

# Ig V<sub>H</sub> Gene Mutational Patterns Indicate Different Tumor Cell Status in Human Myeloma and Monoclonal Gammopathy of Undetermined Significance

By Surinder S. Sahota, Regine Leo, Terry J. Hamblin, and Freda K. Stevenson

**Plasma cell tumors display a wide spectrum of clinical progression, ranging from aggressive multiple myeloma to a benign form known as monoclonal gammopathy of undetermined significance (MGUS), which requires no treatment. Because both diseases involve mature Ig-secreting plasma cells, the reason for this variation in malignant behavior is unclear. However, assessment of malignant potential is desirable for choice of treatment protocols. Ig variable (V<sub>H</sub>) gene sequence analysis has previously shown the tumor cell of multiple myeloma to be postfollicular, with mutated homogeneous clonal sequences indicating no continuing exposure to the somatic hypermutation mechanism, and this**

**was confirmed in 7 of 7 patients. Comparison of the V<sub>H</sub> gene sequences in the monoclonal cells in MGUS yielded a different result, with 3 of 7 patients demonstrating mutated heterogeneous sequences consistent with the tumor cells remaining under the influence of the mutator. In 1 of 3 of these patients, an IgM-positive precursor cell was identified that expressed heterogeneous V<sub>H</sub> sequences similar to those of the isotype-switched plasma cell. These results indicate that the clonal cells in MGUS differ from those in myeloma and suggest that the difference may reflect malignant potential.**  
© 1996 by The American Society of Hematology.

**M**ULTIPLE MYELOMA is a malignant tumor involving plasma cells that accumulate in the bone marrow (BM). Clinical manifestations are diverse but often include osteolytic lesions and renal impairment. The tumor cells generally secrete a monoclonal Ig paraprotein that can be identified in serum, and free Ig light chains (Bence-Jones proteins) may be present in urine. However, levels of normal Ig tend to be low. Prognosis remains poor despite modern chemotherapy, with a median survival of about 30 months.<sup>1</sup> Recent protocols of intensive chemotherapy, sometimes with an autologous BM transplant, have improved initial response, although long-term survival remains to be assessed.<sup>2</sup>

Although monoclonal gammopathy of undetermined significance (MGUS) also involves monoclonal plasma cells, the clinical manifestations are different.<sup>3</sup> In a study at the Mayo Clinic in 1988, MGUS was distinguished from myeloma by having a lower level of serum paraprotein; little or no monoclonal protein in urine; the absence of lytic lesions, anemia, hypercalcemia, and renal insufficiency; and, most importantly, stability of the level of paraprotein and failure to develop other abnormalities.<sup>3</sup> A further distinction is that patients with MGUS tend to maintain normal levels of polyclonal Ig in serum.<sup>3</sup> With regard to the monoclonal cell populations in the two diseases, patients with myeloma characteristically have greater than 10% plasma cells in the BM, and those cells are more often in division, as judged by the plasma cell labeling index.<sup>3</sup>

The relationship between myeloma and MGUS remains uncertain despite many clinical studies. In fact, the term MGUS was introduced by Kyle and Lust<sup>3</sup> to replace benign monoclonal gammopathy on the grounds that only time will show whether a monoclonal protein is truly benign or the first manifestation of myeloma or another lymphoproliferative disease. The Mayo Clinic observed 241 patients with a known serum paraprotein and found that 36 (15%) developed myeloma within a median time of 9.6 years.<sup>3</sup> Other studies have produced similar figures and have indicated that prediction of patients who may undergo transformation to myeloma is not currently feasible.<sup>4</sup> A particular frustration is in the problem of discriminating between stage I myeloma and MGUS, because the ability to do this is important to both patient and physician, given that prevalence of MGUS is considerable, especially in the older age group.<sup>5</sup> In addition, early prognostic discrimination may influence the entry of patients into protocols involving treatment with cytokines. The limits of current information concerning the monoclonal plasma cell of MGUS also affect nomenclature of the cell of origin. Although a clonal population clearly exists, there are arguments for not referring to it as a tumor.<sup>3</sup> However, in the absence of a suitable alternative, the term tumor will be used to describe the monoclonal cell population of MGUS in this report.

Analysis of rearranged functional Ig variable region genes in B-cell tumors is providing information that relates to the clonal history of the cell of origin.<sup>6,7</sup> In particular, accumulation of somatic mutations in the V-genes indicates that the cell has been exposed to the hypermutation mechanism and has therefore traversed the germinal center (reviewed by Berek<sup>8</sup>). For myeloma, numerous studies have shown that the tumor cell has undergone extensive somatic hypermutation, but, in contrast to follicular lymphoma,<sup>6,7</sup> homogeneity of tumor V<sub>H</sub> sequence indicates that it is no longer being exposed to the mutator and can be considered as a postfollicular cell.<sup>9,10</sup> This report describes a parallel analysis of the tumor cells of MGUS and shows in some patients a heterogeneity of clonal sequences, suggesting a clonal history closer to follicular lymphoma than to myeloma.

## MATERIALS AND METHODS

*Patients.* Unselected patients from the Haematology (UK) or Immunology (Germany) Clinics were diagnosed as having multiple

*From the Molecular Immunology Group, Tenovus Laboratory, Southampton University Hospitals, Southampton, UK; and the Division of Clinical Immunology, Medizinische Hochschule, Hannover, Germany.*

*Submitted June 30, 1995; accepted September 5, 1995.*

*Supported by the Wellcome Foundation, UK, and the European Myeloma Research Network (Biomed BMH1-CT93-1407).*

*Address reprint requests to Freda K. Stevenson, DPhil, Molecular Immunology Group, Tenovus Laboratory, Southampton University Hospitals, Tremona Road, Southampton SO16 6YD, UK.*

*The publication costs of this article were defrayed in part by page charge payment. This article must therefore be hereby marked "advertisement" in accordance with 18 U.S.C. section 1734 solely to indicate this fact.*

© 1996 by The American Society of Hematology.  
0006-4971/96/8702-0039\$3.00/0

Table 1. Characteristics of MM/MGUS Patients

Patient No.	Age (yr)	Diagnosis/Stage*	Months From Diagnosis	Paraprotein		% Plasma Cells	Polyclonal Ig	Osteolytic Lesions	Bence-Jones Proteinuria
				Class	Level (g/L)				
1	55	MM/II	67	IgA $\lambda$	3	1.8	-	+	+
2	80	MM/IIA	0	IgG $\kappa$	59	14.0	-	+	+
3	52	MM/IIIB	0	IgG $\lambda$	79	15.0	-	+	-
4	86	MM/I	0	IgG $\kappa$	18	5.0	-	+	ND
5	73	MM/I	7	IgG $\lambda$	0†	8.0	-	+	-
6	74	MM/II	15	IgG $\kappa$	43	5.0	-	+	+
7	78	MM/IIIA	19	IgG $\kappa$	24	11.0	-	+	+
8	63	MGUS	11	IgA $\lambda$	18	8.6	+	-	+
9	66	MGUS	19	IgG $\kappa$	13	0.5	+	-	-
10	41	MGUS	13	IgG $\kappa$	3	6.2	+	-	-
11	71	MGUS	125	IgG $\lambda$	5	8.0	+	-	-
12	78	MGUS	0	IgG $\lambda$	8	5.0	+	-	-
13	67	MGUS	3	IgG $\kappa$	10	1.3	+	-	-
14	87	MGUS	1	IgG $\kappa$	13	7.0	+	-	-

Abbreviation: ND, not done.

\* Durie and Salmon.<sup>11</sup>

† Nonsecretory myeloma.

myeloma (7 patients) or MGUS (7 patients) using clinical and laboratory criteria (Table 1).<sup>11</sup> Among those with myeloma, patients no. 1, 6, and 7 had recently completed a course of chemotherapy at the time of investigation, and the tumor load was reduced, particularly for patient no. 1. Assignment to the myeloma category was performed by the clinician and, for patients no. 4 and 5 with stage I disease, was influenced by the presence of osteolytic lesions. This feature was present in all the patients with myeloma, none of whom had metastatic carcinoma. Six of seven of the group had an identifiable monoclonal Ig in the serum that was of the same Ig class and light chain type as found in the plasma cells in the BM. Patient no. 5 was unusual in having no detectable monoclonal Ig in serum, but IgG $\lambda$  was detectable in plasma cells in the marrow, consistent with nonsecretory myeloma. Four of six evaluable patients in the group had detectable urinary Bence-Jones protein, and all had reduced levels of normal polyclonal Ig in serum.

Patients in the MGUS category were all untreated and had monoclonal Ig in serum at less than 30 g/L, less than 10% plasma cells in BM, less frequent urinary Bence-Jones protein (1/7), absence of lytic lesions, and normal levels of polyclonal Ig in serum. Although none of these features is sufficient to assign the patient to the MGUS group, the total profile would be consistent with MGUS.<sup>3</sup> Lack of progression of disease and stability of the serum monoclonal Ig levels were seen in patients no. 8 through 11, but patients no. 12 through 14 are too recently diagnosed for this information.

**Cell preparation and phenotypic analysis.** Heparinized BM aspirates were taken and mononuclear cells (MNCs) were separated by centrifugation on Ficoll-Hypaque. The degree of infiltration of the BM by monotypic plasma cells was determined by direct immunofluorescent staining for surface CD38 and cytoplasmic  $\kappa$  or  $\lambda$  light chains, as described previously, using the Facstar Plus system.<sup>12</sup> In some cases, plasma cell involvement was assessed by staining cytocentrifuged MNC preparations, followed by fluorescence microscopy.

**Preparation of cDNA.** For tumor cells, the V<sub>H</sub> gene analysis was performed using RNA as a source material. The fact that plasma cells are likely to contain more RNA than contaminating normal B cells, together with the reduced likelihood of amplifying an aberrantly rearranged V<sub>H</sub> gene on the allelic chromosome, made this a preferred approach. Total RNA (2 to 8  $\mu$ g) was isolated from the MNC fraction (1 to 5  $\times$  10<sup>6</sup> cells) of the BM aspirates using RNAzol

B (Cinna Biotech Labs, Inc, Houston, TX), with added t-RNA (2  $\mu$ g) as a carrier. Reverse transcription was performed using an appropriate constant region primer to match the identified tumor-derived Ig. For IgG or IgA, a C $\gamma$  primer (5'-CACCGTCACCGGTTCCGG) or a C $\alpha$  primer (5'-CTGGGTGCTGCAGAGGCT) was used, respectively, with a first-strand cDNA synthesis kit (Pharmacia, Uppsala, Sweden).

**Amplification and sequencing of V<sub>H</sub> genes.** For analysis of the V<sub>H</sub> of tumor cells, one-fifth to one-third of a sample of cDNA, was amplified by PCR using a mixture of 5' oligonucleotide primers specific for each of the V<sub>H</sub> leader sequences of V<sub>H</sub>1-6 families (V<sub>H</sub>1: 5'-CTCACCATGGACTGGACTGGAG; V<sub>H</sub>2: 5'-ATGG-ACATACTTTGTCCACGCTC; V<sub>H</sub>3: 5'-CCATGGAGTTTGGGCTGAGCTGG; V<sub>H</sub>4: 5'-ACATGAAACAYCTGTGGTCTTCC; V<sub>H</sub>5: 5'-ATGGGGTCAACCGCCATCCTCCG; V<sub>H</sub>6: 5'-ATGCTCTCTCCTTCCTCATCTTC) together with 3' primers specific for the constant region (see above). In all cases, polymerase chain reaction (PCR) conditions were as described.<sup>10</sup>

Gel-purified products of predicted size were blunt-end ligated into pGEM-TA vector (Promega, Madison, WI) and used to transform JM109 competent cells (Promega). Clones found to contain an insert of appropriate size by restriction analysis of plasmid DNA were sequenced by the dideoxy chain termination method, with alignment being made to current EMBL/GenBank and V-BASE sequence directories,<sup>13</sup> using MacVector 4.0 sequence analysis software (International Biotechnologies, Inc, New Haven, CT). At least two independent PCR amplifications were performed from each sample.

**Investigation of tumor-related V<sub>H</sub>-C $\mu$  transcripts.** For patient no. 13, precursor V<sub>H</sub>-C $\mu$  transcripts with the tumor-related clonal signature in CDR3 were sought using a three-step seminested PCR approach. Total RNA (5  $\mu$ g) was reverse transcribed using an outer C $\mu$ 1 primer (5'-GACGGAATTCTCACAGGAGAC). In step 1, one-fourth of the cDNA (18  $\mu$ L) was amplified using the 5'-V<sub>H</sub>3 leader primer together with an inner 3'-C $\mu$ 2 primer (5'-CGAGGGGGA-AAAGGT). The product of predicted size was cloned and 15 randomly selected colonies were sequenced to confirm the presence of C $\mu$ . In step 2, 1/25 of the PCR product of step 1 was amplified with a 5'-CDR3-specific primer (5'-GGATATTAYTATGATMGT) together with the 3'-C $\mu$ 2 primer. Amplification conditions were modified to include an annealing temperature of 42°C for 1 minute. In step 3, to obtain a full V<sub>H</sub> sequence, 1/25 of step 1 product was

		CDR1  ---		CDR2  -----		CDR3  -----			
V3-21 Patient 1	EVQLVDSGGGLVQPGGSLRLSCAASGFTFS .....E.....aGA.....	SYSMN R.GL.	WVRQAPGKLEWVS ...P.....	SISSSSYIYADSVKQ ...T...i.y.A...	RFTISRDNKNSLYLQMNLSLRAEDTAVYYCAR .....R...S.....r.....	STRDGYSVNGE AFDHWGGQGTMTVTVSS	JH3b		
V1-18 Patient 2	QVQLVQSGAEVQPGASVKVSKASGVTFT .T.....M.....S.t	SYGIS THE.N	WVRQAPGQGLEWNG .....p.....	WISAYNGNTNYAQLQG K..P..g.T...F..	RVTMTDTSTSTAYNELRSLRSDDTAVYYCAR .....K..T.....l.....	DQSVLRIRGGVLI HWGGQLVTVTVSS	JH4b		
V3-23 Patient 3	EVQLLESQGGGLVQPGGSLRLSCAASGFTFS .....l.....VN	SYAMS N.G.s	WVRQAPGKLEWVS .....	AISGSGSTYYADSVKQ T..A..VT.....	RFTISRDNKNTLYLQMNLSLRAEDTAVYYCAK ...Y...T...N...L.....	DLRSYDFSGYYND GFDIWGGQGTMTVTVSS	JH3b		
V3-23 Patient 4	EVQLLESQGGGLVQPGGSLRLSCAASGFTFS .....fr	SYAMS NTG..	WVRQAPGKLEWVS .....	AISGSGSTYYADSVKQ aI.ggI.NL.....	RFTISRDNKNTLYLQMNLSLRAEDTAVYYCAK ..S.....S...l.....I.y...	DSTYYIDNGYTD WQGGTLVTVSS	JH4b		
DP-49 Patient 5	QVQLVDSGGGVQPGGSLRLSCAASGFTFS ..H.....g.....A..	SYGHI T..I.	WVRQAPGKLEWVA .....	VISYDGSNKYYADSVKQ vis...VY.D...K..	RFTISRDNKNTLYLQMNLSLRAEDTAVYYCAK .....l.....P...v.....	NLDNYGSGS YFYGHVWGGQGTTVSVSS	JH6b		
DP-49 Patient 6	QVQLVDSGGGVQPGGSLRLSCAASGFTFS ..q.H..g..v.....A..	SYGHI sF..D	WVRQAPGKLEWVA ..r.Sp.N....A	VISYDGSNKYYADSVKQ G...H.....s...	RFTISRDNKNTLYLQMNLSLRAEDTAVYYCAK .....l.....v.....	DPNKLEPG YFDHWGGQGTMTVTVSS	JH4b		
V3-49 Patient 7	EVQLVDSGGGLVQPGGSLRLSCTASGFTFG eL.....D.....c.G...N..	DYAMS .....	WVRQAPGKLEWVG ..Vr.....e...	FIRSKAYGGTTEYASVKQ S.KDEGN...teyA...g	RFTISRDSGKSIAYLQMNLSLKTEDTAVYYCTR ..I...D...V.....T.A...y.tf	FGI YDHWGGQGTMTVTVSS	JH4b		

**Fig 1. Deduced amino acid sequences of the V<sub>H</sub> regions of the tumor-related clones from patients with myeloma. Comparisons are made with the closest germline V<sub>H</sub> genes. Upper case letters, replacement mutations; lower case letters, silent mutations. Replacement mutations in the J<sub>H</sub> regions are underlined.**

amplified with the 5'-V<sub>H</sub>3 leader primer together with a 3'-CDR3-specific primer (5'-GYAGTTACCACCACKATCATA) and an annealing temperature of 52°C for 1 minute. PCR products of predicted size were cloned and sequenced.

## RESULTS

*V<sub>H</sub> sequences from tumor cells of patients with myeloma.* Preparations of cDNA obtained from BM MNC populations of 7 patients with myeloma were amplified with mixed 5'-V<sub>H</sub> leader-specific primers together with a 3'-constant region primer chosen from the Ig class of the tumor-derived paraprotein (Table 1). The PCR products were cloned and sequenced; in all cases, a predominant V<sub>H</sub> sequence with an identical CDR3 clonal signature was evident and was confirmed by repeated PCR. Remaining clones contained individually distinct V<sub>H</sub> sequences, presumably derived from normal plasma cells or B cells. Deduced amino acid sequences of the predominant clones are shown in Fig 1; nucleotide sequences have been submitted to the EMBL database (accession nos. X88806-12).

Sequence analysis of the tumor-related clones (Fig 1 and Table 2) indicated that 6 of 7 were derived from the V<sub>H</sub>3 family and 1 of 7 from the V<sub>H</sub>1 family. For all sequences,

homology with germline sequence was quite low, suggesting that a significant degree of somatic mutation has occurred. Deviations from germline sequence were also evident in J<sub>H</sub>, again consistent with somatic mutation events. However, although the V<sub>H</sub> repertoire now includes a large content of allelic variation, assignment of each nucleotide change to a somatic event, particularly for polymorphic genes such as the V<sub>H</sub>3 family, may still require identification of the corresponding germline gene in the individual patient and this has not been performed. In Table 2, each nucleotide change has been treated as a separate event and is listed as a replacement (R) or silent (S) mutation. The distribution of the R and S mutations indicates a high R:S ratio in the CDRs of patients no. 2 (10:1) and 3 (6:1), which could suggest a role for antigen selection.<sup>14</sup> However, these ratios did not exceed 2.5:1 for the remaining 5 patients. This inconsistency in distribution of replacement mutations is similar to that reported for other cases of myeloma<sup>9,10</sup> and leaves open the role of antigen selection.

*V<sub>H</sub> sequences from tumor cells of patients with MGUS.* A similar approach was used to identify the tumor-related sequences from patients with MGUS. Repeated sequences were again identified after PCR and cloning, although the

**Table 2. Analysis of V<sub>H</sub> Genes From Cases of Myeloma**

Patient No.	Ig Class	V <sub>H</sub> Family	GL Donor	% Homology	R/S Mutations			Tumor-Derived Sequences/ Clones Sequenced
					FWR	CDR	J <sub>H</sub>	
1	IgA	V <sub>H</sub> 3	V3-21	94.2	6/3	5/2	J <sub>H</sub> 3b	8/8
2	IgG	V <sub>H</sub> 1	V1-18	91.5	5/3	10/1	J <sub>H</sub> 4b	10/10
3	IgG	V <sub>H</sub> 3	V3-23	94.2	8/2	6/1	J <sub>H</sub> 3b	8/8
4	IgG	V <sub>H</sub> 3	V3-23	93.2	4/3	8/5	J <sub>H</sub> 4b	10/10
5	IgG	V <sub>H</sub> 3	DP-49	94.2	3/3	6/5	J <sub>H</sub> 6b	10/12
6	IgG	V <sub>H</sub> 3	DP-49	92.2	4/9	6/3	J <sub>H</sub> 4b	12/13
7	IgG	V <sub>H</sub> 3	V3-49	88.7	13/8	8/5	J <sub>H</sub> 4b	7/12

**Table 3. Analysis of V<sub>H</sub> Genes From Cases of MGUS**

Patient No.	Ig Class	V <sub>H</sub> Family	GL Donor	% Homology	R/S Mutations			Tumor-Derived Sequences/ Clones Sequenced
					FWR	CDR	J <sub>H</sub>	
8	IgA	V <sub>H</sub> 3	DP-49	91.5	3/8	12/2	J <sub>H</sub> 4b	10/10
9	IgG	V <sub>H</sub> 1	V1-24P	93.9	6/5	4/2	J <sub>H</sub> 4b	15/24
10	IgG	V <sub>H</sub> 2	S12-4	95.3	5/2	5/1	J <sub>H</sub> 5b	9/9
11	IgG	V <sub>H</sub> 3	DP-53	90.5	9/7	8/4	J <sub>H</sub> 6c	11/11
12	IgG	V <sub>H</sub> 3	VH3-8	93.2	8/5	5/2	J <sub>H</sub> 4b	6/8
13	IgG	V <sub>H</sub> 3	DP-58	94.6	6/4	5/1	J <sub>H</sub> 4b	16/20
14	IgG	V <sub>H</sub> 4	DP-67	93.9	6/4	5/3	J <sub>H</sub> 4b	4/12

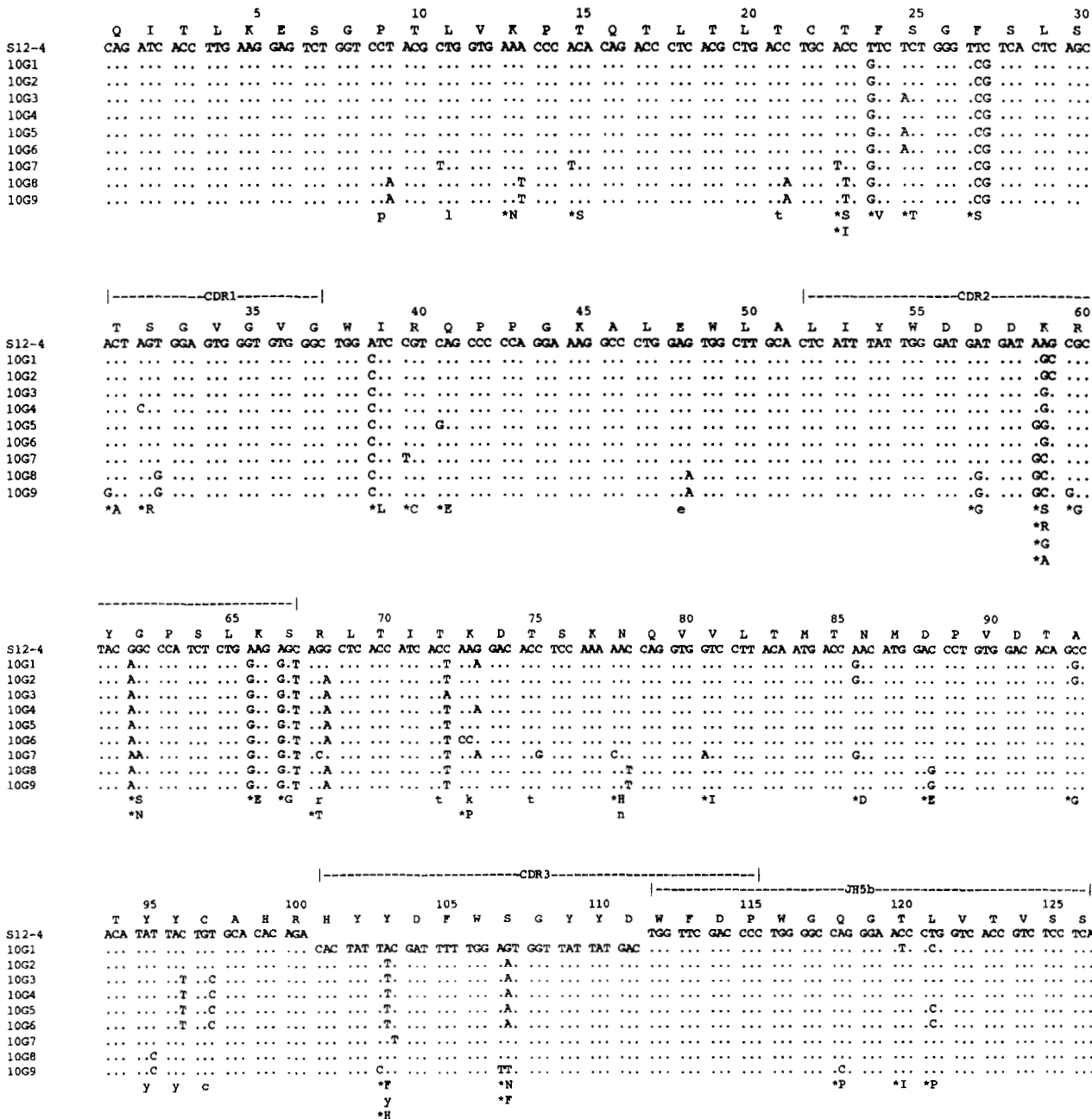
number of sequences derived from contaminating B cells tended to be slightly higher (Table 3). Deduced amino acid sequences are shown in Fig 2 with nucleotide sequences again available from the EMBL data base (accession nos. X88813-15, X88824-26, and X88842). Sequence analysis showed that, as for the cases of myeloma, the most common V<sub>H</sub> family used was again V<sub>H</sub>3 (4/7), with the remaining 3 from the V<sub>H</sub>1, V<sub>H</sub>2, and V<sub>H</sub>4 families. Deviations from corresponding germline sequences in the database suggested a high degree of somatic mutation, with the percentage of homologies being similar to those found for the myeloma sequences. Somatic events were confirmed by nucleotide changes in the relatively nonpolymorphic V<sub>H</sub>4 gene (DP-67) used by patient no. 14 and by changes in J<sub>H</sub> sequences (Fig 2 and Table 3). Analysis of the distribution of somatic mutations indicated an increased R:S ratio in the CDRs for some patients but not all, giving a heterogeneous picture similar to that seen in myeloma. The sequence derived from V<sub>H</sub>4 did not show clustering of replacement mutations in the CDRs (R:S = 5:3), and the question of the role of antigen selection in myeloma or MGUS remains to be decided.

*Analysis of intraclonal sequence heterogeneity.* The tumor-derived V<sub>H</sub> sequences from each patient could be identified from the CDR3 clonal signature, and, by com-

paring the mutational patterns of the individual clones at the nucleotide level, it was possible to investigate intraclonal sequence variation. For the cases of myeloma, all the clones obtained from each patient were sequenced (Table 2) and all had identical sequences with no nucleotide differences, consistent with an absence of intraclonal variation. In contrast, for the cases of MGUS, there was evidence for intraclonal variation among tumor-derived V<sub>H</sub> sequences from 3 of 7 patients, indicative of ongoing mutational events in the neoplastic cell. This intraclonal heterogeneity is illustrated by the nucleotide sequences of the V<sub>H</sub> genes of the three patients' tumor cells shown in Figs 3, 4, and 5. The heterogeneous mutations appear to vary in incidence among the 3 patients and to be scattered throughout the V<sub>H</sub> sequences. However, replacement mutations occur in CDR3 in all cases. For patients no. 10 and 13, many of the nucleotide changes were identified in more than one sequence and indicate that several clonal members were undergoing further mutational events. For patient no. 14 and for some of the changes in patients no. 10 and 13, nucleotide changes occurred in only one sequence, and there is a theoretical possibility that such differences could have arisen due to Taq polymerase error. However, application of the

	CDR1	CDR2	CDR3		
	-----	-----	-----		
DP-49 Patient 8	QVQLVESGGGVVQPGKSLRLSCAASGFTFS .....A.....t.....	SIGHI WVRQAPGKGLEWVA sF.....	VISYDGSNKYYADSVKG T.AFH.DI.F.....R.	RFTISRDNKNTLYLQNSLRAEDTAVYICAR .....N.t.l...s.VD...A.....	DCRDWGGPAQ FGHWGKGLVTVSP JH4b
V1-24P Patient 9	QVQLVQSGAEVKPKQASVKVSKVGYTTLT ..Bl.....L.....	ELSMH WVRQAPGKGLEWNG ...I.....	GFDPEDGETIYAQKPKQG ...R...A.Ne.....	RVTWYEDTSTDTAYNELSLRSEDVAVYICAT .....F..K.....v.F..A	GEALDCGGDCFFVA FNYWQGGTVTVISS JH4b
S12-4 Patient 10	QITLVESGPTLVKPTQLFLICTISGFSLS .....V..S...	TSGVGVG WIRQPPGKALEWLA .....L.....	LIYDDEKRYGPELSK .....S..S..EG	RLTITKDTSKQVVLAMTHDFVDIATYICAR ...tk.....D.....G.....	HYIDFWSGYID WFDPWQGGIPVTVSS JH5b
DP-53 Patient 11	EVQLVESGGGLVQPGGSLRLSCAASGFTF ..q.....I.....	SSYHME WVRQAPGKGLVWVS RNY... ..qG...g.E..s	RINSDGSSYADSVKG ..KH..TD.T.....	RFTISRDNKNTLYLQNSLRAEDTAVYICAR .....H..T...V.d..L...VI	IPGYCSTTSCET FYHMDWGGKTVTVSS JH6c
VH3-8 Patient 12	QVQLVESGGGLVQPGGSLRLSCAASGFTF ...V.....I.....	SDYHNS WVRQAPGKGLEWVS .....N.....I.....	YISSGSSYTYADSVKG y..G..TF...P.....	RFTISRDNKNTLYLQNSLRAEDTAVYICAR .....DR.s.....S...Se..a.....	GSAPGIGAAG DIWQGGIPVTVSS JH4b
DP-58 Patient 13	EVQLVESGGGLVQPGGSLRLSCAASGFTF Q.....I.....V..	SSYEMN WVRQAPGKGLEWVS ..T.....p.....vs	YISSGSSYTYADSVKG ...N..D..y.S.....	RFTISRDNKNTLYLQNSLRAEDTAVYICAR .....T.....V...v...T	GYIYDRGGNY NGDYWQGGTVTVSS JH4b
DP-67 Patient 14	QVQLVESGGGLVQPGGSLRLSCAASGFTF ..q.....I..T...y...s	GIYNG WVRQAPGKGLEWIG .....g.....	SIYSGSSYTYADSVKG NL..NEN.E.....	RVTISVDTSKQVSLKSSVYAADTAVYICAR zL.N.....N...P.....v.....	RMRVA FDYWQGGTVTVSS JH4b

**Fig 2. Deduced amino acid sequences of the V<sub>H</sub> regions of the tumor-related clones from patients with MGUS. Comparisons are made with the closest germline V<sub>H</sub> genes. Upper case letters, replacement mutations; lower case letters, silent mutations. Replacement mutations in the J<sub>H</sub> regions are underlined.**



**Fig 3. Intracloal heterogeneity of the nucleotide sequences of the V<sub>H</sub> gene used by IgG-positive tumor cells from patient no. 10 with MGUS. 10G1 through 10G9 represent individual cloned sequences that are compared with the closest germline gene, S12-4. Silent or replacement mutations are indicated below the sequences, in lower case or starred upper case letters, respectively.**

same approach to the myeloma cases showed no intracloal nucleotide changes, which would support the conclusion that these single changes are also the result of ongoing somatic mutation. The highest degree of heterogeneity was seen in patient no. 10, in whom 9 of 9 distinct tumor sequences were obtained (Fig 3). The pattern was consistent with the existence of two related parental clones that were not identified, but which gave rise to sequences 10G1-7 or 10G8-9 respectively (Fig 3). Se-

quences from patient no. 13 were more consistent with existence of a major clone (13G1) that has accumulated different individual single mutations or double mutations (Figs 4 and 6). The pattern of intracloal variation may be explained by assuming the existence of a parent clone (13G0) that acquired an additional mutation to become 13G1, but that also diverged to accumulate different mutations to generate the minor clone 13G2, together with additional mutated progeny (Fig 6). Because of the low

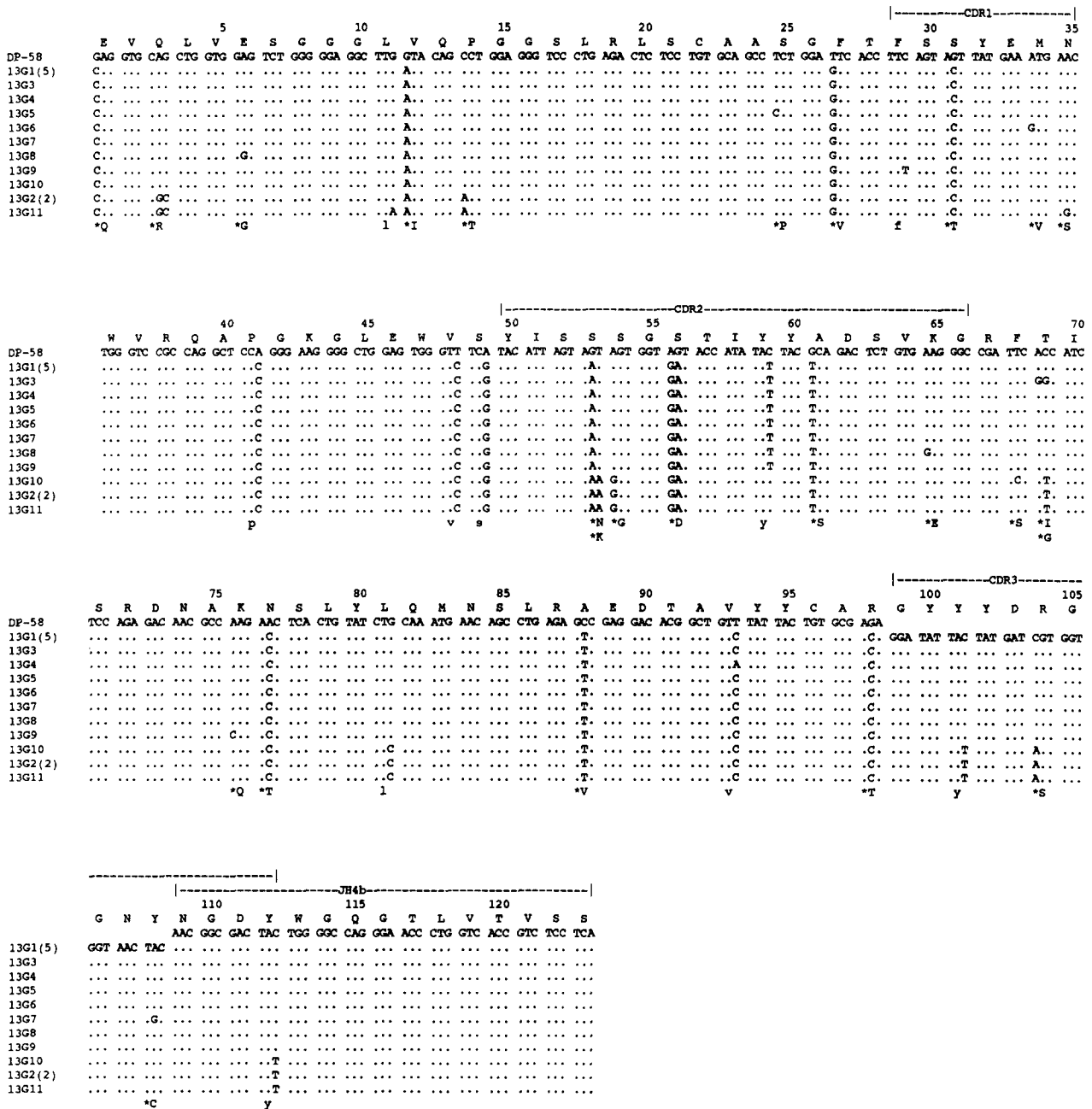
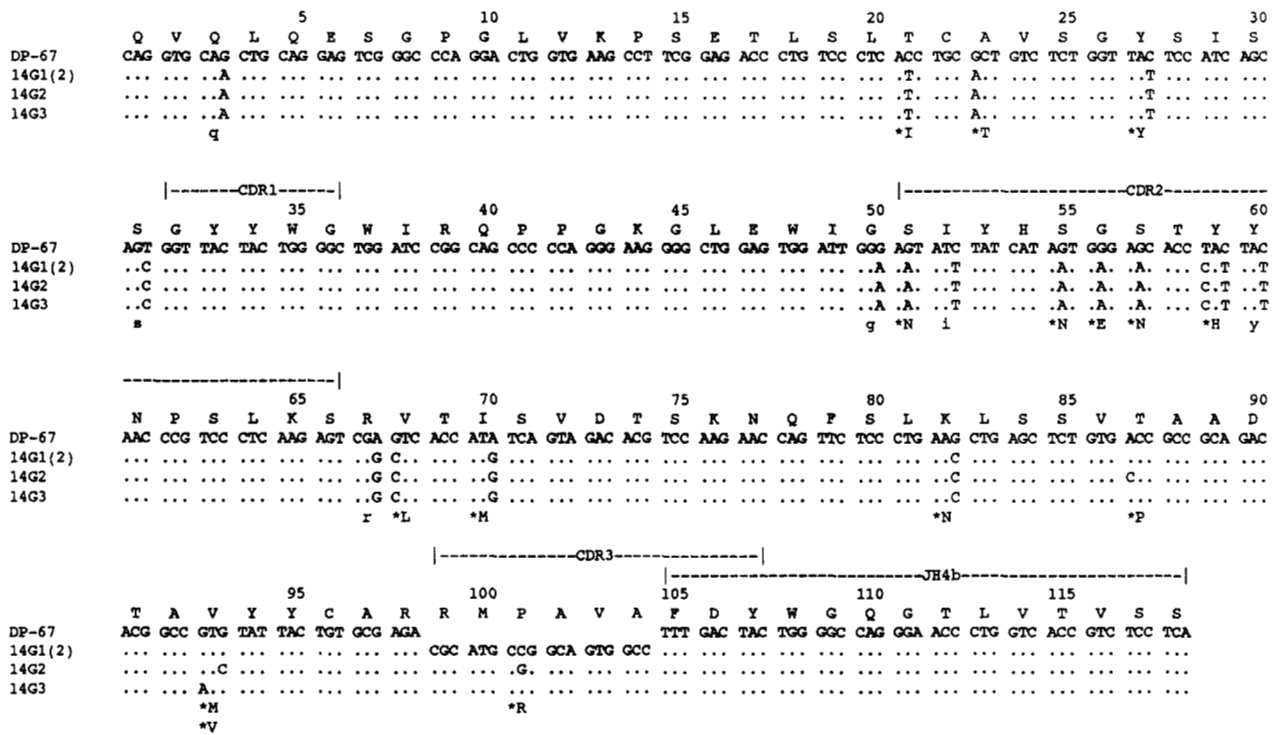


Fig 4. Intraclonal heterogeneity of the nucleotide sequences of the V<sub>H</sub> gene used by IgG-positive tumor cells from patient no. 13 with MGUS. 13G1 through 13G11 represent individual cloned sequences that are compared with the closest germline gene, DP-58. Numbers in brackets indicate that some sequences were obtained in more than one clone. Silent or replacement mutations are indicated below the sequences, in lower case or starred upper case letters, respectively.

percentage of tumor sequences obtained from patient no. 14, only four clones were available for investigation, but heterogeneity was detected, with all the changes generating replacement amino acids.

*V<sub>H</sub> mutational pattern of an IgM-positive tumor precursor cell.* In 1 of the cases of MGUS (patient no. 13) that had shown intraclonal V<sub>H</sub> sequence variation in the IgG-positive tumor cell, it was possible to detect a preswitched IgM-positive cell that belonged to the tumor cell clone. Identifica-

tion of the precursor was made by using a 5'-CDR3 primer together with a 3'-C<sub>μ</sub> primer (step 2 of the seminested PCR in the Materials and Methods). Sequencing of 10 clones of the product showed a single sequence homologous to 13G1 (Fig 7), which did not contain the additional mutations seen in the CDR3/J<sub>H</sub> regions of the IgG clones 13G7 or 13G10 (Fig 4). To obtain the full V<sub>H</sub>-C<sub>μ</sub> sequence, the third step of the seminested PCR was performed, and the sequences are shown in Fig 8. Clonal relationship with the isotype-

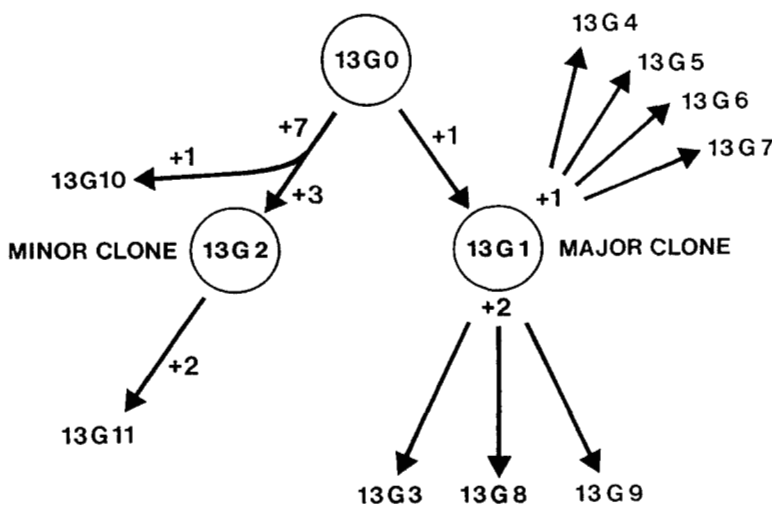


**Fig 5. Intracлонаl heterogeneity of the nucleotide sequences of the V<sub>H</sub> gene used by IgG-positive tumor cells from patient no. 14 with MGUS. 14G1 through 14G3 represent individual cloned sequences that are compared with the closest germline gene, DP-67; the 14G1 sequence was found in two clones. Silent or replacement mutations are indicated below the sequences, in lower case or starred upper case letters, respectively.**

switched tumor cells was indicated both by the CDR3 sequence and by the match to the DP-58 germline gene. There were also common deviations from germline in the V<sub>H</sub> sequences of both the IgG clones and the IgM clones (Figs 4 and 8).

These data allowed a parallel analysis of intracлонаl heterogeneity of the V<sub>H</sub> sequences of the IgM-positive tumor cells (Fig 8). Overall, the degree of heterogeneity in the IgM-

positive cells appeared less than in the IgG-positive cells. None of the IgM-derived sequences was identical to the isotype-switched sequences; however, the predominant IgM sequence (13M1) closely resembled that of the predominant IgG clone 13G1, with only a single nucleotide difference in codon 69 (Figs 4 and 8). The other IgM clones were similar to 13M1 but had other scattered single mutations, one of which (G to A in codon 11 of 13M4) was also present in



**Fig 6. Intracлонаl heterogeneity in tumor cells from patient no. 13. The V<sub>H</sub> sequences from Fig 4 have been arranged in a genealogical tree according to mutational patterns. Clone 13G0 represents a hypothetical parental clone that acquired independent mutations to generate clones 13G1 and 13G2. Numbers prefixed by + indicate the number of mutations that have occurred between clonal members.**

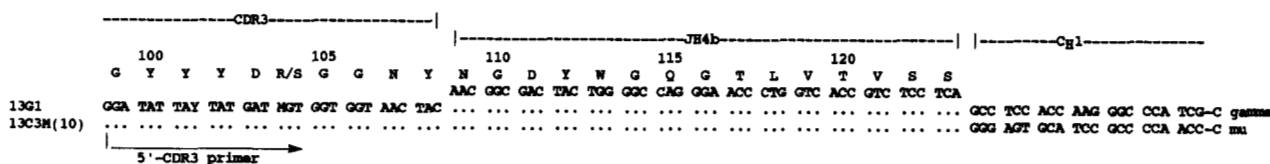


Fig 7. Nucleotide and deduced amino acid sequences of the CDR3-C $\mu$  transcript from patient no. 13 with MGUS. Ten clones of identical sequence were obtained (13C3M) and comparison has been made with the sequence of the CDR3-C $\gamma$  transcript, 13G1. The position of the 5'-CDR3 primer is indicated.

an IgG clone (13G11). Other mutations present in the IgG clones related to the divergent 13G2 group (Fig 6) were not seen in IgM, perhaps indicating that at least some of the additional mutations occurred after isotype-switching.

DISCUSSION

During maturation of a B lymphocyte, Ig V<sub>H</sub> genes undergo a process of recombination to generate a V<sub>H</sub>-D<sub>H</sub>-J<sub>H</sub>-constant region unit. Heterogeneity of usage of the individual genetic components, together with gain and loss of nucleotides at the joints, leads to a unique amino acid sequence particularly in CDR3.<sup>15</sup> In a normal B cell, this process produces a wide range of available sequences for antigen recog-

niton, with the unique CDR3 present at the center of the antigen-binding site.<sup>16</sup> In neoplastic B cells, the CDR3 presents a useful clonal marker for detection and tracking of the tumor clone. When a normal B cell enters the germinal center, the V<sub>H</sub> genes are exposed to the somatic hypermutation mechanism, thereby generating nucleotide substitutions throughout the sequence.<sup>8</sup> Antigen selection can then lead to a concentration of replacement amino acids in the CDR sequences, which are known contact points for antigen.<sup>8,14</sup>

Because neoplastic B cells are considered to be frozen at a point in differentiation, analysis of V<sub>H</sub> gene sequences can show aspects of the clonal history of the tumor cell. In the case of chronic lymphocytic leukemia, the V<sub>H</sub> genes appear

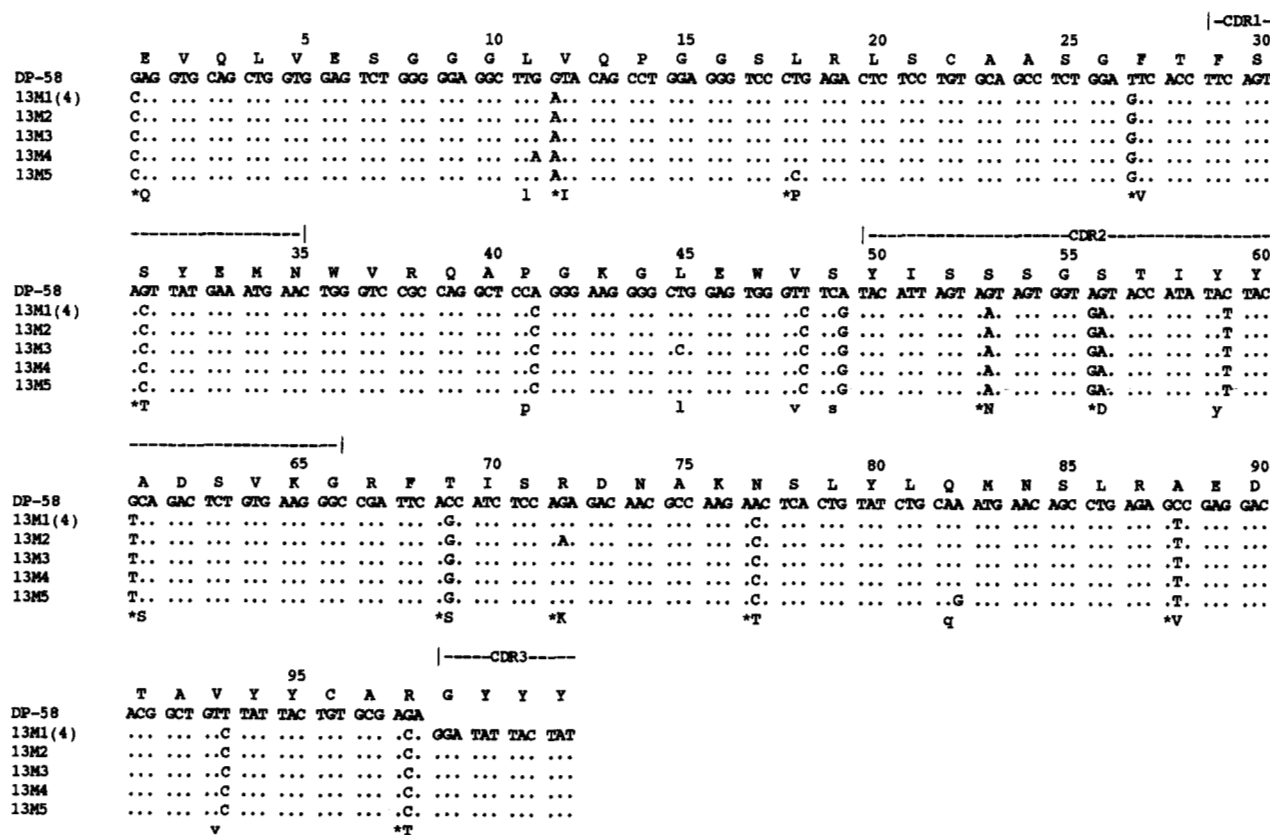


Fig 8. Intraclonal heterogeneity of the nucleotide sequences of the V<sub>H</sub> gene used by IgM-positive clonal precursor tumor cells from patient no. 13 with MGUS. 13M1 through 13M5 represent individual cloned sequences that are compared with the closest germline gene, DP-58; the 13M1 sequence was found in four clones. Silent or replacement mutations are indicated below the sequences, in lower case or starred upper case letters, respectively.



to have a low number of somatic mutations, indicating that they may not have been in contact with the mutator that is likely to be localized in the germinal center.<sup>8</sup> In contrast, cases of follicular lymphoma (FL) tend to harbor more mutations, as would be expected from their residence in the germinal center.<sup>17</sup> Interestingly, tumor cells from patients with FL often display heterogeneous clonal sequences, with the same clonal signature in CDR3, but with additional mutations occurring in  $V_H$ .<sup>6,7</sup> This picture is consistent with continuing exposure of the tumor cells to the mutator subsequent to neoplastic transformation. A similar phenomenon has also been observed in cases of endemic Burkitt's lymphoma.<sup>18</sup> Although the role of antigen in driving tumor cell growth remains unknown, it is likely that the neoplastic event releases tumor cells from the apoptotic death that awaits normal unselected B cells.<sup>19</sup>

Several groups have analyzed  $V_H$  gene profiles in cases of multiple myeloma, and a consistent pattern has emerged.<sup>9,10,20</sup> It appears that the tumor cells are extensively somatically mutated and that the sequences are homogeneous. These findings suggest that the tumor cell has traversed the germinal center, but that it is no longer exposed to the mutator. Absence of intraclonal variation in myeloma has been observed at different stages of disease from presentation to plateau, indicating that it is a feature of the tumor cell population rather than a consequence of outgrowth of a member of the clone.<sup>21</sup> It could be concluded from this that the neoplastic event has occurred at a postfollicular stage, but this conclusion is questioned by the finding of IgM-positive B cells in the BM of identical  $V_H$  sequence to the isotype-switched cells.<sup>22,23</sup> The malignant potential of the IgM-positive cells, which tend to be present in low numbers, is unknown. One possibility is that they represent a population that has undergone a neoplastic event and that is still capable of isotype-switching. To reach full malignant status, a second genetic change may be necessary, and this may occur in a single isotype-switched cell, giving rise to the homogeneous  $V_H$  sequence characteristic of myeloma.<sup>10</sup>

Despite extensive investigations of serologic and cellular markers, the relationship between the plasma cell tumors myeloma and MGUS remains speculative.<sup>3</sup> However, clinical progression of the two tumors differs considerably, suggesting that there are major differences between either the nature of the tumor cell or its environment. In a minority of cases, MGUS can convert to myeloma,<sup>3</sup> although this process is usually slow and it has not always been verified that the same clone is involved.

$V_H$  gene analysis has shown that, in 3 of 7 of our MGUS patients, there is intraclonal heterogeneity within the tumor population. This is reminiscent of follicular lymphoma and indicates that the tumor cell in MGUS continues to be exposed to the mutator after transformation. It also suggests that the BM tumor cells in MGUS are being continuously repopulated from the germinal center, rather than replicating in situ, a situation that may differ in myeloma. This is consistent with the fact that the plasma cell labeling index tends to be higher in myeloma.<sup>24</sup> For MGUS patient no. 13, we were able to identify the IgM-positive precursor cell, and this also showed mutational heterogeneity. This raises two

possibilities for MGUS: the IgM population may harbor some genetic change, but, as for myeloma, it is still able to undergo isotype-switching. This capacity lies in several clonal members, so that the isotype-switched population is also heterogeneous. The isotype-switched cells may also be exposed to the mutator, accumulating additional changes. In contrast to myeloma, a subsequent chromosomal event has not occurred in the isotype-switched cells to generate a malignant cell with a single  $V_H$  sequence, and the tumor is benign. The fact that heterogeneity is not found in all cases of MGUS may be due to slight differences in relative growth rates of the members of the clone, which can lead to self-cloning. To assess this possibility, it is necessary to investigate more patients at an early stage of disease; such an investigation is in progress. An alternative explanation is that there is heterogeneity within the category of MGUS, with some cases involving cells that are no longer exposed to the mutator. In either case, a secondary genetic event could occur in the benign population, accounting for the cases that do transform from MGUS to myeloma. A similar emergence of a highly malignant subclone with a single  $V_H$  gene sequence from a tumor population containing intraclonal variants was documented in a case of follicular lymphoma that transformed into lymphoblastic lymphoma after chemotherapy.<sup>7</sup>

Whether this type of analysis is useful for distinguishing between early stage myeloma and MGUS is not yet evident; investigation of larger numbers of patients and a longer period of observation will be required. Heterogeneity was observed only in 3 of 7 patients, and, although that can be compared with a lack of heterogeneity in more than 60 patients with myeloma,<sup>20</sup> it is not diagnostic for MGUS. More patients will need to be investigated to see if heterogeneity delineates a subset of patients and if chromosomal changes correlate with the  $V_H$  gene profiles. Clearly, availability of  $V_H$  gene tags is providing new tools for old questions.

#### ACKNOWLEDGMENT

We thank Dr D.G. Oscier for providing patient material.

#### REFERENCES

1. Boccadoro M, Pileri A: Standard chemotherapy for myelomatosis: An area of great controversy. *Hematol Oncol Clin North Am* 6:371, 1992
2. Jagannath S, Vesole DH, Glenn L, Crowley J, Barlogie B: Low-risk intensive therapy for multiple myeloma with combined autologous bone marrow and blood stem cell support. *Blood* 80:1666, 1992
3. Kyle RA, Lust JA: The monoclonal gammopathies (paraproteins). *Adv Clin Chem* 28:145, 1990
4. Paladini G, Fogher M, Mazzanti G, Parma A, Fabiani MG, Sala PG, Santini P, Torre R, Bravini D: Gammopatia monoclonale idiopatica. Studio a lungo termine di 313 casi. *Recenti Prog Med* 80:123, 1989
5. Saleun JP, Vicariot M, Deroff P, Morin JF: Monoclonal gammopathies in the adult population of Finistère, France. *J Clin Pathol* 35:63, 1982
6. Bahler DW, Levy R: Clonal evolution of a follicular lymphoma: Evidence for antigen selection. *Proc Natl Acad Sci USA* 89:6770, 1992

7. Zhu D, Hawkins RE, Hamblin TJ, Stevenson FK: Clonal history of a human follicular lymphoma as revealed in the immunoglobulin variable region genes. *Br J Haematol* 86:505, 1994
8. Berek C: The development of B cells and the B cell repertoire in the microenvironment of the germinal centre. *Immunol Rev* 126:5, 1992
9. Bakkus MHC, Heirman C, van Riet I, van Camp B, Thielemans K: Evidence that multiple myeloma Ig heavy-chain VDJ genes contain somatic mutations but show no intraclonal variation. *Blood* 80:2326, 1992
10. Sahota S, Hamblin T, Oscier DG, Stevenson FK: Assessment of the role of clonogenic B lymphocytes in the pathogenesis of multiple myeloma. *Leukemia* 8:1285, 1994
11. Durie BGM, Salmon SE: A clinical staging system for multiple myeloma. Correlation of measured myeloma mass with present clinical features, response to treatment and survival. *Cancer* 36:842, 1975
12. Leo R, Boeker M, Peest D, Hein R, Bartl R, Gessner JE, Selbach J, Wacker G, Deicher H: Multiparameter analyses of normal and malignant human plasma cells: CD38<sup>++</sup>, CD56<sup>+</sup>, CD54<sup>+</sup>, cIg is the common phenotype of myeloma cells. *Ann Hematol* 64:132, 1992
13. Cook GP, Tomlinson IM: The human immunoglobulin V<sub>H</sub> repertoire. *Immunol Today* 16:237, 1995
14. Shlomchik MJ, Aucoin AH, Pisetsky DS, Weigert MG: Structure and function of anti-DNA autoantibodies derived from a single autoimmune mouse. *Proc Natl Acad Sci USA* 84:9150, 1987
15. Sanz I: Multiple mechanisms participate in the generation of diversity of human H chain CDR3 regions. *J Immunol* 147:1720, 1991
16. Kirkham PM, Schroeder HW Jr: Antibody structure and the evolution of immunoglobulin V gene segments. *Semin Immunol* 6:347, 1994
17. Levy R, Levy S, Cleary HL, Carroll W, Kon S, Bird J, Sklar J: Somatic mutation in human B-cell tumors. *Immunol Rev* 96:43, 1987
18. Chapman CJ, Mockridge CI, Rowe M, Rickinson AR, Stevenson FK: Analysis of V<sub>H</sub> genes utilized by neoplastic B cells in endemic Burkitt's lymphoma reveals somatic hypermutation and intraclonal heterogeneity. *Blood* 85:2176, 1995
19. Liu Y-J, Joshua DE, Williams GT, Smith CA, Gordon J, MacLennan ICM: Mechanisms of antigen-driven selection in germinal centre. *Nature* 342:929, 1989
20. Vescio RA, Hong CH, Cao J, Kim A, Schiller GJ, Lichtenstein AK, Berenson RJ, Berenson JR: The haematopoietic stem cell antigen CD34, is not expressed on the malignant cells in multiple myeloma. *Blood* 84:3283, 1994
21. Ralph QM, Brisco MJ, Joshua DE, Brown R, Gibson J, Morley A: Advancement of multiple myeloma from diagnosis through plateau phase to progression does not involve a new B-cell clone: evidence from the Ig heavy-chain gene. *Blood* 82:202, 1993
22. Corradini P, Boccadoro M, Voena C, Pileri A: Evidence for a bone marrow B cell transcribing malignant plasma cell VDJ joined to C $\mu$  sequence in IgG and IgA secreting multiple myelomas. *J Exp Med* 178:1091, 1993
23. Billadeau D, Ahmann G, Greipp P, van Ness B: The bone marrow of multiple myeloma patients contains B cell populations at different stages of differentiation that are clonally related to the malignant plasma cell. *J Exp Med* 178:1023, 1993
24. Ffrench M, Ffrench P, Remy F, Chabuis-Cellier C, Wolowiec D, Ville D, Bryan PA: Plasma cell proliferation in monoclonal gammopathy: Relations with other biologic variables—Diagnostic and prognostic significance. *Am J Med* 98:60, 1995