

Dose Escalation of Tamoxifen in Patients with Low Endoxifen Level: Evidence for Therapeutic Drug Monitoring—The TADE Study

Peter Fox¹, Rosemary L. Balleine^{1,2,3,4}, Clara Lee¹, Bo Gao^{1,3}, Bavanthi Balakrishnar¹, Alexander M. Menzies¹, Shang Heng Yeap¹, Sayed Sahanawaz Ali¹, Val GebSKI¹, Pamela Provan^{1,3}, Sally Coulter⁴, Christopher Liddle^{3,4,5}, Rina Hui^{1,3}, Richard Kefford^{1,3}, Jodi Lynch⁶, Mark Wong^{1,3}, Nicholas Wilcken^{1,3}, and Howard Gurney^{1,3,7}

Abstract

Purpose: Endoxifen is the major mediator of tamoxifen effect and endoxifen levels <15 nmol/L may be associated with increased risk of breast cancer recurrence. We increased tamoxifen dose in breast cancer patients with low endoxifen levels and assessed the influence of various parameters on reaching 15 nmol/L and 30 nmol/L endoxifen levels.

Experimental Design: Tamoxifen dose was increased in those with endoxifen levels below 30 nmol/L. Toxicity, including hot flash score, was measured. CYP2D6 metabolizer status was classified as ultra-rapid (UM), extensive (EM), intermediate (IM), or poor (PM) based genotype of somatic DNA.

Results: Dosage was escalated in 68 of 122 participants. On 20 mg tamoxifen, 24% had endoxifen levels below 15 nmol/L and this reduced to 6% following dose escalation. In over 50% of cases, there was no identified cause for low endoxifen. Low

baseline endoxifen level, and not CYP2D6 metabolizer status, independently predicted reaching threshold targets for both the 15 nmol/L and 30 nmol/L targets ($P = 0.04$ and 0.003 respectively). The 15 nmol/L target was reached in all UM/EM and IM patients, 63% of PM patients, and 58% of those with baseline endoxifen of <10 nmol/L. There was no correlation between hot flash score and genotype or any tamoxifen metabolite level including endoxifen ($R = 0.07$).

Conclusions: Low endoxifen on standard dose tamoxifen was the only independent predictor of failure to achieve potentially therapeutic levels. Trials examining tamoxifen dose escalation and breast cancer outcome should be guided by endoxifen levels alone, without reference to CYP2D6 genotype or presence of hot flashes. *Clin Cancer Res*; 22(13); 3164–71. ©2016 AACR.

See related commentary by Hertz and Rae, p. 3121

Introduction

Tamoxifen has been a treatment for breast cancer for over 35 years, essentially becoming the first biologically targeted anticancer agent following discovery of its target, the estrogen receptor (ER; ref. 1). Its major impact has been in the long-term adjuvant therapy for ER-positive breast cancer, showing a 50% reduction in annual recurrence rate and a 30% reduction in breast cancer mortality following 5 years of treatment (2).

¹Crown Princess Mary Cancer Centre, Westmead Hospital, Westmead, Australia. ²Pathology West, Institute for Clinical Pathology and Medical Research, Westmead, Australia. ³Sydney Medical School – Westmead, University of Sydney, Sydney, Australia. ⁴Westmead Millennium Institute for Medical Research, Westmead, Australia. ⁵Storr Liver Centre, Westmead Hospital, Westmead, Australia. ⁶St George Hospital, Kogarah, Australia. ⁷Faculty of Medicine and Health Sciences, Macquarie University, Sydney Australia.

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P. Fox, R.L. Balleine, and H. Gurney contributed equally to this article.

Corresponding Author: Howard Gurney, Faculty of Medicine and Health Sciences, Macquarie University, 2 Technology Place, Sydney, New South Wales 2109, Australia. Phone: 612-9812-3508; Fax: 612-9812-3600; E-mail: howard.gurney@mq.edu.au

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Tamoxifen is weakly antiestrogenic with its effect largely dependent on formation of 4-OH-N-desmethyltamoxifen (endoxifen) by the enzyme cytochrome P450 2D6 (CYP2D6; refs. 3, 4). An association between CYP2D6 genotype and low endoxifen levels has been repeatedly demonstrated, (5–7) although no association between the genotype and clinical outcome has been seen in post-analyses of large adjuvant trials, (8–10) implying that even patients with impaired endoxifen production may have adequate levels for anticancer effect. However, some studies have performed CYP2D6 genotyping on tumor rather than germline DNA which can lead to inaccuracies due to somatic chromosomal abnormalities in tumor samples (11). Moreover, endoxifen levels have not been directly measured in clinical outcome studies but rather inferred from CYP2D6 genotype which belies the impact of other enzymes involved in tamoxifen metabolism such as CYP3A4, CYP2C9/19, UDP-glucuronosyltransferases, and sulfotransferases and nongenetic factors such as adherence and absorption, and concomitant medications that inhibit CYP2D6 (12–14). A recent population pharmacokinetic modeling study using dextromethorphan-derived metabolic phenotype in tamoxifen-treated patients suggests that variation in activity of CYP3A, in addition to CYP2D6, appears to considerably influence endoxifen levels (15).

There is now increasing evidence that systemic exposure to endoxifen is important for anticancer effect (16–20). *In vitro* and

Translational Relevance

Endoxifen level relies on CYP2D6 but CYP2D6 genotype does not consistently correlate with breast cancer outcome. There is evidence that low endoxifen level is associated with an impaired disease-free survival. We studied 122 breast cancer patients treated with tamoxifen and increased the tamoxifen dose in those with endoxifen level of <30 nmol/L. Concomitant medications, CYP2D6 genotype, compliance and absorption, and unknown factors contributed to low endoxifen. We found that endoxifen level increased regardless of CYP2D6 genotype category. The best predictor for reaching potentially therapeutic target levels of endoxifen with tamoxifen dose escalation was the baseline endoxifen level, and not CYP2D6 genotype. Hot flashes did not predict endoxifen level. Clinical trials are needed to confirm an association between low endoxifen and inferior outcome. Our data suggests that tamoxifen dose escalation in such trials should be by measuring endoxifen level alone without reference to CYP2D6 genotype or hot flashes.

xenograft models have shown that breast cancer cell proliferation is endoxifen concentration dependent (16, 17, 20). Two large retrospective studies have shown a correlation between endoxifen level and disease-free survival in patients with early breast cancer treated with tamoxifen (18, 19). Using quintile group analyses, both studies showed that endoxifen trough levels below approximately 15 nmol/L had worse outcome compared with those with higher levels in the adjuvant setting.

The overall aim of this study was to determine whether a substantial increase in endoxifen level could be achieved by tamoxifen dose escalation in individuals with low endoxifen level, and to examine the impact of genetic and other factors, such as concomitant medications, on achieving potentially therapeutic levels.

Materials and Methods

Patients

Participants had hormone receptor-positive breast cancer and a minimum 8 weeks of tamoxifen at a dose of 20 mg per day as part of routine management. Other inclusion criteria included Eastern Cooperative Oncology Group performance status of 0 or 1, life expectancy >6 months, and adequate renal and hepatic function. Patients with a history of thrombosis, uncontrolled medical conditions and those receiving concurrent chemotherapy or radiotherapy were excluded. Concomitant use of CYP2D6 inhibitor medications was permitted.

The study protocol was approved by the Human Research Ethics Committee of the Cancer Institute New South Wales. All participants gave written informed consent. The study was registered at ClinicalTrials.gov NCT01075802.

Study design

Definition of the study target endoxifen level. At the commencement of the study, the target level of endoxifen was derived as the mean serum Z-endoxifen (endoxifen) in an initial series of 32 women recruited. This empirical approach was to ensure that an adequate number of patients entered the dose escalation phase of

the study, while avoiding dose increase in individuals who had relatively high baseline endoxifen. In this subgroup, the mean endoxifen level was 30.6 nmol/L and the study target level was set at 30 nmol/L for dose escalation purposes. During data analysis, a second target of 15 nmol/L was specified on the basis of studies published in the interim suggesting the importance of this threshold (18, 19).

Dose escalation protocol

After a minimum of 8 weeks of tamoxifen 20 mg per day, serum levels of tamoxifen, endoxifen, and other metabolites were measured. Participants with endoxifen levels below 30 nmol/L had tamoxifen dosage increased according to the schedule outlined in Fig. 1. Dose was increased every 8 weeks until the maximum dose of 60 mg per day, or the target endoxifen level, was reached; or the patient withdrew from the study. The upper dosage limit of 60 mg was chosen due to limited safety data on tamoxifen in excess of 40 mg per day. In a proportion of participants (65/122, 53%), baseline serum tamoxifen and metabolite levels were measured on two occasions prior to implementation of the study protocol. For this subgroup, assignment to dose escalation was based on initial endoxifen level with the second baseline level included in analysis.

Clinical data collection

Data were collected on breast cancer history, concomitant medications, menstrual history, and ethnicity. Ethnicity was defined according to the country of origin or ethnic group of the patient's four grandparents (21). CYP2D6 inhibition category of concomitant medications was allocated according to the Flockhart, Indiana University website (22).

Participant-reported hot flash scores were derived monthly from both the frequency and severity of flashes over a one-week period using the Loprinzi method (23). Nonvasomotor toxicities were also recorded at each visit and for 6 months after study completion.

Serum tamoxifen and metabolites

Blood sampling for drug level measurements was performed 24 hours after the last tamoxifen dose as far as practicable and after at least 8 weeks of stable tamoxifen dose to ensure steady-state levels. Specimens were collected in an EDTA tube and immediately centrifuged for 10 minutes at 3,000 rpm and serum separated, and then frozen at -80°C, and protected from

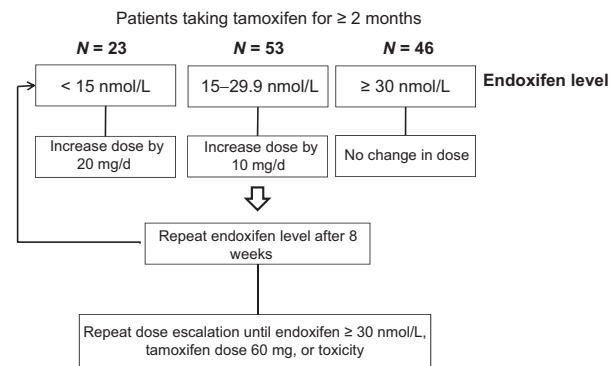


Figure 1. Consort diagram.

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light. Levels of tamoxifen and metabolites were measured by reverse-phase liquid chromatography coupled with mass spectrometry. Analysis was performed using an adaptation of a previously described method (24). Metabolites measured were tamoxifen, N-desmethyltamoxifen (NDMT), 4-hydroxy tamoxifen (4OHT), 4'-hydroxy tamoxifen (4'OHT), Z-endoxifen (endoxifen), and 4'-OH N-desmethyltamoxifen (Z'-endoxifen). Only endoxifen and not Z'-endoxifen was used in the statistical analysis. Chromatographic separations were carried out with a Waters 2695 pump equipped with an autoinjector. The analytes were separated on a Synergi 4 μ Hydro-RP 80Å 150 \times 2.00 mm Column (Phenomenex). The mobile phase consisted of solvent A (water), solvent B (acetonitrile), and solvent C (35 mmol/L ammonium formate, pH 3.5) delivered as a gradient: 0–10 minutes for solvent B, 40%–70%; 10–14 minutes for solvent B, 70%–80%; and 14–17 minutes for solvent B, 80%–40%, with 10% solvent C, at a constant flow rate of 0.25 mL/minute. The high-performance liquid chromatography was coupled with a Waters ZQ quadrupole mass detector via an electrospray ionization interface operating in the positive ion mode. Quantitative determination of tamoxifen and its metabolites was performed by time scheduled single ion recordings using (M + H)⁺ ions. Poor tamoxifen adherence or absorption was defined as a baseline trough level of <150 nmol/L (19).

CYP2D6 genotyping

Whole blood was collected for DNA extraction to assess CYP2D6 genotype. DNA was extracted from whole blood using Promega Maxwell DNA extraction kits. CYP2D6 genotyping was performed by a single base extension assay (iplex) that was analyzed on a Sequenom Massarray MALDI-TOF mass spectrometer (Sequenom). Copy number variants of CYP2D6 were determined by quantitative real-time PCR using an ABI7900 (Applied Biosystems). Genotyping was provided by a commercial provider (Healthscope Pathology), which is accredited by the National Association of Testing Authorities of Australia and adheres to the Quality Assurance Program of the College of American Pathologists. Two DNA samples of known genotype were included in each run.

Each allele was defined as inactive (CYP2D6*3, *4, *5, *6, *7, *8, *14); partially active (CYP2D6*9, *10, *18, *41, *14B); active (CYP2D6 *1, *2), or duplicated (CYP2D6 *1xN, *2xN), corresponding to CYP2D6 activity scores of 0, 0.5, 1, and 2 respectively (25). Four genotype-based metabolizer groups were initially designated as ultra-rapid (UM): total activity score >2; extensive (EM): activity score 1.5–2; intermediate (IM): activity score 1–1.5; and poor (26): activity score \leq 0.5. However, in the final analysis, metabolizer categories were reassigned to align with 2014 Guidelines (25) with activity scores: UM >2, EM 1–2, IM 0.5, and PM 0.

Statistical analysis

On the basis of the original metabolizer categorization, it was estimated that the majority of the CYP2D6 extensive metabolizer (EM) participants, approximately 10% of intermediate metabolizer (IM) and 5% of the poor metabolizer (26) participants, would achieve the 30 nmol/L target endoxifen level with a 20 mg dosage of tamoxifen. A dose escalation would be considered worthwhile if the proportion of IM and PM groups reaching the endoxifen target could be increased to

at least 50%. On the basis of Simon's two-stage design, with 90% power and 95% confidence, a sample size of at least 13 IM patients and 7 PM patients would be sufficient to detect this difference. According to an estimated population distribution of 75% UM/EM, 20% IM, and 5% PM, a total of 120 patients was considered sufficient to recruit the appropriate numbers of IM (at least 13) and PM (at least 7) to detect differences of clinical significance.

The Spearman rank correlation coefficient was utilized to assess the association between serum analytes and hot flash score. A two-sample *t* test was used to compare CYP2D6 metabolizer and inhibitor categories with metabolite levels. Variables which potentially could impact on target endoxifen level were examined by multivariate analysis (linear and logistic regression). Variables were declared significant if the *P* value was <0.05 in the model. As this is an exploratory study, no adjustments were made for multiple comparisons. Deviation from Hardy-Weinberg equilibrium was assessed using the Pearson's χ^2 test.

Results

Cohort characteristics

The cohort comprised 122 individuals taking tamoxifen as treatment for breast cancer. Median length of tamoxifen treatment before study entry was 10.4 months (range 1–69). A single participant was taking 40 mg tamoxifen at study entry and was excluded from baseline analysis but included in dose escalation data. Other cohort characteristics are summarized in Table 1. Patients who had tamoxifen dose escalated were younger (median

Table 1. Cohort characteristics

	Number		
	All	Not dose escalated	Dose escalated
Patients	122	54	67
Gender			
Female	120	53	66
Male	2	1	1
Menopausal status at diagnosis ^a			
Premenopausal	74	26	48
Postmenopausal	45	28	17
Reason for tamoxifen			
Adjuvant	117	52	65
Metastatic	5	3	2
CYP2D6 metabolizer category			
UM	2	2	0
EM	103	51	52
IM	9	2	7
PM	8	0	8
CYP2D6 inhibitor medications			
None	93	43	50
Weak/minimal inhibitor	24	11	13
Moderate inhibitor	3	1	2
Strong inhibitor	2	0	2
Ethnicity			
English/Irish/Scottish	61	25	36
Continental European	23	10	13
Asian	17	10	7
Middle East	6	4	2
Pacific Islander	3	1	2
Unknown	12	5	7

NOTE: CYP2D6 genotype: ultra-rapid (UM), extensive (EM), intermediate (IM) and poor metabolizers (PM).

^aMenopausal status was unknown in 3 patients.

48 vs. 53 years, $P = 0.02$), more likely to be premenopausal (72% vs. 47%), and more likely to be PM (15% vs. 0%).

The distribution of participants between CYP2D6 metabolizer categories was two UM (1.6%), 103 EM (84.4%), 9 IM (7.4%), and 8 PM (6.6%; Supplementary Table S1). This distribution was substantially influenced by *post hoc* application of genotype/phenotype category definitions described in recent guidelines (25), with the effect of substantially increasing the EM category and reducing IM and PM subgroups. There was no significant deviation from Hardy–Weinberg equilibrium ($\chi^2 = 2.01$, $P = 0.16$). Allele frequency of the study subjects compared with recently published results for selected racial groups is shown in Supplementary Table S2.

Baseline endoxifen was associated with indicators of CYP2D6 activity

The mean levels of tamoxifen and endoxifen in 121 patients taking 20 mg tamoxifen at baseline were tamoxifen 326.8 nmol/L (range 76–905.2 nmol/L, interquartile range 188.9 nmol/L) and endoxifen 25.8 nmol/L (3.1–59.1 nmol/L, interquartile range 20.8 nmol/L), indicating a 12-fold variation in baseline tamoxifen and 20-fold variation in endoxifen levels across the cohort.

Baseline endoxifen was significantly lower in CYP2D6 PM individuals (mean 9.4 nmol/L, SD 5.3) compared with UM/EM individuals (mean 27.8 nmol/L, SD 13.5; $P < 0.001$). There was no significant difference between baseline endoxifen in IM (mean 15.6 nmol/L, SD 8.5) and UM/EM individuals. One UM patient had a baseline endoxifen level of 15.3 nmol/L (tamoxifen level 333.5 nmol/L; all patient mean 326 nmol/L), was not on CYP2D6 inhibitors, but did not undergo tamoxifen dose escalation. After controlling for CYP2D6 genotype, there were no significant differences in the mean endoxifen concentration between ethnic groups (data not shown).

Endoxifen levels were not different between participants taking weak or minimal CYP2D6 inhibitor medications compared with no CYP2D6 inhibitors ($P = 0.5$). However, five participants taking moderate or strong CYP2D6 inhibitors had lower levels of endoxifen compared with others ($P = 0.003$; Supplementary Table S2).

Low baseline endoxifen can be attributed to multiple factors

Twenty-nine participants had baseline endoxifen level <15 nmol/L. Fourteen (48%) had an identifiable cause: 7 patients were PM, 4 were on moderate or strong CYP2D6 inhibitors, and 6 had poor tamoxifen adherence/absorption (baseline tamoxifen

<150 nmol/L). One patient was both PM and on a moderate inhibitor, and one patient had all three factors present. Fifteen (52%) had no obvious cause for low endoxifen level.

Endoxifen levels were increased by tamoxifen dose escalation

Seventy-eight participants (63%) entered the dose escalation phase of the study, including one with a baseline endoxifen level of 30.09 nmol/L. Nine patients withdrew before dose escalation. Overall, 18 participants (23.7%) withdrew from the study due to treatment-related effects ($N = 9$), social reasons ($N = 3$), cessation of tamoxifen by clinician ($N=2$), relocated overseas ($N = 2$), enrolment in another clinical trial ($N = 1$), and progressive disease ($N = 1$). The maximum dose reached by patients allocated to dose escalation but withdrew before target endoxifen or 60 mg/d tamoxifen dose was reached was 20 mg in 8, 30/40 mg in 7, and 50 mg in 3 participants.

Following tamoxifen dose escalation, an endoxifen level of >15 nmol/L was achieved in 117 of 122 individuals (96%) compared with 93 of 122 (76%) at baseline. Overall, 93 of 122 (76%) achieved the 30 nmol/L threshold with dose escalation compared with 42 of 122 (34%) at baseline. Of 68 dose-escalated individuals, 65 (96%) and 47 (69%) achieved the 15 nmol/L and 30 nmol/L endoxifen targets, respectively (Table 2; Fig. 2). The effect of dose escalation on levels of tamoxifen and other metabolites is shown in Supplementary Fig. S1.

Overall, each 10 mg increase in tamoxifen dosage was associated with a mean 7.8 nmol/L (± 10.25 mol/L) increase in endoxifen level. For PM individuals, the mean increase in serum endoxifen per 10 mg increase in tamoxifen was 1.15 nmol/L (± 1.57 nmol/L) lower than other categories, but this difference was not statistically significant ($P_{\text{trend}} = 0.30$).

Impact of genotype, low baseline endoxifen, and CYP2D6 inhibitors on target levels

The 15 nmol/L level was achieved by 100% of the dose-escalated UM/EM and IM groups and 63% of the PM category (Table 2). None of the dose-escalated PM group reached the 30 nmol/L target compared with 71% of IM and 83% of UM/EM dose-escalated individuals. The only CYP2D6 EM participant who dose escalated to 60 mg without achieving the 30 nmol/L endoxifen target was taking a strong CYP2D6 inhibitor (fluoxetine). Across the cohort, endoxifen levels at highest tamoxifen dosage were higher for UM/EM compared with IM and ($P = 0.04$) PM individuals ($P < 0.001$) (Table 2).

Table 2. Achievement of 15 or 30 nmol/L endoxifen targets according to baseline endoxifen and CYP2D6 phenotype

Baseline endoxifen (nmol/L)	n	Number dose escalated	Mean baseline endoxifen (nmol/L)	Dose escalated subgroup		
				Mean highest endoxifen (nmol/L)	Reached 15 nmol/L target	Reached 30 nmol/L target
All patients	122	68	25.7 ^a	34.4	65/68 (96%)	47/68 (69%)
0–10	14	12	6.7 ^a	20.1	7/12 (58%)	2/12 (17%)
10–15	15	12	12.3	33.5	12/12 (100%)	5/12 (42%)
15–20	21	18	17.8	38.6	—	16/18 (89%)
20–30	30	24	24.2	38.7	—	20/26 (77%)
>30	42	1 ^b	41.8	33.6	—	—
CYP2D6 metabolizer category						
PM	8	8	9.4	18.7	5/8 (63%)	0/8 (0%)
IM	9	7	15.6	26.6	7/7 (100%)	5/7 (71%)
UM/EM	105	53	27.8 ^a	39.6	53/53 (100%)	43/53 (81%)

^aIncludes patient on 40mg/d tamoxifen at baseline.

^bBaseline endoxifen 30.09 nmol/L.

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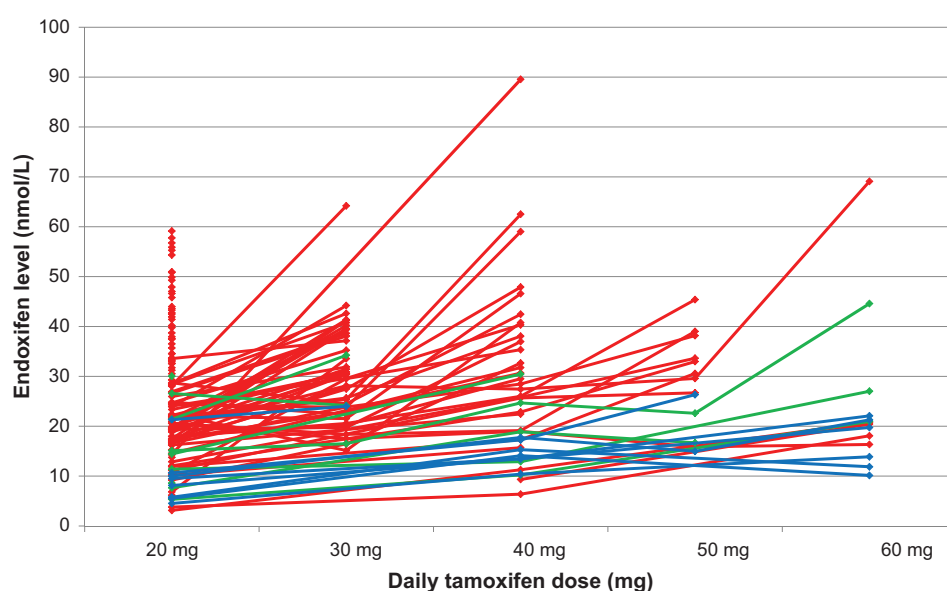


Figure 2. Endoxifen level at baseline and after tamoxifen dose escalation according to CYP2D6 metabolizer category. PM, blue line; IM, green line; EM and UM, red line.

In those with baseline endoxifen levels <10 nmol/L, 7 of 12 (58%) and 2 of 12 (17%) reached the 15 nmol/L and 30 nmol/L targets, respectively. Five of 12 were CYP2D6 PM and 3 were on moderate or strong CYP2D6 inhibitors (one participant had both factors). The patient with the lowest baseline endoxifen level (3.1 nmol/L) was CYP2D6 EM and on no inhibitors and had a serum tamoxifen level of 176 nmol/L (all patient mean tamoxifen was 326 nmol/L). In those with baseline endoxifen of 10–15 nmol/L, 12 of 12 (100%) and 5 of 12 (42%) reached the 15 nmol/L and 30 nmol/L targets (Table 2). Two of 12 were CYP2D6 PM and none were on moderate or strong CYP2D6 inhibitors.

Twenty eight of 68 patients had a change in concomitant medication during the tamoxifen dose escalation period and three of these involved a drug known to affect CYP2D6. In one EM patient, duloxetine (moderate inhibitor) was commenced after initial dose escalation with endoxifen levels (on tamoxifen dose) of 11.9 nmol/L (20 mg), 17.3 nmol/L (40 mg), and 30.6 nmol/L (50 mg). One IM patient started venlafaxine (weak inhibitor) with endoxifen levels of 14.6 (20 mg) and 31.8 nmol/L (40 mg). A PM patient had fluoxetine (strong inhibitor) dose reduced with endoxifen levels 6.1 nmol/L (20 mg), 10.2 nmol/L (40 mg), and 20.9 nmol/L (60 mg).

Low baseline endoxifen predicts failure to achieve endoxifen targets

Various parameters were examined for association with final endoxifen levels above 15 nmol/L and 30 nmol/L, respectively (Table 3). In a univariate analysis, CYP2D6 PM was a predictor for reaching the 15 nmol/L and 30 nmol/L targets ($P = 0.01$ and 0.001 respectively) but lost significance in the multivariate analysis for the 15 nmol/L target. PM was excluded from the multivariate analysis for the 30 nmol/L target as no PM patients reached that target. In a multivariate analysis, the only independent predictor of achieving the 15 nmol/L and 30 nmol/L targets was low baseline endoxifen level ($P = 0.04$ and 0.003 , respectively).

Hot flashes and other toxicity

On standard dose 20 mg tamoxifen, 104 of 122 (85%) of participants reported hot flashes. The mean hot flash score for

all participants on 20 mg tamoxifen was 52.1 ± 16.5 (SEM). There was no association between baseline endoxifen level and hot flash score when analyzed as continuous variables (Spearman rank correlation coefficient $R = 0.07$, Fig. 3A). CYP2D6 genotype was not associated with the presence or severity of hot flashes (data not shown).

There was no association between hot flash score and endoxifen levels at maximum tamoxifen dosage ($R = 0.005$, Fig. 3B) and increase in tamoxifen dosage was not associated with an increase in hot flash score (Fig. 3C). There were no episodes of venous or arterial thromboembolism during or within 6 months of the study period.

Discussion

This study was commenced in March 2010 and since then other studies have shown that tamoxifen dose escalation can lead to an increase in endoxifen level (27–30). However, our study is notable as dose escalation occurred regardless of CYP2D6 genotype, and medications that were known to inhibit CYP2D6 metabolism were not an exclusion criteria allowing independent assessment of these factors.

We have shown that, although PM CYP2D6 genotype is associated with low endoxifen level, CYP2D6 genotype alone is not a

Table 3. Multivariate analysis of the association between parameters and the achievement of endoxifen targets

Parameter	15 nmol/L endoxifen target <i>P</i>	30 nmol/L endoxifen target <i>P</i>
Older age	0.7	0.5
Prior chemotherapy	0.9	0.7
CYP2D6 inhibitor use	0.3	0.7
CYP2D6 IM	0.7	0.6
CYP2D6 PM	0.3	^a
Low baseline endoxifen level	0.04	0.003
Longer tamoxifen duration	0.2	0.1

^aPM could not be included in the multivariate analysis as no PM patient had endoxifen ≥ 30 nmol/L.

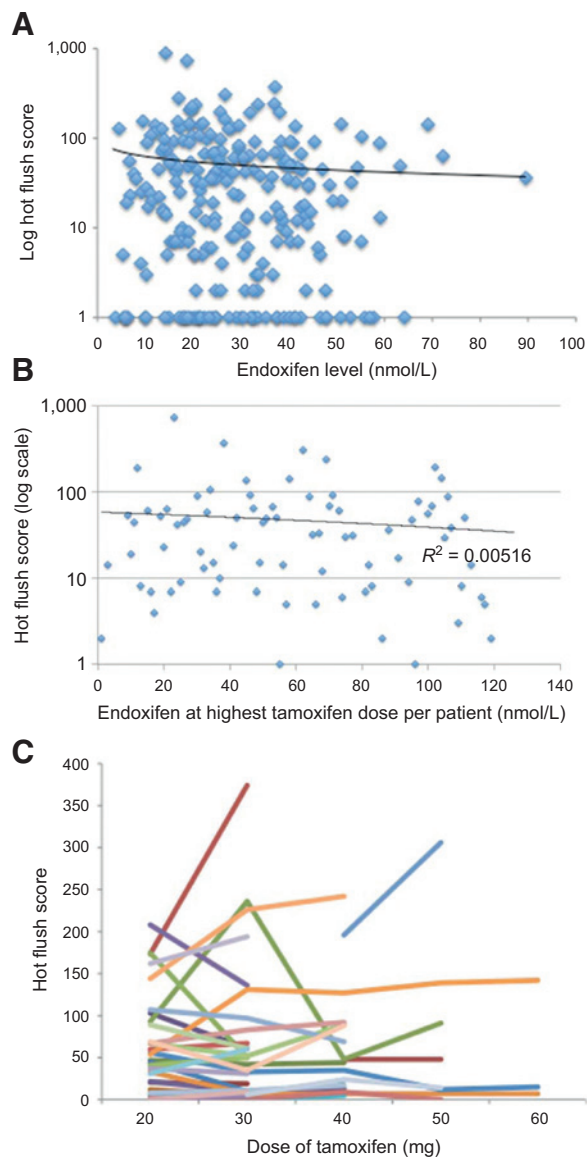


Figure 3. A, Log hot flash score (measured by the Loprinzi instrument) plotted against endoxifen level (nmol/L), demonstrating lack of correlation ($R = 0.07$). B, hot flash score according to endoxifen level at highest tamoxifen dose ($R = 0.005$). C, change in total hot flash score per patient with dose increment of tamoxifen (mg), showing no positive correlation.

reliable predictor of endoxifen level especially in patients undergoing tamoxifen dose escalation. It was clear that multiple factors contribute to low endoxifen level, including *CYP2D6* genotype, concomitant *CYP2D6* inhibitor use, and poor adherence or absorption. One or more of these factors were identified in 48% of those with endoxifen <15 nmol/L. However, over 50% of low endoxifen subjects had no obvious cause identified. It is possible that variation in other non-*CYP2D6* pathways may have also contributed to low endoxifen. These were not measured in this study but will be the subject of an extensive genotype analysis of drug metabolism and elimination genes in these patients. In a multivariate analysis, low baseline endoxifen was the only pre-

dictor of failure to reach the 15 nmol/L, a level purported to be important for anticancer effect. We also showed no association between a patient's experience of hot flashes and endoxifen levels on standard dose tamoxifen or following dose escalation. Overall, we conclude that direct measurement of endoxifen levels is more informative than inferring potential therapeutic endoxifen levels from *CYP2D6* genotype, the use of *CYP2D6* inhibitors, or by monitoring side effects such as hot flashes.

At the time of commencement of the study, there were few data available to indicate a therapeutic level for endoxifen. Hence, for the purposes of evaluating the effect of dose escalation, an empirical approach was taken to define the study target of 30 nmol/L. Since then, two studies have been published that indicate that an endoxifen level of approximately 15 nmol/L may be a critical level for anticancer effect. The Women's Healthy Eating and Living (WHEL) Study of 1,370 patients with ER-positive early breast cancer reported that relapse rates were higher for patients in the lowest quintile of endoxifen levels corresponding to levels < 5.9 ng/mL (or 14.2 nmol/L). More recently, Saladores and colleagues, have shown in 306 premenopausal women on adjuvant tamoxifen that those with low (<14 nmol/L) compared with high (>35 nmol/L) endoxifen concentrations were associated with shorter distant relapse-free survival (19). *In vitro* and xenograft studies have indicated similar concentrations for endoxifen effect. Hawse and colleagues demonstrated that treatment of MCF7 breast cancer cells with 20 nmol/L endoxifen in combination with estrogen, tamoxifen, and other metabolites had no cell-cycle impact, whereas inclusion of 100 nmol/L endoxifen led to a reduction in S-phase fraction (20). In a mouse MCF7 breast cancer cell xenograft model, Gong and colleagues demonstrated dose-dependent tumor growth inhibition by endoxifen with simulations suggesting 97% growth inhibition at 40 nmol/L compared with 83% at 15 nmol/L (17). Overall, these data suggest that endoxifen levels below 15 nmol/L may be suboptimal for anticancer effect. In our study, tamoxifen dose escalation led to an endoxifen level of >15 nmol/L in 117 of 122 (96%) of patients compared with 93 of 122 (76%) on standard dose tamoxifen.

Our study did not seek to define an optimal therapeutic endoxifen concentration and we acknowledge that before monitoring of endoxifen levels in tamoxifen-treated patients can be accepted as standard of care, prospective studies are required to prove a link between endoxifen level and anticancer effect. Nonetheless, in our study, and consistent with other reports, 20% of individuals on standard dose tamoxifen have endoxifen levels below 15 nmol/L (28, 31). In approximately half of the cases of those with low endoxifen level (10% of the total population), no known cause was identified, including by *CYP2D6* genotype measurement, raising the notion of therapeutic drug monitoring in this setting.

Our data show that the use of *CYP2D6* genotype alone gives an imperfect guide to endoxifen level on standard dose tamoxifen and after dose escalation. Although the PM *CYP2D6* genotype gave insight to low endoxifen levels, some PM individuals had baseline endoxifen >15 nmol/L and others could achieve that level with tamoxifen dose escalation. Moreover, PM status accounts for less than 25% of patients with low endoxifen level. Overall, we did not find a subgroup of genotype where endoxifen level could be inferred accurately especially in the setting of tamoxifen dose increase.

To put these data into clinical context, if a clinician knows a patient has PM genotype, then it cannot be assumed that endoxifen level will be low. One of 8 PM patients had baseline endoxifen levels above 15 nmol/L and PM patients had a 63% chance of achieving endoxifen levels above 15 nmol/L with dose escalation. Conversely, 16% (18/108) UM/EM and IM patients had endoxifen levels <15 nmol/L even when use of concomitant inhibitors was excluded. Those on moderate to strong CYP2D6 inhibitors had an 80% chance of having levels below 10 nmol/L. Importantly, if the baseline endoxifen level was <10 nmol/L, the patient had a 72% chance of substantial endoxifen increase with dose escalation, independent of genotype. In all situations, the measurement of endoxifen takes into account known factors such as genotype, concomitant inhibitor, and adherence/absorption, as well as unidentified factors and could ensure that potentially effective levels are maintained, or help the clinician to decide whether an alternative to tamoxifen, such as an aromatase inhibitor, should be recommended.

Our study also examined the potential use of a clinical indicator, hot flashes, to infer endoxifen level and therefore potential anticancer effect. The potential links between inter-individual variations in tamoxifen metabolism, endoxifen level, vasomotor side effects, and clinical outcome have remained contentious. There have been discordant reports relating metabolite levels to hot flashes, with one reporting an association (32), another an inverse correlation (33) and others an absence thereof (28, 34). We found no correlation between hot flash score and *CYP2D6* genotype, any tamoxifen metabolite or specifically with endoxifen level in our patient group. Our data also do not support the measurement of endoxifen levels to investigate excessive hot flashes for patients taking tamoxifen (35). Although endoxifen may be the most important metabolite for activity, it is not clear that it is uniformly responsible for the rare but serious toxicities, including uterine cancer and deep vein thrombosis. Of note, the N-desmethyl and 4'-hydroxy metabolites increased by up to 4-fold with dose escalation. Little is known about the activity of 4'-hydroxy tamoxifen. It is predominantly produced by CYP2B6 and evidence by Ruenitz and colleagues in 1982 indicates it may have significant estrogenic effects (36, 37). Safety of dose escalation should be investigated in larger numbers of patients to ensure no significant increase in these rare toxicities occur before this approach can be considered on a routine basis.

Another potential solution to abrogating low endoxifen level is to increase tamoxifen dose unselectively in all patients. Arguments against this approach include difficulties in defining dose (40 mg, 60 mg, or higher), and the fact that the majority of patients will experience very high endoxifen and other metabolite levels with resultant possible increased toxicity. In addition, unselected dose escalation will not counter adherence issues.

It is clear from our data that endoxifen systemic exposure for patients on tamoxifen is complex and goes beyond *CYP2D6*

genotype alone. Important factors such as the concomitant use of inhibitors, adherence to tamoxifen dose, absorption, and possibly other elimination and metabolism routes are involved (12–15). Moreover, patients with low endoxifen level can achieve significantly higher levels with escalation of tamoxifen dose regardless of *CYP2D6* genotype. We also conclude that the severity of hot flashes is not associated with endoxifen exposure.

Our data indicate the best method for determining endoxifen exposure is to measure endoxifen trough level rather than implying a particular level using genetic or clinical measures. Together with other data, this study highlights the need to precisely define a clinically relevant endoxifen target concentration in prospective studies of tamoxifen dose adjustment with the primary outcome of efficacy. It is important that such studies are guided by direct measurement of circulating endoxifen levels.

Disclosure of Potential Conflicts of Interest

S.H. Yeap is a consultant/advisory board member for Merck and Novartis. No potential conflicts of interest were disclosed by the other authors.

Authors' Contributions

Conception and design: R. Balleine, B. Gao, B. Balakrishnar, A.M. Menzies, S.S. Ali, V. GebSKI, C. Liddle, M. Wong, N. Wilcken, H. Gurney

Development of methodology: P. Fox, C. Lee, B. Gao, B. Balakrishnar, A.M. Menzies, S.H. Yeap, S.S. Ali, V. GebSKI, S. Coulter, C. Liddle, M. Wong, N. Wilcken, H. Gurney

Acquisition of data (provided animals, acquired and managed patients, provided facilities, etc.): P. Fox, C. Lee, B. Gao, B. Balakrishnar, A.M. Menzies, S.H. Yeap, S.S. Ali, S. Coulter, C. Liddle, R. Hui, R.F. Kefford, J. Lynch, N. Wilcken, H. Gurney

Analysis and interpretation of data (e.g., statistical analysis, biostatistics, computational analysis): P. Fox, R. Balleine, C. Lee, B. Balakrishnar, A.M. Menzies, S.S. Ali, V. GebSKI, P.J. Provan, C. Liddle, R.F. Kefford, M. Wong, N. Wilcken, H. Gurney

Writing, review, and/or revision of the manuscript: P. Fox, R. Balleine, C. Lee, B. Balakrishnar, A.M. Menzies, S.S. Ali, V. GebSKI, R. Hui, R.F. Kefford, J. Lynch, M. Wong, N. Wilcken, H. Gurney

Administrative, technical, or material support (i.e., reporting or organizing data, constructing databases): P. Fox, C. Lee, B. Balakrishnar, S.S. Ali, P.J. Provan, H. Gurney

Study supervision: P. Fox, B. Balakrishnar, S.S. Ali, N. Wilcken, H. Gurney

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