Overexpression of EpCAM in Uterine Serous Papillary Carcinoma: Implications for EpCAM-Specific Immunotherapy With Human Monoclonal Antibody Adecatumumab (MT201)

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Abstract

We evaluated the expression of epithelial cell adhesion molecule (EpCAM) and the potential of MT201 (adecatumumab), a human monoclonal antibody against EpCAM, in uterine serous papillary carcinoma (USPC). EpCAM expression was evaluated by real-time PCR and immunohistochemistry in a total of 56 USPC fresh-frozen biopsies and paraffin-embedded tissues. EpCAM surface expression was also evaluated by flow cytometry and immunohistochemistry in six USPC cell lines. Sensitivity to MT201 antibody-dependent cellular cytotoxicity and complement-dependent cytotoxicity was tested against a panel of primary USPC cell lines expressing different levels of EpCAM in standard 5-h ^{51}Cr release assays. EpCAM transcript was significantly overexpressed in fresh-frozen USPC when compared with normal endometrial cells (NEC). Median (minimum–maximum) copy number was 943.8 (31.5–1568.3) in tumor samples versus 12.9 (1.0–37.0) in NEC ($P < 0.001$). By immunohistochemistry, EpCAM expression was found in 96% (26 out of 27) of USPC samples with significantly higher expression compared with NECs ($P < 0.001$). High surface expression of EpCAM was found in 83% (five out of six) of the USPC cell lines tested by flow cytometry. EpCAM-positive cell lines were found highly sensitive to MT201-mediated antibody-dependent cellular cytotoxicity *in vitro*, whereas primary USPC cell lines were resistant to natural killer cell–dependent cytotoxicity. Human plasma IgG did not significantly inhibit MT201-mediated cytotoxicity against USPC. EpCAM is highly expressed in uterine serous carcinoma at mRNA and protein levels, and primary USPC are highly sensitivity to MT201-mediated cytotoxicity. MT201 might represent a novel therapeutic strategy in patients harboring advanced/recurrent or metastatic USPC refractory to standard treatment modalities. *Mol Cancer Ther; 9(1); 57–66. ©2010 AACR.*

Introduction

Cancer of the uterine corpus is the most prevalent gynecologic tumor in women, with an estimated 40,100 cases and 7,470 deaths in the United States in 2008 (1). The majority of cancers of the uterus are early stage, low-grade endometrioid tumors (i.e., type I). These neoplasms are frequently diagnosed in younger women, are associated with a history of hyperestrogenism as the main risk factor, and typically have a favorable prognosis with appropriate therapy. In contrast, type II endometrial cancers are poorly differentiated tumors, often with serous papillary or clear cell histology. Although type II tumors account for a minority of endometrial cancers, the majority of relapses and deaths occur in this group of patients (2, 3).

Uterine serous papillary carcinoma (USPC) represents the variant of type II endometrial carcinoma characterized by the most aggressive biological behavior (3–12). The microscopic criteria for USPC diagnosis were first outlined by Hendrickson in 1982 (11). Classically, the neoplastic epithelium is characterized by serous differentiation with psammoma bodies present and with predominantly papillary architecture, although solid areas can be focally detected (11). Cytologically, pleomorphism, grade 3 nuclear atypia with prominent nucleoli and vesicular chromatin pattern, and a high mitotic activity are detected. Clinically, USPC has a propensity for early intra-abdominal and lymphatic spread even at presentation

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(3–12). Unlike serous carcinomas of the ovary, USPC is a chemoresistant disease since its onset with responses to combined cisplatinum-based chemotherapy in the order of 20% and of short duration (3–12). The survival rate is dismal, even when USPC is only a minor component of the histologically more common endometrioid adenocarcinoma and widespread metastasis and death may occur even in those cases in which tumor is confined to the endometrium or to an endometrial polyp (3–12). The overall 5-year survival is ~30% for all stages and the recurrence rate after surgery is extremely high (50–80%). The poor prognosis of patients with USPC mandates the need for a better understanding of the molecular basis of the aggressive biological behavior of these tumors as well as for the development of novel, target-specific and more effective treatment modalities against this variant of endometrial cancer.

High-throughput genomic analysis represents a new tool for the discovery of novel molecular diagnostic and therapeutic markers. Using this technology, our group has recently evaluated the genetic fingerprints of USPC (13, 14). Epithelial cell adhesion molecule (EpCAM; also known as TROP-1, TACSTD1, 17-1A, GA733-2, KSA, KS1/4, 323/A3, and CD326), a calcium-independent homophilic cell adhesion molecule of 39 to 42 kDa, was consistently found as one of the top differentially expressed genes in USPC compared with normal human endometrial cells (NEC) by gene expression profiling (13, 14). Of interest, EpCAM antigen is not structurally related to any of the four major families of adhesion molecules such as cadherins, the immunoglobulin family, selectins, or integrins (15). It is a type I transmembrane glycoprotein consisting of an extracellular domain with two epidermal growth factor–like repeats and a short cytoplasmic domain of 26 amino acids (15). EpCAM has been reported to be expressed at relatively low levels on basolateral cell surfaces of most human simple epithelia (15). In contrast, high levels of EpCAM expression have been shown in a variety of human epithelial tumors compared with normal human tissue (16). Previous studies have shown that overexpression of EpCAM protein is common in mullerian-derived malignancies such as ovarian cancer (17), and more recently, EpCAM overexpression has been shown to represent an independent prognostic marker for reduced survival in patients with breast and ovarian cancer (18, 19). Very limited information is currently available on EpCAM expression in USPC, a tumor resembling high-grade ovarian cancer and characterized by an inborn resistance to chemotherapy and poor prognosis. This information is however important because   current medical treatment of chemotherapy-resistant/recurrent USPC remains dissatisfying, and because a novel human monoclonal antibody (mAb) against EpCAM has been recently developed (20) and is currently undergoing phase II studies in patients with breast, prostate, and colon cancer (21).

In this investigation, we evaluated EpCAM's potential value as a novel target for USPC therapy by studying its expression at both the gene and protein levels in patients harboring primary, metastatic, and recurrent USPC as well as on fresh uterine serous tumor cell lines established from patients harboring chemotherapy-resistant disease.

Materials and Methods

Freshly frozen uterine tissue samples. Study approval was obtained from the institutional review board, and all patients signed an informed consent form according to institutional guidelines. Briefly, tumor and normal fresh-frozen tissues were identified, sharp-dissected and snap-frozen in liquid nitrogen within 30 min from resection. Tissue fragments from 29 USPC samples (18 Caucasian and 11 African American) who underwent treatment for International Federation of Gynecology and Obstetrics stage IA to IV serous papillary endometrial adenocarcinoma and 6 normal fresh-frozen endometria (obtained from similar-aged women undergoing hysterectomy for uterine fibromas or prolapse) were split for histologic confirmation and RNA isolation. Samples were embedded in optimal cutting temperature medium, microdissected, and the frozen sections were stained with H&E to check epithelial component. All of the neoplastic specimens examined contained at least 70% tumor epithelial cells. Patient characteristics from which tumor and normal samples were obtained are described in Table 1. Of the 29 USPC samples included in the study, 24 were obtained from primary endometrial tissues, 4 from metastatic omental disease, and 1 from recurrent disease (i.e., biopsy obtained from a groin lymph node).

Quantitative real-time PCR. RNA isolation from a total of 35 fresh frozen samples (29 USPC and 6 normal endometria) and the 6 primary USPC cell lines used in the cytotoxicity experiments were done using TRIzol Reagent (Invitrogen) according to the instructions of the manufacturer. Quantitative real-time PCR (qRT-PCR) was done with a 7500 Real-time PCR System using the protocols recommended by the manufacturer (Applied Biosystems) to evaluate expression of EpCAM in all samples. Each reaction was run in duplicate. Briefly, 5 μg of total RNA from each sample was reverse transcribed using SuperScript III first-strand cDNA synthesis (Invitrogen). Five microliters of reverse-transcribed RNA samples (from 500 μL of total volume) were amplified by using the TaqMan Universal PCR Master Mix (Applied Biosystems) to produce PCR products specific for EpCAM. The primers and probe for EpCAM (TACSTD1) were obtained from Applied Biosystems (Hs00158980_m1). The comparative threshold cycle (C_T) method (Applied Biosystems) was used to determine gene expression in each sample relative to the value observed in the lowest nonmalignant endometrial epithelial cell sample, using glyceraldehyde-3-phosphate dehydrogenase (Assay ID Hs99999905_m1) RNA as internal controls.

EpCAM immunostaining of formalin-fixed tumor tissues. A total of 27 USPC specimens (22 primary and 5 metastatic obtained from uterine serous tumors with single cell differentiation, i.e., pure USPC), and 5 normal endometrium control tissues obtained from similar-aged women were evaluated by standard immunohistochemical staining on formalin-fixed tumor tissue for EpCAM surface expression. Patient characteristics from which tumor and normal samples were obtained are described in Table 1. Study blocks were selected after histopathologic review by a surgical pathologist. The most representative block was selected for each specimen. Briefly, immunohistochemical stains were done on 4-µm-thick sections of formalin-fixed, paraffin-embedded tissue. After pretreatment with 10 mmol/L of citrate buffer at pH 6.0 using a steamer, they were incubated with mouse anti-EpCAM antibodies ESA/EpCAM/Ab-3 (clone323/A3; Neomarkers, Inc.). Antigen-bound primary antibody was detected using standard avidin-biotin immunoperoxidase complex (Dako Corp.). Cases with <10% membranous staining in tumor cells were considered negative for EpCAM expression. The intensity of membranous immunoreactivity for EpCAM in tumor cells was subjectively scored as follows: 0, negative; 1+, weak membrane staining; 2+, medium staining; and 3+, strong membrane staining. Appropriate negative and positive controls were performed with each case.

Establishment of uterine serous cancer cell lines and NEC primary cell lines. A total of six USPC and three normal endometrial short-term cell cultures (i.e., tissues obtained from women undergoing hysterectomy for uterine fibromas or prolapse) were established after sterile processing of samples from surgical biopsies as previously described (13, 14). Patient characteristics from which tumor cell lines were obtained are described in Table 1. All USPC patients from which the cell lines were established experienced clinical progression of the disease

during chemotherapy. Three out of six of these *in vivo* chemotherapy-resistant tumors were confirmed to be highly resistant to multiple chemotherapeutic agents when measured for percentage of cell inhibition by *in vitro* Extreme Drug Resistance assay (data not shown; Oncotech, Inc.; ref. 22). Briefly, tissue was mechanically minced to portions no larger than 1 to 3 mm^3 in an enzyme solution made of 0.14% collagenase type I (Sigma) and 0.01% DNase (Sigma, 2000 KU/mg) in RPMI 1640, and incubated in the same solution in a magnetic stirring apparatus for 1 h at room temperature. Enzymatically dissociated cells were then washed twice in RPMI 1640 with 10% fetal bovine serum and maintained in RPMI supplemented with 10% fetal bovine serum, 200 µg/mL of penicillin and 200 µg/mL of streptomycin at 37°C, 5% CO_2 in 75 cm^2 tissue culture flasks or Petri dishes (Corning). After seeding on plasticware (48–72 h), nonadherent cells and contaminant inflammatory cells were gently removed from the culture by multiple washings with PBS. The epithelial purity of the NEC and USPC cell lines were evaluated by immunocytochemical staining with antibody against pan-cytokeratin as previously described (13, 14). Only cell cultures composed of at least 99% epithelial cells were retained for flow cytometry experiments.

EpCAM immunohistochemistry of cell blocks obtained from primary USPC cell lines cultured in vitro. Cell cultures from six primary USPC cell lines were trypsinized and cells were suspended in CytoRich fixative (Richard-Allan Scientific), then centrifuged for 5 min at 2,650 rpm. The supernatant was pipetted without disturbing the cell button. Four drops of human plasma and four drops of thromboplastin (Simplastin Excel; Biomerieux) were added to resuspend the cell button. The specimens were set aside until a clot formed (generally 5 min). The clot was then placed in a meshbag, fixed in 10% buffered formalin and processed as per routine histologic technique. EpCAM immunohistochemical stains were done on 5-µm sections of the paraffin-embedded cell blocks.

Table 1. Characteristics of patients

Pathology and tissue type (no.)	Age (y) Mean (SD)	Race		Stage			
		AA*	C†	I	II	III	IV
None							
Fresh-frozen NEC (6)	57 (7)	3	3				
Formalin-fixed NEC (5)	63 (9)	2	3				
Primary/metastatic/recurrent USPC							
Fresh-frozen USPC (29)	68 (7)	11	18	6	2	12	9
Formalin-fixed USPC (27)	69 (5)	10	17	8	3	9	7
USPC cell lines							
Primary USPC (6)	66 (11)	4	2			3	3

*AA, African American.
†C, Caucasian. Note that the primary/metastatic/recurrent USPC tested as fresh-frozen samples in RT-PCR experiments differ from the formalin-fixed samples used in immunohistochemical experiments.

After pretreatment with 10 mmol/L of citrate buffer at pH 6.0 using a steamer, the slides were incubated with anti-ESA/EpCAM mAb (Clone MOC-31; Neomarkers/Thermo Scientific). The DAKO EnVision kit was used for secondary detection and the reaction was visualized by DAB chromogen (DAKO). The reactions were scored (0 to 3+) as described above. Appropriate positive and negative controls were used with each case.

Flow cytometry. Adecatumumab (i.e., human recombinant IgG_1 antibody MT201, kindly provided by Micromet AG, Munich, Germany) was used for our flow cytometry and antibody-dependent cellular cytotoxicity (ADCC) studies. Clinical grade MT201 was produced by the manufacturer in Chinese hamster ovary cells and formulated in PBS at 10 mg/mL. Briefly, six freshly established uterine serous tumor cell lines obtained from the above described patients who experienced progression on chemotherapy were stained by MT201. A FITC-conjugated goat anti-human $F(ab1)_2$ immunoglobulin was used as a secondary reagent (BioSource International). Analysis was conducted with a FACScalibur instrument using CellQuest software (Becton Dickinson).

ADCC measurement. A standard 5-h chromium (^{51}Cr) release assay was done to measure the cytotoxic reactivity of Ficoll-Hypaque–separated peripheral blood lymphocytes (PBL) obtained from several healthy donors against all six USPC target cell lines. The release of ^{51}Cr from preloaded target cells was measured as evidence of tumor cell lysis, after exposure of tumor cells to varying concentrations of MT201 (ranging from 0.5 to 100 μg/mL). Controls included the incubation of target cells alone, with PBL, or mAb separately. The chimeric anti-CD20 IgG_1mAb rituximab (Rituxan, Genentech) was used as an antibody isotype control for MT201 in all bioassays. ADCC was calculated as the percentage of killing of target cells observed with mAb plus effector cells, compared with the ^{51}Cr release from target cells incubated in the absence of mAb or effector cells.

Interleukin-2 enhancement of ADCC. To investigate the effect of interleukin-2 (IL-2) on MT201-mediated ADCC, effector PBLs were incubated for 5 h at 37°C at a final concentration of IL-2 (Aldesleukin; Chiron Therapeutics) ranging from 50 to 100 IU/mL in 96-well microtiter plates. Target cells were primary USPC cell lines exposed to MT201 (concentrations ranging from 0.5 to 100 μg/mL), whereas controls included the incubation of target cells alone or with PBLs in the presence or absence of IL-2 or mAb, respectively. Rituximab was used as a control mAb. ADCC was calculated as the percentage of killing of target cells observed with mAb plus effector PBLs, as compared with target cells incubated alone. Each experiment was done with PBLs obtained from at least two normal donors, with results from a representative donor presented.

Test for complement-mediated target cell lysis and for inhibition by γ-immunoglobulin. A standard 5-h chromium (^{51}Cr) release assay identical to those used for ADCC assays, except that human plasma (as a source of complement) diluted 1:2 was added in place of the effector cells, was used to test for complement-mediated target cell lysis. To test for the possible inhibition of ADCC against USPC cell lines by physiologic human plasma concentrations of γ-immunoglobulin, heat-inactivated (56°C for 30 min) human plasma was diluted 1:2 before being added in the presence or absence of effector PBL. In some experiments, non–heat-inactivated human plasma (diluted 1:2) was added in the presence of effector PBL. Controls included the incubation of target cells alone or with either lymphocytes or mAb separately. Rituximab was used as an isotype control mAb.

Statistical analysis. For qRT-PCR data, the right-skewing was removed by taking copy number ratios relative to the lowest-expressing NEC sample ("relative copy numbers"), log 2 transforming them to ΔC_{TS}, and comparing the results via unequal variance t test for the USPC versus NEC difference. Group means with 95% confidence limits were calculated by computing them on the ΔC_{TS} and then reverse-transforming the results to obtain means (95% confidence intervals) of relative copy numbers. Differences in EpCAM expression by flow cytometry were analyzed using unpaired t test, and $P < 0.05$ among samples was considered to be significant. The Wilcoxon rank sum test was used to compare USPC types to normal endometrium for differences in immunohistochemical staining intensities. Sample type differences were expressed as odds ratios accompanied by 95% confidence limits. Kruskal-Wallis test and χ^2 analysis were used to evaluate differences in MT201-induced ADCC levels in primary tumor cell lines. Statistical analysis was done using SPSS version 15 (SPSS). $P < 0.05$ was considered statistically significant.

Results

EpCAM transcript levels in uterine serous carcinomas. USPCs are rare tumors which may present in either pure forms, or admixed with endometrioid or clear cell carcinoma (i.e., mixed USPC). To minimize the risk of contamination of USPC RNA with that of normal cells or tumor cells with different histology, we extracted RNA to be evaluated for EpCAM expression by qRT-PCR from primary USPC with single type differentiation (i.e., pure USPC). A total of 35 specimens including 6 flash-frozen normal endometria tissues, 24 primary, 4 metastatic, and 1 recurrent USPC were evaluated for EpCAM expression by qRT-PCR assays. Figure 1A shows the expression details for EpCAM in USPC tested versus NEC. No significant difference in EpCAM expression was found when primary tumors were compared with metastatic/recurrent USPC. In contrast, EpCAM transcript was significantly overexpressed in fresh-frozen USPC when compared with fresh-frozen NEC. The mean (minimum–maximum) copy number in tumor samples was 515.4 (31.5–1568.3) versus 8.1 (1.0–25.5) in NEC ($P < 0.001$). The fold change in mean relative copy numbers was 64.3 (Fig. 1A; $P = 0.0002$).
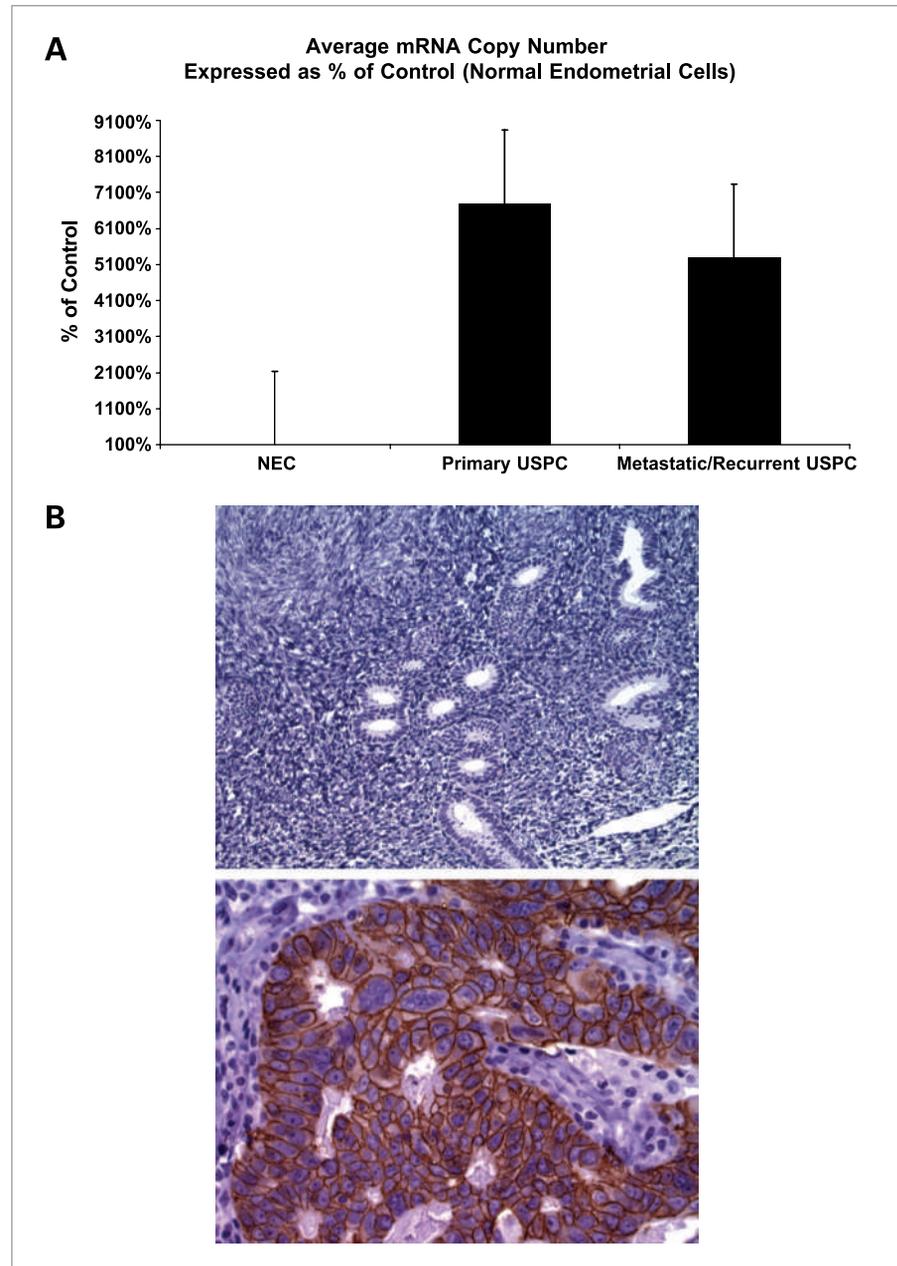
A, EpCAM mRNA expression levels in primary and metastatic/recurrent USPC compared with NEC. EpCAM transcript was found to have significantly higher expression in primary and metastatic/recurrent carcinoma when compared pairwise to fresh-frozen normal endometrial tissues ($P < 0.0001$). **B,** representative image of normal endometrium showing negative EpCAM immunohistochemical reaction (*top,* ×200), and a representative primary USPC showing strong (3+) EpCAM expression by immunohistochemistry (*bottom,* ×400).

EpCAM expression by immunohistochemistry on primary/metastatic USPC versus normal endometrial tissue. To determine whether the high expression of EpCAM mRNA detected by qRT-PCR assays in primary/metastatic/recurrent USPC also resulted in high expression of the protein on the surface of tumor cells, we did immunohistochemical analyses on formalin-fixed, paraffin-embedded tumor tissue from a separate set of 27 USPCs. As representatively shown in Fig. 1B, the intensity of EpCAM staining was significantly higher among the tumor specimens compared with normal endometrial controls (Wilcoxon rank sum, $P \leq 0.0001$). With a single exception, all tumors tested by immunohistochemistry showed membranous positivity for EpCAM (i.e., 26 out of 27 samples). In this regard, only 4 out of the 27 specimens were found to have a low positivity (1+) for EpCAM protein, whereas the remaining specimens available for immunohistochemical testing showed moderate (i.e., 2+, 7 samples) or strong (i.e., 3+, 15 samples) EpCAM positivity (Fig. 1B).

EpCAM surface expression by flow cytometry in primary USPC cancer cell lines. A total of six freshly established USPC cell lines derived in our laboratory from patients with USPC were tested for EpCAM

**Table 2.** EpCAM mRNA and protein expression in USPC cell lines

Cell line	IHC	RT-PCR	Flow cytometry	
			Cells (%)	MFI
Control	—	1	—	—
USPC ARK-1	1+	1,072	100	43
USPC ARK-2	2+	8,732	100	148
USPC ARK-3	3+	9,584	100	110
USPC ARK-4	0	1.5	30	31
USPC ARK-5	3+	8,888	100	116
USPC ARK-6	3+	5,691	100	86

Abbreviations: IHC, Immunohistochemistry; MFI, mean fluorescence intensity.

expression by FACS analysis. Table 2 shows EpCAM surface expression levels by flow cytometry, qRT-PCR, and immunohistochemistry in all primary USPC cell lines. Five out of six (83%) of the primary tumor cell lines were found to express high surface levels of EpCAM (i.e., 100% positive cells; mean fluorescence intensity ranging from 43 to 148; Table 2). As controls, NEC primary cultures were used. NEC showed negative to negligible levels of EpCAM expression by flow cytometry (Table 2). EpCAM surface expression results from flow cytometric analysis were found to be in good agreement with EpCAM expression results found by qRT-PCR and by immunohistochemistry in all six primary USPC cell lines.

USPC cell lines are highly resistant to natural killer cell activity but sensitive to MT201-mediated ADCC. Next, we evaluated primary USPC cell lines for their sensitivity to natural killer (NK) cell–mediated cytotoxicity when challenged with PBL collected from multiple healthy donors in a standard 5-h ^{51}Cr release assay. We initially did dose titration experiments using different doses of MT201 (i.e., from 0.5 to 100 μg/mL) and a constant effector (PBL) to target cell (USPC) ratios (1:25). As shown for two representative USPC cell lines (Fig. 2, *top* and *middle*), we found the lysis of primary USPC cell lines to plateau at 5 to 10 μg/mL of MT201 in multiple experiments. On the basis of these results, we used 5 μg/mL of MT201 in the majority of our following cytotoxicity experiments. Importantly, all USPC cell lines were consistently found to be resistant to NK cell–mediated lysis when combined with PBL in the absence of the antibody at effector/target (E/T) cell ratios varying from 25:1 to 50:1 (mean killing, 2.8%; Table 3). Similarly, USPC cell lines incubated with the isotype control antibody rituximab were not significantly lysed (mean lysis, 3.0%; Table 3). In strong contrast, all EpCAM-positive cell lines (five out of six USPC) were found to be highly sensitive to PBL when incubated with MT201 (range of lysis from 23% to 62%; mean 33%; Table 3; Fig. 2). These experiments were repeated a minimum of thrice for each USPC cell line.

Effect of complement and physiologic concentrations of IgG on MT201-mediated ADCC against USPC. To evaluate primary USPC cell lines for their sensitivity to complement-mediated cytotoxicity, and to evaluate possible inhibition of ADCC by physiologic serum concentrations of IgG, USPC cell lines were challenged by adding human plasma diluted 1:2 (with or without heat inactivation) in the presence or absence of the effector cells and MT201 to our standard 5-h ^{51}Cr release assays. As representatively shown in Fig. 3A, the addition of untreated plasma with or without MT201 was not able to induce significant cytotoxicity against USPC ARK-2 cell lines. These data illustrate the lack of significant cytotoxicity mediated by complement proteins in the absence of
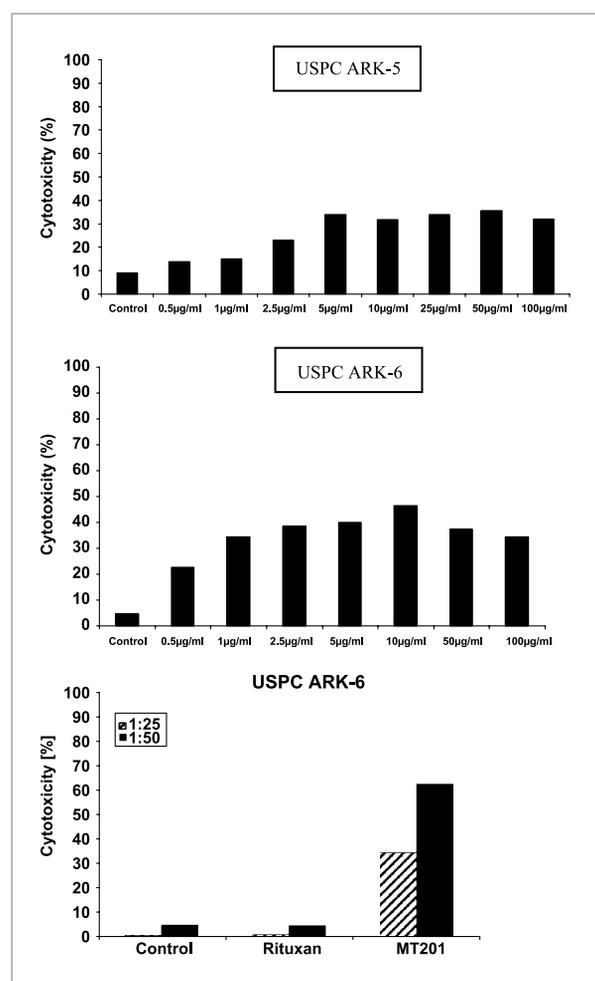
Figure 2. Dose titration cytotoxicity experiments using MT201 against USPC ARK-5 (*top*) and USPC ARK-6 (*middle*) cell lines at a 25:1 effector/target cell ratio. ADCC was found to plateau at a dose of 5 to 10 μg/mL in multiple experiments. *Bottom,* example of ADCC in USPC ARK-6 cell line at different effector/target cell ratios in the presence or absence of MT201 in 5-h chromium release cytotoxicity assay. High levels of ADCC MT201–induced cytotoxicity was evident against USPC ARK-6 cells, whereas negligible cytotoxicity was detectable in the absence of MT201 or in the presence of rituximab.

Table 3. MT201-dependent cytotoxicity in USPC cell lines

	Control (%)	Rituximab (%)	MT201 (%)	P*
USPC ARK-1	9.6	12.6	23.4	
USPC ARK-2	0.5	0.04	62.8	
USPC ARK-3	0.7	2.0	36.1	
USPC ARK-4	0.6	0.4	0.2	
USPC ARK-5	4.7	3.8	48.8	
USPC ARK-6	4.7	4.6	62.2	
Average ± SD[†]	2.8 ± 3.0	3.0 ± 3.5	33 ± 18.0	<0.0001

*Cytotoxicity results in EpCAM-positive cell lines vs. controls by Kruskall-Wallis test and χ^2 analysis.
[†]Average ± SD of 22 cytotoxicity experiments.

effector cells. The addition of physiologic concentrations of serum IgG (i.e., heat-inactivated plasma diluted 1:2) to PBL in the presence of MT201 consistently reduced the degree of ADCC achieved in the presence of MT201 (Fig. 3A). In contrast, the addition of untreated plasma (diluted 1:2) to PBL in the presence of MT201 consistently increased MT201-mediated cytotoxicity against USPC ($P < 0.03$; Fig. 3A).

IL-2 Enhancement of ADCC against USPC. To investigate the effect of low doses of IL-2 in combination with MT201 (5 μg/mL) on ADCC against USPC cell lines, PBL from healthy donors were incubated for 5 to 72 hours in the presence of 100 IU/mL of IL-2. As representatively shown in Fig. 3B, MT201-mediated ADCC was significantly increased in the presence of low doses of IL-2 ($P = 0.04$). Administration of 100 IU/mL of IL-2 to the effector PBL at the start of the assay increased the cytotoxic activity against USPC cell lines compared with the use of MT201 alone, whereas no significant increase in cytotoxicity was detected after 5 hours of IL-2 treatment in the absence of MT201 and/or in the presence of the isotype control mAb rituximab (Fig. 3B).

Discussion

Our research group has recently analyzed the genetic expression profile of uterine serous carcinoma by oligonucleotide microarrays (13, 14). Among the most upregulated genes in USPC compared with NEC tissues, we consistently identified the gene encoding EpCAM. EpCAM is known as a surface glycoprotein whose expression is highest during embryogenesis and in association with neoplastic changes in many carcinomas of different origin (15, 16). The lowest expression of EpCAM is generally found in adult mature tissues, where it is commonly only detectable on the basolateral surface of epithelia (15, 16). Due to its restricted accessibility in highly structured epithelium, EpCAM is considered not accessible to intravenously administered anti-EpCAM mAb, as shown by comparing antibody accessibility to human EpCAM-expressing syngeneic tumors and normal epithelial tissues in transgenic mice (23). Hence, despite EpCAM's

widespread expression in several human normal tissues, the potentially high density and accessibility of EpCAM on metastatic/chemotherapy-resistant USPC may suggest EpCAM as a promising target for antibody-based therapies of uterine serous tumors refractory to standard treatment modalities.

In this study, we have analyzed EpCAM gene and protein expression in a cohort of USPC tissues. In addition, we have immunohistochemically investigated EpCAM expression and localization in normal endometrium, and compared such expression to primary/metastatic/recurrent uterine serous tumors. Our findings show that (a) EpCAM mRNA and protein are significantly upregulated in primary/metastatic/recurrent USPC compared with normal endometrial tissues; (b) NECs express low EpCAM transcript and showed a negative immunostaining for the protein; (c) five out of six freshly established tumor cell lines derived from patients harboring advanced stages of USPC and experiencing progression of disease on chemotherapy expressed high levels of EpCAM on their cell surfaces as measured by immunohistochemistry and flow cytometry; and (d) primary USPC cell lines overexpressing EpCAM are highly susceptible to ADCC mediated by MT201, a human mAb recently developed for targeting EpCAM-expressing cancers.

Our new results may have important implications for the treatment of USPC patients harboring tumors resistant to chemotherapy. In high-grade ovarian serous carcinoma, a tumor resembling USPC, several lines of evidence seem to support an association between increased expression of EpCAM and tumor progression (19). Recent studies have revealed a more versatile function for EpCAM that is not only limited to cell adhesion but includes diverse processes such as signaling, cell migration, proliferation, and differentiation (24). EpCAM was shown to be a signaling molecule activated through regulated intramembrane proteolysis (25). Once released, its short cytoplasmic tail (EpICD) could associate with components of the *wnt* signaling pathway, and after nuclear transport, a large nuclear complex containing EpICD induces transcription of c-myc and cyclins. In our study, high EpCAM levels were found by flow
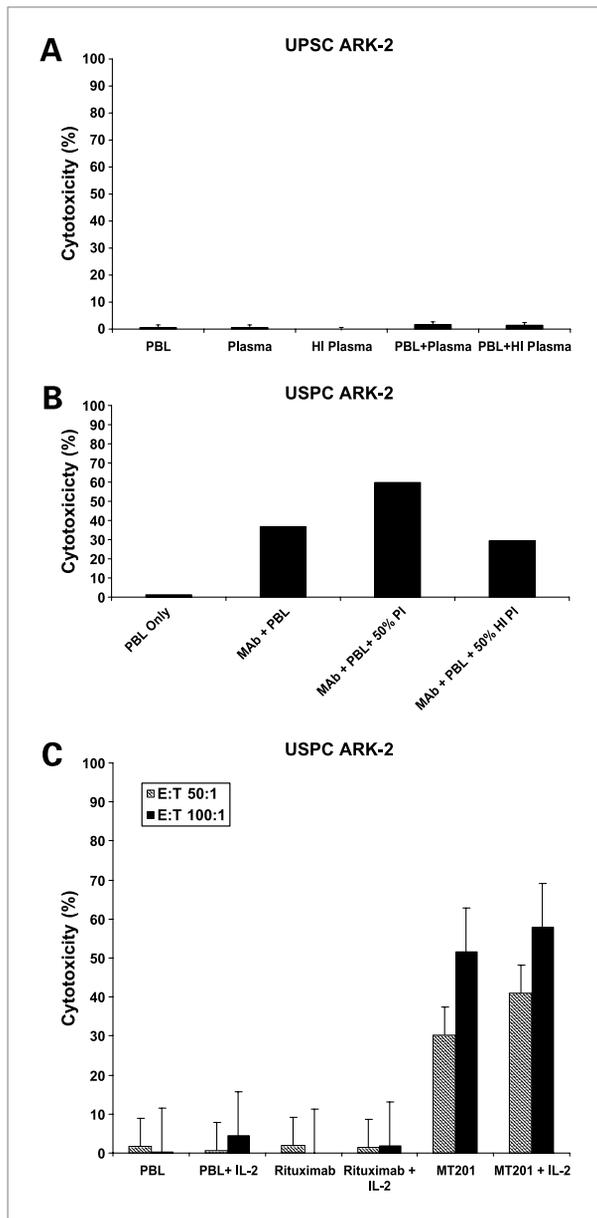
Figure 3. A, USPC cell lines were challenged by adding human plasma diluted 1:2 (with or without heat inactivation) in the presence or absence of the effector cells and MT201 to standard 5-h ^{51}Cr release assays. The addition of PBLs with or without plasma (untreated or heat-inactivated) was not able to induce significant cytotoxicity against the USPC ARK-2 cell line (top). The addition of physiologic concentrations of IgG (i.e., heat-inactivated plasma diluted 1:2) to PBL in the presence of MT201 did not significantly alter the degree of ADCC achieved in the presence of MT201 (bottom). In contrast, the addition of untreated plasma (diluted 1:2) to PBL in the presence of MT201 consistently increased MT201-mediated cytotoxicity against USPC (P < 0.03; bottom). B, the effect of low doses of IL-2 in combination with MT201 (5 μg/mL) on ADCC against USPC cell lines. PBL from healthy donors were incubated for 5 to 72 h in the presence of 100 IU/mL of IL-2. MT201-mediated ADCC was significantly increased in the presence of low doses of IL-2. No significant increase in cytotoxicity was detected after 5-h IL-2 treatment in the absence of MT201 or in the presence of rituximab isotype control mAb.

cytometry in five out of six of the freshly established USPC cell lines tested for EpCAM surface expression. Taken together, these observations are in agreement with the possibility that aberrant expression of EpCAM may account for the enhanced invasive behavior and the increased cell survival of chemotherapy-resistant uterine serous cancer cells.

Our observations suggest that targeting cancer cells with high surface expression of EpCAM may be a novel, potentially effective option to treat residual/resistant USPC disease after standard adjuvant chemotherapy. Consistent with this view, we have tested in this study the ability of MT201, a recently developed human anti-EpCAM antibody (20) currently in phase II clinical trials in patients with breast and colon cancer (21), for its ability to kill in vitro multiple primary USPC cell lines expressing different levels of EpCAM. In this regard, with the exception of USPC-ARK-4, all primary USPC cell lines available to this study (i.e., USPC-ARK-1 to USPC-ARK-6) were found to express significant levels of EpCAM by flow cytometry. Importantly, with no exception, the primary USPC cell lines studied were found to be highly resistant to lysis by NK cells. These data suggest that in addition to their high resistance to chemotherapy, radiation treatment, and hormonal therapy (3–12), USPC cells are also intrinsically highly resistant to NK activity. Furthermore, complement-mediated tumor cell lysis (in the absence of effector cells) was not observed, which may be due to the presence of membrane-associated complement-regulatory proteins such as CD35 (complement receptor 1), CD55 (decay-accelerating factor), or CD46 (membrane cofactor protein) on USPC, as previously reported for other human tumors resistant to complement-dependent cytotoxicity (26). In agreement with our qRT-PCR and protein expression results, all primary USPC cell lines overexpressing EpCAM were found to be highly susceptible to ADCC when incubated with effector cells in the presence of MT201. These data, therefore, show that although these tumor cells are per se extremely resistant to any standard cytotoxic therapy in the clinic, they remain highly sensitive to lysis by NK cells when these are engaged by EpCAM-specific antibody MT201.

In vivo, ADCC activity is known to be dependent on the availability of the effector cells to interact with the antibody at the target site in the presence of high concentrations of irrelevant human IgG. In this study, we show that ADCC against USPC was not significantly inhibited by high concentrations (up to 50%) of human plasma. In fact, a consistent increase in cytotoxicity was detected in the presence of effector cells and non–heat-inactivated human plasma. These data, therefore, suggest that in the presence of effector PBL, human plasma may augment MT201-mediated cytotoxicity against USPC. Moreover, these results indicate that the binding of MT201 to the Fc receptor on mononuclear effector cells is likely enabled in the in vivo situation.

Treatment of cancer patients with combinations of mAbs and cytokines does not amount to a mere addition

to the benefit of each treatment modality alone, but has been shown to have synergistic potential (27, 28). Recently, low doses of rIL-2 have been given by continuous infusion or subcutaneously, with remarkable immunologic results coupled with negligible toxicity (29, 30). This point is noteworthy because, both in experimental models and in patients, modulation of both the number and function of NK cells has been previously associated with tumor progression (31, 32) and, in addition, substantially suppressed ADCC responses have been reported in several patients with cancer (33). Importantly, however, cytotoxicity levels in patients that show suppressed ADCC can be increased *in vitro* to levels similar to those of normal donors by prior exposure of effector cells to IL-2 (34). Consistent with this view, a significant increase in ADCC against USPC was detected after exposure of effector cells to low doses of IL-2 *in vitro* for a brief time (i.e., for 5 hours). Longer time periods of incubation (up to 3 days) with IL-2 under the same conditions showed similar results (data not shown). These data suggest that the administration of low (i.e., nontoxic) doses of IL-2 *in vivo*, giving rise to a lytic effector cell that is markedly enhanced in its function by the addition of an antibody bridge, may significantly increase the efficacy of MT201 therapy in patients with USPC. Furthermore, on the basis of the high resistance of USPC to standard cytotoxic anticancer therapy, these combined therapies might be particularly important in the treatment of patients with USPC.

In conclusion, this is the first report on EpCAM protein expression and MT201 therapeutic activity in USPC, the most aggressive and chemotherapy-resistant variant of endometrial cancer. Our study has shown that EpCAM expression is highly and consistently expressed at the mRNA and protein level in the majority of primary, metastatic, and recurrent USPC as well as on primary cell lines established from patients harboring chemotherapy-resistant tumors. The high density and the membranous localization of EpCAM on USPC cells, combined with its negative expression in mesothelial type cells in the abdominal cavity (data not shown), suggests that this protein could represent an accessible tumor target antigen for both intravenous and intraperitoneal antibody-based therapies. Consistent with this view, the first clinical intraperitoneal application of a well-tolerated bispecific, trifunctional anti-EpCAM antibody has recently shown effective tumor cell destruction, substantially decreased ascites accumulation, and reduced necessity of paracentesis in advanced ovarian carcinoma patients harboring malignant tumors refractory to salvage chemotherapy (35). The future design and implementation of clinical trials in this regard will ultimately determine the validity of this novel therapeutic approach in patients harboring USPC.

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