	2,183 (20.6)	<0.01
Cardiac medications	276 (9.0)	709 (6.7)	<0.01
Calcium channel blockers	317 (10.4)	868 (8.2)	<0.01
Other antihypertensives	203 (6.6)	540 (5.1)	<0.01
Insulin	185 (6.0)	499 (4.7)	<0.01
Oral diabetic agents	285 (9.3)	910 (8.6)	0.21

* Patients with 0, 1, 2, or 3 or more factors were assigned to classes I, II, III, or IV, respectively. † The Deyo comorbidity index was used as a categorical variable, scores of 0, 1, 2, and ≥ 3 , are represented by categories 0, 1, 2, and 3. ‡ P values computed using two-sample *t* test or chi-square test comparing patients with consultation versus patients without consultations. § P value computed using Wilcoxon rank sum test.

ACE inhibitors = angiotensin converting enzyme inhibitors; ARBs = angiotensin II receptor antagonists; IQR = interquartile range; Nonasp antiplt agents = nonaspirin antiplatelet agents; RCRI = revised cardiac risk index.

in consultation than those undergoing general surgery (referent group, table 3). In the multivariable model, older age and male gender were associated with preoperative consultation. Notably, the total burden of preoperative comorbidity was not associated with the likelihood of consultation, while an

increased perioperative cardiac risk (*i.e.*, higher RCRI class) was paradoxically associated with a lower adjusted odds ratio of consultation. Aside from analgesics and nonaspirin antiplatelet agents, which were inversely associated with preoperative consultation, in multivariable analyses the prescription of other

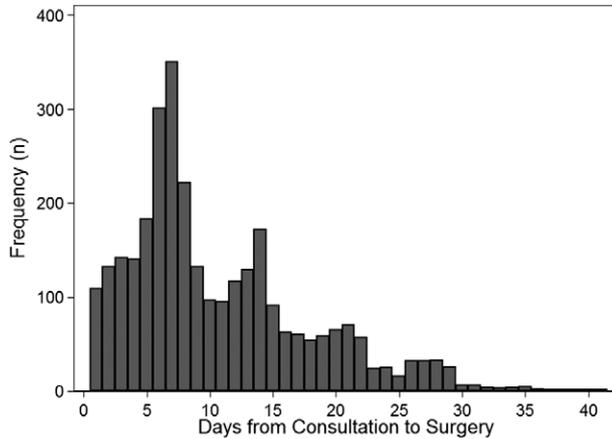


Fig. 1. Frequency distribution of preoperative consultations in the 42 days preceding the index surgery, showing a bimodal distribution with peaks on preoperative days 7 and 14.

classes of medications and the total number of prescription medications were not independently associated with the likelihood of preoperative consultation (fig. 2).

Subgroup Analyses

In secondary analyses, when we fitted the same adjusted model restricting to a subset of patients undergoing low-risk surgeries only, our findings were similar to those in the full cohort (table 3). The heterogeneity of the odds ratios across the surgical specialties was of similar magnitude and the odds ratios remained statistically significantly different compared with general surgery (referent).

There was a large number of cataract surgery patients in our sample ($n = 4,315$, Appendix). However, after excluding patients undergoing cataract surgery, surgical specialty remained an independent predictor of consultation, with no change in the relative rankings of the six specialties (data not shown).

Discussion

In this cohort study of participants from an integrated healthcare system, we found that surgical specialty is a strong predictor of referral for preoperative consultation, independent of *a priori* selected explanatory variables (*i.e.*, age, comorbidity burden, and surgical risk) and other potential confounders. Surprisingly, the proportion of patients referred for preoperative consultation was highest for ophthalmologic surgery. In addition, referrals for preoperative consultation did not appear to be more frequent in patients with higher RCRI; indeed, the highest likelihood of consultation was in patients with the lowest predicted cardiac risk. This finding is not consistent with previous reports of major surgery where patients were selectively referred for preoperative medical consultations because of medical problems.^{14,24} A selective approach based on medical problems was recommended by the authors of a cost-benefit evaluation of preoperative and postoperative medical evaluation²⁵ and is consistent with the updated American Society of Anesthesiologists Practice advisory for preanesthesia evaluation.¹⁰

Table 2. Distribution of Consultations by Surgical Specialty

n (%)	Surgical Specialty						Total (n = 13,670)	P Value*
	General (n = 3,161)	Eye (n = 4,646)	Gynecology (n = 1,142)	Orthopedics (n = 3,810)	Urology (n = 653)	Vascular (n = 258)		
All consultations	424	1,713	19	712	169	26	3,063	—
RCRI†								
RCRI I, n/n _{tot} (%)	303/2,156 (14.1)	995/2,589 (38.4)	1/252 (0.4)	544/2,805 (19.4)	104/390 (26.7)	0/0 (0.0)	1,947/8,192 (23.8)	<0.01
RCRI II, n/n _{tot} (%)	81/648 (12.5)	416/1,169 (35.6)	15/766 (2.0)	114/704 (16.2)	48/184 (26.1)	7/71 (9.9)	681/3,542 (19.2)	<0.01
RCRI III, n/n _{tot} (%)	26/240 (10.8)	186/543 (34.3)	3/105 (2.9)	38/222 (17.1)	15/59 (25.2)	10/80 (12.5)	278/1,249 (22.3)	<0.01
RCRI IV, n/n _{tot} (%)	14/117 (12.0)	116/345 (33.6)	0/19 (0.0)	16/79 (20.3)	2/20 (10.0)	9/107 (8.4)	157/687 (22.9)	<0.01
Consultant's specialty‡								
Family practice, n (%)	353 (83.3)	1,550 (90.5)	10 (52.6)	503 (70.7)	126 (74.6)	20 (76.9)	2,562 (83.6)	—
Internal medicine, n (%)	46 (10.9)	87 (5.1)	6 (31.6)	148 (20.8)	32 (18.9)	5 (19.2)	324 (10.6)	—
Cardiology, n (%)	25 (5.9)	74 (4.3)	3 (15.8)	61 (8.6)	11 (6.5)	1 (3.9)	175 (5.7)	—
Pulmonary, n (%)	0 (0.0)	2 (0.1)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	2 (0.1)	—

* Chi-square test of homogeneity. † Patients with 0, 1, 2, or 3 or more factors were assigned to classes I, II, III, or IV, respectively.

‡ Column percentages may not add to 100 because of rounding errors.

RCRI = revised cardiac risk index

Table 3. Crude and Adjusted Odds Ratios for the Association of Surgical Specialty and Preoperative Consultation in the Entire Study Population and in Patients Undergoing Low-risk Surgery

	Entire Population (N = 13,670)	Low-risk Surgery (N = 8,590)*
Surgical specialty		
General	Reference	Reference
Ophthalmology	3.8 (3.3, 4.2)	3.1 (2.7, 3.6)
Gynecology	0.1 (0.1, 0.2)	0.02 (0.003, 0.1)
Orthopedics	1.5 (1.3, 1.7)	1.6 (1.3, 1.9)
Urology	2.3 (1.8, 2.8)	1.3 (.97, 1.8)
Vascular	0.7 (0.5, 1.1)	NA
Surgical specialty		
General	Reference	Reference
Ophthalmology	3.4 (3.0, 3.9)	2.6 (2.3, 3.1)
Gynecology	0.1 (0.1, 0.2)	0.03 (0.004, 0.2)
Orthopedics	1.4 (1.2, 1.6)	1.7 (1.4, 2.0)
Urology	2.1 (1.7, 2.5)	1.4 (1.0, 1.9)
Vascular	0.8 (0.5, 1.2)	NA
Model covariates		
RCRI class II	0.8 (0.7, 0.9)	0.9 (0.7, 1.0)
RCRI class III	0.7 (0.6, 0.9)	0.8 (0.6, 1.0)
RCRI class IV	0.7 (0.5, 0.9)	0.8 (0.6, 1.0)
Age (10 years)	1.1 (1.0, 1.1)	1.1 (1.1, 1.2)
Male gender	1.2 (1.1, 1.3)	1.1 (1.0, 1.3)
Deyo comorbidity index		
1	1.0 (0.9, 1.1)	1.0 (0.9, 1.1)
2	1.0 (0.8, 1.1)	0.9 (0.8, 1.0)
≥3	0.9 (0.8, 1.1)	0.8 (0.6, 0.9)
Number of medications	1.0 (1.0, 1.1)	1.0 (1.0, 1.1)
Analgesics	0.9 (0.8, 1.0)	0.9 (0.8, 1.0)
Aspirin	1.1 (1.0, 1.3)	1.1 (1.0, 1.4)
Nonasp antiplt agents	0.6 (0.4, 0.9)	0.6 (0.4, 0.9)
Oral anticoagulants	1.0 (0.8, 1.3)	1.1 (0.9, 1.4)
ACE-I or ARBs	1.0 (0.9, 1.1)	1.0 (0.9, 1.2)
β blockers	1.1 (1.0, 1.3)	1.2 (1.0, 1.4)
Cardiac medications	1.0 (0.9, 1.2)	1.0 (0.8, 1.2)
Ca channel blockers	1.0 (0.9, 1.2)	1.0 (0.9, 1.2)
Other anti-htn	1.0 (0.8, 1.2)	1.0 (0.8, 1.3)
Insulin	1.1 (0.9, 1.4)	1.1 (0.8, 1.4)
Oral hypoglycemic	1.0 (0.8, 1.1)	1.0 (0.8, 1.2)

Estimates are odds ratios with 95% CIs rounded to the nearest one decimal. Patients with RCRI scores of 0, 1, 2, or 3 or more were assigned to classes I, II, III, or IV, respectively; referent category was class I. The referent for the Deyo comorbidity index was 0.

* Includes only low-risk surgeries: lymph node biopsy/removal, inguinal hernia repair, mastectomy, cataract removal, ptosis repair, tubal ligation, knee arthroscopy, and lithotripsy.

ACE-I = angiotensin converting enzyme inhibitors; ARBs = angiotensin II receptor antagonists; Ca channel blockers = calcium channel blockers; NA = not applicable (vascular surgery did not perform low-risk procedures); Nonasp antiplt agents = nonaspirin antiplatelet agents; Other anti-htn = other antihypertensive medications; RCRI = revised cardiac risk index.

The finding that ophthalmologic surgery was associated with higher use of preoperative consultation was unexpected, particularly since ophthalmologic procedures are typically very low risk and have been reported to be associated with few medical and anesthesia complications.²⁶ However, our findings were robust and not driven solely by the high prevalence of cataract surgery patients. Notably, our observation that lower-risk surgical procedures often have substantially higher frequency of consultations than

higher risk procedures was unchanged after excluding cataract surgery patients. It is not clear which considerations triggered the referral of a high proportion of patients with low cardiac risk and low to intermediate surgical risk (as defined in the American College of Cardiology-American Heart Association guidelines)¹¹ for preoperative consultation. These consultations do provide the opportunity to improve documentation of comorbid disease, perform risk stratification, optimize factors associated with preexisting

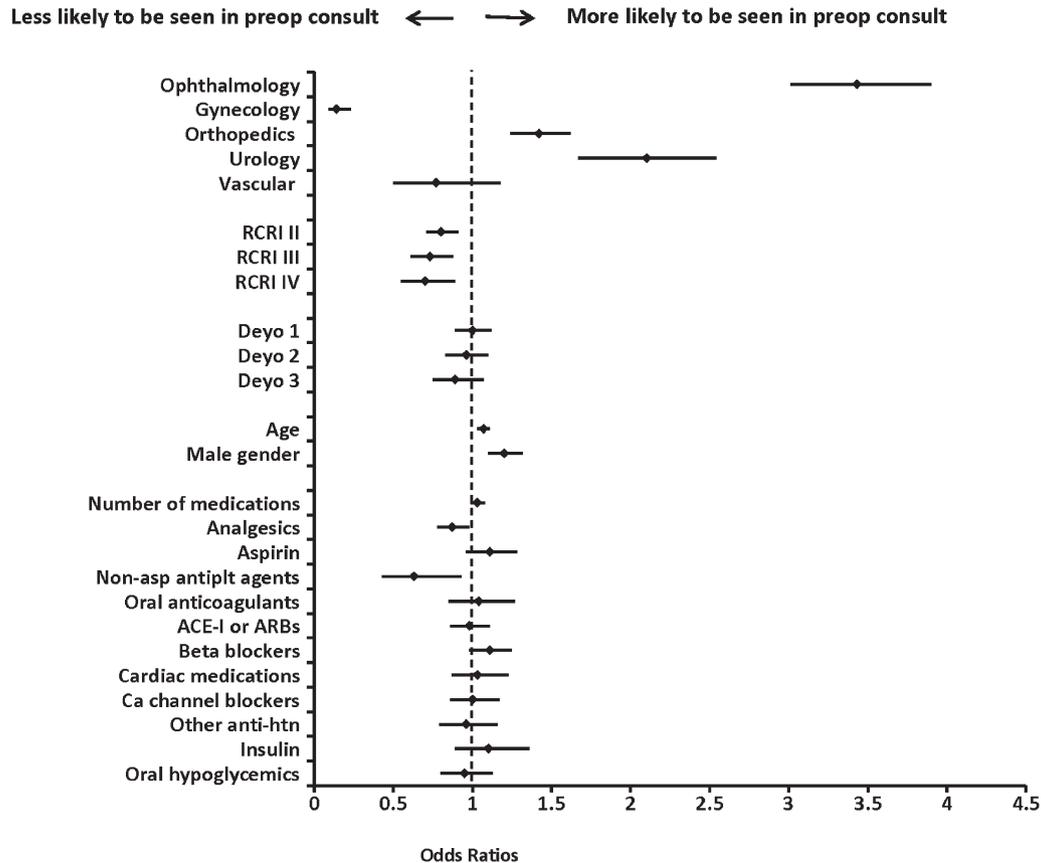


Fig. 2. Forest plot displaying the adjusted odds ratios and 95% CIs for predictors of referral for preoperative consultation. The adjusted model included all of the variables displayed. The referent category for surgical specialty was general surgery. Revised cardiac risk index (RCRI): patients with 0, 1, 2, or 3 or more factors were assigned to classes I, II, III, or IV, respectively; referent category was class I. The Deyo comorbidity index was used as a categorical variable, scores of 0, 1, 2, and ≥ 3 are represented by categories 0, 1, 2, and 3. The referent category was 0. The estimate for age is for 10-yr difference. ACE-I = angiotensin converting enzyme inhibitors; Ca channel blockers = calcium channel blockers; Deyo = Deyo comorbidity index; Nonasp antiplt agents = nonaspirin antiplatelet agents; Other anti-htn = other antihypertensive medications.

medical conditions, and initiate interventions intended to decrease perioperative risk (such as β -blockers).^{8,9,16,27,28} However, these potential reasons do not pertain to patients without comorbidities undergoing low-risk surgery. One possibility is that while all surgeons are trained to obtain a history and perform a physical examination, some highly specialized providers may only concentrate on a detailed, focused examination and not prioritize to personally evaluate coexisting medical comorbidities. It is possible that requesting a preoperative consultation from a primary care provider increases the efficiency from some surgeons' perspective because it allows a surgeon to spend less time on the general medical evaluation and focus more time and effort on the evaluation for and performance of the surgical procedures themselves. It is also possible that preoperative consultation is used to establish a primary care provider relationship in patients without significant comorbidities who are not otherwise seen on a regular basis. Future studies are needed to ascertain whether this is an important

determining factor and, if so, the cost consequences from a health system perspective. Requesting consultations may be viewed by some surgical specialties as protection from a medicolegal perspective, which is an ever-present concern in the U.S. healthcare system. Medicolegal factors seem likely to contribute to the overall frequency of consultation, as has been suggested by a previous report.²⁸ However, these factors are unlikely to explain the variation among surgical specialties. Again, future studies are needed to assess to what extent this consideration is an important factor, and to determine other potential explanations for these practice variations.

We observed a practice pattern of frequent referral for preoperative consultations of patients with low medical and/or surgical risk. In addition to evaluating potential underlying reasons for the identified predictors of preoperative medical consultation, it is also important to consider the cost consequences and other potential implications of referring patients for additional care before surgery. A recent

population-based cohort study showed that preoperative medical consultation was not associated with improved postoperative outcomes after major surgeries.⁸ Such studies, including our study, raise the important question of whether referrals for preoperative consultation are cost-effective or beneficial. For preoperative consultations to be cost-effective, it will be important to demonstrate improved outcomes such as reduced perioperative medical and surgical complications, decreased hospitalizations or length of stay, or improved recovery or higher quality of life. Presumably, such benefits would be most likely in patients presenting with high medical risk or high surgical risk, or both. Since perioperative complications associated with surgeries other than major surgery are relatively rare events, it will be useful to study outcomes in large samples and different healthcare systems.²⁹

Previous studies have focused on major surgery or high-risk surgery. We included vascular surgery because this type of surgery has been included in several prior studies on preoperative evaluation and risk minimization. We believe that this uniquely high-risk type of surgery may have unique patterns of use of preoperative resources, including medical consultations.

The consequences of preoperative consultations from a health economics perspective may be substantial. In 1984, the cost of preoperative consultations for low-risk inpatient procedures was conservatively estimated at \$1 billion.²⁵ Medicare reimbursement rates approximately reflect the relative resource use for various perioperative interventions. The 2010 reimbursements for level 3 and 4 consultations were \$130 and \$183, respectively, while the reimbursement for electrocardiograms, complete blood counts, basic metabolic panel, and coagulation studies were \$22, \$9, \$9, and \$14, respectively.^{††} Therefore, it may be more important to focus on the rational use of preoperative consultation than preoperative testing, although to date the latter has received more attention than the former. A recent pilot study suggests that the cost to the Medicare program of preoperative consultations for patients with low RCRI undergoing low-risk procedures is indeed greater than the cost of common preoperative tests.¹⁸

Limitations

Although integrated healthcare systems are common and over one in four Americans receive their care in these systems,^{‡‡} our cohort study is derived from a single healthcare system that may have unique referral and practice patterns. However, our finding of important variation across surgical specialties with regard to preoperative consultation is

consistent with investigations in other settings.^{9,30} Nonetheless, further research is needed to determine if these findings are generalizable to other health plans and health systems across the United States.

This study is based on administrative data, which may be affected by coding errors within these databases or misclassification of preoperative consultation. The observation of peak visits at 1 and 2 weeks preoperatively, and very low occurrence of visits preceding day 28 preoperatively, suggests that the majority of these visits were associated with a planned surgery (low misclassification of unrelated visits as preoperative consultations). The use of a large administrative dataset allows a big-picture view of practice patterns to help direct future study to understand the causes and implications of such patterns.

It is possible that preoperative consultations resulted in delays in surgery beyond 42 days or cancellation of surgery. Differential misclassification could potentially bias toward underrepresenting impactful and important preoperative consultations and overemphasizing the potentially unnecessary preoperative consultations that did not delay or cancel surgery. We believe this bias is small, because we included a long preoperative window (42 days) to allow time for delays. Even if this potential bias exists and results in underrepresentation of the use of preoperative consultations in higher risk patients, our data remain important in that they show a high rate of preoperative consultation in low-risk surgery and low-risk patients. Finally, we have used a conservative method for calculation of the RCRI, which would tend to underestimate the proportion of patients without cardiac risk (RCRI class I).

Conclusions

In summary, this cohort study in a single healthcare system found that surgical specialties varied substantially with regard to the use of preoperative consultation, and that a large number of consultations are being provided to patients with low medical and/or surgical risk. We believe that these findings need to be tested in other health plans and other health systems to determine if they are generalizable. Furthermore, outcomes studies to evaluate the impact and guide the optimal use of these preoperative consultations are needed.

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^{††} The Medicare Physician Fee Schedule Database (MPFSDB). Available at: www.cms.gov. Accessed February 21, 2012.

^{‡‡} U.S. Census Bureau. U.S. Census data on HMO enrollment. Available at: www.census.gov/compendia/statab. Accessed February 21, 2012.

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Appendix. List of Surgical Procedures

Surgical Procedure, n (%)	Total Cohort, n = 13,670	Consultation, n = 3,063	No Consultation, n = 10,607
General			
Colon resection ^H	352 (2.6)	21 (0.7)	331 (3.1)
Mastectomy ^L	514 (3.8)	49 (1.6)	465 (4.4)
Node biopsy/removal ^L	248 (1.8)	53 (1.7)	195 (1.8)
Laparoscopic cholecystectomy ^I	1,211 (8.9)	150 (4.9)	1,061 (10.0)
Inguinal hernia repair ^L	836 (6.1)	151 (4.9)	685 (6.5)
Eye			
Cataract removal ^L	4,315 (31.6)	1,609 (52.5)	2,706 (25.5)
Vitrectomy ^I	186 (1.4)	78 (2.6)	108 (1.0)
Ptosis repair ^L	145 (1.1)	26 (0.9)	119 (1.1)
Gynecology			
Hysterectomy ^H	887 (6.5)	18 (0.6)	869 (8.2)
Tubal ligation ^L	255 (1.9)	1 (0.03)	254 (2.4)
Orthopedics			
Hip arthroplasty ^I	719 (5.3)	113 (3.7)	606 (5.7)
Knee arthroplasty ^I	1,109 (8.1)	141 (4.6)	968 (9.3)
Knee arthroscopy ^L	1,982 (14.5)	458 (15.0)	1,524 (14.4)
Urology			
Radical prostatectomy ^H	45 (0.3)	14 (0.5)	31 (0.3)
Lap radical prostatectomy ^I	52 (0.4)	26 (0.9)	26 (0.3)
Transurethral prostatectomy ^I	261 (1.9)	70 (2.3)	191 (1.8)
Lithotripsy ^L	295 (2.2)	59 (1.9)	236 (2.2)
Vascular			
AAA open repair ^H	27 (0.02)	6 (0.2)	21 (0.2)
AAA endovascular repair ^H	2 (0.01)	0 (0)	2 (0.02)
Carotid endarterectomy ^I	187 (1.4)	18 (0.6)	169 (1.6)
Femoro-popliteal bypass ^H	42 (0.3)	2 (0.1)	40 (0.4)

^H Denotes high-risk surgery, ^I denotes intermediate-risk surgery, ^L denotes low-risk surgery.
AAA = abdominal aortic aneurysm.