

## Real-time Raman Spectroscopy for *In Vivo* Skin Cancer Diagnosis

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### Abstract

Raman spectroscopy is a noninvasive optical technique capable of measuring vibrational modes of biomolecules within viable tissues. In this study, we evaluated the application of an integrated real-time system of Raman spectroscopy for *in vivo* skin cancer diagnosis. Benign and malignant skin lesions ( $n = 518$ ) from 453 patients were measured within 1 second each, including melanomas, basal cell carcinomas, squamous cell carcinomas, actinic keratoses, atypical nevi, melanocytic nevi, blue nevi, and seborrheic keratoses. Lesion classification was made using a principal component with general discriminant analysis and partial least-squares in three distinct discrimination tasks: skin cancers and precancers from benign skin lesions [receiver operating characteristic (ROC) = 0.879]; melanomas from nonmelanoma pigmented lesions (ROC = 0.823); and melanomas from seborrheic keratoses (ROC = 0.898). For sensitivities between 95% and 99%, the specificities ranged between 15% and 54%. Our findings establish that real-time Raman spectroscopy can be used to distinguish malignant from benign skin lesions with good diagnostic accuracy comparable with clinical examination and other optical-based methods. *Cancer Res*; 72(10); 2491–500. ©2012 AACR.

### Introduction

The clinical diagnosis of skin cancer is based on visual examination followed by biopsy of suspicious lesions. The accuracy of clinicians is highly variable according to the level of formal training and experience. For example, in a retrospective study of 4,741 pigmented skin lesions evaluated by 468 general practitioners, the biopsy ratio, defined as the number of nonmelanoma lesions that underwent biopsy for each confirmed case of melanoma ranged from 58:1 to 21:1 for new versus experienced general practitioners, respectively (1). A number of studies have shown that the accuracy of clinical diagnosis of melanoma by dermatologists varies between 49% and 81%, with approximately one third of melanomas being misdiagnosed as benign lesions (2–6).

Raman spectroscopy is a noninvasive optical method under investigation for cancer diagnosis (7). Arising from the inelastic scattering of light within tissue, Raman signals correlate with

the molecular vibrations of various tissue biomolecules. The positions and relative magnitudes of spectral peaks correspond to the vibrational energies associated with specific chemical bonds. Raman spectroscopy is capable of detecting molecular and/or biochemical changes associated with pathology (8). The probability of inelastic Raman scattering is exceedingly low, and as a consequence, long integration times are required to acquire sufficient scattering signals for a single spectrum. For example, a traditional Fourier-transform Raman system requires up to 30 minutes of integration time to acquire one spectrum. Most prior studies involving the skin have been limited to either *ex vivo* samples or a few *in vivo* skin measurements, all requiring relatively long integration times (9–14). The clinical use of *ex vivo* Raman spectroscopy is quite limited, as suspect lesions must first be biopsied, which necessarily entails an invasive procedure. In a recent *in vivo* study of nonmelanoma skin cancer diagnosis using Raman microscopy, Lieber and colleagues measured 21 lesions and their adjacent normal skin [9 basal cell carcinoma (BCC), 4 squamous cell carcinoma (SCC), and 8 inflamed scar] with an integration time of 30 seconds and reported 100% sensitivity and 91% specificity for discriminating lesional from normal skin (15). One significant limitation of previous Raman studies of skin cancer is their small sample sizes.

We have developed a rapid, real-time Raman spectrometer system for *in vivo* skin measurements (16) that substantially reduces spectral acquisition time to less than 1 second. This system was optimized by combining a unique signal binning method and software processing for direct point-of-care use (17). We now have *in vivo* measurements of more than 1,000 cases of skin cancers and other skin diseases and report the results and performance of this system for guiding the clinical evaluation of skin lesions.

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## Patients and Methods

### Patients

This study was approved by the Clinical Research Ethics Board of the University of British Columbia (Vancouver, BC, Canada; Protocol C96-0499). Patients older than 18 years of age attending the Vancouver General Hospital Skin Care Centre were invited to volunteer in this study if they provided informed consent and had any discrete skin lesions amenable to spectral characterization. Patients with lesions of potential concern for skin cancer as well as those with incidental skin lesions of clinical interest were considered for this study. Subjects underwent spectral measurements of up to 10 separate skin lesions, each with its own diagnosis. Lesions were not considered for inclusion if they were less than 1 mm in lateral dimension (which cannot be measured by the spectrometer), located at a body site that was inaccessible to the spectrometer probe, were infected, or had previously been biopsied, excised, or traumatized.

Between January 2003 and May 2011, Raman spectra were acquired from 1,022 separate benign and malignant skin lesions from 848 patients. The analysis presented here is focused specifically on those diagnostic classes of skin lesions that characteristically give rise to patient and physician concern over skin cancer including: (i) malignancies and premalignancies that require treatment: malignant melanoma, SCC, BCC, and actinic keratosis and (ii) benign conditions that can

visually mimic skin cancer: seborrheic keratosis, atypical nevi, melanocytic nevi (junctional, compound, and intradermal), and blue nevi. There were a total of 553 such lesions including 35 that were invalidated for analysis because their spectra had obvious spurious Raman peaks arising from accidental ambient light leakage into the spectrometer system for a few weeks during the spring of 2009. The final diagnosis for each measured lesion was established through (i) clinical evaluation by 1 of 2 experienced dermatologists (H. Lui or D. McLean) and/or (ii) histopathologic analysis if a skin biopsy of the lesion was taken subsequent to the optical Raman measurement. All of the lesions deemed to be cancerous were confirmed by skin biopsy; 31% of the premalignant lesions (i.e., actinic keratoses) and 28% of the benign lesions underwent skin biopsy. Dermoscopy was not used as an aid for establishing the final diagnosis of any of the lesions. The final data set thus consisted of 518 validated lesions from 453 subjects (224 male, 229 female), aged 18 to 94 years (median, 61 years). Of these, 313 (60%) lesions underwent subsequent treatment including 44 malignant melanomas, 47 SCCs, 109 BCCs, and 32 actinic keratoses.

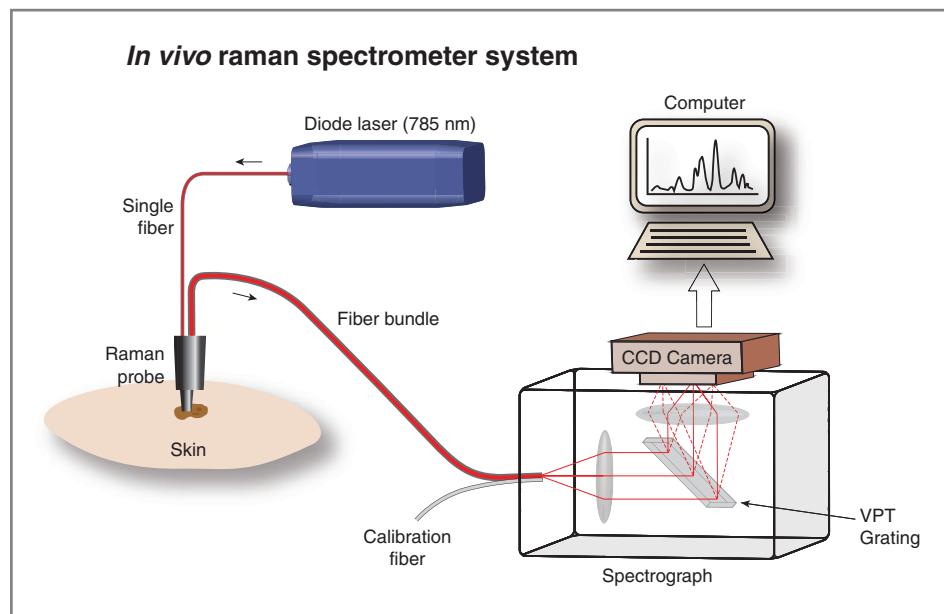
The detailed distribution of the patients and lesions including diagnostic subtypes and location is provided in Table 1 and Supplementary Table S1. For the purposes of this study, each individual lesion was considered an experimental unit for analysis.

**Table 1.** Summary of patients and lesions evaluated by Raman spectroscopy

Final lesion diagnosis	Subjects				Location				
	Mean age, y (range)	Male	Female	Number of lesions	Number biopsied (%)	Head and neck	Trunk	Upper limb	Lower limb
MM									
LM	69 (51–88)	12	8	20	20 (100)	19	1	0	0
LMM	67 (42–85)	7	1	8	8 (100)	8	0	0	0
SS	60 (22–77)	6	8	14	14 (100)	3	3	7	1
MM other	61 (60–62)	2	0	2	2 (100)	1	1	0	0
BCC									
Superficial	63 (34–86)	10	13	28	28 (100)	10	9	5	4
Nodular	66 (39–94)	34	29	73	73 (100)	52	10	9	2
Pigmented	67 (46–83)	2	4	6	6 (100)	2	4	0	0
Other BCC	68 (60–75)	1	1	2	2 (100)	1	1	0	0
SCC									
<i>In situ</i>	70 (56–88)	12	5	18	18 (100)	7	4	5	2
Invasive	66 (39–94)	16	10	28	28 (100)	16	1	5	6
Other SCC	78	0	1	1	1 (100)	1	0	0	0
Actinic keratosis	66 (43–92)	13	14	32	10 (31.3)	28	0	3	1
Atypical nevus	48 (20–75)	22	26	57	24 (42.1)	3	39	8	7
Junctional nevus	43 (18–70)	12	17	34	4 (11.8)	5	11	15	3
Compound nevus	35 (18–67)	13	15	30	6 (20)	9	8	9	4
Intradermal nevus	50 (28–83)	9	26	38	12 (31.6)	21	8	7	2
Blue nevus	37 (18–66)	4	9	13	4 (30.8)	4	1	6	2
Seborrheic keratosis	64 (25–89)	49	42	114	31 (27.2)	47	47	14	6

Abbreviations: MM, malignant melanoma; MM subtypes: LM, lentigo maligna; LMM, lentigo maligna melanoma; SS, superficial spreading melanoma.

Figure 1. Schematic configuration of the real-time Raman system for skin cancer diagnosis.



### Instrumentation

The integrated real-time Raman system was developed in-house and is schematically shown in Fig. 1 (16, 17). The hardware comprises a diode laser, a fiber and fiber bundle delivery system, a hand-held Raman probe, a spectrograph, a charge coupled device (CCD) camera detector, and a computer. A 785-nm laser beam is delivered to the Raman probe through a 200- $\mu\text{m}$  core diameter single fiber and illuminates a 3.5-mm diameter skin area. The raw signal from the skin, which is composed of the Raman scattering signal and tissue autofluorescence, is collected by the probe and transmitted to the spectrometer through a fiber bundle for spectral analysis.

The integrated software contains all calibration procedures and real-time data processing, including intensity calibration and fluorescence background removal according to the Vancouver Raman algorithm with a fifth-order polynomial fitting (17). The effective spectral range of the system is 500 to 1,800  $\text{cm}^{-1}$  with a resolution of 8  $\text{cm}^{-1}$ .

To take a Raman measurement, the hand-held spectrometer probe was placed in gentle contact with the target skin site without compressing it. Spectral measurements for skin lesions of interest were taken in duplicate by separately measuring each lesion itself and then the normal-appearing surrounding skin from the same anatomic region. The "normal" skin measurement site was usually within 5 cm of the visible border of the corresponding skin lesion. Each spectral measurement was acquired with a 1-second integration time, facilitating measurements from multiple paired sites (i.e., lesion and normal) in a given patient, where applicable. Most of the lesions were measured once, but larger and morphologically inhomogeneous lesions were measured up to 3 times at different locations within the lesion, particularly for malignant melanomas (34%) and SCCs (17%). For these cases, the average of the multiple spectra was used to represent these lesions for statistical analysis.

### Statistical analysis

To evaluate the reproducibility of the Raman measurements, we conducted a separate study where repeated spectra were taken from the same sites in triplicate from 15 different skin lesions and 15 different normal skin locations (data not shown). Variability in the Raman frequency shifts by wave number (abscissa) for any 3 consecutive spectra from the same skin site was negligible, confirming the relative stability of spectral peak positions. However, in terms of the Raman signal intensities (ordinate), the variances for the triplicate measurement sets showed a systematic change that was wave number-dependent, with relatively smoother spectra at lower Raman frequencies and increasing fluctuations at higher frequencies. We calculated the successive variances at each Raman frequency and were able to define 1,055  $\text{cm}^{-1}$  as a frequency at which the smoother portion of the spectra reached a minimum variance for nearly all the repeat-matched spectral measurements. On the basis of this analysis of Raman measurement reproducibility, the skin spectra were analyzed according to the full acquired spectrum (500–1,800  $\text{cm}^{-1}$ ) and also by separately considering only lower (500–1,055  $\text{cm}^{-1}$ ) and higher frequency (1,055–1,800  $\text{cm}^{-1}$ ) bands. For the full and partial spectral analyses (i.e., full, lower, and higher frequency band analyses), the spectra were first normalized to their integrated spectral areas under the curve (AUC) according to the respective spectral range being analyzed.

The lesion types selected for analysis in this study primarily included common conditions whose clinical behavior and appearance raise suspicion for skin cancer. The diagnostic performance of *in vivo* Raman spectroscopy for classifying lesions was tested according to 3 tasks or dichotomous groupings based on clinical relevance. In the first task, we considered the ability of Raman spectra to discriminate cancerous and precancerous lesions that require treatment (malignant

melanoma, BCC, SCC, and actinic keratosis) versus benign conditions (atypical nevi, blue nevi, compound nevi, intradermal nevi, junctional nevi, and seborrheic keratosis). The other 2 tasks tested the discrimination of melanoma (all forms, malignant melanoma) versus benign pigmented skin lesions (atypical nevi, blue nevi, compound nevi, intradermal nevi, junctional nevi, and seborrheic keratosis), and melanoma (all forms, malignant melanoma) versus seborrheic keratoses, which can also be confused because of similarities in appearance (2, 3).

Principal component with generalized discriminant analysis (PC-GDA) and partial least-squares (PLS) were each used separately for lesion classification (18), according to the 3 dichotomous groupings of interest. All multivariate data analyses were implemented within MATLAB (version 2010a, MathWorks) and STATISTICA (version 6.0, StatSoft) based on leave-one-out cross-validation (LOO-CV).

For each PC-GDA analysis, successive single lesional spectra were left out for "testing," with the remaining spectra being used for "training." The PC factors and the PC loadings of the training spectra were calculated. A discrimination model was developed on the basis of the PC factors derived from the training spectra where the classifications were known *a priori*. The PC factors of the test spectra were then calculated on the basis of the PC loadings of the training spectra and tested against the discrimination model for classification. A posterior probability of the test lesion for skin cancer was calculated. The posterior probabilities of all lesions were obtained by inputting each lesion as a test according to the LOO-CV protocol.

PLS is typically used for predicting the concentration of components within samples (19, 20). Recently, it was found that PLS could theoretically also be used for discrimination, and in some situations, it was preferred over PC analysis for that purpose (21). In this article, we used a nonlinear iterative PLS algorithm (18). Similar to PC-GDA, all PLS analyses are based on LOO-CV.

The receiver operating characteristic (ROC) curve, that is, sensitivity versus (1 – specificity) was calculated from the posterior probabilities derived above and represents the diagnostic performance. With good discrimination between 2 groups, the ROC curve moves toward the left and top boundaries of the graph, whereas poor discrimination yields a curve that approaches the diagonal line function. The AUCs were calculated using the trapezoidal rule (22). The significance of the AUCs and comparisons between different AUCs were carried out in a standard fashion (23–25). All ROC analyses were based on nonparametric techniques and were conducted separately for the PC-GDA and PLS analyses and for each of the 3 classification tasks.

#### Skin cancer biopsy ratios according to Raman spectroscopy

The skin cancer biopsy ratio is defined as the number of negative biopsies that are conducted for each true-positive biopsy showing skin cancer. If the decision to biopsy is guided solely by the results of Raman spectroscopy, the corresponding biopsy ratio can be estimated from the ROC analysis by

dividing false-positives by true-positives. This ratio depends on the desired sensitivity (more biopsies must be taken to avoid missing any skin cancers) and the accuracy of the diagnostic test (with higher accuracy there will be fewer skin biopsies that are negative for cancer). To compare Raman spectroscopy with other noninvasive diagnostic techniques as well as with clinical diagnosis by visual examination, we calculated skin biopsy ratios at sensitivity levels of 90%, 95%, and 99%, respectively.

#### Covariate analysis: body site and biopsy status

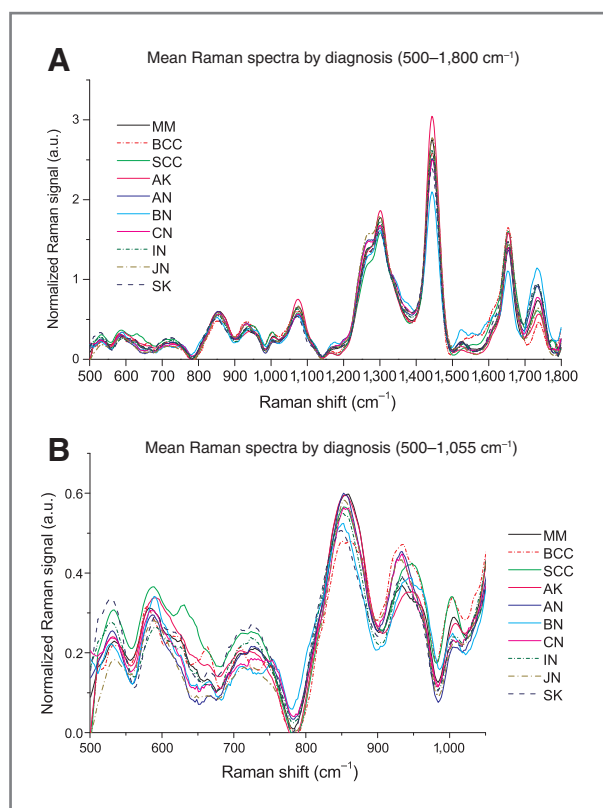
From previous studies, we have found that the *in vivo* Raman spectra of normal human skin varies according to body site (26). To test whether the discrimination capability of the Raman spectrometer system for skin cancer may have been influenced by body site, we did a subanalysis to discriminate malignant melanoma from other nonmelanoma pigmented lesions (atypical nevi, blue nevi, compound nevi, intradermal nevi, junctional nevi, seborrheic keratosis) using only the lesions located on the head and neck. Insufficient lesion numbers at other body sites did not permit site-specific subanalyses across all measured lesions. Another means of evaluating the influence of body site on the diagnostic performance of Raman was to analyze the lesions according to the paired measurements of diseased and normal surrounding skin that were taken. This was done by analyzing the difference spectra between lesional and adjacent normal skin by PC-GDA and using this to discriminate malignant melanomas from nonmelanoma pigmented skin lesions. The goal here was to assess whether the difference spectra or the lesional spectra alone have the higher discriminating capability.

In this study, not all of the benign skin lesions were biopsied, and the final diagnosis in those cases was made on clinical grounds by experienced skin oncologists. We therefore also did 2 additional analyses by including only those cases, benign or malignant, where the final diagnosis was established through skin biopsy combined with clinical examination. One of the tests evaluated biopsied malignant melanoma versus biopsied nonmelanoma pigmented lesions (atypical nevi, blue nevi, compound nevi, intradermal nevi, junctional nevi, seborrheic keratosis), and the other involved biopsied skin cancers (malignant melanoma, SCC, BCC) versus biopsied noncancerous lesions (atypical nevi, blue nevi, compound nevi, intradermal nevi, junctional nevi, seborrheic keratosis, actinic keratosis).

#### Results

The mean Raman spectra for different skin pathologies in this study are depicted in Fig. 2. All spectra were normalized to their respective AUCs before being averaged in aggregate according to diagnosis. Overall the skin lesions included in this study all appear to share similar major Raman peaks and bands. The strongest Raman peak is located around  $1,445\text{ cm}^{-1}$  with other major Raman bands centered at 855, 936, 1,002, 1,271, 1,302, 1,655, and  $1,745\text{ cm}^{-1}$ . There are no distinctive Raman peaks or bands that could be uniquely assigned to specific skin cancers by visual inspection alone. Statistical methods are thus used to extract the diagnostic information





**Figure 2.** Mean Raman spectra by diagnosis. All lesion spectra were normalized to their AUCs before averaging by diagnosis. Spectral variations were analyzed by PC-GDA and PLS methods for classification purposes. A, full-range spectrum results displayed from 500 to 1,800  $\text{cm}^{-1}$ . B, spectral results plotted for lower frequency range only (500–1,055  $\text{cm}^{-1}$ ) show variability according to lesion diagnosis. Note that the y-axis scales for A and B are different. AK, actinic keratosis; AN, atypical nevus, BN, blue nevus; CN, compound nevus; IN, intra-dermal nevus; JN, junctional nevus; MM, malignant melanoma; SK, seborrheic keratosis.

that is embedded in these seemingly complex and data-rich Raman spectra.

### Raman spectroscopy distinguishes skin cancers from benign lesions (classification by PC-GDA)

#### *Cancerous and precancerous skin conditions (cancer plus actinic keratosis) versus benign skin lesions (noncancer).*

When Raman spectroscopy is used to distinguish cancerous and precancerous skin lesions, which require treatment ( $n = 232$ ), from benign skin lesions ( $n = 286$ ) that can simply be observed, the ROC AUC is 0.879 [95% confidence interval (CI), 0.829–0.929, PC-GDA] and statistically significant ( $P < 0.001$ ). The results are depicted in Fig. 3 and Table 2.

Figure 3A shows the posterior probability for each lesion to be classified as a skin cancer or precancer. From the distribution of posterior probabilities, the ROC curve with 95% CIs is generated and shown in Fig. 3D. At a sensitivity of 90%, the overall specificity is more than 64%, with a positive predictive value (PPV) of 67% and negative predictive value (NPV) of 89%. The estimated biopsy ratio is 0.5:1. Table 3 shows the corre-

sponding parameters (specificity, PPV, NPV, and biopsy ratio) for sensitivities of 90%, 95%, and 99%.

Although generally treated once they are detected, some actinic keratoses can undergo spontaneous regression with time, and in this respect, actinic keratoses are therefore not strictly considered to represent skin malignancies. Thus, because the diagnosis and treatment of actinic keratosis are distinct from that of malignant melanomas, BCCs, and SCCs, we did a further analysis by reclassifying actinic keratosis with the benign category. In this situation, the AUC of the ROC curve for discriminating skin cancers (malignant melanoma, BCCs, SCCs,  $n = 200$ ) from non-skin cancers (atypical nevi, blue nevi, compound nevi, intra-dermal nevi, junctional nevi, seborrheic keratosis, and actinic keratosis,  $n = 318$ ) is 0.863 (95% CI, 0.830–0.895;  $P < 0.001$ ). For a sensitivity of 90%, the overall specificity is more than 63%, with a PPV of 60%, NPV of 91%, and biopsy ratio of 0.7:1. Raman spectroscopy appears to detect cancerous skin lesions irrespective of whether actinic keratosis is considered either benign or malignant.

#### *Melanoma (malignant melanoma) versus nonmelanoma pigmented skin lesions.*

When only lesions with pigment are considered, Raman spectroscopy can separate malignant melanoma ( $n = 44$ ) from nonmelanoma pigmented skin lesions (atypical nevi, blue nevi, compound nevi, intra-dermal nevi, junctional nevi, seborrheic keratosis,  $n = 286$ ; Fig. 3B) with an ROC AUC of 0.823 (95% CI, 0.731–0.915,  $P < 0.001$ , PC-GDA). Our results showed the biopsy ratio, based on Raman spectroscopy, ranged from 5.6:1 to 2.3:1 for sensitivities corresponding to 99% to 90% and specificities from 15% to 68%, respectively (Fig. 3E and Tables 2 and 3).

#### *Melanoma (malignant melanoma) versus seborrheic keratosis.*

Figure 3C shows the posterior probabilities for cases of melanoma or seborrheic keratosis to be classified by Raman spectroscopy as melanoma. The AUC for the corresponding ROC curve is 0.898 (95% CI, 0.797–0.999,  $P < 0.001$ ; Fig. 3F). The biopsy ratio ranges from 2.2:1 to 0.9:1, for sensitivities ranging from 99% to 90% and specificities of 25% to 68% (Table 3).

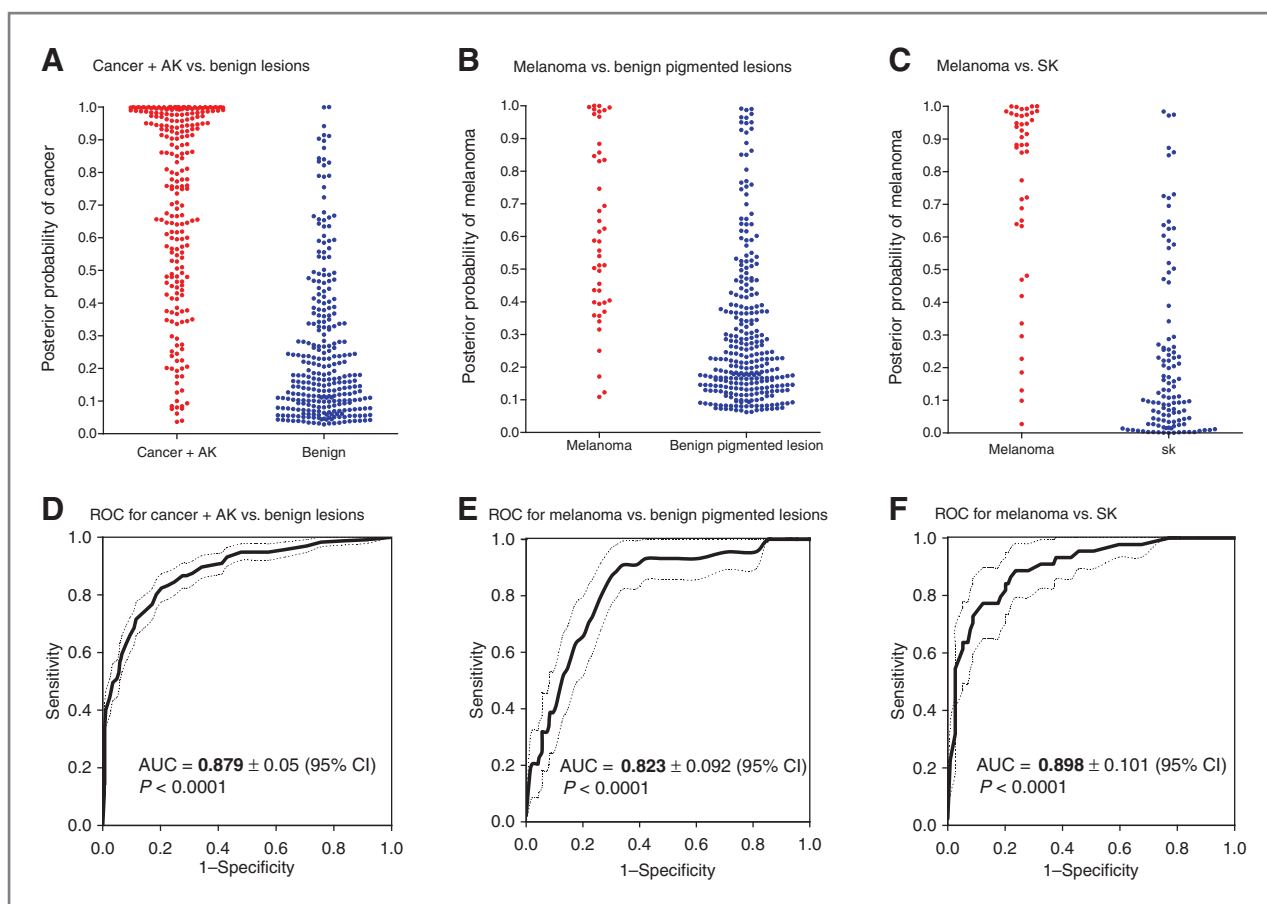
The performance and other diagnostic parameters for real-time Raman spectroscopy are summarized in Tables 2 and 3, including the AUCs of the ROC curves as well as the sensitivities, specificities, PPVs, NPVs, and biopsy ratios.

### PLS analysis also shows the discriminative capability of Raman spectroscopy for skin cancer

The PLS approach yields results similar to those for PC-GDA in terms of the 3 classification tasks above (Tables 2 and 3). Furthermore, on the basis of the algorithm by Hanley and McNeil (23), there are no significant differences between the PLS and PC-GDA methodologies for the 3 analyses of interest ( $P = 0.0644$ , 0.7494, and 0.1646, respectively). There are fewer factors for the PLS model than for the PC-GDA analysis (4–8 vs. 15–30 PCs).

#### Diagnostic performance is spectral band-dependent

We conducted PC-GDA and PLS analyses using the 3 Raman bands (500–1,055, 1,055–1,800, and 500–1,800  $\text{cm}^{-1}$ ) and found that the higher spectral range from 1,055 to 1,800  $\text{cm}^{-1}$  was optimal for differentiating melanomas from nonmelanoma



**Figure 3.** Lesion classification by Raman spectroscopy based on PC-GDA analysis. Posterior probabilities for discriminating (A) skin cancers and precancers (cancer + actinic keratosis, including MM, BCC, SCC, AK,  $n = 232$ ) from benign skin disorders (including atypical nevi, blue nevi, compound nevi, intradermal nevi, junctional nevi, seborrheic keratosis,  $n = 286$ ; A), melanoma ( $n = 44$ ) from benign pigmented skin diseases (including atypical nevi, blue nevi, compound nevi, intradermal nevi, junctional nevi, seborrheic keratosis,  $n = 286$ ; B), and melanoma ( $n = 44$ ) from seborrheic keratosis [( $n = 114$ ); C]. D–F, the corresponding ROC curves and 95% CIs are derived from the respective posterior probabilities, and all AUCs are significant ( $P < 0.0001$ ). AK, actinic keratosis; SK, seborrheic keratosis.

pigmented lesions or melanomas from seborrheic keratoses; the full spectral range from 500 to 1,800  $\text{cm}^{-1}$  was optimal for separating skin cancers and/or precancers from benign skin lesions.

#### Classification by Raman spectroscopy is not influenced by lesion location

There were sufficient data to do a subanalysis for lesions from only the head and neck in terms of discriminating malignant

melanoma ( $n = 31$ ) from other nonmelanoma pigmented lesions (atypical nevi, blue nevi, compound nevi, intradermal nevi, junctional nevi, seborrheic keratosis,  $n = 89$ ). The AUC of this ROC curve is 0.789 (95% CI, 0.698–0.879; Supplementary Fig. S1), which is close to the classification results that incorporated all body sites (Fig. 3E,  $n = 330$ , AUC = 0.823); these 2 ROC curves were not statistically different ( $P = 0.5493$ ).

For each skin lesion assessed, we also measured the Raman spectra of the adjacent normal-appearing skin. We used

**Table 2.** Diagnostic performance of Raman spectroscopy according to optimized wavebands

Diagnosis classification task	Raman waveband, $\text{cm}^{-1}$	ROC AUC	
		PC-GDA (95% CI)	PLS (95% CI)
Skin cancers + actinic keratosis vs. benign lesions	500–1,800	0.879 (0.829–0.929)	0.896 (0.846–0.946)
Melanoma vs. benign pigmented lesions	1,055–1,800	0.823 (0.731–0.915)	0.827 (0.735–0.929)
Melanoma vs. seborrheic keratosis	1,055–1,800	0.898 (0.797–0.999)	0.894 (0.793–0.995)

**Table 3.** Summary of Raman spectroscopy diagnostic parameters derived from ROCs according to various levels of sensitivity

Diagnosis classification task	Sensitivity level (95% CI)	PC-GDA				PLS			
		Specificity (95% CI)	PPV <sup>a</sup>	NPV <sup>b</sup>	Biopsy ratio	Specificity (95% CI)	PPV	NPV	Biopsy ratio
Skin cancers + actinic keratosis vs. benign lesions	0.99 (0.98–1.00)	0.17 (0.13–0.21)	0.49	0.95	1.03:1	0.24 (0.19–0.29)	0.51	0.97	0.95:1
	0.95 (0.92–0.99)	0.41 (0.35–0.48)	0.57	0.91	0.77:1	0.52 (0.48–0.58)	0.62	0.93	0.62:1
	0.90 (0.86–0.94)	0.64 (0.58–0.70)	0.67	0.89	0.49:1	0.66 (0.61–0.71)	0.68	0.89	0.47:1
Melanoma vs. benign pigmented lesions	0.99 (0.96–1.00)	0.15 (0.11–0.19)	0.15	0.99	5.58:1	0.14 (0.10–0.18)	0.15	0.99	5.65:1
	0.95 (0.89–1.00)	0.38 (0.32–0.44)	0.19	0.98	4.24:1	0.44 (0.38–0.50)	0.21	0.98	3.83:1
	0.90 (0.81–0.99)	0.68 (0.63–0.73)	0.30	0.98	2.31:1	0.63 (0.57–0.69)	0.27	0.98	2.67:1
Melanoma vs. seborrheic keratosis	0.99 (0.96–1.00)	0.25 (0.17–0.33)	0.34	0.98	1.96:1	0.46 (0.37–0.55)	0.41	0.99	1.41:1
	0.95 (0.89–1.00)	0.54 (0.45–0.63)	0.44	0.97	1.25:1	0.52 (0.43–0.61)	0.43	0.96	1.31:1
	0.90 (0.81–0.99)	0.68 (0.59–0.77)	0.52	0.95	0.92:1	0.66 (0.57–0.75)	0.51	0.94	0.98:1

<sup>a</sup>PPV is the ratio of true-positives to the total of true-positives and false-positives.

<sup>b</sup>NPV is the ratio of true-negative to the total of true-negatives and false-negatives.

PC-GDA to discriminate malignant melanoma from nonmelanoma pigmented skin lesions using the difference spectra between the lesions and their adjacent normal sites. The classification results were not as good as those using only the lesional spectra, indicating that the most useful Raman information was embedded in the lesions themselves rather than in any apparent differences between the lesions and normal skin. The AUC of the ROC curve for discriminating malignant melanoma ( $n = 44$ ) versus nonmelanoma pigmented lesions (atypical nevi, blue nevi, compound nevi, intradermal nevi, junctional nevi, seborrheic keratosis,  $n = 286$ ) is only 0.577 (95% CI, 0.500–0.670) when using the difference spectra between corresponding lesions and normal skin (Supplementary Fig. S2), which is statistically no different from guessing ( $P = 0.09691$ ). The comparable ROC AUC when lesional spectra alone are used is 0.823 (Fig. 3E).

### Results according to histopathology as a gold standard

The gold standard for skin cancer diagnosis is histopathologic examination of biopsied skin. In this study, not all the benign skin lesions underwent biopsy. As shown in Table 1, all skin cancer cases were confirmed through biopsy and clinicopathologic correlation, whereas noncancerous (i.e., benign) lesions underwent biopsy between 12% and 42% of the time, depending on the diagnosis. For the noncancerous lesions, the reasons for biopsy were either that the appearance of the lesion was difficult to clinically distinguish from skin cancer or the patient gave consent to both Raman measurement and skin biopsy. Lesions deemed to be benign without biopsy were diagnosed on the basis of visual examination by one of the dermatologist investigators. Two separate analyses were conducted according to biopsy status, and the overall results remained essentially the same. In one study, we discriminated malignant melanoma ( $n = 44$ , all of which were biopsied) from

biopsied nonmelanoma pigmented lesions (atypical nevi, blue nevi, compound nevi, intradermal nevi, junctional nevi, seborrheic keratosis,  $n = 81$ ). The AUC of the ROC curve was 0.833 (95% CI, 0.761–0.906; Supplementary Fig. S3), close to the AUC of all cases regardless of biopsy status (0.823; Fig. 3E) and statistically not different ( $P = 0.8238$ ). In the other study, we tried to discriminate confirmed skin cancers (malignant melanoma, SCC, BCC,  $n = 200$ , all of which were biopsied) from biopsy-verified noncancerous lesions (atypical nevi, blue nevi, compound nevi, intradermal nevi, junctional nevi, seborrheic keratosis, actinic keratosis,  $n = 91$ ). The AUC of the ROC curve based on biopsied lesion spectra was found to be 0.833 (95% CI, 0.783–0.882; Supplementary Fig. S4), close to the results of all cases with/without biopsy (AUC = 0.863; 95% CI, 0.830–0.895) and statistically not different ( $P = 0.3206$ ).

### Discussion

One significant advantage of this system over prior Raman technologies is its ability to acquire Raman spectra with reduced integration times of seconds or less. In previous Raman studies, the objectives were primarily to discriminate skin cancers (melanoma or nonmelanoma) from normal skin. In our study, we aimed to evaluate a more complex and relevant clinical task, namely, the discrimination of melanoma and nonmelanoma skin cancers from benign skin lesions.

Raman spectra of the skin are data-rich and complex, and we have shown that relatively conservative statistical techniques can be used to extract the diagnostic information embedded within these signals. Our data also indicate that diagnostically useful information may be contained within certain spectral regions. Specifically for evaluating melanoma, the higher waveband of 1,055 to 1,800  $\text{cm}^{-1}$  is preferred whereas for distinguishing skin cancers from benign lesions overall, the full

spectrum from 500 to 1,800  $\text{cm}^{-1}$  is preferred. The spectrometer system used in this study did not extend beyond the 500 to 1,800  $\text{cm}^{-1}$  region and it is thus unknown whether the results would be improved if these were included. Our Raman spectroscopy system was designed for the 500 to 1,800  $\text{cm}^{-1}$  range because this is regarded as the fingerprint region wherein a denser cluster of Raman peaks can be found (9). It is conceivable that the 3 major forms of skin cancer as well as their subtypes may themselves be associated with unique Raman characteristics. The sample size did not have sufficient power to analyze the results according to these categories and subtypes.

Although normal and diseased skin share similar Raman peaks, the relative intensities of different Raman peaks vary among skin lesions (Fig. 2), which provides the basis for evaluating skin cancers and other skin diseases. PC-GDA and PLS analyses produced similar results, indicating that both analytic approaches can be used for skin cancer diagnosis. PC-GDA is the most commonly used multivariate approach for analyzing complex data sets such as Raman spectra, and it yields results that tend to be somewhat conservative.

An Australian study found that the clinical diagnosis of skin cancers and precancers was associated with a sensitivity of 63.9% for BCCs, 41.1% for SCCs, and 33.8% for malignant melanomas. The PPVs for their study were 72.7% for BCCs, 49.4% for SCCs, and 33.3% for malignant melanomas, respectively (27). Our results showed that real-time Raman spectroscopy differentiated skin cancer and precancers from benign skin lesions with an overall AUC of the ROC curve of 0.879 (95% CI, 0.829–0.929), sensitivity of 90%, and PPV of 68%. When the sensitivity is set at 95% and 99%, the PPVs are 62% and 51%, respectively.

Many melanomas may appear banal and therefore be overlooked, whereas benign pigmented lesions can sometimes show clinically suspicious features on visual examination and therefore be unnecessarily biopsied. It has been estimated that if all atypical pigmented lesions were to be biopsied to rule out melanoma, the biopsy ratio would be as high as 200:1 (28). A clinical study of 1,250 patients with somewhat conservative results showed that the biopsy ratios ranged from 576:1 for patients without personal history of melanoma to 135:1 for patients with a personal history of melanoma (29). A clinical study by an Australian group found that the biopsy ratios for general practitioners ranged from 82:1 for young patients to 10:1 for older patients. The biopsy ratio appears to be substantially reduced with the use of newer technologies such as dermoscopy, surface microscopy, and multispectral imaging (28, 30–38). Westerhoff and colleagues found that the accuracy of melanoma diagnosis could be improved from 63% to 76% with the aid of surface microscopy (30). Robinson and Nickoloff reported a biopsy ratio of 47:1 to rule out melanoma with digital epiluminescence microscopy (31). Monheit and colleagues found that the estimated biopsy ratio ranged from 7.6:1 to 10.8:1 for identifying melanoma with or without borderline lesions using a multispectral-based method (i.e., MelaFind; ref. 28). Bandy and colleagues reported a biopsy ratio as low as 3:1 for patients at high risk of melanoma using a combination

of baseline images and dermoscopy, but at the expense of a relatively low sensitivity of only 72% (32). Binder and colleagues investigated 120 lesions (including 39 malignant melanoma) using dermoscopy alone and found that depending on the sample size and selection of lesions, the sensitivity and specificity varied from 93% to 38% and from 84% to 50%, respectively (33). Farina and colleagues studied 237 pigmented lesions using multispectral imaging (67 malignant melanoma and 170 non-malignant melanoma) and found the AUC of the ROC curve to be 0.779, with a sensitivity of 80% and specificity of 51% (34). Moncrieff and colleagues studied 348 pigmented lesions (52 malignant melanomas) using the SIAscope, which is based on narrow-band spectral imaging, and found the sensitivity of 82.7% and specificity of 80.1% (39). Menzies studied 2,430 lesions (382 malignant melanoma), using a dermoscopy-based automated diagnostic SolarScan and found the sensitivity of 85% and specificity of 65% (35). For clinical diagnosis, they found sensitivities and specificities of 90%, 81%, 85%, and 62%; and 59%, 60%, 36%, 63% for experts, dermatologists, trainees, and general practitioners, respectively (35). Overall, our Raman results appear to be favorable in comparison with clinicians and technical diagnostic aids.

The above studies as well as our own have formally assessed specific diagnostic methods in isolation. In the clinical setting, the final diagnosis of any suspect skin lesion is actually rendered by considering all available evidence and data collectively. This is heavily influenced by clinical acumen and experience. Raman spectroscopy should therefore be viewed as a means for assisting the evaluation of suspect skin lesions rather than being a final, definitive arbiter of lesion diagnosis.

Overall, we found evidence to support the use of Raman spectroscopy for guiding skin cancer diagnosis at different levels of clinical interest, that is, malignant/premalignant versus benign, melanomas versus benign pigmented lesions, and melanomas versus seborrheic keratoses, with the ROC AUCs ranging above 0.82 for these tasks (Tables 2 and 3). For all 3 diagnostic tasks, the specificity of the Raman approach is greater than 15% at a sensitivity of 99%, and indeed higher than one study that estimated a 3.7% level of specificity for clinicians (28). Compared with these techniques discussed above, using Raman spectroscopy to guide clinical evaluation may potentially reduce the number of unnecessary biopsies by 50% to 100% (28, 32–35). Raman spectroscopy is complementary to these other noninvasive approaches and has the potential advantage of requiring less extensive user training and expertise. One important limitation of our study is that not all of the lesions deemed to be benign underwent biopsy and histopathologic confirmation. Nevertheless when only biopsy-confirmed lesions were included in the analyses, the overall results remained significant.

Raman scattering within the skin can be measured within 1 second and used to guide the diagnosis of prospective lesions in terms of their propensity for skin cancer. We envision that an algorithm derived from a database of Raman spectra from other lesions would be able to classify a given lesion in less than half a second, making this approach feasible and representing a novel clinical contribution to managing the most common form of malignancy.



## Conclusions

We have studied skin cancers and a range of benign skin diseases using real-time *in vivo* Raman spectroscopy with an integration time of less than 1 second per lesion. Multivariate PC-GDA and PLS analyses show that Raman spectroscopy can distinguish (i) malignant and premalignant lesions from benign disorders, (ii) melanomas from benign pigmented skin lesions, and (iii) melanomas from seborrheic keratoses.

## Disclosure of Potential Conflicts of Interest

H. Lui, J. Zhao, D. McLean, H. Zeng, and the BC Cancer Agency hold patents for Raman spectroscopy that are licensed to Verisante Technology Inc. H. Lui has ownership interest in Lumen Health Innovations Inc. and Verisante Technology Inc; and is a consultant/advisory board member for Verisante Technology Inc. J. Zhao has ownership interest and patent ownership licensed to Verisante Technology Inc. D. McLean has ownership interest in Verisante Technology Inc. and Lumen Health Innovations Inc. H. Zeng has received a commercial research grant from Verisante Technology Inc.; ownership interest in Verisante Technology Inc. and Lumen Health Innovations Inc.; and is a consultant/advisory board member for Verisante Technology Inc.

## Authors' Contributions

**Conception and design:** H. Lui, J. Zhao, D. McLean, H. Zeng  
**Development of methodology:** H. Lui, J. Zhao, H. Zeng  
**Acquisition of data (provided animals, acquired and managed patients, provided facilities, etc.):** H. Lui, J. Zhao, D. McLean  
**Analysis and interpretation of data (e.g., statistical analysis, biostatistics, computational analysis):** H. Lui, J. Zhao, H. Zeng  
**Writing, review, and/or revision of the manuscript:** H. Lui, J. Zhao, D. McLean, H. Zeng

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**Implemented the real-time Raman spectroscopy system and software development:** J. Zhao, H. Zeng

All authors were involved in developing the statistical models and analysis.

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