

PD2/PAF1 at the Crossroads of the Cancer Network

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Abstract

Pancreatic differentiation 2 (PD2)/RNA polymerase II-associated factor 1 (PAF1) is the core subunit of the human PAF1 complex (PAF1C) that regulates the promoter-proximal pausing of RNA polymerase II as well as transcription elongation and mRNA processing and coordinates events in mRNA stability and quality control. As an integral part of its transcription-regulatory function, PD2/PAF1 plays a role in posttranslational histone covalent modifications as well as regulates expression of critical genes of the cell-cycle machinery. PD2/PAF1 alone, and as a part of PAF1C, provides distinct roles in the maintenance of self-renewal of embryonic stem cells and cancer stem cells, and in

lineage differentiation. Thus, PD2/PAF1 malfunction or its altered abundance is likely to affect normal cellular functions, leading to disease states. Indeed, PD2/PAF1 is found to be upregulated in poorly differentiated pancreatic cancer cells and has the capacity for neoplastic transformation when ectopically expressed in mouse fibroblast cells. Likewise, PD2/PAF1 is upregulated in pancreatic and ovarian cancer stem cells. Here, we concisely describe multifaceted roles of PD2/PAF1 associated with oncogenic transformation and implicate PD2/PAF1 as an attractive target for therapeutic development to combat malignancy. *Cancer Res*; 78(2); 313–9. ©2018 AACR.

Introduction

The human RNA polymerase II-associated factor 1 (PAF1) complex (PAF1C), an assembly of five proteins (PAF1, CDC73, CTR9, LEO1, and SKI8; ref. 1), is highly conserved across different species (1–3). Similar to its yeast counterpart, PAF1C has a specific role in transcriptional elongation, mRNA maturation and processing, histone covalent modifications, and telomere silencing via its interaction with a variety of factors (2, 4, 5). The majority of interactions of PAF1C with its binding partners are primarily mediated through its PAF1 (also known as pancreatic differentiation 2 or PD2) subunit. Therefore, PD2/PAF1 has evolved as an integral part of the RNA polymerase II (Pol II)-associated molecular network and has been shown to be a key player in important cellular processes, including oncogenic transformation, cell cycle, chromatin organization, stem cell self-renewal, and pluripotency (1, 6–10). Of interest, ectopic expression of PD2/PAF1 in NIH3T3 cells leads to increased cellular proliferation and to aggravated tumorigenicity (9), which has underlined its role as a critical tumor

promoter when upregulated. Indeed, PD2/PAF1 is upregulated in poorly differentiated pancreatic cancer cells (9), and an enhanced abundance of PD2/PAF1 induces pancreatic tumorigenesis and enhanced metastasis (11). PD2/PAF1 has also been implicated in development of other malignancies via its interaction and/or regulation of other proteins. For instance, PD2/PAF1 is predicted to be a prognostic marker for early-stage non-small cell lung cancer (NSCLC) as it is aberrantly elevated in early-stage NSCLC tumor samples and promotes NSCLC tumorigenesis via c-MYC transcriptional activation (12). Similarly, PAF1C interacts with CxxC-RD2 region of mixed lineage leukemia (MLL), a region that is always retained in MLL-rearranged oncoproteins, and this interaction is indispensable for MLL-rearranged oncoprotein-mediated leukemogenesis (13). In addition, PD2/PAF1 is found to be upregulated in pancreatic and ovarian cancer stem cells (CSC; refs. 7, 14). Here, we discuss the varied functions of PD2/PAF1 with its involvement in oncogenesis, thus placing PD2/PAF1 at the crossroads of the tumor network.

Historical perspective on PD2/PAF1 with function in transcription

PD2/PAF1 was originally identified as one of the genes having a 30-fold overexpression in Panc1, a poorly differentiated pancreatic cancer cell line, compared with HPAF/CD11, a well-differentiated pancreatic cancer cell line (9). Mapping of the genomic location for PD2/PAF1 revealed that it is present in the 19q13.2 amplicon locus, which harbors the potent oncogene *AKT2* (9), suggesting a concerted function between PD2/PAF1 and *AKT2* in stabilization of the locus. Domain architecture of PD2/PAF1 (Fig. 1) indicates possible interactions with RNA, concurrent with its function as a key molecular member of the cellular transcription network and mRNA stability (1).

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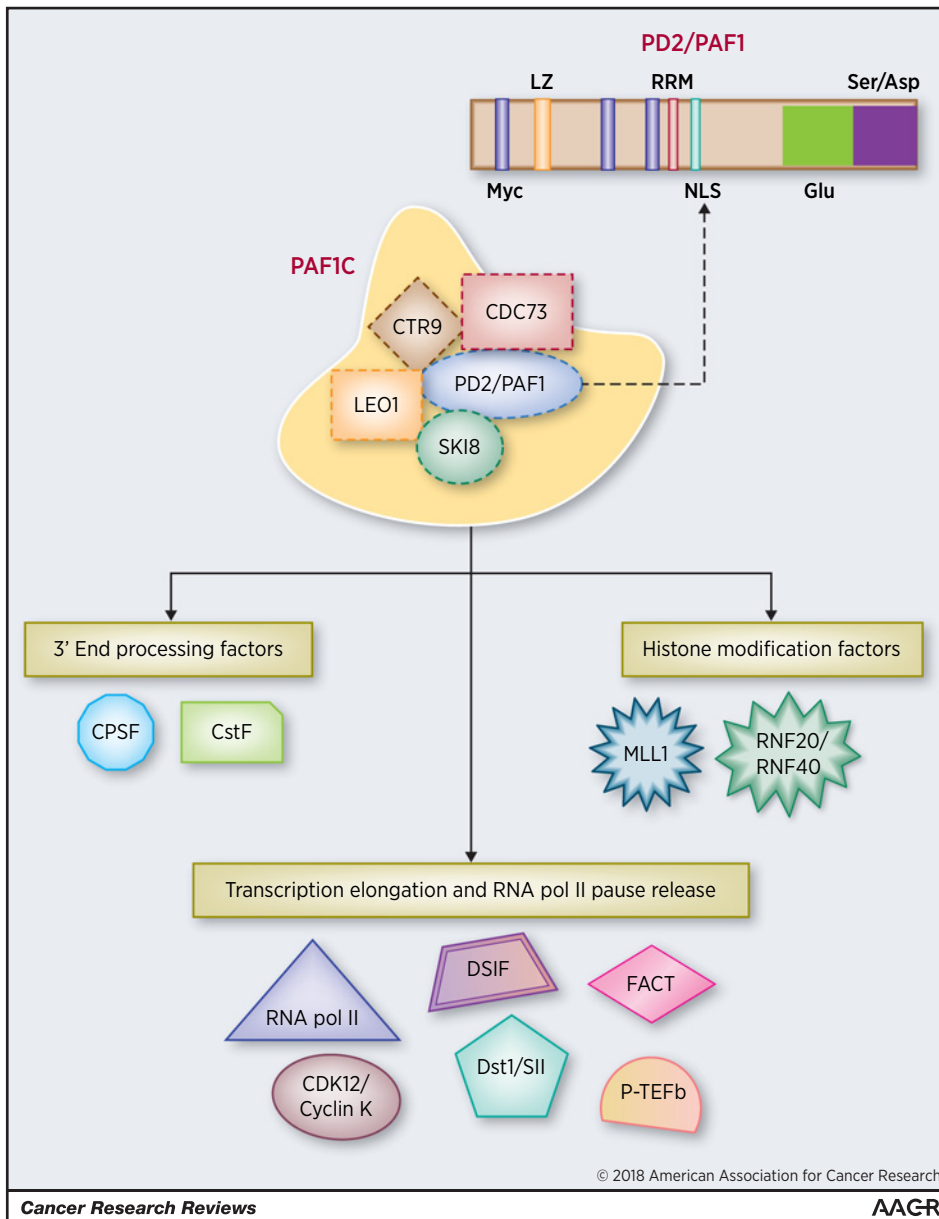


Figure 1.

PAF1C and its interacting partners. Human PAF1C consists of 5 components: PAF1 or PD2, CDC73, SKI8, LEO1, and CTR9. The domain organization of PD2/PAF1 consists of three Myc type helix-loop-helix, a leucine zipper (LZ), an RNA recognition motif (RRM), Ser/Asp-rich region, a Glu-rich domain, and a nuclear localization signal (NLS). PAF1C interacts with a variety of factors involved in histone covalent modifications, transcription, and mRNA 3' end processing. These include histone chaperone FACT (facilitates chromatin transcription), RNF20/RNF40 (that catalyzes histone H2B monoubiquitination), methyltransferases such as MLL1 that methylates histone H3 at K4, RNA Pol II, CDK12/Cyclin K complex, P-TEFb, elongation factors such as TFIIIS (Dst1/SII) and DSIF, human cleavage and poly(A) factors that include cleavage and polyadenylation specificity factor (CPSF), and cleavage stimulation factor (CstF).

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As a member of PAF1C, PD2/PAF1 is involved in transcription elongation via direct interactions with Pol II, facilitates chromatin transcription (FACT), positive transcription elongation factor (P-TEFb), and other factors (Fig. 1; refs. 15–17). The roles of PD2/PAF1 in transcription have been reviewed elsewhere (15, 18). We discuss here the less explored function of PAF1C as the regulator of promoter-proximal pause release of Pol II, a phenomenon essential for effective transcription elongation in metazoans. Pausing of Pol II at 20–60 nucleotides downstream of the transcription start site, known as Pol II promoter-proximal pausing, is mediated primarily via binding of DRB sensitivity-inducing factor (DSIF) and negative elongation factor (NELF) to Pol II. Pause release is thought to require phosphorylation of DSIF, NELF (and its subsequent dissociation), and C-terminal domain

(CTD) serine 2 (S2) of the largest subunit of Pol II. (Fig. 2). Chen and colleagues have recently shown that loss of PD2/PAF1 in colorectal carcinoma HCT116 cells results in increased Pol II occupancy within 9,333 gene bodies (19). They further demonstrated that the super elongation complex is rapidly recruited to Pol II upon PD2/PAF1 loss (19). However, Yu and colleagues propose that PD2/PAF1 may function as a positive or negative regulator of Pol II promoter-proximal pausing based on the physiologic states and genetic backgrounds of cells (17). For instance, PD2/PAF1 knockdown in human acute lymphoblastic leukemia CCRF-CEM cells caused a decrease in Pol II pausing in a majority of genes, implying that PD2/PAF1 is a negative regulator of Pol II pausing release (17). In contrast to the results obtained in CCRF-CEM cells, knockdown of PD2/PAF1 in acute myeloid leukemia THP1 cells led to

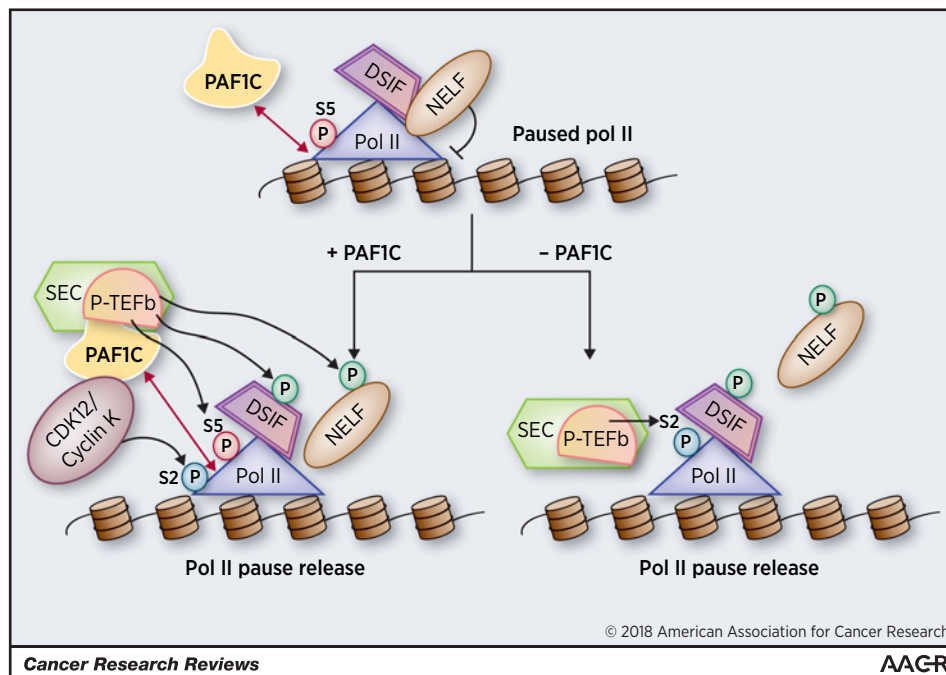


Figure 2.

PD2/PAF1 as the regulator of RNA Pol II promoter-proximal pausing. Although PD2/PAF1 is found to regulate promoter-proximal Pol II pause release, two opposing models have been proposed. In one model, P-TEFb as a part of the super elongation complex (SEC) is responsible for serine 5 (S5) phosphorylation of the CTD (C-terminal domain) of the largest subunit of Pol II, DSIF, and NELF, leading to subsequent dissociation of NELF. Furthermore, P-TEFb recruits PAF1C to promoter proximal regions, which in turn recruits the CDK12/Cyclin K complex for serine 2 (S2) phosphorylation of the Pol II CTD, resulting in productive Pol II elongation (left; ref. 17). In another model, the loss of PAF1C is essential for recruitment of super elongation complex, which mediates Pol II CTD S2 phosphorylation (right; ref. 19). Phosphorylation of DSIF and NELF (and subsequent dissociation) is also required for effective Pol II pause release along with S2 phosphorylation of the Pol II CTD.

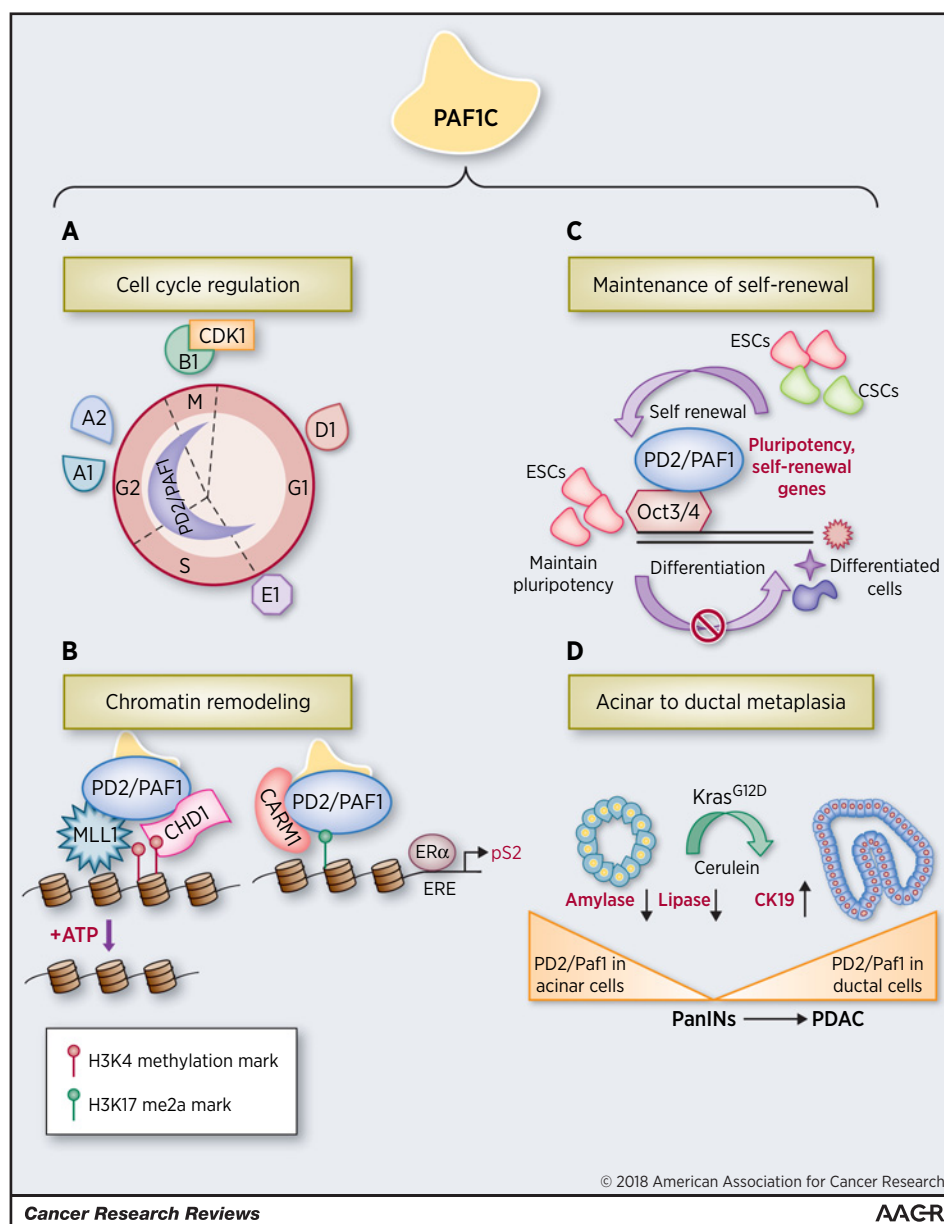
increased promoter-proximal pausing of Pol II (17). They also demonstrated that PAF1C is responsible for recruitment of CDK12-Cyclin K, which has been suggested as the predominant Pol II CTD Ser2 kinase. The role of PD2/Paf1 as the positive regulator of promoter-proximal paused Pol II release is further supported in yeast (16), wherein the N-terminal domain of an mRNA capping enzyme Cet1 recruits FACT, which in turn targets PAF1C to active genes for the release of promoter proximally paused Pol II. In another study, MYC-PAF1C interaction was reported to inhibit transition to the elongating form of Pol II as proteasomal degradation of MYC promotes transcription elongation (20). Thus, there is ample literature suggesting that PD2/PAF1 plays specific roles in the regulation of Pol II promoter-proximal pause release. Such functions of PD2/PAF1 underline its importance in gene regulation in the pluripotency network. In the years following its discovery, PD2/PAF1 gained attention as a key oncogenic protein, overexpressed in various cancers, such as pancreatic cancer, ovarian cancer, non-small cell lung cancer, and endocrine tumors (1, 7, 9, 12, 21). Our study demonstrating transformation of fibroblast cells on targeted overexpression of PD2/PAF1 further emphasized its neoplastic action and encouraged elucidation of its specific roles in tumor pathogenesis (Fig. 3). Such outcomes could be mediated via multiple pathways (e.g., cell cycle, histone covalent modifications, chromatin remodeling, MAPK or mitogen activated protein kinase, estrogen signaling, and others), as described below.

PD2/PAF1 in regulation of cell cycle

As mentioned above, PD2/PAF1 is located in chromosome 19 concurrently with the Akt2 gene, which is an isoform of the serine/threonine protein kinase B family with a well-established role in regulating cell cycle (1, 22). Thus, PD2/PAF1 is likely to be involved in regulation of the cell cycle. Indeed, PD2/PAF1 has been found to control cell-cycle genes coherent with its temporally as well as spatially oscillatory expression patterns during cell-cycle progression, similar to the expression profile for cyclins. PD2/PAF1 specifically regulates a subclass of genes (cyclins A1, A2, D1, E1, B1, and cyclin-dependent kinase CDK1) directly implicated in cell-cycle progression during G₁-S, S-G₂, and G₂-M (Fig. 3A; ref. 8). Expression of PD2/PAF1 also delays DNA replication but favors G₂-M transition, in part through microtubule assembly and mitotic spindle formation (8). One of the hallmarks of tumorigenesis is uncontrolled cell proliferation due to loss of cell-cycle regulation. Thus, upregulated PD2/PAF1 in pancreatic and other cancers might be involved in promoting cell propagation during oncogenesis owing to its cell cycle-regulatory function.

PD2/PAF1 in regulation of histone covalent modifications and chromatin remodeling

PAF1C facilitates the process of transcription elongation through recruitment of several factors that perform posttranslational histone covalent modifications (4, 6, 23-25). PD2/PAF1 as a part of the PAF1C has been found to regulate

**Figure 3.**

PD2/PAF1 as a master regulator of key cellular processes. PD2/PAF1 and PAFIC have several distinct functions in key cellular processes. **A**, PD2/PAF1 controls cell-cycle progression by specifically regulating a subclass of genes (cyclins A1, A2, D1, E1, B1, and cyclin-dependent kinase, CDK1) implicated in cell-cycle progression during G₁-S, S-G₂, and G₂-M. **B**, PD2/PAF1 performs histone covalent modifications and chromatin remodeling via interaction with the histone methyltransferase MLL1 and regulates the expression as well as nuclear import of CHD1 (an ATPase-dependent chromatin remodeling protein that specifically binds to methylated-K4 of histone H3). PAFIC is a "reader" of the histone H3R17me2a mark (dimethylated arginine of histone H3) and is recruited to this specific histone modification mark by coactivator-associated arginine methyltransferase 1 (CARM1) to regulate downstream transcription of estrogen receptor α (ER α) target genes such as pS2. ERE, estrogen-responsive element. **C**, PD2/PAF1 interacts with Oct3/4 in mouse embryonic stem cells (ESC) and ovarian CSCs and thereby promotes self-renewal possibly by regulating self-renewal genes. **D**, PD2/Paf1 is expressed in acinar cells of normal pancreas. However, in the case of progressive inflammation driven by cerulein treatment in the background of Kras mutation, PD2/Paf1 is expressed in amylase and CK19 double positive metaplastic ducts that represent intermediate structures in the process of ADM. PD2/Paf1 expression is lost and regained during acinar transdifferentiation in pancreatic cancer initiation, and it mediates regulation of lineage-specific markers. PanIN, pancreatic intraepithelial neoplasia; PDAC, pancreatic ductal adenocarcinoma.

ubiquitylation of histone H2B through interaction with RNF20/RNF40, which facilitates further downstream histone covalent modifications, including H3K4 and H3K79 methylation (24–26). The PD2/PAF1 subunit of both yeast and

human PAFIC has been shown to be involved in histone H3K4 and H3K79 methylation (6, 18, 24, 25, 27). Furthermore, PD2/PAF1 regulates the dimethylation and trimethylation of H3K4 through interaction with histone

methyltransferase (6). Our study further demonstrates that interaction between PD2/PAF1 and CHD1 regulates nuclear import of CHD1, an ATPase-dependent chromatin remodeling protein, and their interaction is responsible for nucleosomal structure rearrangement, which can lead to subsequent changes in gene expression (Fig. 3B; refs. 6, 28). Another independent study has shown that PAF1C associates with the MLL complex, via contact with the PD2/PAF1 subunit at the *HOX* gene locus to control leukemogenesis (13).

PD2/PAF1 as an effector of MAPK and estrogen signaling pathways

PAF1C is also found to act as a critical mediator in key signaling pathways related to oncogenesis. For example, a recent study by Kim and colleagues shows that yeast Mpk1, a MAPK activated in response to cellular stress, regulates transcription elongation in conjunction with PAF1C via its interaction with PD2/Paf1 subunit through a conserved D motif (29). Of interest, complementation studies performed with human PD2/PAF1 and ERK5 (human homolog of yeast Mpk1) demonstrate that this function is conserved in mammals as well (29). Human ERK5 is known to be a MAPK family member, one that plays a critical role in EGF-induced cell proliferation (30). Furthermore, ERK5 transcriptional activity and signaling has been correlated to tumorigenesis of breast and prostate (31, 32). Another study by Wu and colleagues highlights a novel role of human PAF1C as a "reader" of the histone H3R17me2a mark (Fig. 3B; ref. 33). PAF1C is recruited to this specific histone modification mark by coactivator-associated arginine methyltransferase 1 (CARM1) to regulate downstream transcription of estrogen receptor α -target genes such as pS2 that is found to be overexpressed in breast cancer (33). Interestingly, the recruitment of PAF1C to methylated histones is achieved through strong binding via PD2/PAF1 subunit. Therefore, the role of PD2/PAF1 as the chief interacting subunit of PAF1C in key signaling events associated with oncogenesis reemphasizes its importance in cancer pathogenesis.

PD2/PAF1 in organogenesis and maintenance of stem cells and CSCs

PAF1C is also implicated in the development of different species, from zebrafish to higher eukaryotes (34–36). It has been shown to regulate cardiac specification and heart morphogenesis in zebrafish (34, 35). Even in mammals, components of PAF1C, such as PD2/PAF1 and LEO1, appear to have roles in particular lineage specification (10, 36). Silencing PD2/Paf1 in embryoid bodies has been shown to impair endodermal differentiation (10). Similarly, knockdown of LEO1 as well as PHD-finger protein 5a (Phf5a) blocks myogenic differentiation (36). Furthermore, role of Phf5a in regulating myogenic differentiation appears to be dependent on PAF1C, as it stabilizes PAF1C on chromatin-promoting myogenic programs. Indeed, loss of Phf5a led to a significant reduction in LEO1 occupancy at myogenic genes (36). These studies implicate the roles of PAF1C (or its components, PD2/PAF1 and LEO1) in regulating the genes involved in pluripotency and organismal development.

Using a genome-wide screen for key pluripotency genes, such as Oct3/4, Ding and colleagues found PAF1C to be an important candidate for regulating stemness (37). Our study also shows that PD2/Paf1 heterozygous knockout in mouse embryonic stem cells affects maintenance of embryonic stem cells by downregulating Oct3/4, Sox2, and Nanog, critical "gatekeeper"

and self-renewal genes highly expressed in early embryonic development for maintenance of pluripotency (10). Furthermore, PD2/Paf1 plays an independent role in regulating self-renewal of mouse embryonic stem cells by interacting with Oct3/4 (Fig. 3C; ref. 10). From this perspective, the role of PD2/PAF1 in self-renewal is described below in light of its action on CSCs' maintenance. CSCs are considered a small population of stem/progenitor or "cancer-initiating" cells residing within the tumor cell mass, drug resistant, and capable of repopulating it. Of interest, PD2/PAF1 is elevated in pancreatic CSCs compared with non-CSCs, along with other well-known CSC markers such as OCT3/4 and SHH (14). More importantly, loss of PD2/PAF1 expression led to reduced viability of CSCs with a decrease in expression of multidrug resistant genes and stem cell markers (14). Furthermore, we recently reported that PD2/PAF1 interacts with OCT3/4 to maintain self-renewal of ovarian CSCs, independently of PAF1C (7). Therefore, PD2/PAF1 emerges as a key molecule in maintenance of CSCs that contribute to tumor recurrence.

PD2/PAF1 in acinar-to-ductal metaplasia in pancreatic cancer

On the basis of the multifaceted roles of PD2/PAF1 in pancreatic cancer cells, all intrinsically linked to its transcription-regulatory function, we propose that PD2/PAF1 plays a unique role during pancreatic cancer pathogenesis. Indeed, PD2/Paf1 is involved in acinar-to-ductal metaplasia (ADM), an early event contributing to pancreatic cancer initiation (38). We illustrate that PD2/Paf1 is absent in normal pancreatic ducts, but specifically present in metaplastic ducts (38). Of interest, cerulein-induced acinar-to-ductal transdifferentiation in mouse model is accompanied by loss of PD2/Paf1 expression, along with reduced acinar markers. Furthermore, downregulation of PD2/Paf1 in pancreatic acinar cells leads to decrease in acinar marker genes, with a simultaneous increase in ductal marker expression, indicating a possible role of PD2/Paf1 in maintaining acinar cell lineage (Fig. 3D). These findings are particularly intriguing in the light of earlier findings that demonstrated the role of several pancreas-specific transcription factors, including Mist1, Sox9, and Hnf1a in ADM and pancreatic cancer development (38). Future studies will be directed toward delineating the exact mechanisms underlying the role of PD2/PAF1 in pancreatic cancer initiation.

Conclusion and Perspectives

As described above, PD2/PAF1 is a key molecular mastermind of major cellular pathways, and its deregulation is associated with cellular transformation. As a recent paradigm in the field of understanding tumor development, disease relapse, and chemoresistance, studies have begun to focus on the emerging role of CSCs as potent initiator cells, and PD2/PAF1 is upregulated in CSCs. The embryonic stem cell transcriptional and chromatin-modifying networks are critical for self-renewal maintenance, and PD2/PAF1 is involved in both processes, hence determining the differentiation fate of embryonic stem cells. Moreover, CHD1, an ATP-dependent chromatin remodeling protein regulated by PD2/PAF1, is known to be important for maintaining open chromatin structure and maintenance of stem cell self-renewal and pluripotency (6). In addition, Wdr5, a component of the histone methyltransferase complex, MLL1 that interacts with PD2/PAF1, is also known to mediate self-renewal and reprogramming via the stem

cell core transcriptional network (39). Thus, PD2/PAF1 is involved in many important cellular events, particularly those related to self-renewal and pluripotency, and malfunction or misregulation of PD2/PAF1 is associated with cellular transformation. Our findings underlining the importance of PD2/PAF1 in maintenance of self-renewal of CSCs (7) implicate PD2/PAF1 as an attractive target for combating CSC-mediated tumor progression and recurrence. The recent findings demonstrating the "unholy nexus" of PD2/PAF1 and the MAPK pathway further highlights its oncogenic potential. Therefore, PD2/PAF1 lies at the heart of many important cellular events, and hence, serves different functions as a part of the larger network. PD2/PAF1 appears to be the "master regulator" that handles these multiple cellular chores simultaneously. Thus, PD2/PAF1 deregulation would tip the balance from normal cellular homeostasis toward oncogenic transformation. Naturally, an in-depth understanding of the

detailed functions and modes of actions of PD2/PAF1 is crucial in the context of developing cancer therapeutics.

Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

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