

## Temporal variations of reference evapotranspiration in Heihe River basin of China

Zhanling Li, Zhanjie Li, Zongxue Xu and Xun Zhou

### ABSTRACT

Temporal variations in reference evapotranspiration ( $ET_o$ ) have profound implications for hydrological processes as well as for agricultural crop performance. The main aim of this study was to analyze the annual, seasonal trends in  $ET_o$  in the Heihe River basin. The likely causative meteorological variables for such temporal changes in  $ET_o$  were also identified. Results showed that, on a seasonal and annual scale,  $ET_o$  for the upper reach showed increasing trends from 1960 to 2010; both increasing and decreasing trends were observed for the middle and lower reaches. In spring, wind speed (WS) and relative humidity (RH) were the most likely causative variables for changes of  $ET_o$  for the whole basin; in summer and autumn, maximum temperature ( $T_{max}$ ) and RH contributed more to the trends in  $ET_o$  for the upper reach, and WS contributed more for the middle and lower reaches; in winter,  $T_{max}$ , WS and RH contributed more in different locations and in different seasons. From the spatial perspective, WS, RH and  $T_{max}$  contributed more to the changes of  $ET_o$  in the upper reach; WS was the main likely influence factor in the middle reach, and WS and RH were the probable main factors in the lower reach.

**Key words** | detrending, Heihe River, Mann–Kendall, Penman–Monteith, reference evapotranspiration

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### INTRODUCTION

Evapotranspiration (ET) is an important component of the hydrological cycle, and the record or estimation of it is invaluable in unraveling the aerodynamic and radiative drivers of the hydrological cycle (Roderick *et al.* 2007). The changes in ET are of great interest for water resources planning, irrigation control and agricultural production (Goyal 2004; Sabziparvar *et al.* 2011). Two different aspects of ET have been distinguished: potential evapotranspiration (PET) and actual evapotranspiration (AET). AET, the quantity of water that is actually removed from a surface due to the processes of evaporation and transpiration, is more useful in indicating the effects of climate change and human activity. However, it is often difficult to quantify as it not only requires expensive instrumentation and demands tedious installation and maintenance procedures (Senay *et al.* 2008), but is also affected by the interdependence and the spatial and temporal variability of affecting factors such as solar radiation, air temperature, humidity, wind

speed (WS), crop type, variety and environmental conditions (Gervais *et al.* 2012; Tabari *et al.* 2012). Therefore, PET, a maximum value of ET for a saturated surface assuming no control on the water supply, is more commonly used, which is relatively easy to calculate using local meteorological parameters. As an alternative, reference evapotranspiration ( $ET_o$ ), the ET rate from a hypothetical grass reference crop with specific characteristics, not short of water, is recommended due to its unambiguous definition (Allen *et al.* 1998; Xu *et al.* 2006a; Senay *et al.* 2008; Jhajharia *et al.* 2012).

Exploration of ET changes is currently a very active area of research due to its important role in the hydrological cycle. Both increasing and decreasing trends have been found in ET in different parts of the world. Peterson *et al.* (1995) found Pan Evaporation (Epan) over much of Russia and the United States decreased. Jhajharia *et al.* (2012) reported both seasonal and annual  $ET_o$  decreased in

northeast India. Burn & Hesch (2007) witnessed a decreasing trend in evaporation in June, July, August, October and the warm season, and an increasing trend in April on the Canadian prairies. In arid and semi-arid regions, monitoring the temporal variations of ET is more important due to its vital role in offering valuable information for agricultural water demand and irrigation practices. In Iran, more than 75% of the country's area is classified as arid or semi-arid. Tabari *et al.* (2012) found that both seasonal and monthly  $ET_o$  in most of Iran showed increasing trends. In arid regions of southern Russia, Golubev *et al.* (2001) reported AET showed increasing trends. In Australia, Whetton (2001) concluded that areal ET would most likely increase over most of Australia, while Roderick & Farquhar (2004) detected the Epan decreased at many Australian observing stations. In arid regions of China, it was also found that Epan showed a statistically significant decreasing trend.

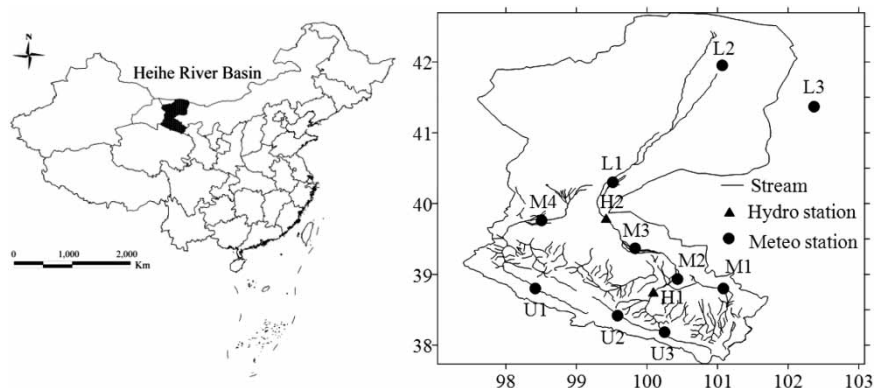
As many studies have revealed, changes in ET do not always show orthokinetic responses to temperature change contrary to general expectations (e.g. Chen *et al.* 2006; Xu *et al.* 2006a; Rayner 2007; Bandyopadhyay *et al.* 2009; Liu *et al.* 2010; Wang *et al.* 2011; Jhajharia *et al.* 2012), thus, it is necessary and of great importance to identify which meteorological variable is a likely causative for such a change in  $ET_o$ . Such causative meteorological variables have been found to be different across various regions. Jung *et al.* (2010) found that the recent decline of the global land ET trend could be largely explained by increasing soil-moisture limitations, particularly in Africa and Australia. Jhajharia *et al.* (2012) regarded that the main contributions for decreasing seasonal and annual  $ET_o$  in northeast India were mainly from the decreased net radiation and WS. Tabari *et al.* (2012) explored that in the arid and semi-arid regions of Iran, the increasing trend of  $ET_o$  was most likely due to a significant increase in minimum air temperature, while a decreasing trend of  $ET_o$  was mainly caused by a significant decrease in WS. To sum up, the variables of WS (Chen *et al.* 2006; Xu *et al.* 2006a, b; Rayner 2007; Bandyopadhyay *et al.* 2009; Cong *et al.* 2009; Liu *et al.* 2010; Wang *et al.* 2011; Jhajharia *et al.* 2012), relative humidity (RH) (Chattopadhyay & Hulme 1997; Thomas 2000; Bandyopadhyay *et al.* 2009; Wang *et al.* 2011), extreme temperatures (Thomas 2000) and radiation (Chattopadhyay & Hulme 1997; Cohen *et al.* 2002; Wang *et al.* 2011; Jhajharia *et al.* 2012) are all

likely causatives for changes in  $ET_o$  in terms of the previous studies.

Heihe River basin is located in the arid and semi-arid regions of China. While many studies have been carried out with regard to the trends of ET for basins like Yellow River basin (Liu *et al.* 2010), Yangtze River basin (Xu *et al.* 2006a; Wang *et al.* 2007) Songhua River basin (Gao *et al.* 2006), Haihe River basin (Wang *et al.* 2011), etc., only a few results can be found in the literature for the inland river basin. Water resources in the Heihe River basin are very limited, whereas agriculture there plays an important role by offering both food security and economic security for local and neighboring people. For example, Zhangye region, located in the middle reach of the basin, exports a majority of local agricultural products all over the country. Irrigation is the largest water user in the basin. In agricultural regions that rely on irrigation, investigating the variations of ET is critical not only for managers implementing water-efficient irrigation practices, but also for offering information for regional hydrological processes. In this study, we mainly focus on: (1) estimating  $ET_o$  using the Penman–Monteith (PM) method at annual and seasonal temporal scales over 10 stations in Heihe River basin; (2) investigating the trends and quantifying the magnitude of temporal trends in  $ET_o$  using the Mann–Kendall (MK) nonparametric test; (3) quantifying the trends in meteorological variables using a linear regression model; and (4) identifying the contributions of trends in meteorological variables to the trends in  $ET_o$  using a detrending method over the study area.

## STUDY AREA AND DATA

Heihe River basin is the second largest inland river basin in China with a length of 821 km and an area of 142,900 km<sup>2</sup>. From the Qilian mountains to the Yingluoxia hydrological station (denoted as H1 station in Figure 1) can be regarded as the upper reach of the basin, and belongs to the cold semi-arid mountain zone dominated by shrubs and trees with an annual mean temperature of less than 2 °C and annual precipitation of 350 mm. From the Yingluoxia station to the Zhengyixia station (denoted as H2 in Figure 1) is regarded as the middle reach, belonging to the mid-stream temperate



**Figure 1** | Location of Heihe River basin and the meteorological stations (in the right chart, X-axis indicates longitude ( $^{\circ}$ E), and Y-axis indicates latitude ( $^{\circ}$ N)).

zone controlled by cash crops like wheat and corn, with an annual mean temperature less than  $6\text{--}8\text{ }^{\circ}\text{C}$  and annual precipitation of 140 mm. Finally, from the Zhengyixia station to the north is the lower reach of the basin, pertaining to the downstream warm temperate zone, with an annual mean temperature of  $8\text{--}10\text{ }^{\circ}\text{C}$  and annual precipitation of only up to 47 mm. For the determination of  $ET_o$ , daily maximum and minimum air temperatures ( $T_{\max}$ ,  $T_{\min}$ ), RH, WS, sunshine hour (SH) measured at 10 stations during a period of 51 years (1960–2010) are used. The geographical and meteorological records of the stations are presented in Table 1 and Figure 1. As shown, three stations are in the upper reach (Tuole, Yeniugou and Qilian, denoted as U1, U2 and U3), four in the middle (Shandan, Zhangye, Gaotai and Jiuquan, denoted as M1–M4) and three in the lower reach (Dingxin, Ejinaqi and Guaizihu, denoted as L1–L3). These meteorological data are obtained from the

China Meteorological Data Sharing Service System and are of good quality without any missing values through data checking.

## METHODS

### The Penman–Monteith method

A large number of methods have been developed to estimate  $ET_o$  from different climatic variables, e.g. the Blaney–Criddle method, Hargreave’s formula, the Penman equation, the Jensen–Hays formula, the modified Penman equation and the PM method (Sammis *et al.* 2011). Among the methods available, the PM method is found to be more consistent over a wider range of climatic conditions than the other equations (López-Urrea *et al.* 2006; Sammis *et al.* 2011),

**Table 1** | Basic information of 10 meteorological stations in Heihe River basin

| Reach  | Station ID | Station name | Latitude (N)    | Longitude (E)    | Elevation (m a.s.l.) | WS (m/s) | $T_{\text{mean}}$ ( $^{\circ}$ C) | $T_{\text{max}}$ ( $^{\circ}$ C) | $T_{\text{min}}$ ( $^{\circ}$ C) | RH (%) | SH (hour) |
|--------|------------|--------------|-----------------|------------------|----------------------|----------|-----------------------------------|----------------------------------|----------------------------------|--------|-----------|
| Upper  | U1         | Tuole        | $38^{\circ}48'$ | $98^{\circ}25'$  | 3,367                | 2.2      | −2.6                              | 6.7                              | −10.0                            | 52     | 2,983     |
|        | U2         | Yeniugou     | $38^{\circ}25'$ | $99^{\circ}35'$  | 3,320                | 2.6      | −2.9                              | 6.5                              | −10.2                            | 58     | 2,686     |
|        | U3         | Qilian       | $38^{\circ}11'$ | $100^{\circ}15'$ | 2,787                | 1.9      | 1.1                               | 10.3                             | −5.8                             | 54     | 2,856     |
| Middle | M1         | Shandan      | $38^{\circ}48'$ | $101^{\circ}05'$ | 1,764                | 2.4      | 6.6                               | 14.8                             | −0.1                             | 47     | 2,931     |
|        | M2         | Zhangye      | $38^{\circ}56'$ | $100^{\circ}26'$ | 1,482                | 2.0      | 7.5                               | 15.9                             | 0.5                              | 52     | 3,085     |
|        | M3         | Gaotai       | $39^{\circ}22'$ | $99^{\circ}50'$  | 1,332                | 2.1      | 7.9                               | 16.0                             | 1.0                              | 54     | 3,104     |
|        | M4         | Jiuquan      | $39^{\circ}46'$ | $98^{\circ}29'$  | 1,477                | 2.2      | 7.6                               | 15.0                             | 1.1                              | 48     | 3,061     |
| Lower  | L1         | Dingxin      | $40^{\circ}18'$ | $99^{\circ}31'$  | 1,177                | 3.1      | 8.5                               | 16.6                             | 1.3                              | 45     | 3,331     |
|        | L2         | Ejinaqi      | $41^{\circ}57'$ | $101^{\circ}04'$ | 940                  | 3.3      | 9.0                               | 16.8                             | 1.7                              | 34     | 3,404     |
|        | L3         | Guaizihu     | $41^{\circ}22'$ | $102^{\circ}22'$ | 960                  | 4.6      | 9.2                               | 17.0                             | 2.1                              | 32     | 3,342     |

Note: WS (wind speed),  $T_{\text{mean}}$  (mean air temperature),  $T_{\text{max}}$  (maximum air temperatures),  $T_{\text{min}}$  (minimum air temperatures), RH (relative humidity), SH (sunshine hour) are the means of datasets from 1960 to 2010.

therefore it is recommended by the Food and Agricultural Organization as the standard method to determine ET<sub>o</sub> for either a short reference crop (similar to clipped grass, 0.12 m tall) or tall reference crop (similar to full-cover alfalfa, 0.5 m tall) (Allen et al. 1998). The PM method is physically based and explicitly incorporates both physiological and aerodynamic parameters and will be employed in this study:

$$ET_o = \frac{0.408\Delta(R_n - G) + \gamma \frac{900}{T + 273} u_2 (e_s - e_a)}{\Delta + \gamma(1 + 0.34u_2)} \quad (1)$$

where ET<sub>o</sub> is the reference evapotranspiration (mm day<sup>-1</sup>), R<sub>n</sub> the net radiation at the crop surface (MJ m<sup>-2</sup> day<sup>-1</sup>), G the soil heat flux density (MJ m<sup>-2</sup> day<sup>-1</sup>), T air temperature at 2 m height (°C), u<sub>2</sub> WS at 2 m height (m s<sup>-1</sup>), e<sub>s</sub> saturation vapor pressure (kPa), e<sub>a</sub> actual vapor pressure (kPa), e<sub>s</sub> - e<sub>a</sub> saturation vapor pressure deficit (kPa), Δ slope vapor pressure curve (kPa °C<sup>-1</sup>), γ psychrometric constant (kPa °C<sup>-1</sup>). The geographic location and altitude of the station, and measured meteorological data including daily mean, maximum and minimum temperatures, WS, SH, RH, etc. at the station are needed for the estimation of ET<sub>o</sub>, which is introduced in detail in Chapter 3 of FAO Paper 56 (Allen et al. 1998).

### Temporal trends

The MK test (Mann 1945; Kendall 1975; Li et al. 2008; Caloiero et al. 2011), one of the popular nonparametric methods for trend analysis, will be used herein. The MK test statistic S is given as follows:

$$S = \sum_{k=1}^{n-1} \sum_{j=k+1}^n \text{sgn}(x_j - x_k) \quad (2)$$

where x<sub>j</sub> is the data value at time j, n is the length of the dataset and sgn(z) is equal to +1, 0, -1, if z is greater than, equal to, or less than zero, respectively. For n > 10, the test statistic

$$Z = \begin{cases} \frac{S-1}{\sqrt{\text{Var}(S)}} & \text{if } S > 0 \\ 0 & \text{if } S = 0 \\ \frac{S+1}{\sqrt{\text{Var}(S)}} & \text{if } S < 0 \end{cases} \quad (3)$$

approximately follows a standard normal distribution, in which  $\sqrt{\text{Var}(S)}$  is the standard deviation of statistic S. If  $|Z| > Z_{(1-\alpha/2)}$ , the null hypothesis of no autocorrelation and trend in dataset is rejected, in which  $Z_{(1-\alpha/2)}$  is corresponding to the normal distribution with α being the significance level. If the data has a trend, the magnitude of trend can be denoted by trend slope β:

$$\beta = \text{Median}\left(\frac{x_i - x_j}{i - j}\right), \quad \forall j < i \quad (4)$$

### Detrending method

Detrending, a statistical or mathematical operation of removing trend from the series, is a useful tool for determining the contributions of trends in meteorological variables to trends in ET<sub>o</sub> (Xu et al. 2006a; Liu et al. 2010). Many methods are available for detrending. In this study, the simple linear regression is used to fit the dataset of meteorological variable x<sub>t</sub>:

$$\hat{g}_t = \hat{a} + \hat{b}t \quad (5)$$

where  $\hat{g}_t$  is the fitted trend at time t,  $\hat{a}$  and  $\hat{b}$  are the estimated regression constant and the estimated regression coefficient, respectively. Once the trend line has been fitted to the data, one of the options for removing the trend is subtracting the value of the trend line from the original data, that is:

$$y_t = x_t - \hat{g}_t \quad (6)$$

where y<sub>t</sub> is the detrended time series. To avoid the negative values for meteorological variables (e.g. WS, RH and SH), instead of  $y_t = x_t - \hat{g}_t$ , the detrended dataset is currently defined as

$$y_t = x_t - \hat{g}_t + x_1 \quad (7)$$

where x<sub>1</sub> corresponds to the first value of the original time series. After removing the trends in meteorological variables (e.g. WS), the ET<sub>o</sub> will be recalculated using the detrended data series (detrended WS dataset) with the other three

original meteorological variables (original  $T_{\max}$ ,  $T_{\min}$  and RH datasets without detrending), then compared with the original ET<sub>o</sub>. The difference between them is regarded as the contribution of the trend by that variable (Xu *et al.* 2006a; Liu *et al.* 2010). In order to quantify the contribution, an evaluating indicator  $R$  is constructed:

$$R = \sum_{i=1}^n \frac{|ET_o^o i - ET_o^R i|}{ET_o^o i} \quad (8)$$

where ET<sub>o</sub><sup>o</sup> and ET<sub>o</sub><sup>R</sup> denotes the original and recalculated ET<sub>o</sub> obtained from the original and the detrended meteorological variables,  $n$  is the length of time series. The larger value of  $R$ , the greater the contribution of the trend in that variable to the trend in ET<sub>o</sub>.  $R = 0$  indicates the trend in that variable has no contribution to the trend in ET<sub>o</sub>.

## RESULTS AND ANALYSIS

### Estimations of ET<sub>o</sub> over the study area

The four seasons of the study area are defined as: spring (March–May), summer (June–August), autumn (September–November) and winter (December–February). The annual and monthly ET<sub>o</sub> obtained through the PM method over 10

stations for the period of 1960–2010 in the study area are presented in Table 2. As shown, the annual ET<sub>o</sub> varies from 765 mm in the upper reach to 1,631 mm in the lower reach and is estimated to be 1,178 mm for the whole basin. The monthly ET<sub>o</sub> in January and February is around 20–50 mm, and reaches a peak value in June or July, with a range of 160–300 mm for most stations, and afterwards, decreases gradually, reaching about 20 mm in December. The highest ET<sub>o</sub> are mainly found in June or July and lowest ET<sub>o</sub> are found in December and January. The highest percentage of ET<sub>o</sub> occurs in summer, accounting for more than 40% of the total, followed by spring which accounts for 30%, and winter ET<sub>o</sub> is the lowest with less than 10% of the total value.

With regard to the spatial distribution of seasonal and annual ET<sub>o</sub> in the study area, lower values correspond to the upper reach and higher values to the lower reach. ET<sub>o</sub> calculated herein is independent of crop type, crop development and management practices (Allen *et al.* 1998). The only factors affecting ET<sub>o</sub> are climatic parameters which provide energy for vaporization and remove water vapor from the evaporating surface. Solar radiation is the largest energy source and is able to change large quantities of liquid water into water vapor. It differs at various latitudes and in different seasons. In general, it is shown to be greater in hot summer than in cold winter. Air temperature controls the rate of ET<sub>o</sub> through transferring energy to the crop by

**Table 2** | Monthly and annual ET<sub>o</sub> obtained from Penman–Monteith method during the period of 1960–2010 in Heihe River basin (mm)

| Station ID/Reach | JAN | FEB | MAR | APR | MAY | JUN | JUL | AUG | SEP | OCT | NOV | DEC | Annual |
|------------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|--------|
| U1               | 16  | 27  | 53  | 80  | 101 | 107 | 113 | 103 | 73  | 48  | 23  | 15  | 757    |
| U2               | 21  | 30  | 51  | 74  | 91  | 94  | 97  | 88  | 63  | 46  | 28  | 21  | 702    |
| U3               | 22  | 33  | 60  | 88  | 112 | 117 | 116 | 106 | 77  | 55  | 31  | 19  | 838    |
| M1               | 27  | 39  | 76  | 121 | 160 | 170 | 168 | 158 | 110 | 76  | 43  | 28  | 1,175  |
| M2               | 24  | 39  | 77  | 123 | 158 | 165 | 166 | 149 | 101 | 66  | 36  | 23  | 1,125  |
| M3               | 22  | 37  | 78  | 125 | 159 | 167 | 167 | 148 | 100 | 65  | 33  | 19  | 1,119  |
| M4               | 24  | 38  | 78  | 130 | 164 | 170 | 166 | 152 | 109 | 75  | 41  | 23  | 1,170  |
| L1               | 29  | 45  | 89  | 142 | 189 | 200 | 201 | 178 | 126 | 86  | 48  | 31  | 1,362  |
| L2               | 23  | 42  | 94  | 165 | 235 | 261 | 260 | 223 | 155 | 95  | 48  | 24  | 1,625  |
| L3               | 29  | 50  | 109 | 188 | 267 | 300 | 301 | 265 | 186 | 118 | 61  | 32  | 1,906  |
| Upper            | 19  | 30  | 55  | 81  | 101 | 106 | 108 | 99  | 71  | 50  | 27  | 18  | 765    |
| Middle           | 24  | 38  | 77  | 125 | 160 | 168 | 167 | 152 | 105 | 70  | 38  | 23  | 1,147  |
| Lower            | 27  | 46  | 97  | 165 | 230 | 254 | 254 | 222 | 156 | 100 | 52  | 29  | 1,631  |

the heat of the surrounding air. WS and RH impact ET<sub>o</sub> through affecting the process of water vapor removal. The greater WS, the greater the driving force for water vapor removal. Compared with those for the upper reach,  $T_{\text{mean}}$ ,  $T_{\text{max}}$ , and  $T_{\text{min}}$  for the middle reach increase by 7–9 °C, and  $T_{\text{mean}}$ ,  $T_{\text{max}}$ , and  $T_{\text{min}}$  for the lower reach increase by 9–10 °C, the average WS increase by 64%, and RH decrease by 32%. These changes in climatic parameters would cause increases in ET<sub>o</sub> in the middle and lower reaches.

The mean annual ET<sub>o</sub> reach 1,625 and 1,906 mm at U2 and U3 stations in the lower reach. The mean annual precipitation during the period of 1960 to 2010 is about 35 and 45 mm for U2 and U3 stations, respectively, based on the records from the meteorological stations. This implies that the mean annual ET<sub>o</sub> in the lower reach is more than 42 times greater than the mean annual precipitation. Thus, the lower reach is usually confronted with severe water deficits.

### Temporal trends of ET<sub>o</sub> over the study area

Results from the MK test for seasonal and annual ET<sub>o</sub> for each station are presented in Table 3, together with the magnitude of trend and the significance level. On the annual scale, ET<sub>o</sub> data for the upper reach show increasing trends during the past 51 years, with two out of three showing increasing tendency deemed significant at the >95% confidence interval (CI). Both increasing and decreasing trends

in ET<sub>o</sub> were observed for the middle reach, while most of the trends are not significant at >90% CI. For the lower reach, ET<sub>o</sub> at L1 station shows a slightly increasing trend, and changes in the opposite way for the other two stations, with significant decreasing at L2 station, and significant increasing at L3 station (at >95% CI).

On the seasonal scales, all ET<sub>o</sub> for the upper reach show increasing trends, and 42% (five series of 12) of the increasing tendency are significant at >95% CI. ET<sub>o</sub> at U1 station increases most for all seasons compared with other stations. For the middle reach, both increasing and decreasing trends in ET<sub>o</sub> are found with the greatest increase occurring in summer ET<sub>o</sub> at M2 station and greatest decrease occurring in summer ET<sub>o</sub> at M3 station. However, most of the trends are not significant at >90% CI. For the lower reach, similar to the annual scale, slightly increasing trends are found in spring, summer and autumn ET<sub>o</sub> for L1 station. Decreasing ET<sub>o</sub> is found for L2 station, and increasing ET<sub>o</sub> is found for L3 station for all seasons. Both L2 and L3 stations are located in the arid climatic zone, and geographically close to the Badanjilin Desert, with the main characteristics of being dry, hot, windy and short of rain. In such a hot and dry region, the ET demand is becoming high due to the dryness of the air and the amount of energy available as direct solar radiation and latent heat. The quite different trends in ET<sub>o</sub> for the other two stations are probably attributed to the different tendency in meteorological conditions especially in

**Table 3** | Trends for seasonal and annual ET<sub>o</sub> for each station during the period of 1960–2010

| Station ID | Spring         |       | Summer |       | Autumn |       | Winter |       | Annual         |       |
|------------|----------------|-------|--------|-------|--------|-------|--------|-------|----------------|-------|
|            | T              | β     | T      | β     | T      | β     | T      | β     | T              | β     |
| U1         | ↑***           | 0.53  | ↑**    | 0.50  | ↑***   | 0.43  | ↑***   | 0.50  | ↑***           | 1.87  |
| U2         | –              | 0.10  | –      | 0.17  | –      | 0.04  | –      | 0.09  | –              | 0.22  |
| U3         | –              | 0.06  | –      | 0.08  | ↑*     | 0.25  | –      | 0.12  | ↑ <sup>+</sup> | 0.52  |
| M1         | –              | –0.10 | –      | –0.51 | –      | –0.03 | ↑*     | 0.27  | –              | –0.27 |
| M2         | –              | –0.02 | –      | 0.33  | –      | –0.06 | –      | 0.09  | –              | 0.25  |
| M3         | ↓ <sup>+</sup> | –0.53 | ↓*     | –1.05 | ↓**    | –0.59 | –      | –0.20 | ↓**            | –2.69 |
| M4         | –              | –0.11 | –      | 0.20  | –      | –0.26 | –      | –0.05 | –              | 0.17  |
| L1         | –              | 0.38  | –      | 0.08  | –      | 0.10  | –      | –0.04 | –              | 0.50  |
| L2         | ↓*             | –0.73 | ↓**    | –1.63 | ↓*     | –0.53 | –      | 0.02  | ↓**            | –2.75 |
| L3         | ↑***           | 1.85  | ↑***   | 2.94  | ↑***   | 1.42  | ↑**    | 0.48  | ↑***           | 6.60  |

Note: '\*\*\*', '\*\*', '\*', '+' means the significance level of 0.001, 0.01, 0.05 and 0.1.

WS. Under arid conditions, the drier the atmosphere, the larger the effect of WS on ET<sub>o</sub>. Decreasing WS at L2 station may result in decreasing the ET<sub>o</sub> since WS is the most likely

causative variable for changes of ET<sub>o</sub> at L2 station in the four seasons (Tables 4 and 5). Increasing WS and decreasing RH at L3 station would cause an increase in ET<sub>o</sub> in the

**Table 4** | Slopes of linear trends in five meteorological variables in Heihe River basin

| Variable              | Season | U1     | U2     | U3     | M1     | M2     | M3     | M4     | L1     | L2     | L3     |
|-----------------------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|
| WS (m/s)              | Spring | 0.011  | 0.000  | -0.005 | -0.013 | -0.018 | -0.025 | -0.009 | -0.010 | -0.029 | 0.011  |
|                       | Summer | 0.007  | -0.002 | -0.007 | -0.011 | -0.010 | -0.026 | -0.007 | -0.010 | -0.035 | 0.011  |
|                       | Autumn | 0.009  | 0.000  | -0.001 | -0.008 | -0.010 | -0.020 | -0.006 | -0.011 | -0.026 | 0.014  |
|                       | Winter | 0.013  | -0.002 | -0.002 | -0.008 | -0.007 | -0.017 | -0.004 | -0.016 | -0.020 | 0.010  |
| T <sub>max</sub> (°C) | Spring | 0.016  | 0.010  | 0.004  | 0.014  | 0.024  | 0.021  | 0.016  | 0.025  | 0.019  | 0.012  |
|                       | Summer | 0.027  | 0.024  | 0.014  | 0.015  | 0.029  | 0.022  | 0.026  | 0.024  | 0.022  | 0.023  |
|                       | Autumn | 0.037  | 0.029  | 0.028  | 0.030  | 0.035  | 0.033  | 0.029  | 0.036  | 0.028  | 0.027  |
|                       | Winter | 0.048  | 0.038  | 0.037  | 0.044  | 0.039  | 0.033  | 0.031  | 0.034  | 0.048  | 0.025  |
| T <sub>min</sub> (°C) | Spring | 0.009  | 0.037  | 0.028  | 0.045  | 0.036  | 0.027  | 0.026  | 0.035  | 0.059  | 0.067  |
|                       | Summer | 0.032  | 0.037  | 0.036  | 0.041  | 0.049  | 0.022  | 0.025  | 0.032  | 0.056  | 0.069  |
|                       | Autumn | 0.035  | 0.036  | 0.036  | 0.067  | 0.035  | 0.015  | 0.024  | 0.029  | 0.075  | 0.083  |
|                       | Winter | 0.045  | 0.056  | 0.059  | 0.112  | 0.057  | 0.047  | 0.036  | 0.042  | 0.100  | 0.078  |
| RH (%)                | Spring | -0.062 | 0.063  | 0.000  | -0.043 | -0.083 | -0.031 | 0.009  | -0.126 | -0.057 | -0.099 |
|                       | Summer | 0.002  | 0.024  | -0.053 | 0.021  | -0.075 | -0.012 | -0.026 | -0.073 | -0.087 | -0.145 |
|                       | Autumn | -0.056 | 0.076  | -0.045 | -0.058 | -0.001 | 0.064  | 0.094  | -0.054 | -0.015 | -0.048 |
|                       | Winter | -0.110 | 0.076  | 0.050  | -0.171 | -0.018 | 0.062  | 0.032  | -0.030 | -0.049 | -0.005 |
| SH (hour)             | Spring | 0.007  | 0.003  | -0.002 | -0.002 | 0.001  | 0.010  | 0.007  | -0.001 | -0.002 | 0.013  |
|                       | Summer | -0.001 | -0.006 | -0.011 | -0.010 | 0.002  | -0.005 | -0.003 | -0.001 | -0.004 | 0.009  |
|                       | Autumn | 0.002  | 0.002  | -0.002 | -0.008 | -0.001 | -0.011 | -0.008 | -0.007 | -0.006 | 0.008  |
|                       | Winter | 0.002  | -0.001 | -0.010 | -0.015 | -0.012 | -0.016 | -0.002 | -0.002 | -0.010 | 0.002  |

**Table 5** | Values of evaluating indicator *R* for identifying the contributions of trends in meteorological variables to trends in ET<sub>o</sub>

| Season | Variable         | U1          | U2          | U3          | M1          | M2          | M3          | M4          | L1          | L2          | L3          |
|--------|------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Spring | WS               | <b>0.83</b> | 0.09        | <b>0.58</b> | <b>1.77</b> | <b>2.62</b> | <b>3.69</b> | <b>1.23</b> | <b>1.14</b> | <b>3.95</b> | <b>1.02</b> |
|        | T <sub>max</sub> | 0.73        | 0.46        | 0.18        | 0.30        | 0.81        | 0.71        | 0.59        | 0.94        | 0.71        | 0.50        |
|        | T <sub>min</sub> | 0.18        | 0.68        | 0.46        | 0.60        | 0.45        | 0.34        | 0.35        | 0.37        | 0.61        | 0.70        |
|        | RH               | <b>0.88</b> | <b>0.88</b> | 0.08        | 0.38        | 0.85        | 0.40        | 0.03        | <b>1.52</b> | 0.59        | <b>1.21</b> |
|        | SH               | 0.27        | 0.15        | 0.01        | 0.00        | 0.12        | 0.36        | 0.23        | 0.01        | 0.02        | 0.19        |
| Summer | WS               | 0.35        | 0.06        | 0.44        | <b>1.28</b> | <b>1.28</b> | <b>3.15</b> | <b>0.96</b> | <b>1.37</b> | <b>5.09</b> | <b>1.19</b> |
|        | T <sub>max</sub> | <b>0.83</b> | <b>0.62</b> | 0.38        | 0.36        | <b>0.70</b> | 0.53        | <b>0.63</b> | 0.63        | 0.55        | 0.60        |
|        | T <sub>min</sub> | 0.47        | <b>0.60</b> | 0.50        | 0.40        | 0.47        | 0.25        | 0.27        | 0.28        | 0.36        | 0.46        |
|        | RH               | 0.02        | 0.41        | <b>0.52</b> | 0.19        | 0.61        | 0.10        | 0.23        | <b>0.64</b> | 0.73        | <b>1.52</b> |
|        | SH               | 0.03        | 0.30        | <b>0.52</b> | 0.37        | 0.10        | 0.21        | 0.14        | 0.02        | 0.09        | 0.15        |
| Autumn | WS               | <b>1.21</b> | 0.02        | 0.07        | <b>1.36</b> | <b>1.57</b> | <b>3.23</b> | <b>0.98</b> | <b>1.56</b> | <b>4.34</b> | <b>1.55</b> |
|        | T <sub>max</sub> | <b>1.23</b> | <b>1.00</b> | <b>0.81</b> | 0.80        | 0.88        | 0.76        | 0.74        | <b>1.08</b> | 0.91        | 0.91        |
|        | T <sub>min</sub> | 0.56        | 0.67        | 0.51        | 0.66        | 0.45        | 0.12        | 0.19        | 0.26        | 0.65        | 0.83        |
|        | RH               | 0.29        | <b>1.24</b> | 0.48        | 0.49        | 0.10        | 0.73        | <b>1.10</b> | 0.64        | 0.24        | 0.58        |
|        | SH               | 0.02        | 0.02        | 0.12        | 0.28        | 0.12        | 0.54        | <b>1.45</b> | 0.31        | 0.11        | 0.04        |
| Winter | WS               | <b>4.62</b> | 0.47        | 0.67        | 1.89        | <b>2.02</b> | <b>4.43</b> | <b>1.10</b> | <b>2.25</b> | <b>4.22</b> | <b>1.33</b> |
|        | T <sub>max</sub> | <b>3.04</b> | <b>2.24</b> | <b>2.07</b> | <b>2.19</b> | <b>2.00</b> | 1.75        | <b>1.63</b> | <b>1.83</b> | <b>2.51</b> | <b>1.49</b> |
|        | T <sub>min</sub> | 1.06        | 0.82        | <b>1.01</b> | 1.60        | 0.86        | 0.79        | 0.70        | 0.60        | <b>1.47</b> | <b>1.34</b> |
|        | RH               | 1.76        | <b>1.56</b> | 0.79        | <b>2.93</b> | 0.49        | 1.07        | 0.53        | 0.65        | 0.95        | 0.19        |
|        | SH               | 0.35        | 0.02        | 0.20        | 0.14        | 0.18        | 0.22        | 0.50        | 0.01        | 0.07        | 0.01        |

spring and summer seasons. Increasing WS in autumn and increasing  $T_{max}$  and  $T_{min}$  in winter at L3 station may result in an increasing  $ET_o$  in autumn and winter seasons.

### Temporal trends in meteorological variables

In order to identify the contributions of trends in meteorological variables to trends in  $ET_o$ , linear regression detrending method is employed for the time series of meteorological variables including WS,  $T_{max}$ ,  $T_{min}$ , RH and

SH on monthly temporal scales. The original and detrended time series on seasonal scales are aggregated from the monthly results obtained above.

Figure 2, simply taking L2 station and summer as an example due to the limited space, shows the original and detrended time series of meteorological variables, together with their linear trend lines and linear regression models. Linear trends in meteorological variables in spring, autumn and winter seasons for L2 station are shown in Table 4. As shown, WS, RH and SH at this station show decreasing

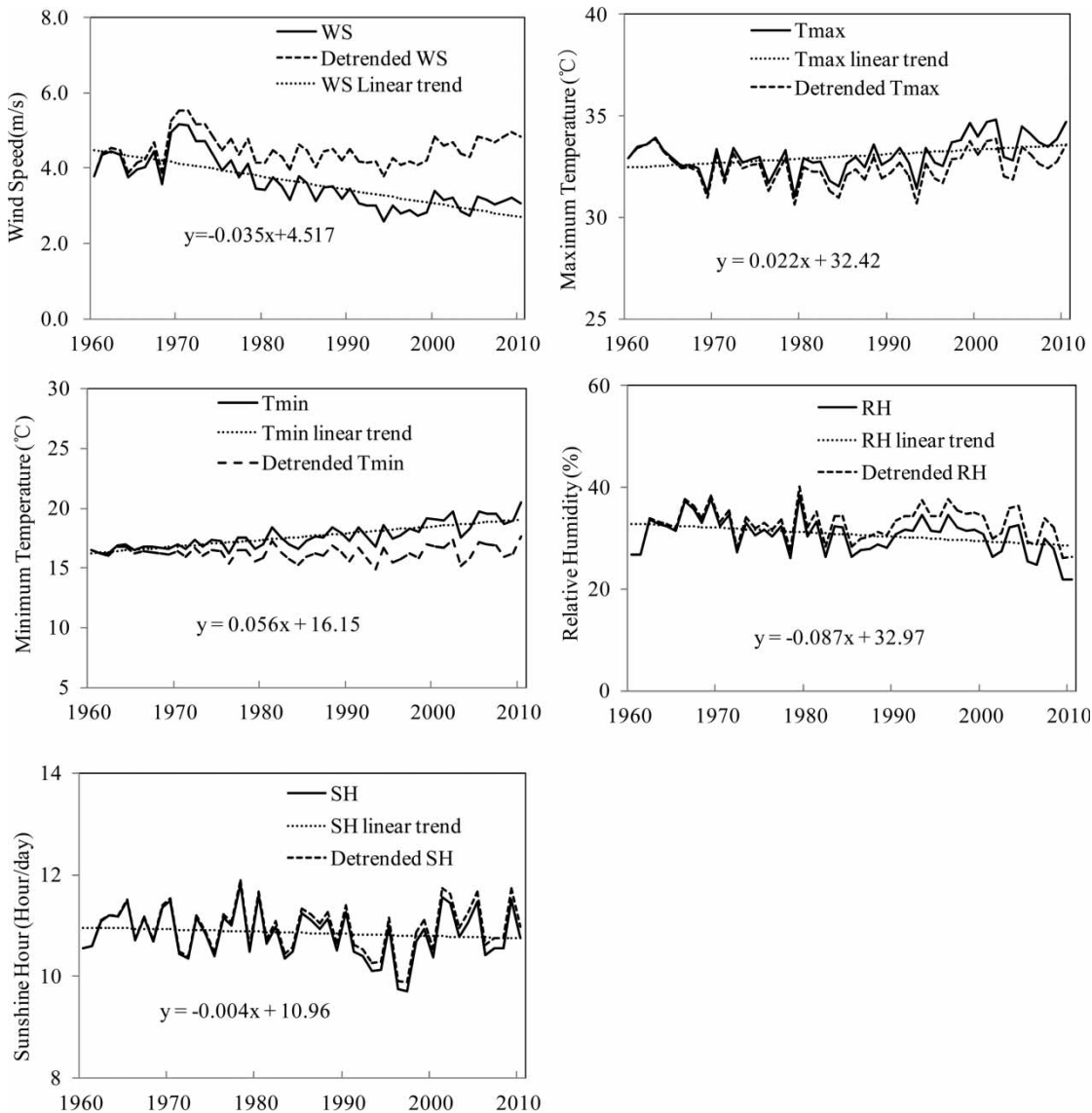


Figure 2 | The original and detrended meteorological variables for Ejinaqi (L2) station in summer using linear regression detrending method.



trends in all seasons. The highest decreasing magnitude appears in summer for WS and RH, and appears in winter for SH.  $T_{max}$  and  $T_{min}$  at L2 station present increasing trends in all seasons, with the highest increasing magnitudes occurring in winter. The increasing magnitudes for  $T_{min}$  are greater than those for  $T_{max}$  in all seasons.  $ET_o$  at L2 station show significant decreasing trends on seasonal scale except for winter season in terms of the above analysis (Table 3). It implies that, the increasing temperature cannot give a satisfactory explanation to the decreasing  $ET_o$  at this station due to the complicated interaction of many other influencing factors involved, which also has been proved in many previous studies and over many arid areas (e.g. Chattopadhyay & Hulme 1997; Roderick *et al.* 2007; Tabari *et al.* 2012).

Returning to Table 4, for the upper reach, increasing trends at U1 station are found in WS,  $T_{max}$  and  $T_{min}$ , increasing trends at U2 station in  $T_{max}$ ,  $T_{min}$  and RH, increasing trends at U3 station in  $T_{max}$  and  $T_{min}$  for all seasons. Both decreasing and increasing trends for SH are detected in different seasons for the upper reach. For the middle reach, all stations are characterized by the decreasing trends in WS, increasing trends in  $T_{max}$  and  $T_{min}$ , and both decreasing and increasing trends in

RH and SH. For the lower reach, decreasing trends are detected in WS, RH and SH, and increasing trends are detected in  $T_{max}$  and  $T_{min}$  at L1 station for all seasons. Increasing trends are found in WS,  $T_{max}$ ,  $T_{min}$ , SH and decreasing trends in RH at L3 station for all seasons. For the whole basin, most of the increasing magnitudes for  $T_{min}$  are higher than those for  $T_{max}$  in four seasons, which is consistent with the global pattern in the last decades (Zhang *et al.* 2004; Song *et al.* 2010) and the increasing magnitudes in  $T_{max}$  and  $T_{min}$  in winter are higher than those in other seasons.

Additionally, all stations (100%) are characterized by increasing  $T_{max}$  and  $T_{min}$ , 60–70% of stations are characterized by decreasing RH, and 70–80% of stations are characterized by decreasing WS, thus both increasing and decreasing trends are found in  $ET_o$  for the basin as a result of their combined effects.

#### Identification of contributions of trends in meteorological variables to trends in $ET_o$

Figure 3, taking L2 station and taking summer as an example, shows the original and recalculated  $ET_o$  using the original and detrended meteorological datasets based

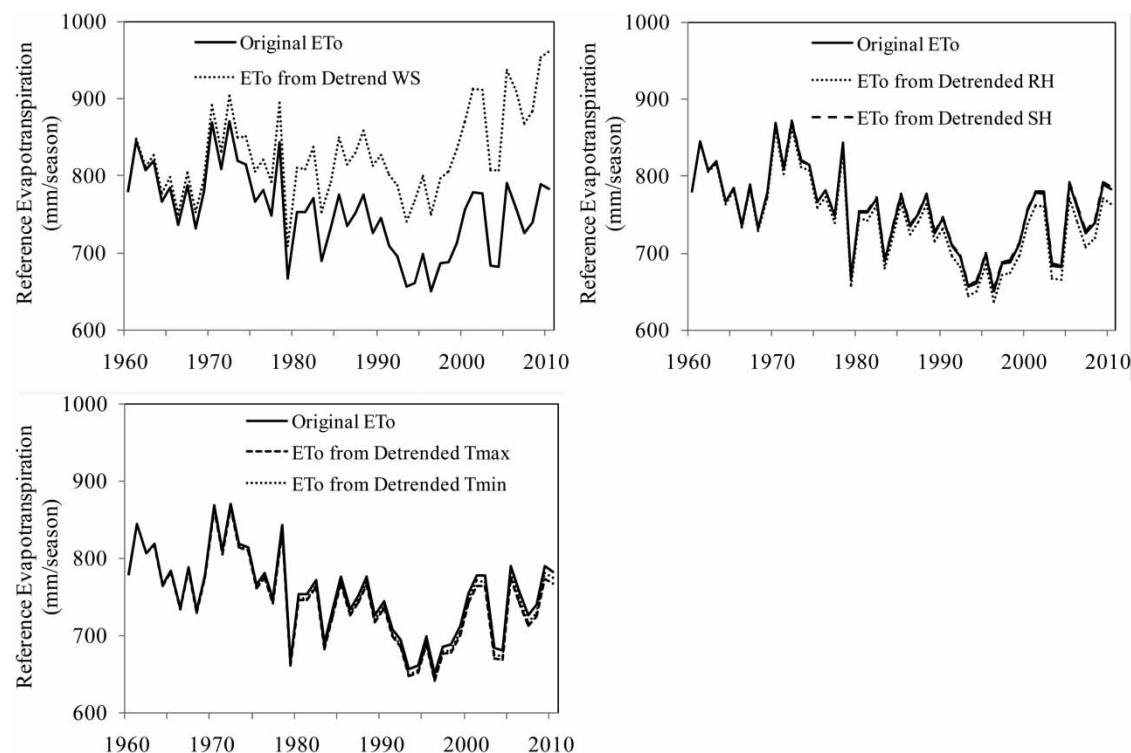


Figure 3 | The original and recalculated summer  $ET_o$  for Ejinaqi (L2) station with detrended wind speed, relative humidity and sunshine hours and maximum and minimum temperatures.

on the PM method. The largest difference between the original ET<sub>o</sub> and the recalculated ET<sub>o</sub> from detrended WS is found in spring, summer and autumn seasons, which implies that trends in WS have the greatest contributions to the trend in ET<sub>o</sub> at L2 station for the three seasons. That is, the decreasing trends in ET<sub>o</sub> in spring, summer and autumn seasons (Table 3) could be explained largely by the decreasing trends in WS (Table 4). The WS in winter also presents a decreasing trend although with the smallest decline magnitude compared with those in the other seasons (Table 4), while ET<sub>o</sub> in winter shows an opposite increasing trend (Table 3) which can be explained by not only WS, but also  $T_{max}$  and  $T_{min}$  dominating the trend in winter ET<sub>o</sub> for this station. This conclusion also can be drawn from Table 5 (great values of  $R$  for not only WS, but  $T_{max}$  and  $T_{min}$ ).

Table 5 presents the values of evaluating indicator  $R$  for all meteorological variables on seasonal scales. All values are greater than zero, indicating that the trends in all variables have more or less effects on trends in ET<sub>o</sub>. The greater the value of  $R$ , the greater contribution of the trend in that variable to the trend in ET<sub>o</sub>. The greater values of  $R$  are in bold type in Table 5 for a better visualization and to summarize the most likely causative meteorological variables for each station in Table 6.

In spring, WS and RH are the most likely causative meteorological variables for changes of trend in ET<sub>o</sub> for

the whole basin. In hot and dry area and conditions, the humidity of the air is low and much water vapor can be stored in the air. Wind may promote the transport of water allowing more water vapor to be taken up. With the increasing WS, the air would be continuously replaced with drier air, and the driving force for water vapor removal and the ET would increase. Therefore, increasing WS and decreasing RH at U1 and L3 station in the Heihe River basin would lead to the significantly increasing trends in ET<sub>o</sub>; decreasing WS at M1–M4 and L2 stations results in decreasing ET<sub>o</sub>; decreasing WS at L1 station counterbalances the effects of decreasing RH, resulting in a slightly increasing trend in ET<sub>o</sub>. In summer and autumn,  $T_{max}$  and RH contribute more to the trends in ET<sub>o</sub> for the upper reach, while WS contributes most for the middle and lower reaches. In winter, more meteorological variables including WS,  $T_{max}$  and RH are detected to be responsible for trends in ET<sub>o</sub> in different locations and in different seasons. From the spatial perspective, WS, RH and  $T_{max}$  contribute more to the changes of ET<sub>o</sub> in the upper reach; WS is the main likely influencing factor on the trends of ET<sub>o</sub> in the middle reach, and WS and RH are the probable main factors in the lower reach.

These results are consistent with the findings from many previous studies carried out in arid or semi-arid regions. Tabari et al. (2012) found that in arid and semi-arid regions of Iran, the increasing trend of ET<sub>o</sub> was

**Table 6** | The likely causative meteorological variables for changes of ET<sub>o</sub> in Heihe River basin

| Station ID | Spring              | Summer                             | Autumn                        | Winter                                       |
|------------|---------------------|------------------------------------|-------------------------------|--|
| U1         | RH(-0.54), WS(0.61) | $T_{max}$ (0.74)                   | $T_{max}$ (0.61), WS(0.77)    | WS(0.87), $T_{max}$ (0.77)                   |
| U2         | RH(-0.63)           | $T_{max}$ (0.72), $T_{min}$ (0.17) | RH(-0.8), $T_{max}$ (0.62)    | $T_{max}$ (0.66), RH(-0.81)                  |
| U3         | WS(0.51)            | RH(-0.87), SH(0.30)                | $T_{max}$ (0.56)              | $T_{max}$ (0.65), $T_{min}$ (0.60)           |
| M1         | WS(0.40)            | WS(0.60)                           | WS(0.40)                      | RH(-0.65), $T_{max}$ (0.81)                  |
| M2         | WS(0.50)            | WS(0.65), $T_{max}$ (0.61)         | WS(0.64)                      | WS(0.56), $T_{max}$ (0.51)                   |
| M3         | WS(0.78)            | WS(0.79)                           | WS(0.86)                      | WS(0.75)                                     |
| M4         | WS(0.64)            | WS(0.55), $T_{max}$ (0.51)         | SH(0.29), RH(-0.69), WS(0.71) | $T_{max}$ (0.56), WS(0.62)                   |
| L1         | RH(-0.76), WS(0.70) | WS(0.75), RH(-0.72)                | WS(0.66), $T_{max}$ (0.31)    | WS(0.41), $T_{max}$ (0.51)                   |
| L2         | WS(0.67)            | WS(0.77)                           | WS(0.76)                      | WS(0.53), $T_{max}$ (0.44), $T_{min}$ (0.41) |
| L3         | RH(-0.77), WS(0.50) | RH(-0.82), WS(0.66)                | WS(0.70)                      | $T_{max}$ (0.60), $T_{min}$ (0.84), WS(0.62) |

Note: Values in the brackets are the correlation coefficients between ET<sub>o</sub> and the detected meteorological variable using the Spearman method.

most likely due to a significant increase in  $T_{min}$ , while a decreasing trend in  $ET_o$  was mainly caused by a significant decrease in WS; Thomas (2000) concluded that WS was the variable most strongly associated with  $ET_o$  changes in the arid regions of northwest of China. Chen *et al.* (2006) found that the decreasing WS had more influence on the decreasing  $ET_o$  in the Tibetan Plateau in China, and Wang *et al.* (2011) reported that the WS and RH were generally recognized as the main driving forces for the decreasing trends in  $ET_o$  in the plain and the mountain areas of the Haihe River basin in China.

To test the accuracy and reliability of the most likely causative meteorological variables we derived, a further investigation was carried out on the relationship between  $ET_o$  and meteorological variables (WS,  $T_{mean}$ ,  $T_{max}$ ,  $T_{min}$ , RH and SH) through calculating their correlation coefficients using the Spearman method. The main results of correlation coefficients are given in the brackets in Table 6. As can be seen, the correlations between  $ET_o$  and the detected variables are relatively high, with 37% of the coefficients (absolute values) exceeding 0.70, and 66% exceeding 0.60, suggesting that most of the detected variables have strong correlations with  $ET_o$  and therefore changes of the detected variables can be used to explain the trends in  $ET_o$  to a large extent.

There are large areas of irrigation in the middle reach of the basin and a large volume of water is used in agriculture. In recent years, less and less water flows to the lower reach, leading to the reducing of areas of vegetations and oasis, and aggravating desertification. Regional climate change and human activity (e.g. modifications of agriculture distribution and water allocation) have greatly changed the local meteorological conditions and accordingly affect the trends in  $ET_o$ . Understating the variations of  $ET_o$  in different locations and in different seasons is thus of great importance for recognizing the local hydrological processes, and more important, has meaningful indications for irrigation practice in the middle reach as well as for the protection and construction of oases in the lower reach. Since there is low vegetation coverage and serious desertification in the lower reach, the calculated  $ET_o$  based on a hypothetical reference crop may differ with the AET which reflects the actual processes of evaporation and transpiration,

therefore, investigations of AET in the study area will be pursued in future analysis based on remote sensing data.

## CONCLUSIONS

Heihe River basin is the second largest inland river basin in the arid and semi-arid northwestern region of China, where water resources are very limited and PET is very high. Investigation of variations in trends of  $ET_o$  in the Heihe River basin is critical for offering valuable information for regional hydrological and water resource management.  $ET_o$  over 10 stations for the basin from 1960 to 2010 were estimated by employing the PM method. The temporal trends in  $ET_o$  and in meteorological variables were detected with the MK test and linear trend method. Through detrending the meteorological variables and calculating the correlation coefficients between  $ET_o$  and the meteorological variables, the most likely causative meteorological variables for temporal changes of  $ET_o$  were also identified. Results showed the following. (1) On both a seasonal and annual scale, increasing trends in  $ET_o$  were found for the upper reach; both increasing and decreasing trends in  $ET_o$  were found for the middle and lower reaches. (2) Increasing trends were found in  $T_{max}$  and  $T_{min}$  for the upper reach. Decreasing trends in WS, increasing trends in  $T_{max}$  and  $T_{min}$ , and both decreasing and increasing trends in RH and SH were found for the middle reach. For the lower reach, increasing trends were observed in  $T_{max}$  and  $T_{min}$ , decreasing trends in RH, and both increasing and decreasing trends in WS and SH. (3) Solar radiation and air temperature are the main driving forces for the vaporization of water in  $ET_o$ , and WS and RH mostly determine the process of vapor removal. In spring, WS and RH were the most likely causative meteorological variables for changes of  $ET_o$  for the basin.

In summer and autumn,  $T_{max}$  and RH contributed more to the trends in  $ET_o$  for the upper reach, while WS contributed most for the middle and lower reaches. In winter, more meteorological variables including WS,  $T_{max}$  and RH were detected to be responsible for trends in  $ET_o$  in different locations. From the spatial perspective, changes of WS, RH and  $T_{max}$  caused  $ET_o$  increases in the upper reach; WS contributed most to

both increasing and decreasing trends in ET<sub>o</sub> in the middle reach, and WS and RH were the main likely influencing factors in the lower reach.

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