

## Systemic Correlates of White Adipose Tissue Inflammation in Early-Stage Breast Cancer

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### Abstract

**Purpose:** Obesity, insulin resistance, and elevated levels of circulating proinflammatory mediators are associated with poorer prognosis in early-stage breast cancer. To investigate whether white adipose tissue (WAT) inflammation represents a potential unifying mechanism, we examined the relationship between breast WAT inflammation and the metabolic syndrome and its prognostic importance.

**Experimental Design:** WAT inflammation was defined by the presence of dead/dying adipocytes surrounded by macrophages forming crown-like structures (CLS) of the breast. Two independent groups were examined in cross-sectional (cohort 1) and retrospective (cohort 2) studies. Cohort 1 included 100 women undergoing mastectomy for breast cancer risk reduction ( $n = 10$ ) or treatment ( $n = 90$ ). Metabolic syndrome-associated circulating factors were compared by CLS-B status. The association between CLS of the breast and the metabolic syndrome was validated in cohort 2, which

included 127 women who developed metastatic breast cancer. Distant recurrence-free survival (drFS) was compared by CLS-B status.

**Results:** In cohorts 1 and 2, breast WAT inflammation was detected in 52 of 100 (52%) and 52 of 127 (41%) patients, respectively. Patients with breast WAT inflammation had elevated insulin, glucose, leptin, triglycerides, C-reactive protein, and IL6 and lower high-density lipoprotein cholesterol and adiponectin ( $P < 0.05$ ) in cohort 1. In cohort 2, breast WAT inflammation was associated with hyperlipidemia, hypertension, and diabetes ( $P < 0.05$ ). Compared with patients without breast WAT inflammation, the adjusted HR for drFS was 1.83 (95% CI, 1.07–3.13) for patients with inflammation.

**Conclusions:** WAT inflammation, a clinically occult process, helps to explain the relationship between metabolic syndrome and worse breast cancer prognosis. *Clin Cancer Res*; 22(9): 2283–9. ©2015 AACR.

### Introduction

Obesity is a cause of chronic inflammation with a rapidly rising global prevalence (1). Chronic inflammation is associated with the development and progression of a number of common epithelial malignancies (2–5). Defined as a body mass index (BMI) of 30 kg/m<sup>2</sup> or greater, obesity is now a leading modifiable contributor to breast cancer mortality worldwide (6–9). Specif-

ically, obesity is associated with increased risk of relapse and decreased overall survival (OS) for patients with early-stage breast cancer (10–15). However, specific strategies that target obesity have been limited by an incomplete understanding of the complex biologic mechanisms underlying the obesity–cancer relationship. There is growing evidence that inflammation is a central mechanism through which obesity promotes cancer progression via local effects in the tumor microenvironment as well as systemic effects in the host (1–3, 7). Moreover, chronic inflammation appears to play a pathogenic role in atherosclerosis, diabetes, and other conditions associated with the metabolic syndrome, a group of disorders that includes obesity, hypertension, dyslipidemia, and fasting hyperglycemia (16–19). For patients with breast cancer, the metabolic syndrome is associated with worse prognosis (20). Identification of specific pathophysiology linking the metabolic syndrome and its components to adverse breast cancer outcomes could lead to the development of more effective, mechanism-based therapeutic strategies for this high-risk population (21).

Chronic inflammation of visceral white adipose tissue (WAT) occurs in a majority of obese individuals (16, 17). This inflammation is histologically detectable by the identification of crown-like structures (CLS), which are composed of a dead or dying adipocyte surrounded by macrophages. Visceral WAT inflammation, manifested as CLS, is associated with increased levels of proinflammatory mediators that promote the development of insulin resistance and diabetes, both predict poorer survival for

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### Translational Relevance

The metabolic syndrome and its components such as obesity are associated with worse breast cancer prognosis. A better understanding of the underlying mechanisms is necessary to develop strategies to improve outcomes in this high-risk population. We previously reported that breast white adipose tissue (WAT) inflammation occurs in most obese individuals and is associated with increased levels of aromatase. Here, we show that breast WAT inflammation, a clinically occult condition, is associated with the metabolic syndrome and related changes in circulating levels of metabolic and proinflammatory factors. Importantly, breast WAT inflammation was also associated with a worse clinical course for patients who develop metastatic breast cancer. These findings support further study of WAT inflammation as a potential target for intervention in early-stage breast cancer.

patients with breast cancer (16, 22, 23). Within the breast, WAT inflammation detected by CLS (CLS-B) is present in 90% of obese patients and is associated with the postmenopausal state (24–26). Notably, breast WAT inflammation is also present in a smaller proportion of the nonobese (25). The presence of breast WAT inflammation is associated with the activation of NF- $\kappa$ B, a transcription factor that activates expression of proinflammatory mediators, and increased levels of aromatase, the rate-limiting enzyme for estrogen biosynthesis (24). Thus, breast WAT inflammation occurs in association with a number of tissue-level alterations that may confer worse prognosis for patients with breast cancer. Furthermore, we recently reported that breast WAT inflammation is an indicator of diffuse WAT inflammation, occurring synchronously in distant fat depots such as abdominal subcutaneous fat (25). This observation suggests that breast WAT inflam-

mation is a sentinel of a clinically occult, diffuse, and low-grade inflammatory process. We therefore investigated whether breast WAT inflammation is associated with specific circulating factors as well as clinical features of the metabolic syndrome. We also explored the prognostic importance of breast WAT inflammation on clinical outcomes.

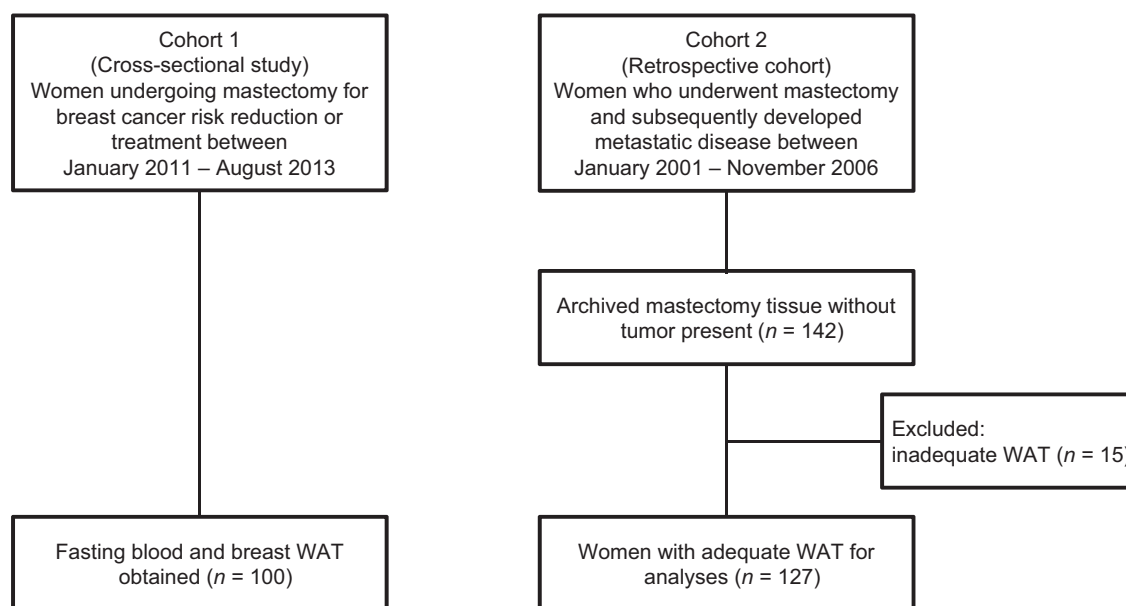
### Materials and Methods

#### Study design

Patients enrolled in two independent cohorts were examined (Fig. 1). Cohort 1 included 100 women undergoing mastectomy for breast cancer risk reduction or treatment between January 2011 and August 2013 at Memorial Sloan Kettering Cancer Center (MSKCC), New York, NY. Nontumor containing breast WAT and fasting blood specimens were prospectively collected at the time of surgery. Cohort 2 included women who underwent mastectomy between January 2001 and November 2006 for stage I–III breast cancer and developed distant metastatic disease within follow-up through 2014. From the institutional database, 142 patients who developed pathologically confirmed metastatic disease after index mastectomy were identified. Of these, 15 patients were excluded due to inadequate WAT available for CLS-B analyses. Thus, a total of 127 patients were included in cohort 2. Both studies were approved by the Institutional Review Board of MSKCC.

#### Clinical data and biospecimen collection

Clinicopathologic data were abstracted from the electronic medical record (EMR). Height and weight recorded on the day of surgery were used to calculate BMI as  $\text{kg}/\text{m}^2$ . Standard definitions were used to categorize BMI as under or normal weight ( $\text{BMI} < 25 \text{ kg}/\text{m}^2$ ), overweight ( $\text{BMI} 25.0\text{--}29.9 \text{ kg}/\text{m}^2$ ), or obese ( $\text{BMI} \geq 30 \text{ kg}/\text{m}^2$ ). Menopausal status was categorized as either premenopausal or postmenopausal based on National Comprehensive Cancer Network criteria (27). Tumor (T) and nodal (N) staging was based on the American Joint Committee on Cancer stage of



**Figure 1.** Study flow and tissue availability in cohort 1 and cohort 2.

disease classification. Estrogen receptor and progesterone receptor were categorized as positive if >1% staining by IHC was reported. HER2 was categorized as positive or negative based on American Society of Clinical Oncology (ASCO) and College of American Pathologists joint guidelines (positive if IHC 3+ or FISH-amplification  $\geq 2.0$ ; ref. 28). Use of adjuvant therapy, date and location of recurrence, and date and cause of death were obtained from the EMR. Vital status data in the EMR is linked with state and national death certificate registries and the Social Security Death Index. If alive, last follow-up date was recorded. The STEEP criteria were used to define distant relapse-free survival (dRFS) as appearance of distant recurrence or death from breast cancer or other causes (29).

In cohort 1, five formalin-fixed paraffin-embedded (FFPE) blocks were prepared on the day of mastectomy from breast WAT not involved by tumor. In addition, a 30-mL fasting blood sample was obtained preoperatively on the day of surgery. Blood was separated into serum and plasma by centrifugation within 3 hours of collection and stored at  $-80^{\circ}\text{C}$ .

In cohort 2, representative hematoxylin and eosin (H&E) stained sections were reviewed to select an appropriate FFPE block from the mastectomy specimen. The block that contained the most WAT was selected by the study pathologist (D.D. Giri).

#### Tissue assessment

Breast WAT inflammatory status was categorized as inflamed or noninflamed according to the presence or absence of CLS of the breast. When 5 FFPE blocks were available, 1 section was obtained from each block. When 1 FFPE block was available, 5 sections were obtained at 50- $\mu\text{m}$  intervals (5- $\mu\text{m}$  thick and approximately 2 cm in diameter). Thus, a total of 5 breast WAT sections were obtained per patient. All sections were immunostained for CD68, a macrophage marker (mouse monoclonal KP1 antibody; Dako; dilution, 1:4,000), as previously described (24, 25). The anti-CD68 stained sections were examined by the study pathologist using light microscopy to detect and record the presence or absence of CLS of the breast. To determine the total WAT area examined, exclusive of epithelial and fibrotic tissues, digital photographs of each slide were generated and measured with Image J Software (NIH, Bethesda, MD). Cases with inadequate CD68-immunostained WAT area were excluded from analysis.

#### Blood measurements

Plasma levels of glucose (BioAssay Systems) and insulin (Merckodia), as well as leptin, adiponectin, high-sensitivity C-reactive protein (hsCRP), and IL6 (R&D Systems) were measured by ELISA. Serum levels of total, high-density lipoprotein (HDL), and low-density lipoprotein (LDL) cholesterol and triglycerides were determined in the clinical chemistry laboratory at MSKCC (New York, NY). Coefficients of variation for intraassay variation for quality control samples were less than 7%.

#### Statistical analyses

For continuous variables, the difference between CLS-B-positive and CLS-B-negative patients was examined using the nonparametric Wilcoxon rank-sum test. Categorical variables were examined using  $\chi^2$  or Fisher exact test where appropriate. In an exploratory analysis, Cox proportional hazards regression was used for univariate and multivariate analyses to examine the association between CLS of the breast and dRFS. The probability of dRFS in subjects with

and without CLS of the breast was summarized via the Kaplan-Meier method. For the multivariate model, covariates of interest were identified as those with trend of univariate associations ( $P < 0.25$ ) with the outcomes of interest or known prognostic factors. The final multivariate model was adjusted for the following covariates: age, race, BMI, breast cancer subtype, grade, stage, dyslipidemia, hypertension, diabetes mellitus, and adjuvant therapy. For all analyses, statistical significance was set at two-tailed  $P < 0.05$ . All statistical analyses were conducted using R software (R Foundation for Statistical Computing).

## Results

### Cohort 1: Breast WAT inflammation and circulating factors

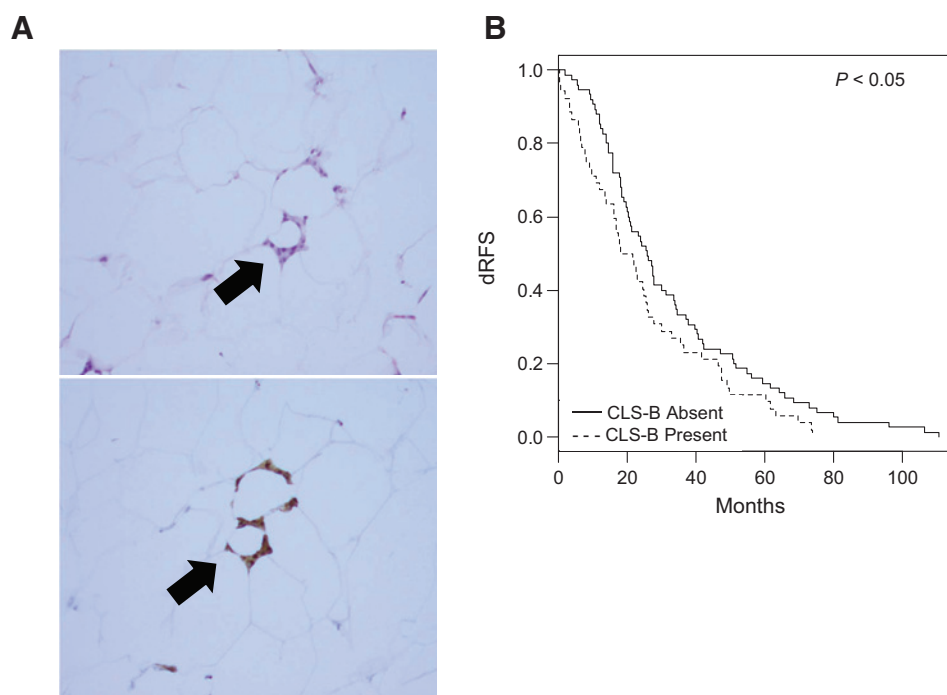
Baseline characteristics stratified by breast WAT inflammation (CLS-B) status are shown in Table 1. CLS of the breast were detected in 52 of 100 (52%) patients (Fig. 2A; Supplementary Fig. S1). Table 2 shows circulating factors stratified according to CLS-B status. The presence of CLS of the breast was associated with elevated levels of glucose ( $P = 0.01$ ), insulin ( $P = 0.03$ ), leptin ( $P < 0.001$ ), and triglycerides ( $P < 0.001$ ) and nonsignificant elevations in total cholesterol ( $P = 0.15$ ) and LDL cholesterol ( $P = 0.06$ ). Of note, 11 women were on statin therapy at the time of assessment. The presence of CLS of the breast was associated with significantly lower levels of HDL cholesterol ( $P = 0.003$ ) and adiponectin ( $P < 0.001$ ). In addition, CLS of the breast was associated with elevated levels of the inflammatory factors hsCRP ( $P < 0.001$ ) and IL6 ( $P < 0.001$ ). In this cohort, the presence of CLS of the breast was associated with a clinical diagnosis of dyslipidemia ( $P < 0.001$ ; Table 1).

### Cohort 2: Breast WAT inflammation and clinical components of the metabolic syndrome

To confirm and extend findings from cohort 1, associations between CLS of the breast and cardiometabolic disorders were

**Table 1.** Clinical features of cohort 1

Variables	CLS-B negative (n = 48)	CLS-B positive (n = 52)	P
Age (years)			
Median (range)	45 (31-62)	49 (27-70)	0.01
Race, n (%)			
White	43 (90%)	42 (82%)	
Black	2 (4%)	5 (10%)	
Asian	3 (6%)	4 (8%)	0.68
Missing	0 (0%)	1 (2%)	0.49
BMI			
Median (range)	23.2 (17.5-31.4)	27.3 (18.4-50.0)	<0.001
BMI category, n (%)			
Normal	31 (65%)	17 (33%)	
Overweight	16 (33%)	17 (33%)	
Obese	1 (2%)	18 (34%)	<0.001
Menopausal status, n (%)			
Pre	39 (81%)	26 (50%)	
Post	9 (19%)	26 (50%)	0.002
Dyslipidemia, n (%)			
No	47 (98%)	38 (73%)	
Yes	1 (2%)	14 (27%)	<0.001
Hypertension, n (%)			
No	45 (94%)	43 (83%)	
Yes	3 (6%)	9 (17%)	0.13
Diabetes mellitus, n (%)			
No	48 (100%)	48 (92%)	
Yes	0 (0%)	4 (8%)	0.12



**Figure 2.** WAT inflammation and breast cancer recurrence. A, H&E (top) and anti-CD68 immunostaining (bottom) showing CLS of the breast (100×). B, Kaplan-Meier curve for dRFS by CLS-B status in women with recurrent, metastatic breast cancer (n = 127).

examined in a second, independent cohort. Clinical characteristics by CLS-B status are presented in Table 3. The presence of CLS of the breast was associated with clinical features of the metabolic syndrome including hyperlipidemia ( $P = 0.04$ ), hypertension ( $P = 0.02$ ), and diabetes ( $P = 0.003$ ).

**Breast WAT inflammation and dRFS.** Median follow-up time was 50 (1–116) months. Median time to dRFS was 23 months (range, 0.3–111). During this period, a total of 99 breast cancer deaths were observed. There were no differences in pathologic prognostic features between CLS-B–positive versus CLS-B–negative patients,

except that there was a higher prevalence of axillary lymph node involvement at index mastectomy in CLS-B–negative patients ( $P = 0.003$ ; Table 3). In univariate analysis, median dRFS was 20 months (range, 16–26) in patients with CLS of the breast compared with 26 months (range, 20–34) in patients without CLS of the breast [HR, 1.44; 95% confidence interval (CI), 1.00–2.06;  $P < 0.05$ ; Fig. 2B]. The relationship between the presence of CLS of the breast and shortened dRFS remained significant in the multivariate model (HR, 1.83; 95% CI, 1.07–3.13;  $P = 0.03$ ).

### Discussion

In this study, breast WAT inflammation, defined by the presence of CLS of the breast, was associated with circulating factors characteristic of the metabolic syndrome. Specifically, breast WAT inflammation was associated with hyperinsulinemia, hyperglycemia, and hypertriglyceridemia. Breast WAT inflammation was also associated with elevated circulating levels of hsCRP and IL6. The association between breast WAT inflammation and features of the metabolic syndrome was confirmed in a second, independent cohort. In an exploratory investigation, the presence of breast WAT inflammation at breast cancer diagnosis was associated with a 6-month shorter dRFS in women who developed metastatic disease. When controlled for other prognostic factors including BMI, breast WAT inflammation was an independent predictor of shortened dRFS in this population.

Our findings support the role of WAT inflammation in breast cancer progression. We previously reported that breast WAT inflammation occurs in a majority (90%) of obese women (24, 25). In addition, WAT inflammation is associated with the activation of NF- $\kappa$ B and increased levels of aromatase in breast tissue (24, 30). Moreover, breast WAT inflammation is an indicator of diffuse adipose inflammation (25, 31). In this study, we detected biochemical changes characteristic of the metabolic

**Table 2.** Measured blood variables in cohort 1

Variable	CLS-B negative (n = 48)	CLS-B positive (n = 52)	P
Glucose (mg/dL)			
Median (IQR)	72 (67–77)	80 (70–84)	0.01
Insulin (mU/L)			
Median (IQR)	4.1 (3.4–5.0)	4.8 (3.7–7.2)	0.03
Total cholesterol (mg/dL)			
Median (IQR)	190 (165–213)	199 (176–224)	0.15
LDL cholesterol (mg/dL)			
Median (IQR)	103 (84–128)	114 (97–140)	0.06
HDL cholesterol (mg/dl)			
Median (IQR)	70 (62–81)	59 (50–70)	0.003
Triglycerides (mg/dL)			
Median (IQR)	66 (56–79)	93 (68–122)	<0.001
Leptin (pg/mL)			
Median (IQR)	7.9 (5.5–15.5)	17.4 (9.6–27.9)	<0.001
Adiponectin (μg/mL)			
Median (IQR)	13.3 (10.9–16.3)	9.9 (7.0–12.2)	<0.001
hsCRP (ng/mL)			
Median (IQR)	0.51 (0.32–1.04)	1.06 (0.66–3.04)	<0.001
IL-6 (pg/mL)			
Median (IQR)	0.75 (0.46–1.10)	1.26 (0.72–2.31)	<0.001

Abbreviation: IQR, interquartile range.



**Table 3.** Clinicopathologic features of cohort 2

Characteristic	CLS-B negative (n = 75)	CLS-B positive (n = 52)	P
Age (years)			
Median (range)	44 (32–78)	54 (35–84)	<0.001
Race, n (%)			
White	66 (88%)	40 (77%)	
Black	7 (9%)	10 (19%)	
Asian	2 (3%)	2 (4%)	0.19
BMI			
Median (range)	25.3 (17.6–45.3)	30.1 (19.9–50.9)	<0.001
BMI category, n (%)			
Normal or underweight	33 (44%)	10 (19%)	
Overweight	29 (39%)	14 (27%)	
Obese	13 (17%)	28 (54%)	<0.001
Menopausal status, n (%)			
Pre	47 (63%)	18 (35%)	
Post	28 (37%)	34 (65%)	0.002
Dyslipidemia, n (%)			
No	68 (91%)	40 (77%)	
Yes	7 (9%)	12 (23%)	0.04
Hypertension, n (%)			
No	63 (84%)	34 (65%)	
Yes	12 (16%)	18 (35%)	0.02
Diabetes mellitus, n (%)			
No	73 (97%)	42 (81%)	
Yes	2 (3%)	10 (19%)	0.003
T size, n (%)			
≤2 cm	25 (33%)	17 (33%)	
>2–5 cm	25 (33%)	21 (40%)	
>5 cm	25 (33%)	14 (27%)	0.65
Lymph node status, n (%)			
N0	11 (15%)	20 (38%)	
N+	64 (85%)	32 (62%)	0.003
Tumor receptor status, n (%)			
ER <sup>+</sup> /HER2 <sup>-</sup>	43 (57%)	30 (58%)	
HER2 <sup>+</sup>	12 (16%)	11 (21%)	
Triple negative	20 (27%)	11 (21%)	0.66
Grade, n (%)			
1	0 (0%)	1 (2%)	
2	10 (14%)	6 (12%)	
3	59 (86%)	44 (86%)	0.58
Missing	6 (8%)	1 (2%)	0.24
Histology, n (%)			
Ductal	64 (85%)	46 (88%)	
Lobular	8 (11%)	2 (4%)	
Mixed	3 (4%)	4 (8%)	0.30
Adjuvant radiotherapy, n (%)			
No	22 (29%)	23 (44%)	
Yes	53 (71%)	29 (56%)	0.093
Adjuvant chemotherapy, n (%)			
No	8 (11%)	17 (33%)	
Yes	67 (89%)	35 (67%)	0.003
Adjuvant anti-HER2 therapy, n (%)			
No	69 (92%)	49 (94%)	
Yes	6 (8%)	3 (6%)	0.736
Adjuvant aromatase inhibitor or tamoxifen, n (%)			
No	30 (40%)	21 (40%)	
Yes	45 (60%)	31 (60%)	1.00

Abbreviations: ER, estrogen receptor; PR, progesterone receptor.

syndrome in patients with breast WAT inflammation. Specifically, these patients had higher fasting insulin and glucose levels than those without breast WAT inflammation. Patients with breast WAT inflammation also had higher circulating levels of triglycerides and lower HDL cholesterol than those without inflammation. These associations were detectable despite the inclusion of statin users. In addition, patients who had one or more metabolic

syndrome conditions had a higher frequency of breast WAT inflammation. These findings tie together a number of previously reported observations in the following manner: First, the metabolic syndrome and its components are associated with worse breast cancer-specific outcomes (20, 22, 23, 32, 33). Second, elevated circulating levels of IL6 and CRP are associated with shortened disease-specific and OS in patients with breast cancer (34–36). Third, elevated leptin and low adiponectin levels are both associated with adverse breast cancer outcomes (32, 37). In our study, breast WAT inflammation was associated with higher circulating levels of leptin and lower adiponectin levels. Taken together, these data suggest that WAT inflammation helps to explain the link between metabolic syndrome and worse breast cancer prognosis.

On the basis of our finding that breast WAT inflammation is associated with alterations in systemic factors that are each independently known to confer worse breast cancer prognosis, we explored whether breast WAT inflammation is associated with clinical outcomes in patients developing metastatic breast cancer. We observed an association between breast WAT inflammation at the time of index mastectomy and decreased dRFS. Notably, the relationship between breast WAT inflammation and shortened dRFS emerged in our study despite a higher proportion of women without breast WAT inflammation having axillary lymph node involvement at diagnosis, further supporting the role of breast WAT inflammation as an independent prognostic factor. The relationship between breast WAT inflammation and inferior dRFS remained significant after adjusting for BMI. This finding suggests that the presence of breast WAT inflammation may provide clinically relevant information beyond that provided by BMI. It is increasingly recognized that some phenotypically obese individuals, defined by elevated BMI, are metabolically healthy (38–40), whereas metabolic obesity, including insulin resistance, can occur in others despite a normal BMI (41, 42). Consistent with these observations, breast WAT inflammation occurs in approximately one-third of women with normal BMI (25). Hence, breast WAT inflammation may be a stronger predictor of breast cancer outcomes than BMI and warrants further study. A critical step for future studies would be the development of a blood-based signature that detects WAT inflammation. A blood assay that indicates the presence of WAT inflammation could prove useful for assessing both risk and prognosis.

The mechanisms by which obesity and, more broadly, the metabolic syndrome promote breast cancer progression involve multiple biologic pathways (7). In the breast, obesity is associated with chemokine-mediated macrophage recruitment leading to angiogenesis and contributing to a protumorigenic microenvironment (43). Systemically, altered adipokine levels, including low adiponectin and elevated leptin concentrations, promote cell proliferation and survival (44–46). Insulin can stimulate the synthesis of insulin-like growth factor 1, and both can activate the PI3K/Akt/mTOR and Ras/Raf/MAPK pathways, which are linked to tumor progression (47–49). Thus, strategies that target insulin signaling and thereby impact breast cancer outcomes are currently under study (50). However, as insulin resistance represents only one component of the metabolic syndrome, alternate therapeutic approaches may be clinically useful. Targeting WAT inflammation, which is associated with systemic alterations in levels of insulin, lipids, and inflammatory mediators, could represent a more comprehensive approach.

Although the design of this study is strengthened by prospective collection of paired breast tissue and fasting blood from volunteer patients, it is limited by a retrospective, single-institution exploration of a prognostic effect of breast WAT inflammation. Nonetheless, this is to our knowledge the first demonstration of an association between adipose inflammation in the breast and a worse clinical course in patients who developed metastatic breast cancer, and the association is supported by the observed changes in levels of circulating factors. Larger prospective longitudinal studies are needed to comprehensively investigate the prognostic role of breast WAT inflammation together with its associated circulating abnormalities to confirm these findings. The development of a blood biomarker signature of WAT inflammation would facilitate larger and more efficient multicenter studies evaluating breast cancer outcomes as they relate to obesity, inflammation, and the metabolic syndrome. Another future direction would be to evaluate the role of WAT inflammation in other obesity-related cancers.

In conclusion, breast WAT inflammation is associated with systemic metabolic and proinflammatory abnormalities and a worse clinical course in patients that develop metastatic breast cancer. These findings support additional study of WAT inflammation as a possible target for intervention in early-stage breast cancer.

#### Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

#### Authors' Contributions

**Conception and design:** N.M. Iyengar, X.K. Zhou, A. Gucalp, P.G. Morris, L.R. Howe, D.D. Giri, C.A. Hudis, A.J. Dannenberg

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