

## A Prospective Cohort Study of Body Size and Risk of Head and Neck Cancers in the NIH–AARP Diet and Health Study

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### Abstract

**Background:** The association between body size and head and neck cancers (HNCA) is unclear, partly because of the biases in case–control studies.

**Methods:** In the prospective NIH–AARP cohort study, 218,854 participants (132,288 men and 86,566 women), aged 50 to 71 years, were cancer free at baseline (1995 and 1996), and had valid anthropometric data. Cox proportional hazards regression was used to examine the associations between body size and HNCA, adjusted for current and past smoking habits, alcohol intake, education, race, and fruit and vegetable consumption, and reported as HR and 95% confidence intervals (CI).

**Results:** Until December 31, 2006, 779 incident HNCAs occurred: 342 in the oral cavity, 120 in the oro- and hypopharynx, 265 in the larynx, 12 in the nasopharynx, and 40 at overlapping sites. There was an inverse association between HNCA and body mass index, which was almost exclusively among current smokers (HR = 0.76 per each 5 U increase; 95% CI, 0.63–0.93), and diminished as initial years of follow-up were excluded. We observed a direct association with waist-to-hip ratio (HR = 1.16 per 0.1 U increase; 95% CI, 1.03–1.31), particularly for cancers of the oral cavity (HR, 1.40; 95% CI, 1.17–1.67). Height was also directly associated with total HNCAs ( $P = 0.02$ ), and oro- and hypopharyngeal cancers ( $P < 0.01$ ).

**Conclusions:** The risk of HNCAs was associated inversely with leanness among current smokers, and directly with abdominal obesity and height.

**Impact:** Our study provides evidence that the association between leanness and risk of HNCAs may be due to effect modification by smoking. *Cancer Epidemiol Biomarkers Prev*; 23(11); 2422–9. ©2014 AACR.

### Introduction

Each year, between 550,000 and 650,000 new cases of cancers of the oral cavity, larynx, oropharynx, and nasopharynx, collectively known as head and neck cancers (HNCA), are diagnosed in the world (1, 2). These cancers share many characteristics: more than 90% of them are squamous cell carcinomas and have similar risk factors (3), and they are more common in men and among people from South-Central Asia and Central and Eastern Europe (4). In the United States, in 2013, more than 53,000 new cases of HNCAs were reported, comprising about 3% of all cancers, and about 11,000 people died due to them,

which constitute about 2% of all cancer deaths (5). The trends in the incidence rates of these cancers have been different according to etiology, geographical location, and age groups. In general, developed countries, including the United States, have seen an increase in oropharyngeal cancers, which are linked to human papilloma virus (HPV) infection, particularly in younger birth cohorts (6). This is while the smoking-related cancers of the oral cavity have been declining in men, and increasing or stable among women in these countries (6).

Although smoking, alcohol (7, 8), and HPV (9) are known risk factors for HNCAs, there has been some controversy about the role of obesity and leanness. Some studies have shown that these cancers, unlike many others (10), are associated with leanness, and overweight and obesity have inverse associations with their risk (11–13). All of these studies have been case–control studies, which are particularly prone to recall bias or reverse causation. Two prospective studies, before the current report, have studied the relationship between body size and HNCA: the Cancer Prevention Study 2 (CPS-II; ref. 14), and The Prostate, Lung, Colorectal and Ovarian (PLCO) study (15). Neither showed any association between body mass index (BMI) and the incidence of HNCAs, but CPS-II showed an inverse relationship with mortality from these cancers (14).

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**Note:** Supplementary data for this article are available at Cancer Epidemiology, Biomarkers & Prevention Online (<http://cebp.aacrjournals.org/>).

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Both of the previous prospective studies had fewer incident cases than the case-control studies that did show an inverse association. Moreover they were underpowered to examine subsites of HNCA. For these reasons, we decided to study the association between different anthropometric measures and HNCA using the data from a larger cohort; the National Institutes of Health-AARP (NIH-AARP) Diet and Health Study.

## Materials and Methods

Details of the NIH-AARP Diet and Health Study have been published previously (16). A baseline questionnaire was mailed between 1995 and 1996 to 3.5 million AARP members (50–71 years old) from six U.S. states (California, Florida, Louisiana, New Jersey, North Carolina, and Pennsylvania) and two metropolitan areas (Atlanta, Georgia and Detroit, Michigan); 566,401 respondents completed the survey, consented to participate in the study, and provided information on weight and height. Six months later, 334,907 respondents completed and returned a follow-up risk factor questionnaire, including information on waist and hip measurements; participants recorded waist and hip measurements, to the nearest 0.25 inch, according to detailed instructions. We excluded subjects with a cancer diagnosis before returning the risk factor questionnaire (4,552), proxy respondents (10,383), and those missing data for BMI (6,608) or waist or hip measurements (88,255). Subjects who reported extreme (more than two times the interquartile range of sex-specific log-transformed values) total energy intake (1,672), BMI (2,191), waist (578) and hip (1,813) measurements were also excluded. Those subjects who died or were diagnosed with cancer on the first day of follow-up were excluded (one). The resulting cohort included 218,854 participants: 132,288 men and 86,566 women.

## Cohort follow-up

Follow-up time extended from the day of study entry to the earliest of the following: date of death, date of diagnosis of head and neck or first upper gastrointestinal cancer (as a diagnosis of one of these cancers would be associated with increased surveillance of HNCA), participant relocation out of the registry ascertainment area, or December 31, 2006. Vital status was ascertained by linkage to the Social Security Administration Death Master File in the United States, follow-up searches of the National Death Index, cancer registries, questionnaire responses, and responses to other mailings. Addresses for members of the cohort were updated annually through the U.S. Postal Service database and also linkage to commercial address databases. This method proved to be very robust as during 9 years of follow-up in a pilot study, only 2.5% (288/11,404) of surviving pilot study participants moved out of the cohort regions.

## Identification of cancer cases

We used probabilistic linkage between the NIH-AARP cohort membership and state cancer registry databases to

identify the incident cancer cases, a method which has been estimated to detect 90% of cancer cases in the cohort. Cancer sites were identified by anatomic site and histologic code of the International Classification of Disease for Oncology, third edition. All cases of HNCA with squamous histology were considered for this analysis (C32.0–C32.9, C00.1–C06.9, C09.0–C09.9, C10.0–C10.9, C12.9, C13.0–C13.9, and C14.0). The overarching HNCA category included those diagnosed with a cancer of the oral cavity, oropharynx and hypopharynx, larynx, nasopharynx, and those with other squamous tumors in the head or neck or overlapping region of the lip, oral cavity, and pharynx.

## Statistical analysis

We used multivariate Cox proportional hazards regression to estimate HRs and 95% confidence intervals (CI). The first 2 years of follow-up were excluded to reduce the potential effect of subclinical cancer or reverse causation. We fitted a model for the incidence of any HNCA as the outcome, and then fitted separate models for each subsite (oral cavity, oro-pharynx, and larynx). We did not perform a separate analysis for nasopharyngeal cancers because there were too few cases for the models to converge ( $n = 12$ ). Sex-specific quartiles were used for height, weight, waist circumference, hip circumference, and waist-to-hip ratio (WHR). For BMI, we used predefined World Health Organization (WHO) standard categories: underweight, less than 18.5 kg/m<sup>2</sup>; normal, 18.5 to less than 25; overweight, 25 to less than 30; obese, 30 or greater.

The fully adjusted models also included age and sex, marital status (yes/no), ethnicity (non-Hispanic white, non-Hispanic black, Hispanic, and Asian/Pacific Islander/Native American), cigarette smoking (never smokers, former smokers who smoked  $\leq 20$  cigarettes/day, former smokers who smoked  $> 20$  cigarettes/day, current smokers who smoke  $\leq 20$  cigarettes/day, and current smokers who smoke  $> 20$  cigarettes/day), education (high school graduate or less, post high school training or some college training, college graduate, and postgraduate education), vigorous physical activity (never, rarely, 1–3 times/month, 1–2 times/week, 3–4 times/week, 5 or more times per week), usual activity throughout the day (sit all day, sit much of the day/walk some times, stand/walk often/no lifting, lift/carry light loads, and carry heavy loads), alcohol consumption (none,  $> 0-0.5$ ,  $> 0.5-1$ ,  $> 1-2$ ,  $> 2-4$ ,  $> 4$  drinks per day), and fruit and vegetable intakes (both pyramid servings per day). Red and white meat intake, total energy intake, antacid, aspirin, and other NSAID use, and diabetes were originally included in the models, but were later dropped because they changed the risk estimates for anthropometric indices less than 1%. Models for abdominal obesity (hip, waist, and WHR) were also adjusted for BMI, and those for height were adjusted for weight.

Tests for trend across the categories of anthropometric variables were evaluated by assigning each participant the median category value and modeling this value as a

continuous variable. We used restricted cubic spline models to generate plots of the relationship between continuous variables and risk of HNCAs. These models allow for studying nonlinear relationships between an exposure and an outcome. We also evaluated effect modification by smoking (ever/former/never) by performing stratified analysis and evaluating interaction terms. All analyses were carried out using SAS V.9.1 and we used two-sided tests, interpreting  $P < 0.05$  as statistically significant.

## Results

During the follow-up, a total of 779 cases of HNCAs accrued to the cohort. The most common site was the oral cavity, and the least common was nasopharynx (Table 1).

Of these cases, 149 occurred during the first 2 years of follow-up, which was during the period excluded from the multivariate analyses.

We observed inverse associations between baseline BMI and total HNCAs, although none of these were statistically significant (Table 2). When stratified by smoking (Table 3), the inverse association was only observed among current (and not former) smokers (HR = 0.76 per 5 U increase; 95% CI, 0.63–0.93). Also, when we used different latency periods, the associations between BMI and HNCAs diminished as we excluded more initial years of follow-up, while a similar effect was not seen among cancer cases diagnosed soon after baseline (Table 4). BMI at earlier ages showed no association with total HNCAs (Supplementary Table). As Table 2 shows, unlike BMI,

**Table 1.** Characteristics across categories of BMI and WHR quartiles among participants in the NIH–AARP Diet and Health Study

Characteristic	BMI categories <sup>a</sup>				WHR quartiles <sup>a</sup>			
	Underweight	Normal	Overweight	Obese	Quartile 1	Quartile 2	Quartile 3	Quartile 4
Number (%)	1,854 (0.8)	86,672 (39.6)	92,758 (42.4)	37,5670 (17.2)	54,773 (25.0)	54,776 (25.0)	54,658 (25.0)	54,642 (25.0)
Age, mean (SD)	63.4 (5.3)	63.1 (5.3)	63.1 (5.2)	62.9 (5.2)	62.4 (5.2)	63.0 (5.2)	63.3 (5.2)	63.3 (5.2)
Height (m), mean (SD)	1.7 (0.1)	1.7 (0.1)	1.7 (0.1)	1.7 (0.1)	1.7 (0.1)	1.7 (0.1)	1.7 (0.1)	1.7 (0.1)
Married, yes, %	48.2	66.1	76.2	70.4	71.5	71.7	71.2	69.3
Total fruit (servings/d), mean (SD)	2.9 (2.4)	3.0 (2.4)	3.0 (2.3)	3.0 (2.3)	3.2 (2.4)	3.1 (2.3)	3.0 (2.3)	2.8 (2.3)
Total vegetables (servings/d), mean (SD)	3.9 (2.5)	3.9 (2.4)	3.9 (2.4)	4.1 (2.4)	4.0 (2.5)	3.9 (2.4)	3.9 (2.3)	3.9 (2.3)
Alcohol (drinks/d), mean (SD)	0.7 (1.5)	0.8 (2.0)	1.0 (1.9)	0.9 (1.8)	0.8 (1.6)	0.9 (1.8)	1.0 (2.0)	1.0 (2.3)
Race/ethnicity								
Non-Hispanic white, %	95.0	94.8	94.6	93.7	93.5	94.6	94.8	95.2
Non-Hispanic black, %	1.9	1.7	2.5	4.1	3.3	2.2	2.0	2.3
Hispanic, %	1.0	1.4	1.8	1.6	1.4	1.6	1.8	1.5
Other, %	2.1	2.1	1.2	0.6	1.8	1.6	1.4	1.1
Education								
High school or less, %	21.2	19.7	21.5	25.0	18.5	19.9	22.2	24.9
Post high school, %	9.4	9.5	10.4	11.1	9.3	9.7	10.2	11.3
Some college, %	24.7	23.2	23.5	25.9	23.4	23.4	23.8	24.6
College and post graduate, %	44.8	47.6	44.6	38.0	48.8	46.9	43.7	39.2
Smoking								
Never smoked, %	38.9	40.8	35.1	35.5	41.9	39.0	36.7	32.3
Former ≤ 20 cigarettes/d, %	22.3	28.7	29.5	26.3	29.2	29.4	28.8	27.0
Former > 20 cigarettes/d, %	11.3	15.6	24.9	29.0	17.4	20.2	22.6	26.8
Current ≤ 20 cigarettes/d, %	19.6	10.0	6.5	5.4	8.1	7.6	7.6	8.1
Current > 20 cigarettes/d, %	7.9	4.8	4.0	3.9	3.4	3.9	4.4	5.8
Vigorous physical activity								
Never, %	6.0	2.8	2.7	4.8	2.3	2.5	3.2	4.5
Rarely, %	15.0	9.7	10.7	16.9	8.6	10.0	11.8	15.2
1–3 times/mo, %	11.5	10.9	12.9	16.8	10.9	11.8	13.3	14.9
1–2 times/wk, %	17.9	20.0	22.9	23.6	20.3	21.9	22.4	22.8
3–4 times/wk, %	25.2	30.9	30.0	23.8	31.6	30.5	29.1	25.7
≥ 5 times/wk, %	24.4	25.8	20.8	14.2	26.4	23.3	20.2	16.8
Cancer sites								
Total HNCAs <sup>b</sup> , N (%)	10 (1.3)	316 (40.6)	323 (41.5)	130 (16.6)	181 (23.2)	180 (23.1)	204 (26.2)	214 (27.5)
Oral cavity cancer, N (%)	3 (0.8)	147 (43.0)	137 (40.1)	55 (16.1)	72 (21.1)	75 (21.9)	91 (26.6)	104 (30.4)
Oro- and hypopharynx cancer, N (%)	4 (3.3)	47 (39.2)	55 (45.8)	14 (11.7)	35 (29.2)	22 (18.3)	32 (26.7)	31 (25.8)
Laryngeal cancer, N (%)	3 (1.1)	100 (37.9)	112 (42.4)	49 (18.6)	58 (22.0)	70 (26.5)	67 (25.4)	69 (26.1)
Nasopharynx cancer, N (%)	0 (0.0)	5 (41.7)	5 (41.7)	2 (16.6)	2 (16.6)	3 (25.0)	3 (25.0)	4 (33.4)

<sup>a</sup>Predefined categories according to the WHO standard definitions: underweight, <18.5 kg/m<sup>2</sup>; normal, 18.5–<25; overweight, 25–<30; obese, ≥30.

<sup>b</sup>The total number of HNCAs is more than the four subsites (oral cavity cancer, oro- and hypopharynx cancer, laryngeal squamous cell carcinoma, and nasopharynx cancer) because subjects diagnosed with tumors that overlapped more than one of these sites were omitted from the individual subsites.

waist circumference was associated with an increased risk of total HNCAs, and those in the highest quartile of waist had a 1.42 times higher risk (95% CI, 1.04–1.93). This association was also strongest among current smokers (Table 3), but did not diminish when early follow-up was excluded (Table 4). Risk of total HNCAs was also increased among individuals in the fourth quartile of height (HR: 1.34; 95% CI, 1.06–1.69), and there was a significant increasing trend for the association between height and total HNCA risk (Table 2). Figure 1 summarizes the associations between anthropometric measurements and total HNCA risk.

Among the subtypes, cancers of the oral cavity showed a pattern similar to what we observed for total HNCAs (Tables 2 and 3): although there was an inverse association with BMI particularly among current smokers (HR = 0.70 per 5 U increase in BMI; 95% CI, 0.50–0.98), those in the

two highest quartiles of waist circumference and WHR had a higher risk of these cancers (Table 2). The inverse association with BMI diminished with more early years of follow-up excluded, whereas the association with WHR persisted (Table 4). The association between oral cavity cancers and height was not statistically significant (Table 2).

Oro- and hypopharyngeal cancers had a similar inverse association with BMI in total, which was particularly strong among current smokers (HR = 0.44 per 5 U increase; 95% CI, 0.26–0.76), and the direct association with WHR was only seen among this group (HR = 1.96 per 0.1 U increase; 95% CI, 1.22–3.16; Table 3). This was the only subtype which showed an increased risk among tall individuals (highest vs. lowest quartile HR, 2.28; 95% CI, 1.26–4.15), with a significant trend ( $P < 0.01$ ; Table 2).

**Table 2.** HRs and 95% CIs of HNCAs across categories of anthropometric measures in the NIH–AARP Diet and Health Study<sup>a</sup>

Characteristic <sup>b</sup>	Median by quartile/category (men/women)	Total HNCAs		Oral cavity cancer		Oro- and hypopharynx cancer		Laryngeal cancer	
		Cases (n)	Multivariate adjusted HR (95% CI)	Cases (n)	Multivariate adjusted HR (95% CI)	Cases (n)	Multivariate adjusted HR (95% CI)	Cases (n)	Multivariate adjusted HR (95% CI)
Baseline BMI (kg/m <sup>2</sup> )									
<18.5	18.0/17.9	8	1.70 (0.84–3.46)	2	0.88 (0.22–3.57)	3	4.20 (1.28–13.81)	3	2.18 (0.68–6.98)
18.5–<25	23.5/22.5	249	1.00 (Ref.)	112	1.00 (Ref.)	39	1.00 (Ref.)	79	1.00 (Ref.)
25–<30	27.0/27.0	272	0.88 (0.73–1.04)	120	0.90 (0.69–1.18)	47	0.93 (0.60–1.44)	90	0.89 (0.65–1.21)
≥30	31.6/31.9	101	0.85 (0.67–1.08)	39	0.76 (0.52–1.11)	12	0.61 (0.31–1.18)	39	1.04 (0.70–1.55)
<i>P</i> <sub>trend</sub> <sup>c</sup>			0.07		0.17		0.05		0.79
Height (m)									
1	1.70/1.57	150	1.00 (Ref.)	68	1.00 (Ref.)	20	1.00 (Ref.)	52	1.00 (Ref.)
2	1.78/1.63	176	1.07 (0.86–1.34)	80	1.06 (0.76–1.45)	22	1.07 (0.58–1.98)	61	1.06 (0.72–1.54)
3	1.80/1.65	103	1.14 (0.88–1.48)	37	0.85 (0.56–1.28)	20	1.91 (1.00–3.63)	40	1.29 (0.84–1.98)
4	1.85/1.73	201	1.34 (1.06–1.69)	88	1.31 (0.92–1.86)	39	2.28 (1.26–4.15)	58	1.04 (0.68–1.57)
<i>P</i> <sub>trend</sub>			0.02		0.19		<0.01		0.75
Waist circumference (inch)									
1	34.0/27.8	153	1.00 (Ref.)	56	1.00 (Ref.)	28	1.00 (Ref.)	58	1.00 (Ref.)
2	36.5/31.0	125	1.00 (0.78–1.28)	50	1.14 (0.77–1.69)	22	1.05 (0.59–1.87)	41	0.81 (0.53–1.22)
3	39.0/34.0	193	1.25 (0.98–1.59)	101	2.01 (1.39–2.91)	26	0.04 (0.56–1.90)	55	0.80 (0.53–1.22)
4	43.5/39.0	159	1.42 (1.04–1.93)	66	2.00 (1.24–3.23)	25	1.53 (0.72–3.25)	57	0.98 (0.58–1.66)
<i>P</i> <sub>trend</sub>			0.01		<0.001		0.34		0.99
Hip circumference (inch)									
1	37.0/36.0	193	1.00 (Ref.)	76	1.00 (Ref.)	36	1.00 (Ref.)	66	1.00 (Ref.)
2	39.5/39.0	147	0.86 (0.69–1.08)	73	1.06 (0.76–1.48)	20	0.67 (0.38–1.18)	45	0.78 (0.53–1.15)
3	41.3/42.0	131	0.88 (0.69–1.12)	57	0.96 (0.66–1.40)	23	0.93 (0.52–1.66)	44	0.83 (0.55–1.26)
4	44.0/46.0	159	1.06 (0.80–1.39)	67	1.17 (0.76–1.80)	22	0.98 (0.49–1.96)	56	0.97 (0.61–1.54)
<i>P</i> <sub>trend</sub>			0.77		0.77		0.70		0.54
WHR									
1	0.88/0.73	141	1.00 (Ref.)	53	1.00 (Ref.)	29	1.00 (Ref.)	47	1.00 (Ref.)
2	0.93/0.78	142	0.97 (0.77–1.23)	57	1.06 (0.73–1.55)	19	0.63 (0.35–1.13)	55	1.10 (0.75–1.63)
3	0.96/0.83	169	1.12 (0.89–1.41)	78	1.45 (1.01–2.07)	28	0.89 (0.52–1.51)	50	0.95 (0.63–1.42)
4	1.02/0.90	178	1.13 (0.89–1.43)	85	1.58 (1.10–2.28)	25	0.77 (0.43–1.37)	59	1.01 (0.67–1.52)
<i>P</i> <sub>trend</sub>			0.15		<0.01		0.54		0.90

<sup>a</sup>Cox proportional hazards regression was used to estimate HR and 95% CIs. Risk estimates were adjusted for age, sex, marital status, cigarette smoking, education, ethnicity, alcohol consumption, physical activity, and fruit and vegetable intake; also height was adjusted for weight and waist, hip, and WHR was adjusted for BMI.

<sup>b</sup>Anthropometric characteristic categories represent sex-specific quartiles for men and women combined.

<sup>c</sup>*P*<sub>trend</sub> across categories is based on the median category values being assigned to each subject within categories and modeled as a continuous variable.

**Table 3.** Trends of HRs of head and neck cancers for increasing WHR and BMI at different ages in the NIH–AARP Diet and Health Study<sup>a</sup>

	Total HNCAs	Oral cavity cancer	Oro- and hypopharynx cancer	Laryngeal cancer
Per 5 U increase in baseline BMI (kg/m <sup>2</sup> )				
All	0.89 (0.79–0.99)	0.87 (0.74–1.03)	0.71 (0.53–0.95)	0.98 (0.81–1.18)
Never smokers	0.98 (0.76–1.26)	0.95 (0.69–1.32)	0.63 (0.29–1.36)	1.54 (0.86–2.75)
Former smokers	0.94 (0.80–1.10)	0.91 (0.72–1.16)	0.92 (0.63–1.34)	1.02 (0.78–1.35)
Current smokers	0.76 (0.63–0.93)	0.70 (0.50–0.98)	0.44 (0.26–0.76)	0.90 (0.67–1.21)
<i>P</i> <sub>interaction</sub>	0.04	0.06	0.21	0.16
Per 0.1 U increase in WHR				
All	1.16 (1.03–1.31)	1.40 (1.17–1.67)	0.87 (0.63–1.20)	1.10 (0.89–1.35)
Never smokers	1.08 (0.79–1.47)	1.31 (0.89–1.92)	0.38 (0.16–0.94)	0.90 (0.42–1.93)
Former smokers	1.14 (0.95–1.37)	1.57 (1.22–2.01)	0.58 (0.36–0.91)	1.09 (0.80–1.51)
Current smokers	1.25 (1.02–1.54)	1.25 (0.89–1.75)	1.96 (1.22–3.16)	1.16 (0.84–1.59)
<i>P</i> <sub>interaction</sub>	0.80	0.40	0.05	0.27

<sup>a</sup>Cox proportional hazards regression was used to estimate HR and 95% CIs. Risk estimates were adjusted for age, sex, marital status, cigarette smoking, education, ethnicity, alcohol consumption, physical activity, and fruit and vegetable intake.

As Tables 2–4 show, laryngeal cancers seemed to be independent of any of the studied anthropometric measures.

## Discussion

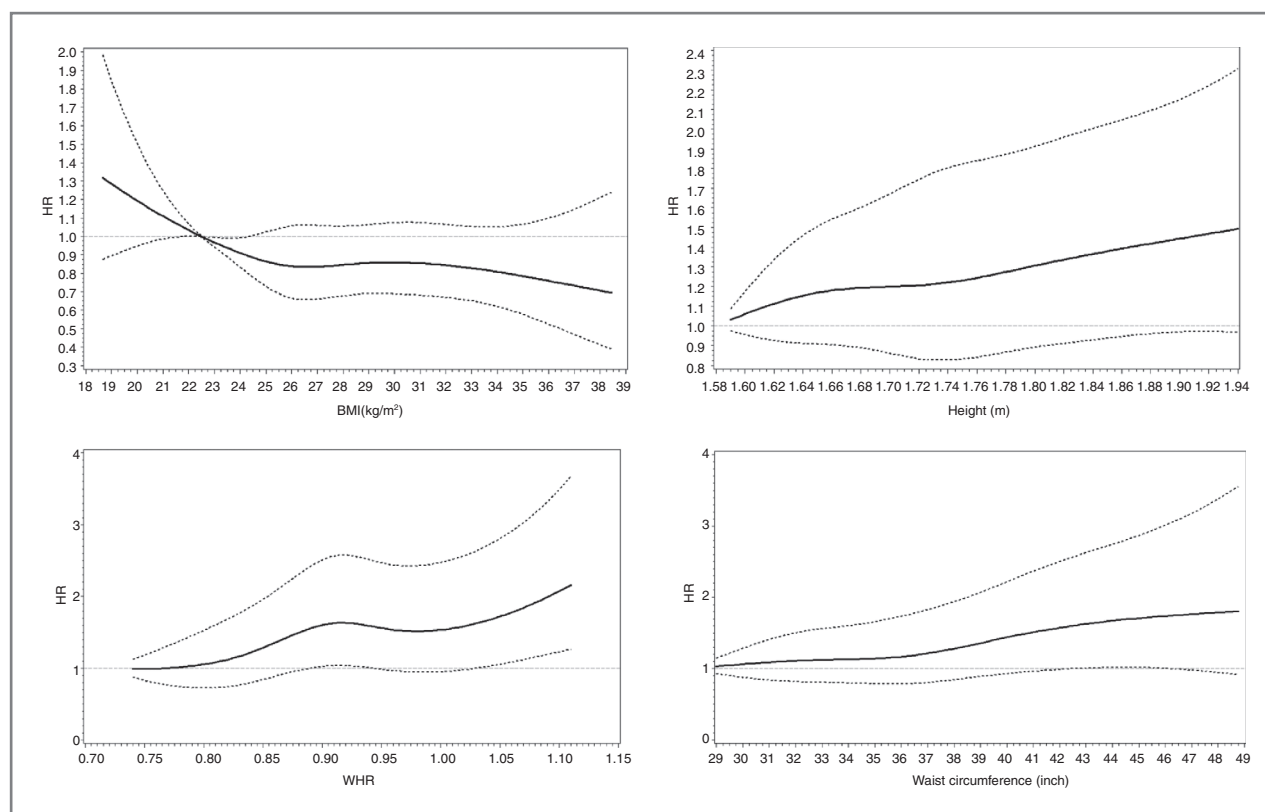
Our results showed evidence for an inverse relationship between BMI at cohort baseline and HNCAs in general,

and cancers of the oral cavity and oro- and hypopharynx in particular, which was almost exclusively present among current smokers. This is while we observed a direct association with abdominal obesity, particularly for cancers of the oral cavity. The association with BMI diminished as more earlier years of follow-up were excluded, but the association with abdominal obesity remained stable. Height was also directly associated with

**Table 4.** Trends HRs of head and neck cancers for increasing WHR and BMI using different latency analyses during follow up in the NIH–AARP Diet and Health Study<sup>a</sup>

Type of latency analysis	Total HNCAs	Oral cavity cancer	Oro- and hypopharynx cancer	Laryngeal cancer
Per 5 U increase in baseline BMI (kg/m <sup>2</sup> )				
Including only the first 2 y	0.89 (0.81–0.99)	0.88 (0.76–1.02)	0.72 (0.55–0.93)	0.99 (0.84–1.16)
Excluding the first 2 y	0.89 (0.78–0.99)	0.87 (0.74–1.03)	0.71 (0.53–0.95)	0.98 (0.81–1.18)
Including only the first 4 y	0.89 (0.81–0.98)	0.88 (0.76–1.02)	0.71 (0.55–0.92)	0.99 (0.84–1.16)
Excluding the first 4 y	0.94 (0.83–1.06)	0.94 (0.78–1.13)	0.71 (0.51–0.99)	1.03 (0.83–1.27)
Including only the first 6 y	0.89 (0.81–0.98)	0.88 (0.76–1.02)	0.71 (0.55–0.92)	0.98 (0.84–1.16)
Excluding the first 6 y	1.00 (0.86–1.16)	1.00 (0.80–1.25)	0.86 (0.59–1.26)	0.92 (0.70–1.20)
Per 0.1 U increase in baseline WHR				
Including only the first 2 y	1.10 (0.99–1.23)	1.31 (1.12–1.54)	0.86 (0.645–1.16)	1.06 (0.88–1.28)
Excluding the first 2 y	1.16 (1.03–1.31)	1.40 (1.17–1.67)	0.87 (0.63–1.20)	1.10 (0.89–1.35)
Including only the first 4 y	1.11 (0.99–1.24)	1.32 (1.12–1.55)	0.87 (0.65–1.16)	1.06 (0.88–1.28)
Excluding the first 4 y	1.18 (1.02–1.35)	1.40 (1.15–1.72)	0.88 (0.61–1.28)	1.13 (0.89–1.44)
Including only the first 6 y	1.11 (0.99–1.24)	1.32 (1.12–1.55)	0.87 (0.65–1.16)	1.06 (0.88–1.29)
Excluding the first 6 y	1.22 (1.03–1.44)	1.41 (1.10–1.81)	0.97 (0.63–1.49)	1.28 (0.95–1.72)

<sup>a</sup>Cox proportional hazards regression was used to estimate HRs and 95% CIs. Risk estimates were adjusted for age, sex, marital status, cigarette smoking, education, ethnicity, alcohol consumption, physical activity, and fruit and vegetable intake.



**Figure 1.** Spline plots of the relationship between anthropometric measurements at baseline and total HNCA risk in the NIH–AARP Diet and Health Study. The figures plot, clockwise, BMI, height, WHR, and waist circumference. The solid line represents the estimate of the HR, whereas the broken lines represent 95% confidence intervals.

total HNCAs and oro-and hypopharyngeal cancers. Among the subsites, laryngeal cancers were not associated with any of the anthropometric measures studied.

Case-control studies seem to suggest that leanness is associated with increased odds of HNCAs (11–13, 17, 18), though one of these studies showed such an association only among African-Americans (13). Investigators have suggested three possible reasons for this apparent association: first, confounding by smoking or another risk factor; second, reverse causation; and third, a real protective effect of overweight or obesity (12). These case-control studies cannot distinguish reverse causation from a truly increased risk and may be prone to recall bias for many important confounders. Two previous prospective studies have also studied this association. The CPS-II (14) did not show any association between BMI and the incidence of HNCAs, but demonstrated an inverse relationship with mortality from these cancers. This inverse relationship was only observed among smokers, which might be because of the effect modification by smoking or due to chance. Results from the PLCO cohort (15) did not show an association between BMI and incident HNCAs. Our study has more cases of HNCA than both of these studies combined, and shows that current smokers are the only group with some evidence for an inverse association, and that the asso-

ciation diminishes as more initial years of follow-up are excluded from the study. The differential effect of body weight among smokers has been observed in the case-control studies too (13, 17, 18).

Smoking, along with alcohol use, has been shown to be strongly associated with HNCAs (2, 7). The carcinogenicity of many compounds in tobacco (such as polycyclic aromatic hydrocarbons or PAHs) depends on the formation of DNA adducts, and is the result of a trade-off between DNA damage and repair (19). The molecular mechanisms involved in smoking-related malignancies may be influenced by body weight. For example, leanness may increase smoking-induced oxidative DNA damage (measured by urinary 8-OHdG level) and thus affect the host susceptibility to cancer (20). Therefore, the association between leanness and smoking-related cancers such as HNCA, lung cancer, and esophageal squamous cell carcinoma might be due to real biologic differences (10, 21).

It has also been shown that smoking has different effects on general and abdominal obesity. Many investigators have reported that although smokers have lower BMI, they tend to have increased waist circumference and WHR (22–24). This has been attributed to a loss of muscle mass in smokers, which may be due to altered dietary habits and hormonal changes due to smoking (23), or a

direct nicotine effect (22). Many of these effects are attenuated after quitting smoking (22, 23). This can explain why, in our study, the measures of abdominal obesity (waist circumference and WHR) showed a pattern of association from BMI, and were directly associated with the risk of HNCA, and why former and never smokers seemed to have more similar associations with these measures. The relationship between abdominal obesity and cancer may be mediated through insulin resistance, insulin-like growth factor-I (IGF-I) secretion, and/or growth hormone resistance in the liver. Both IGF-I and insulin promote cell proliferation and inhibit apoptosis (25).

Our results showed that taller people had higher risk of HNCA in general, and oro- and hypopharyngeal cancers in particular. Green and colleagues also showed a direct association between height and overall cancer risk in the Million Women Study, and confirmed it by performing a meta-analysis of previous publications (26). The effect of height on overall cancer risk is hypothesized to be due to increased IGF-I (26, 27). In the Million Women Study, cancers of the mouth and pharynx did not show a significant association with height (26). However, most other studies on the HNCAs have shown an inverse association between height and HNCAs (28, 29). It is difficult to explain these differences, but the INHANCE study suggested that the inverse association was much weaker in the higher education group, and in studies from the United States (28). They hypothesized that at least part of the inverse association with height might be due to residual confounding by SES, which is less evident in groups with higher education. The NIH-AARP cohort population is a relatively homogenous group of middle- to upper-class Americans in urban centers who are on average more educated than the general U.S. population (30).

Our study had several strengths. We had more incident cases of HNCA and its subsites (except for nasopharynx) than previous prospective studies, which allowed us to perform subsite analyses with adequate power. We could

study different anthropometric measures, BMI at different ages, and adjust for many confounders. We were also able to stratify by both present and past smoking habits. Our main limitation is the self-reported anthropometric measurements, which may lead to some misclassification. We were not able to directly study HPV-associated tumors, although these cancers are mainly among the oro- and hypopharyngeal cancers (31), and the different risk factor pattern among the latter group can be due to this etiologic difference. Also, the small number of nasopharyngeal cases did not allow a separate analysis of this group.

Our study confirms findings from previous studies showing that the observed association between leanness and risk of HNCAs may be due to confounding or effect modification by other risk factors, particularly smoking. It also shows interesting associations between these cancers and abdominal obesity and height.

#### Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

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