



## $\beta$ -Thalassemia: evolving treatment options beyond transfusion and iron chelation

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After years of reliance on transfusion alone to address anemia and suppress ineffective erythropoiesis in  $\beta$ -thalassemia, many new therapies are now in development. Luspatercept, a transforming growth factor- $\beta$  inhibitor, has demonstrated efficacy in reducing ineffective erythropoiesis, improving anemia, and possibly reducing iron loading. However, many patients do not respond to luspatercept, so additional therapeutics are needed. Several medications in development aim to induce hemoglobin F (HbF): sirolimus, benserazide, and IMR-687 (a phosphodiesterase 9 inhibitor). Another group of agents seeks to ameliorate ineffective erythropoiesis and improve anemia by targeting abnormal iron metabolism in thalassemia: apotransferrin, VIT-2763 (a ferroportin inhibitor), PTG-300 (a hepcidin mimetic), and an erythroferrone antibody in early development. Mitapivat, a pyruvate kinase activator, represents a unique mechanism to mitigate ineffective erythropoiesis. Genetically modified autologous hematopoietic stem cell transplantation offers the potential for lifelong transfusion independence. Through a gene addition approach, lentiviral vectors have been used to introduce a  $\beta$ -globin gene into autologous hematopoietic stem cells. One such product, betibeglogene autotemcel (beti-cel), has reached phase 3 trials with promising results. In addition, 2 gene editing techniques (CRISPR-Cas9 and zinc-finger nucleases) are under investigation as a means to silence BCL11A to induce HbF with agents designated CTX001 and ST-400, respectively. Results from the many clinical trials for these agents will yield results in the next few years, which may end the era of relying on transfusion alone as the mainstay of thalassemia therapy.

### LEARNING OBJECTIVES

- Understand the strengths and limitations of luspatercept for the treatment of TDT and NTDT
- Understand the potential benefits and toxicity of genetically modified autologous HSCT for TDT
- Describe therapies under development for the treatment of TDT and NTDT

After many years without novel disease-modifying therapeutics, numerous agents are now in development for  $\beta$ -thalassemia. We review therapies that have been recently approved or are in development for transfusion-dependent thalassemia (TDT) and non-transfusion-dependent thalassemia (NTDT)  $\beta$ -thalassemia using 4 patient cases (Table 1).

### CLINICAL CASE 1: USE OF LUSPATERCEPT

A 54-year-old woman with congestive heart failure, paroxysmal atrial fibrillation on warfarin, and pulmonary hypertension due to  $\beta$ -thalassemia intermedia has a hemoglobin ranging from 7.5 to 8.5g/dL without packed red blood cell (RBC) transfusion. Transfusion to maintain hemoglobin nadir

of approximately 9.5g/dL is indicated because of end-organ damage. However, she has been unable to tolerate the transfusion volumes, as attempts at transfusion frequently trigger a heart failure exacerbation and acute worsening of her pulmonary pressures. She is started on luspatercept, which leads to a rise in hemoglobin to 9.2 to 10.1g/dL with drops below this range only in the setting of acute illness.

Luspatercept is a recombinant fusion protein that blocks transforming growth factor- $\beta$  (TGF- $\beta$ ) superfamily ligands and promotes late-stage erythroid maturation, resulting in effective reduction in transfusion requirements in some patients with TDT.<sup>1</sup> In a randomized, placebo-controlled trial, 21.4% of patients met the primary end point of at least a 33% reduction in transfusion volume during weeks

**Table 1. Current limitations of thalassemia care**

Limitation	Potential solutions
Regular transfusion appointments	Reduce transfusion requirement Stem cell transplantation
Iron overload	Reduce transfusion requirement Block intestinal iron absorption Escalate chelation
Iron chelator toxicity	Reduce transfusion requirement Block intestinal iron absorption Additional chelation options
Lack of allogeneic stem cell donor	Genetically modified autologous transplantation Nontransplant therapies that preclude desirability of transplant

13 through 24 compared with 4.5% receiving placebo ( $P < .001$ ). This equated to a least mean square difference in transfusion burden of 1.35 fewer RBC transfusions in weeks 13 through 24 in the TDT population. Follow-up data show transfusion reduction continued at 48 weeks and up to 4.8 years into treatment.<sup>2,3</sup> Common side effects included headache, myalgia, and bone pain. Although rare, 8 of 223 (3.6%) patients in the luspatercept arm had thromboembolism compared with 1 of 109 patients in the placebo arm (Table 2).<sup>1</sup>

In the NTD population, data from a phase 1/2 nonrandomized trial showed an increase in hemoglobin of at least 1.5g/dL in 58% of patients receiving higher dose levels.<sup>4</sup> A randomized, placebo-controlled trial for luspatercept in patients with NTD has finished accrual, and preliminary results showed hemoglobin of at least 1.5g/dL in 52.1% in the luspatercept arm compared with 0% in the placebo arm and no thromboembolic events in either arm (NCT03342404).<sup>5</sup>

Case 1 demonstrates several strengths and limitations of luspatercept. This medication is most likely to be useful in patients for whom a moderate increase in hemoglobin or a moderate reduction in transfusion volume would be impactful. This may be the case because of difficulty with volume status, as was the case in this patient; for patients with alloimmunization for whom obtaining RBC units is more difficult; or for patients whose quality of life would improve by spending less time at transfusion appointments. The risk of thromboembolism should be considered when initiating this medication; although low in clinical trials, the inci-

dence of thrombosis may compound other risk factors, such as prior splenectomy, and this may affect the risk-benefit ratio of initiating luspatercept. In case 1, the patient was already on life-long anticoagulation because of atrial fibrillation, so this risk was mitigated. Finally, published data primarily address the TDT population; forthcoming results will help clarify how best to tailor the use of luspatercept for patients with NTD.

Further follow-up will be required to determine if luspatercept may improve iron overload, although preliminary results are promising.<sup>6</sup> Early findings that ferritin and liver iron concentration (LIC) improved in both those who met the primary end point and those who did not suggest that reduced iron loading from the gut is likely playing a role, rather than just reduced transfusion burden. If this bears out, it would represent a significant additional benefit, particularly for individuals in poor iron balance. Although luspatercept represents an exciting new therapeutic option and is the first novel approved therapy in many years, caution is merited in setting expectations, as most patients will not be able to forgo transfusion, and increasing the interval between transfusions is often not practically feasible or appealing since visits every 3 weeks are required for this subcutaneous medication. As such, a great unmet need remains.

## CLINICAL CASE 2: AUTOLOGOUS GENETIC THERAPIES

A 17-year-old boy with TDT on a stable transfusion regimen and in good iron balance wishes to consider curative intent therapy. He is considering his goals for adulthood, including attending college that is not near a large medical center, and is interested in avoiding frequent medical and transfusion appointments. He has no available donor for allogeneic stem cell transplantation. He opts to enroll in a clinical trial of genetically modified autologous hematopoietic stem cell transplantation (HSCT) using a lentivirus vector.

Patients may desire a curative intent therapy given the long-term impact on morbidity, mortality, and quality of life associated with chronic transfusion therapy. Until recently, the only curative option was allogeneic HSCT. Allogeneic HSCT has provided a cure to many patients with TDT, with the best outcomes

**Table 2. Luspatercept considerations<sup>1-6</sup>**

	Strengths	Limitations
Subcutaneous administration	Does not require intravenous access	Requires infusion center visit every 3 weeks
Reduction of transfusion in TDT	21.4% had >33% reduction 7.6% had >50% reduction	Most patients without significant reduction Majority still have visits every 3 weeks
Increase in hemoglobin in NTD	52.1% had 1.5-g/dL increase	Many patients without significant increase Requires increased appointment burden Not yet approved by regulatory agencies
Risk of thromboembolism	Rates low: 8 in 223 (3.6%) in trial	Patients with thalassemia already at increased risk of thromboembolism, especially if prior splenectomy
Impact on iron loading	Preliminary data showed lower ferritin and LIC in some patients, including some who did not meet primary end point	Additional data needed to verify findings

**Table 3. Gene therapy trials for  $\beta$ -thalassemia**

Therapy	Gene modification technique	Genetic mechanism	Phase of development	Also in development for sickle cell disease?	Comments
Betibeglogene autotemcel (beti-cel; formerly BB305) <sup>8-11,17,18</sup>	Lentiviral vector	Insertion of modified $\beta$ -globin	Phase 3 trials	Yes	Reopened after temporary halt due to AML cases in sickle cell patients
GLOBE <sup>12</sup>	Lentiviral vector	Insertion of human $\beta$ -globin	Phase 1/2 trial completed	No	Intrabone administration
CTX001 <sup>14,15</sup>	CRISPR-Cas9	Targets BCL11A enhancer $\rightarrow$ HbF induction	Phase 1/2 trial	Yes	
ST-400 <sup>16</sup>	ZFN	Targets BCL11A enhancer $\rightarrow$ HbF induction	Phase 1/2 trial	No	

reported for matched sibling donors and recipients who underwent transplantation in teenage years.<sup>7</sup> However, the risk of acute and chronic complications and the lack of available donors have limited its use.

Ex vivo modification of CD34+ hematopoietic stem cells using different techniques has opened the possibility of autologous HSCT as a curative therapy, thereby eliminating the need for a donor as well as the risks specific to allogeneic HSCT, such as graft-versus-host disease. In this context, genetically modified autologous HSCT is an area of active research with significant advancements in the past few years.

One ex vivo gene therapy, betibeglogene autotemcel (beti-cel; formerly LentiGlobin), uses a lentiviral vector to add a modified  $\beta$ -globin gene into CD34+ cells for infusion by autologous HSCT after myeloablative conditioning. In phase 1/2 trials, beti-cel treatment led to transfusion independence (TI) in 12 of 13 non- $\beta^0/\beta^0$  genotype and 3 of 9  $\beta^0/\beta^0$  genotype patients with TDT, or 68% overall.<sup>8</sup> The remaining patients had a reduction in transfusion volume. Toxicity was primarily related to busulfan used for HSCT conditioning. The original beti-cel trial established the potential for genetically modified autologous HSCT, although most patients with the  $\beta^0/\beta^0$  genotype did not achieve TI. Preliminary data from 2 phase 3 trials of beti-cel for  $\beta^0/\beta^0$  (NCT03207009) and non- $\beta^0/\beta^0$  (NCT02906202) patients have demonstrated TI at >12 months of follow-up in 88% of 34 evaluable patients, including 24 of 27 non- $\beta^0/\beta^0$  and 6 of 7  $\beta^0/\beta^0$  patients, with no additional toxicities noted.<sup>9</sup> Preliminary reports of long-term follow up of 44 patients with >2 years of follow-up after beti-cel treatment (23-76 months) showed a durable hemoglobin response, reduction in iron burden, and favorable safety profile.<sup>10</sup> Initial evaluation of 27 pediatric patients treated with beti-cel, 16 of whom were under 12 years old, showed a comparable TI rate of 84.6% (Table 3).<sup>11</sup>

Another phase 1/2 trial uses a lentiviral vector (the GLOBE vector) to add a  $\beta$ -globin gene to autologous hematopoietic stem cells, with intrabone administration of the transduced cells. Three of 4 evaluable pediatric patients achieved TI, whereas 3 adult patients had a reduced transfusion burden without achieving TI.<sup>12</sup> At present, a subsequent trial is not registered.

In contrast to the gene addition approach, another strategy for autologous genetic therapies for  $\beta$ -hemoglobinopathies is to decrease BCL11A expression in order to induce expression of  $\gamma$ -globin and hemoglobin F (HbF). The first trial targeting BCL11A uses a lentiviral vector, BCH-BB694, to introduce short hairpin RNA to decrease BCL11A expression.<sup>13</sup> Six patients with sickle cell disease who received BCH-BB694 demonstrated safety and feasibility and had substantial induction of HbF. This vector has not been evaluated in patients with TDT. Trials are currently open for patients with thalassemia, in whom a gene editing technique, either CRISPR-Cas9 or zinc-finger nuclease (ZFN), is employed to disrupt an erythroid enhancer of BCL11A. CTX001 is a CRISPR-Cas9–modified autologous HSCT product being investigated in TDT as well as sickle cell disease (NCT03655678). Preliminary results from the first 10 patients treated with CTX001 for TDT showed substantial HbF induction, broad distribution of HbF, and achievement of TI in all patients.<sup>14,15</sup> Toxicity was related to busulfan conditioning. A phase 1/2 study of ST-400, an autologous HSCT product in which the BCL11A enhancer is disrupted by a ZFN, is currently under way (NCT03432364), but only very preliminary data on the first 2 patients have been reported.<sup>16</sup>

Case 2 demonstrates the clinical demand for curative intent therapy and its potential impact on quality of life as well as health. Although genetically modified autologous HSCT may offer a more feasible and less toxic option for curative intent therapy compared with allogeneic HSCT, limitations are likely to remain. HSCT of any kind is a costly therapy and not likely to be available in low-resource settings. In addition to monetary costs, undergoing autologous HSCT requires a significant time commitment and interruption of other life events. The potential for reduced fertility or infertility due to exposure to myeloablative alkylator conditioning is an important consideration for many patients. Finally, 2 cases of acute myeloid leukemia (AML) in patients with sickle cell disease treated with beti-cel have raised concerns.<sup>17,18</sup> Evaluation suggests that neither case was caused by insertional mutagenesis. To date, no patients with thalassemia have developed myelodysplastic syndrome or AML after genetically modified autologous HSCT, but additional data will be necessary before final conclusions may be drawn about what factors influence malignancy risk.

### CLINICAL CASE 3: TARGETING ABNORMAL IRON METABOLISM

A 34-year-old man with NTD is concerned about ongoing iron overload. His hemoglobin averages 8.4g/dL, and he receives transfusion a few times per year. His LIC is estimated at 8mg per gram of dry weight on magnetic resonance imaging. In addition to intensifying his iron chelation, he inquires whether there are any therapies that may raise his hemoglobin or reduce his iron absorption. He is open to participation in clinical trials.

Currently, there are no established therapies to address this patient's anemia. However, several medications in development may improve anemia in patients with NTD that also target iron metabolism. Apotransferrin has been shown to upregulate hepcidin and downregulate transferrin receptor 1.<sup>19,20</sup> This leads to decreased iron absorption from the gut,<sup>19</sup> as well as potentially less cardiac iron loading.<sup>21</sup> The correction of pathologic iron metabolism led to more effective erythropoiesis in mouse models.<sup>20</sup> These preclinical findings have spurred a phase 2 trial of intravenous apotransferrin every 2 weeks in patients with  $\beta$ -thalassemia intermedia that seeks to raise hemoglobin and reduce transfusion requirements (NCT03993613).

Similarly, a ferroportin inhibitor (VIT-2763) has begun a phase 2 trial (NCT04364269) after demonstrating improvement in anemia and dysregulated iron metabolism in a mouse model of thalassemia.<sup>22</sup> Like the apotransferrin trial, the VIT-2753 is focused on correction of anemia but includes secondary outcomes measures of iron overloading. Notably, VIT-2753 is administered orally. In considering treatment for patients with NTD, this is particularly impactful for quality of life, as many of these patients do not otherwise have recurring infusion center appointments (Table 4).

Hepcidin itself has also been a target for drug development, because increasing hepcidin has been shown to both reduce iron absorption and ameliorate ineffective erythropoiesis.<sup>23</sup> A hepcidin mimetic, PTG-300, has completed accrual for a phase 2 study, including both NTD and TDT arms (NCT03802201). A

**Table 4. Novel medications for  $\beta$ -thalassemia**

Medication	Mechanism of action	Route and frequency of administration	Phase of development	Current target patient population	In development for sickle cell disease?	Comments
Luspatercept <sup>1,5</sup>	TGF- $\beta$ inhibitor	Subcutaneous, every 3 weeks	EMA and FDA approved	NTDT, TDT	No	Full data for NTDT pending; in use for MDS
Sotatercept <sup>6,37</sup>	TGF- $\beta$ inhibitor	Subcutaneous, every 3 weeks	Development halted	NTDT, TDT	No	In development for pulmonary hypertension
Sirolimus <sup>25-28</sup>	mTOR inhibitor; HbF induction	Oral, daily	Phase 2 trials	TDT	No	In use for other disorders
Benserazide <sup>31,32</sup>	HbF induction	Oral, daily	Phase 1 trial	NTDT	Yes	In use for Parkinson disease
IMR-687 <sup>9,30</sup>	PDE-9 inhibitor; HbF induction	Oral, daily	Phase 2 trial	NTDT, TDT	Yes	
Apo transferrin <sup>19-21</sup>	Hepcidin upregulation	Intravenous, every 2 weeks	Phase 2 trial	NTDT	No	
VIT-2763 <sup>22</sup>	Ferroportin inhibitor	Oral, one or twice daily	Phase 2 trial	NTDT	Yes	
PTG-300 <sup>23</sup>	Hepcidin mimetic	Subcutaneous, once weekly	Phase 2 trials	NTDT, TDT	No	In development for hemochromatosis and polycythemia vera
Mitapivat <sup>33-35</sup>	Pyruvate kinase activator	Oral, twice daily	Phase 3 trials	NTDT, TDT	Yes	In development for pyruvate kinase deficiency
Ruxolitinib <sup>38</sup>	JAK 1/2 inhibitor	Oral, twice daily	Development halted	TDT	No	Spleen size reduction; no change in transfusion

EMA, European Medicines Agency; FDA, Food and Drug Administration; JAK, Janus-associated kinase; MDS, myelodysplastic syndrome; mTOR, mechanistic target of rapamycin.

recent mouse study of an erythroferrone antibody showing improved anemia as well as iron homeostasis provides another potential therapeutic target in the iron regulation pathway.<sup>24</sup>

Case 3 emphasizes the large unmet need of therapies for NTDT and the appeal of a therapy that may also address non-transfusional hemosiderosis in these patients. These trials not only highlight the role of altered iron metabolism in ineffective erythropoiesis but also provide several promising therapeutic targets for ameliorating anemia.

#### CLINICAL CASE 4: FETAL HEMOGLOBIN INDUCTION AND OTHER MECHANISMS

A 21-year-old woman with TDT and in good iron balance recently tried luspatercept with only a minimal reduction in transfusion burden. She inquires if there are other therapies that might reduce her transfusion requirement. She is not interested in allogeneic or genetically modified autologous HSCT, as she is worried about the upfront risk and disruption in her life, because she is doing quite well overall on her current transfusion and chelation regimen.

Several agents currently in clinical trials could provide good options for this patient. Sirolimus, the mechanistic target of rapamycin inhibitor, is being investigated as a method of increasing HbF expression. Sirolimus appears to upregulate the expression of HbF in erythroid cell cultures derived from patients with  $\beta$ -thalassemia, as well as sickle cell patients, and may increase clearance of  $\alpha$ -globin in RBC precursors.<sup>25-28</sup> The latter finding may have the potential to reduce ineffective erythropoiesis separate from HbF induction. In this context, 2 ongoing phase 2 trials of sirolimus in patients with TDT (NCT03877809, NCT04247750) will track markers of ineffective erythropoiesis in addition to the primary end point of HbF induction.

Another pathway for HbF induction may be phosphodiesterase 9 inhibition with IMR-687.<sup>29,30</sup> A phase 2 trial of IMR-687 for both patients with TDT and NTDT is currently under way (NCT04411082). Benserazide, which is currently used in combination with levodopa for the treatment of Parkinson disease, showed promising induction of HbF, although the study was not of thalassemia models,<sup>31</sup> but it did not induce HbF in patients on this medication for Parkinson disease.<sup>32</sup> A phase 1 trial of benserazide in patients with NTDT is ongoing (NCT04432623).

Mitapivat (formerly AG-348), an allosteric activator RBC-specific pyruvate kinase, represents a distinct and novel mechanism. RBC-specific pyruvate kinase activation increases adenosine triphosphate synthesis, as well as reduces the production of reactive oxygen species and concentration of 2,3-diphosphoglycerate.<sup>33</sup> Preliminary results of a phase 2 trial of mitapivat in  $\alpha$  and  $\beta$  NTDT showed a response, defined as an increase in hemoglobin of at least 1g/dL in 16 of 20 patients, including all 5 patients with  $\alpha$ -thalassemia.<sup>34</sup> Phase 3 studies of mitapivat in TDT (NCT04770779) and NTDT (NCT04770753) patients are under way.<sup>35</sup> Like the phase 2 trial, these trials include patients with  $\alpha$ -thalassemia, a patient population that is underserved but outside the scope of this review.

Case 4 is a reminder that even in well-managed patients, there is significant room for improvement in care. All 4 patients presented highlight the nuanced needs of patients with thalassemia and the importance of tailoring therapeutic choices to the individual as new treatments emerge. Although the medications and interventions reviewed here are grounded in strong preclinical research on thalassemia, the results of these trials are needed to understand which agents will be clinically impactful and which agents will not be fruitful for patient care. If early studies are borne out, it is likely that both patients with TDT and NTDT will have several options beyond transfusion and iron chelation in the coming years.

#### Conflict-of-interest disclosure

Arielle L. Langer: no competing financial interests to declare. Erica B. Esrick: steering committee (consulting) for bluebird bio and research funding to institution from Celgene (site for luspatercept trial).

#### Off-label drug use

Arielle L. Langer: nothing to disclose. The majority of the drugs discussed are not yet approved. Erica B. Esrick: nothing to disclose. The majority of the drugs discussed are not yet approved.

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