Higher Derivatives and Canonical Formalisms

Takao NAKAMURA and Shinji HAMAMOTO

Department of Physics, Toyama University, Toyama 930

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Path integral expressions for three canonical formalisms—Ostrogradski’s one, constrained one and generalized one—of higher-derivative theories are given. For each formalism we consider both nonsingular and singular cases. It is shown that three formalisms share the same path integral expressions. In particular it is pointed out that the generalized canonical formalism is connected with the constrained one by a canonical transformation.

§ 1. Introduction

Higher-derivative theories appear in various scenes of physics.1,2) Higher-derivate terms occur as quantum corrections; nonlocal theories, e.g., string theories, are essentially higher-derivative theories; the Einstein gravity supplemented by curvature squared terms has attracted attention because of its renormalizability.3)

A canonical formalism for higher-derivative theories was first developed by Ostrogradski about one and a half centuries ago.4) He treated only nonsingular cases, where the Hessian matrices of Lagrangians with respect to highest derivatives are nonsingular. For singular cases, Dirac’s algorithm5) for constrained Hamiltonian systems was shown to be applicable.6,7) Though being self-consistent, these formulations for nonsingular and singular cases look different from the conventional canonical formalism: highest derivatives are discriminated from lower ones, only the highest ones enjoying Legendre transformations. If we regard the original higher-derivative systems as equivalent first-derivative systems with constraints and apply Dirac’s algorithm to the latter ones, we could give the foundation of the ordinary canonical formalism to Ostrogradski’s canonical one. This program, constrained canonical formulation of higher-derivative theories, has been carried out in Refs. 6) and 8) for both nonsingular and singular cases. A generalization of the constrained canonical formalism has been discussed in Refs. 9) and 10).

In all these approaches the sets of canonical equations provided by the respective formalisms have mainly been considered, and their equivalence to the set of Euler-Lagrange equations has been shown. To go to quantum theory, however, the equivalence of the sets of equations of motion is not enough. We have to confirm the equivalence of off-shell information. That is, comparing path integral expressions of the respective formalisms is essentially important. This is the subject of the present paper. We give path integral expressions for each formalism and show they are equivalent to one another. In particular it is pointed out that the generalized canonical formalism is connected with the constrained canonical one by a canonical transformation.

In § 2, path integral expressions of Ostrogradski’s canonical formalism are given for both singular and nonsingular cases. In § 3, path integral expressions of the
constrained canonical formalism are given and it is shown that the constrained one is equivalent to Ostrogradski's one. In § 4, path integral expressions of the generalized canonical formalism are given. A further generalization of the formalism described in Refs. 9) and 10) is developed. It is shown by doing a canonical transformation that the generalized one is equivalent to Ostrogradski's. Section 5 gives a summary and discussion.

§ 2. Ostrogradski's canonical formalism

We consider a system described by a generic Lagrangian which contains up to \( n_a \)-th derivative of \( x_a(t) \) (\( a = 1, \cdots, N \))

\[
L = L(x_a, \dot{x}_a, \ddot{x}_a, \cdots, x_a^{(n_a)}) ,
\]

where

\[
x_a^{(r_a)} \equiv \frac{d^{r_a}x_a}{dt^{r_a}} \quad (r_a = 1, \cdots, n_a)
\]

The canonical formalism of Ostrogradski regards \( x_a^{(s_a)} \) (\( s_a = 1, \cdots, n_a - 1 \)) as independent coordinates \( q_a^{s_a+1} \):

\[
x_a^{(s_a)} \rightarrow q_a^{s_a+1},
\]

\[
L(x_a, \dot{x}_a, \cdots, x_a^{(n_a)}) \rightarrow L_q(q_1, \cdots, q_{s_a}, q_a^{s_a+1}).
\]

The momenta conjugate to \( q_a^{s_a} \) are defined as usual by

\[
p_a^{s_a} \equiv \frac{\partial L_q}{\partial \dot{q}_a^{s_a}}.
\]

The Hessian matrix of \( L_q \) is defined by

\[
A_{ab} \equiv \frac{\partial^2 L_q}{\partial \dot{q}_a^{s_a} \partial \dot{q}_b^{s_b}}.
\]

We say that the system is nonsingular if \( \det A_{ab} \neq 0 \), while singular if \( \det A_{ab} = 0 \).

Nonsingular case (\( \det A_{ab} \neq 0 \))

In this case, relation (5) can be inverted to give \( \dot{q}_a^{s_a} \) as functions of \( q^r (r = 1, \cdots, n) \) and \( p^n \):

\[
\dot{q}_a^{s_a} = \dot{q}_a^{s_a}(q^r, p^n).
\]

The Hamiltonian is defined by

\[
H_0 \equiv p_a^{s_a}q_a^{s_a+1} + p_a^{s_a}q_a^{s_a}(q^r, p^n) - L_q(q^r, \dot{q}^n(q^r, p^n)).
\]

It is seen that this construction of the Hamiltonian has several peculiarities from the viewpoint of the ordinary Legendre transformation:

1. What appears in Eq. (8) is just a function \( L_q(q^1, \cdots, q^n, \dot{q}^n) \) whose Euler
derivatives do not produce any meaningful equations of motion.

2. The momenta $p^s (s=1, \cdots, n-1)$ are multiplied by $q^{s+1}$ not by $\dot{q}^s$.

3. The momenta $p^s (s=1, \cdots, n-1)$ are not defined from the Lagrangian through relations like $(\partial L/\partial \dot{q}^s)$, but just introduced as independent canonical variables; only the $p^n$ enjoy special treatment, defined by Eq. (5) as usual.

Time development of the system is described by the canonical equations of motion: $\dot{q} = (\partial H_0/\partial p)$, $\dot{p} = - (\partial H_0/\partial q)$. This suggests that the path integral is given by

$$Z_0 = \int d^q q_a r^a d^p p_a r^a \exp \left\{ \int dt \left[ p^a r^a \dot{q}^a - H_0 (p^r, q^r) \right] \right\}. \quad (9)$$

At this stage we do not enter into the problem whether or not this expression can be well defined. Integrations with respect to $p_a s_a (s_a = 1, \cdots, n_a - 1)$ offer a factor $\prod_{s_a=1}^{n_a-1} \delta (\dot{q}^a s_a - q^{a s_a+1})$. We can further integrate with respect to $q_a s_{a+1}$, obtaining

$$Z_0 = \int d^q q_a r^a d^p p_a n^a \exp \left\{ \int dt \left[ p^a n^a q_a 1(n_a) - H_0 (q^1, q^{1(s)}, p^n) \right] \right\}, \quad (10)$$

where

$$H_0 (q^1, q^{1(s)}, p^n) \overset{\text{def}}{=} p^a n^a \dot{q}^a n_a (q^1, q^{1(s)}, p^n) - L_0 (q^1, q^{1(s)}, \dot{q}^a n_a (q^1, q^{1(s)}, p^n)), \quad (11)$$

$$q_a 1(s_a) \overset{\text{def}}{=} \frac{d^s a a^1}{dt^s a}. \quad (12)$$

**Singular case** ($\det A_{ab} = 0$, $\text{rank} A_{ab} = N - \rho$)

In this case, relation (5) cannot be inverted. We have $\rho$ primary constraints:

$$\phi_A (q^r, p^n) \approx 0 \quad (A=1, \cdots, \rho) \quad (13)$$

such that

$$\det (\phi_A, \phi_B) \neq 0. \quad (14)$$

By using Lagrange multipliers $\lambda_A$, we define the Hamiltonian as usual:

$$H_S (q^r, p^r) = H_S (q^r, p^r) + \lambda_A \phi_A (q^r, p^n), \quad (15)$$

where

$$H_S (q^r, p^r) \overset{\text{def}}{=} p_a s_a q_a 1(s_a + 1) + p a s_a \dot{q}^a n_a - L_0 (q^1, \dot{q}^a n_a). \quad (16)$$

Since $\det \{ \phi_A, \phi_B \} \neq 0$, the primary constraints (13) are of the second-class. The consistency of the primary constraints (13) under their time developments determines all the Lagrange multipliers $\lambda_A$. The path integral is

$$Z_0 = \int d^q q_a r^a d^p p_a r^a \det^{1/2}(\phi_A, \phi_B) \exp \left\{ \int dt \left[ p^a r^a \dot{q}^a r^a - H_S \right] \right\}. \quad (17)$$

Integrations with respect to $p_a s_a$ and $q_a s_{a+1}$ give
where
\[ \tilde{\mathcal{H}}_S(q^1, q^{1(s)}, p^n) = p_a^{n_s} \dot{q}^a - L_a(q^1, q^{1(s)}, \dot{q}^n). \] (19)

§ 3. Constrained canonical formalism

It has been seen that Ostrogradski’s formalism gives special treatment to the highest derivatives \( q_a^{n_a} \). To treat all the derivatives equally, we introduce Lagrangean multipliers \( \mu_a^{s_a} \) and start with the following Lagrangian:

\[ L_0(q^r, \dot{q}^r, \mu^a) \equiv L_a(q^1, \dot{q}^n) + \mu_a^{s_a}(\dot{q}^a - q_a^{s_a+1}). \] (20)

The conjugate momenta
\[ \pi_a^{s_a} \equiv \frac{\partial L_0}{\partial \dot{q}_a^{s_a}} = 0, \tag{21} \]
\[ \dot{p}_a^{s_a} \equiv \frac{\partial L_0}{\partial q_a^{s_a}} = \mu_a^{s_a}, \tag{22} \]
\[ \dot{p}_a^{s_a} \equiv \frac{\partial L_0}{\partial q_a^{s_a}} = \frac{\partial L_a}{\partial \dot{q}_a^{s_a}} \] (23)

provide the following primary constraints:
\[ \pi_a^{s_a} \approx 0, \tag{24} \]
\[ \phi_a^{s_a} \equiv p_a^{s_a} - \mu_a^{s_a} \approx 0. \tag{25} \]

Nonsingular case \((\det A_{ab} \neq 0)\)

In this case, relation (23) can be inverted to give \( \dot{q}_a^{n_a} \) as functions of \( q^r \) and \( p^n \):
\[ \dot{q}_a^{n_a} = \dot{q}_a^{n_a}(q^1, \dot{p}^n). \] (26)

By introducing Lagrange multipliers \( \lambda_a^{(1)s_a} \) and \( \lambda_a^{(2)s_a} \), the Hamiltonian is defined by
\[ \tilde{\mathcal{H}}_D(q^r, p^r) = \pi_a^{s_a} \dot{\mu}_a^{s_a} + \dot{p}_a^{s_a} \dot{q}_a^{s_a} - L_0 + \lambda_a^{(1)s_a} \pi_a^{s_a} + \lambda_a^{(2)s_a} \phi_a^{s_a}. \] (27)

This can be rewritten as
\[ \tilde{\mathcal{H}}_D(q^r, p^r) = H_D(q^r, p^r) + \lambda_a^{(1)s_a} \pi_a^{s_a} + \lambda_a^{(2)s_a} \phi_a^{s_a}, \] (28)

where
\[ H_D(q^r, p^r) \equiv p_a^{s_a} q_a^{s_a+1} + p_a^{n_a} \dot{q}_a^{n_a} - L_a(q^r, \dot{q}^n). \] (29)
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\[
\lambda_a^{(1)sa} \overset{\text{def}}{=} \lambda_a^{(1)sa} + \mu_a^{sa},
\] 
(30)

\[
\lambda_a^{(2)sa} \overset{\text{def}}{=} \lambda_a^{(2)sa} + \dot{q}_a^{sa} - q_a^{sa+1}.
\] 
(31)

The Poisson brackets between the primary constraints (24) and (25) are

\[
\{\pi_a^{sa}, \varphi_b^{sb}\}_P = \delta_{ab}\delta_{sasb},
\] 
(32)
otherwise = 0.

Thus, these primary constraints are of the second class. The path integral is

\[
Z_\nu = \int \mathcal{D}q^a \mathcal{D}p^a \mathcal{D}q^0 \mathcal{D}p^0 \pi_a^{sa} \delta(\pi^a) \delta(\varphi^a) \exp i \int dt [p_a^{sa} \dot{q}_a^{sa} + \pi_a^{sa} \mu_a^{sa} - H_0].
\] 
(33)

Integrations with respect to \(\pi_a^{sa}\) and \(\mu_a^{sa}\) give

\[
Z_\nu = \int \mathcal{D}q^a \mathcal{D}p^a \exp i \int dt [p_a^{sa}(\dot{q}_a^{sa} - q_a^{sa+1}) + p_a^{sa}(\dot{q}_a^{sa} - q_a^{sa}(q^r, p^n)) + L_a].
\] 
(34)

We can further integrate with respect to \(p_a^{sa}\) and \(q_a^{sa+1}\), obtaining

\[
Z_\nu = \int \mathcal{D}q^a \mathcal{D}q^1 \mathcal{D}p_a^{na} \exp i \int dt [p_a^{na} \dot{q}_a^{na}(q^1, q^{1(s)}, p^n)] - L_a(q^1, q^{1(s)}, q^n(q^1, q^{1(s)}, p^n)).
\] 
(35)

This shows that the path integral \(Z_\nu\) is the same as \(Z_0\) given by Eq. (10).

**Singular case** (\(\det A_{ab} = 0, \text{rank} A_{ab} = N - \rho\))

In this case, relation (23) provides \(\rho\) additional constraints besides (24) and (25):

\[
\phi_A(q^r, p^n) = 0 (A = 1, \cdots, \rho)
\] 
(37)

such that

\[
\det(\phi_A, \varphi_B) = 0.
\] 
(38)

By using Lagrange multipliers \(\lambda_A, \lambda_a^{(1)sa}\) and \(\lambda_a^{(2)sa}\), the Hamiltonian is defined by

\[
\tilde{H}_0(q^r, p^r) = H_0(q^r, p^r) + \lambda a^{(1)sa} \pi_a^{sa} + \lambda a^{(2)sa} \varphi_a^{sa} + \lambda A \phi_A,
\] 
(39)

where

\[
\tilde{H}_0(q^r, p^r) \overset{\text{def}}{=} p_a^{sa} q_a^{sa+1} + p_a^{sa} \dot{q}_a^{sa} - L_a(q^r, \dot{q}^r).
\] 
(40)

The Poisson brackets between the primary constraints are

\[
\{\pi_a^{sa}, \varphi_b^{sb}\}_P = \delta_{ab}\delta_{sasb},
\] 
(41)

\[
\{\varphi_a^{sa}, \varphi_b^{sb}\}_P = -\frac{\partial \phi_B}{\partial q_a^{sa}}.
\] 
(42)
\( \{ \phi_A, \phi_B \} \underset{\text{def}}{=} C_{AB}, \) \hspace{1cm} (43)

otherwise = 0.

All the constraints \( \Phi_a \equiv (\pi_a^{sa}, \psi_a^{sa}, \phi_A) \) from a set of second-class constraints because the determinant of the matrix \( ( (\Phi_a, \Phi_b) ) \) is non-zero:

\[ \det(\Phi_a, \Phi_b) = \det C_{AB} \neq 0. \] \hspace{1cm} (44)

The consistency of these constraints under their time developments fixes all the Lagrange multipliers. The path integral is

\[ Z_{\text{Ds}} = \int \mathcal{D} q_a^{sa} \mathcal{D} p_a^{sa} \mathcal{D} \mu_a^{sa} \mathcal{D} \pi_a^{sa} \det^{1/2} C_{AB} \delta(\pi_a^{sa}) \delta(\psi_a^{sa}) \delta(\phi_A) \]

\[ \times \exp i \int dt [p_a^{sa} \dot{q}_a^{sa} + \pi_a^{sa} \dot{\pi}_a^{sa} - H_{\text{Ds}}]. \] \hspace{1cm} (45)

Integrations with respect to \( \mu_a^{sa}, \pi_a^{sa}, p_a^{sa} \) and \( q_a^{sa+1} \) give

\[ Z_{\text{Ds}} = \int \mathcal{D} q_a^{sa} \mathcal{D} p_a^{sa} \det^{1/2} C_{AB} \delta(\phi_A) \exp i \int dt [p_a^{na} Q_a^{(na)} - H_{\text{Ds}}(q^1, q^{1(s)}, p^n)], \] \hspace{1cm} (46)

where

\[ H_{\text{Ds}}(q^1, q^{1(s)}, p^n) \underset{\text{def}}{=} p_a^{na} \dot{q}_a^{na} - L_a(q^1, q^{1(s)}, \dot{q}^n). \] \hspace{1cm} (47)

This shows that the path integral \( Z_{\text{Ds}} \) is the same as \( Z_{\text{Bs}} \) given by (18).

\section{4. Generalized canonical formalism}

In this section we consider a further generalization of the formalism described in Refs. 9) and 10).

We regard \( x_a^{(sa)} \) and \( x_a^{(na)} \) as independent coordinates \( q_a^{sa+1} \) and \( p_a \) respectively:

\[ x_a^{(sa)} \rightarrow q_a^{sa+1}, \] \hspace{1cm} (48)

\[ x_a^{(na)} \rightarrow p_a, \] \hspace{1cm} (49)

\[ L(x, \dot{x}, \ddot{x}, \ldots, x^{(n)}) \rightarrow L_a(q^1, \ldots, q^n, p). \] \hspace{1cm} (50)

The other generalized coordinates \( Q_a^{ra} \) are introduced as arbitrary functions of \( q^r \):

\[ Q_a^{ra} = Q_a^{ra}(q^r) \] \hspace{1cm} (51)

such that

\[ \det \frac{\partial Q_a^{ra}}{\partial q_a^{ra}} \neq 0. \] \hspace{1cm} (52)

Equation (51) can be inverted to give \( q^r \) as functions of \( Q^r \):

\[ q_a^{ra} = q_a^{ra}(Q^r). \] \hspace{1cm} (53)

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Defferentiating Eqs. (51) and (53) with respect to time gives

\[ \dot{q}_a^r = \dot{q}_b^r \frac{\partial q_a^r(Q^r)}{\partial q_b^r} \tag{54} \]

\[ \ddot{q}_a^r = \ddot{q}_b^r \frac{\partial q_a^r(\dot{q}^r)}{\partial q_b^r}. \tag{55} \]

We introduce new variables defined by

\[ V_a = q_b^{s_a+1} \frac{\partial Q_a^{n_a}}{\partial q_b^{s_a}} + v_b \frac{\partial Q_a^{n_a}}{\partial q_b^{n_b}}, \tag{56} \]

where we assume that the \( Q_a^{n_a} \) satisfy

\[ \text{det} \frac{\partial Q_b^{n_b}}{\partial q_a^{n_a}} \neq 0. \tag{57} \]

Equation (56) can be inverted with respect to \( v \) as

\[ v_a = \left( \frac{\partial Q_b^{n_b}}{\partial q_a^{n_a}} \right)^{-1} \left( V_b - q_c^{s_c+1} \frac{\partial Q_b^{n_b}}{\partial q_c^{s_c}} \right). \tag{58} \]

Functions \( \vec{Q}_a^{s_a} \) are defined by

\[ \vec{Q}_a^{s_a} \stackrel{\text{def}}{=} \left( q_b^{s_a+1} \frac{\partial Q_a^{s_a}}{\partial q_b^{s_a}} + v_b \frac{\partial Q_a^{s_a}}{\partial q_b^{n_b}} \right)_{q = q(Q), v}. \tag{59} \]

We introduce Lagrange multipliers \( M_a^r \) and start from the following generalized Lagrangian:

\[ L_c(Q^r, \dot{Q}^r, V, M^r) = L_\theta(Q^r, V) + M_a^{s_a}(\dot{Q}_a^{s_a} - \vec{Q}_a^{s_a}) + M_a^{n_a}(\dot{Q}_a^{n_a} - V_a), \tag{60} \]

where

\[ L_\theta(q^r, V) \stackrel{\text{def}}{=} L_\theta(q^r, v)|_{q = q(Q), v}. \tag{61} \]

Here it is interesting to consider a special case of the generalized Lagrangian. Choose

\[ Q' = q^r, \quad V = v. \tag{62} \]

Then the Lagrangian (60) is reduced to

\[ L_\theta(q^r, \dot{q}^r, v, \mu^r) = L_\theta(q^r, v) + \mu_a^{s_a}(\dot{q}_a^{s_a} - q_a^{s_a+1}) + \mu_a^{n_a}(\dot{q}_a^{n_a} - v_a). \tag{63} \]

This Lagrangian is similar to the Lagrangian (20), except for term containing the variables \( v \). The equivalence between the two Lagrangians is proved later.

For the Lagrangian (60) the conjugate momenta

\[ \Pi_a^r \stackrel{\text{def}}{=} \frac{\partial L_\theta}{\partial \dot{M}_a^r} = 0, \tag{64} \]
provide the following primary constraints:

\[
\Pi^r_s \equiv 0, 
\]

\[
\Psi^{rs}_a \equiv P^{rs}_a - M^{rs}_a \approx 0, 
\]

\[
\Theta_a \approx 0. 
\]

The consistency of the primary constraints under their time developments produces a secondary constraint:

\[
\Gamma_a \overset{\text{def}}{=} - P^s_b \frac{\partial Q^a_s}{\partial V_b} - P^a_n + \frac{\partial L_q}{\partial V_a}. 
\]

By introducing Lagrange multipliers \( \Lambda_a^{(1)rs} \), \( \Lambda_a^{(2)rs} \), \( \Lambda_a^{(3)} \) and \( \Lambda_a^{(4)} \), the Hamiltonian is given by

\[
\widehat{H}_G = H_G(Q^r, P^r, V) + \Lambda_a^{(1)rs} \Pi^r_s + \Lambda_a^{(2)rs} \Psi^{rs}_a + \Lambda_a^{(3)} \Theta_a + \Lambda_a^{(4)} \Gamma_a, 
\]

where

\[
H_G(Q^r, P^r, V) \overset{\text{def}}{=} P^s_a Q^a_s + P^a_n V_a - L_q(Q^r, V). 
\]

The Poisson brackets between the constraints are

\[
\{ \Pi^r_s, \Psi^{rs}_b \}_p = \delta_{ab} \delta_{rs} \pi^r_b, 
\]

\[
\{ \Psi^{rs}_a, \Gamma_b \}_p = P^s_c \frac{\partial^2 Q^c_s}{\partial Q^r_a \partial V_b} - \frac{\partial^2 L_q}{\partial Q^r_a \partial V_b}, 
\]

\[
\{ \Theta_a, \Gamma_b \}_p = - \frac{\partial^2 L_q}{\partial V_a \partial V_b}, 
\]

\[
\{ \Gamma_a, \Gamma_b \}_p = C_{ab}, 
\]

otherwise = 0.

All the constraints \( \Sigma_a^{\text{def}} \overset{\text{def}}{=} (\Pi^r_s, \Psi^{rs}_a, \Theta_a, \Gamma_a) \) give for the determinant of the matrix \( \{ \Sigma_a, \Sigma_b \}_p \)

\[
\det\{ \Sigma_a, \Sigma_b \}_p = \det^2 \frac{\partial^2 L_q}{\partial V_a \partial V_b}. 
\]

Therefore we find that if
then the system is nonsingular; on the other hand if
\[ \det \frac{\partial^2 L}{\partial V_a \partial V_b} = 0 , \]
then it is singular.

**Nonsingular case**

In this case, constraints (67)~(70) are of the second-class. Thus the consistency of the constraints under their time developments fixes all the Lagrange multipliers. The path integral is
\[
Z_a = \int D Q a r e D P_a r e D M_a r e D \Pi_a r e D V_a D \Theta_a \delta(\Pi') \delta(\Psi') \delta(\Theta) \delta(\Gamma) \det \frac{\partial^2 L_a}{\partial V_a \partial V_b} \\
\times \exp \int dt [P_a r e \dot{Q}_a r e + \Pi_a r e \dot{M}_a r e + \Theta_a \dot{V}_a - H_0] .
\]
Integrations with respect to \( \Pi' \), \( M' \) and \( \Theta \) give
\[
Z_a = \int D Q a r e D P_a r e D V_a \delta(\Gamma_a(Q', P', V)) \det \frac{\partial^2 L_a}{\partial V_a \partial V_b} \\
\times \exp \int dt [P_a s e (\dot{Q}_a s e - \ddot{Q}_a s e) + P_a n e (\dot{Q}_a n e - V_a) + L_a] .
\]

**Singular case**

In this case, we have extra constraints in addition to (67)~(70):
\[ \Omega_a(Q', P^s, V) \approx 0 . \] (82)
Then by introducing Lagrange multipliers \( \Lambda_a^{(1)} r e , \Lambda_a^{(2)} r e , \Lambda_a^{(3)} , \Lambda_a^{(4)} \) and \( \Lambda_a^{(5)} \), the Hamiltonian is given by
\[ \bar{H}_{de} = H_0(Q', P', V) + \Lambda_a^{(1)} r e \Pi_a r e + \Lambda_a^{(2)} r e \Psi_a r e \]
\[ + \Lambda_a^{(3)} \Theta_a + \Lambda_a^{(4)} \Gamma_a + \Lambda_a^{(5)} \Omega_a . \] (83)
The Poisson brackets between the constraints are
\[ \{ \Pi_a r e , \Psi_a r e \} = \delta_{ab} \delta_{ra r e} , \] (84)
\[ \{ \Psi_a r e , \Gamma_b \} = P_c r e \frac{\partial}{\partial Q_a r e} \frac{\partial^2 \bar{Q}_c s e}{\partial V_b} - \frac{\partial^2 L_a}{\partial Q_a r e \partial V_b} , \] (85)
\[ \{ \Theta_a , \Gamma_b \} = - \frac{\partial^2 L_a}{\partial V_a \partial V_b} , \] (86)
\[ \{ \Gamma_a , \Gamma_b \} \overset{\text{def}}{=} C_{ab} , \] (87)
\[ \{ \Psi_a r e , \Omega_a \} = - \frac{\partial \Omega_a}{\partial Q_a r e} , \] (88)
\[
\{\Theta_a, \Omega_\lambda\}_p = -\frac{\partial \Omega_\lambda}{\partial V_a}, \quad (89)
\]
\[
\{\Gamma_a, \Omega_\lambda\}_p = \left( -P_b b + \frac{\partial^2 Q_b b}{\partial Q_c \partial V_a} + \frac{\partial^2 L_0}{\partial Q_c \partial V_a} \right) \frac{\partial \Omega_\lambda}{\partial P_c c} + \frac{\partial \Omega_\lambda}{\partial V_a} \frac{\partial \Omega_\lambda}{\partial Q_c c} + \frac{\partial \Omega_\lambda}{\partial Q_a a}, \quad (90)
\]
\[
\{\Omega_\lambda, \Omega_b\}_p \equiv D_{AB}, \quad (91)
\]
otherwise = 0.

For all the constraints \(\Sigma_a^{(s)} \equiv (\Pi_a a, \Phi_a a, \Theta_a, \Gamma_a, \Omega_\lambda)\), the determinant of the matrix \(\{\Sigma_a^{(s)}, \Sigma_b^{(s)}\}_p\) is
\[
\text{det}(\Sigma_a^{(s)}, \Sigma_b^{(s)})_p = \text{det} \begin{bmatrix}
0 & -\frac{\partial^2 L_0}{\partial V_a \partial V_b} & -\frac{\partial \Omega_\lambda}{\partial V_a} \\
\frac{\partial^2 L_0}{\partial V_a \partial V_b} & C_{ab} & \{\Gamma_a, \Omega_b\}_p \\
\frac{\partial \Omega_\lambda}{\partial V_b} & \{\Omega_\lambda, \Gamma_b\}_p & D_{AB}
\end{bmatrix}. \quad (92)
\]
If this determinant is nonzero, we assume this is the case, then all the constraints are of the second class and all the Lagrange multipliers are fixed. The path integral is
\[
Z_G = \int Q^r \int P^r \int M^r \int \Pi^r \int V \int \Theta \delta(\Pi^r) \delta(\Phi^r) \delta(\Gamma) \delta(\Theta) \delta(\Omega_\lambda) \text{det}^{1/2}(\Sigma_a^{(s)}, \Sigma_b^{(s)})_p \\
\times \exp i \int dt \left[ P_a^{\mu a} \dot{Q}_a^{\mu} + \Pi_a^{\mu a} \dot{M}_a^{\mu} + \Theta_a V_a - H_c \right]. \quad (93)
\]
Integrations with respect to \(\Pi^r, M^r\) and \(\Theta\) give
\[
Z_G = \int Q^r \int P^r \int V \gamma(\Gamma) \delta(\Omega_\lambda) \text{det}^{1/2}(\Sigma_a^{(s)}, \Sigma_b^{(s)})_p \\
\times \exp i \int dt \left[ P_a^{\mu a}(\dot{Q}_a^{\mu a} - \ddot{Q}_a^{\mu a}) + P_a^{\mu a}(\dot{Q}_a^{\mu a} - V_a) + L_0(Q, V) \right]. \quad (94)
\]
Next, we consider the relations between the path integral expressions \(Z_0 (35)\) and \(Z_G (81)\) (or \(Z_{0s} (46)\) and \(Z_{Gs} (94)\)). In fact, these are shown to be connected with each other through a canonical transformation.
Consider a canonical transformation \((q, p) \rightarrow (Q, P)\). The generating function has the form
\[
F(Q, p) = p_a^{\mu a} q_a^{\mu a}(Q^r) \quad (95)
\]
and gives
\[
q_a^{\mu a} = \frac{\partial F}{\partial p_a^{\mu a}} = q_a^{\mu a}(Q^r), \quad (96)
\]
\[
P_a^{\mu a} = \frac{\partial F}{\partial Q_a^{\mu a}} = p_a^{\mu a} \frac{\partial q_a^{\mu a}(Q^r)}{\partial Q_a^{\mu a}} . \quad (97)
\]
Equations (96) and (97) can be inverted to give

\[ Q_a^{ra} = Q_a^{ra}(q^r), \]
\[ p_a^{ra} = p_b^{ra} \frac{\partial Q_b^{ra}(q^r)}{\partial q_a^{ra}}. \]

**Nonsingular case**

We start with the Lagrangian \( L_a (63) \). The conjugate momenta

\[ \pi_a^{ra} \equiv \frac{\partial L_a}{\partial \dot{q}_a^{ra}} = 0, \]
\[ \dot{p}_a^{ra} \equiv \frac{\partial L_a}{\partial q_a^{ra}} = \mu_a^{ra}, \]
\[ \dot{\theta}_a \equiv \frac{\partial L_a}{\partial \dot{v}_a} = 0 \]

provide the following primary constraints:

\[ \pi_a^{ra} \approx 0, \]
\[ \phi_a^{ra} \equiv p_a^{ra} - \mu_a^{ra} \approx 0, \]
\[ \theta_a \approx 0. \]

We get the following secondary constraints:

\[ \gamma_a \equiv -p_a^{n_a} + \frac{\partial L_a}{\partial \dot{v}_a}. \]

By introducing Lagrange multipliers \( \lambda_a^{(1)ra} \), \( \lambda_a^{(2)ra} \), \( \lambda_a^{(3)} \) and \( \lambda_a^{(4)} \), the Hamiltonian is given by

\[ H_a = H_a(q^r, p^r) + \lambda_a^{(1)ra} \pi_a^{ra} + \lambda_a^{(2)ra} \phi_a^{ra} + \lambda_a^{(3)} \theta_a + \lambda_a^{(4)} \gamma_a, \]

where

\[ H_a(q^r, p^r) \equiv p_a^{n_a} q_a^{s_a+1} + p_a^{n_a} v_a - L_a(q, v). \]

For all the constraints \( \sigma_a \equiv (\pi_a^{ra}, \phi_a^{ra}, \theta_a, \gamma_a) \), the determinant of the matrix \( (\sigma_a, \sigma_b) \) is

\[ \det\{\sigma_a, \sigma_b\} = \det^2 \frac{\partial^2 L_a}{\partial \dot{v}_a \partial \dot{v}_b}. \]

If this determinant is nonzero, then all the Lagrange multipliers are determined. The path integral is

\[ Z_a = \int \mathcal{D}q^{ra} \mathcal{D}p^{ra} \mathcal{D} \mu_a^{ra} \mathcal{D} \pi_a^{ra} \mathcal{D}v_a \mathcal{D} \theta_a \delta(\pi^r) \delta(\phi^r) \delta(\theta) \delta(\gamma) \det \frac{\partial^2 L_a}{\partial \dot{v}_a \partial \dot{v}_b}. \]
Integrations with respect to $\pi^r, \mu^r$ and $\theta$ give

\[
Z_a = \int Dq_a^r Dp_a^r Dv_a \delta(\gamma_a) \det -\frac{\partial^2 L_a}{\partial v_a \partial v_b} \times \exp \int dt \left[p_a^{ns}(\dot{q}_a^{sa} - q_a^{sa+1}) + p_a^{ns}(\dot{q}_a^{na} - v_a) + L_a \right].
\]

We can further integrate with respect to $p^s, q^{s+1}$ and $v$, obtaining

\[
Z_a = \int Dq_a Dp_a^{ns} \exp \int dt \left[p_a^{ns}q_a^{1(ns)} - \tilde{H}_a(q^1, q^{1(s)}, p^n) \right],
\]

where

\[
\tilde{H}_a(q^1, q^{1(s)}, p^n) \overset{\text{def}}{=} p_a^{ns}v_a(q^1, q^{1(s)}, p^n) - L_a(q^1, q^{1(s)}, v(q^1, q^{1(s)}, p^n)).
\]

Putting $v_a = \dot{q}_a^{sa}$ in this equation shows that the path integral $Z_a$ is the same as $Z_0$ given by (10) (and also $Z_0$ in (35)).

Next, by doing the canonical transformation generated by $F$ in (95), we show that the path integral $Z_a$ is equivalent to $Z_c$ given by (81). Referring to Eqs. (96)～(99) and (58), the following relation is inserted into $Z_a$ in Eq. (111):

\[
\int DQ_a^r Dp_a^r DQ_b^r Dp_b^r \delta(q_a^r - q_a^r(Q^r)) \delta(p_a^r - p_b^r) \frac{\partial^2 Q_a^r}{\partial q_a^r} \frac{\partial^2 L_a}{\partial v_a \partial v_b} \times \exp \int dt \left[p_a^{ns}(\dot{q}_a^{sa} - q_a^{sa+1}) + p_a^{ns}(\dot{q}_a^{na} - v_a) + L_a \right].
\]

Integrations with respect to $q^r, p^r$ and $v$ give

\[
Z_a = \int DQ_a^r Dp_a^r DQ_b^r Dp_b^r DQ_c^r Dp_c^r Dv_a \left[\delta\left(\frac{\partial L_a}{\partial v_a} - P_a^{ns}\frac{\partial Q_b^r}{\partial q_a^r}\right) \frac{\partial^2 L_a}{\partial v_a \partial v_b} \frac{\partial^2 L_a}{\partial q_a^r} \right]^{q_a^r=\gamma_a(Q)} \times \exp \int dt \left[P_b^{ns} \frac{\partial Q_b^r}{\partial q_a^r} \dot{Q}_c^r - P_c^{ns} \frac{\partial Q_c^r}{\partial q_b^s} q_b^{s+1}(Q) - P_c^{ns} \frac{\partial Q_c^r}{\partial q_b^s} v_b(Q^r, V) + L_a \right].
\]

By using (56), (59) and the relations
\[
\delta(\gamma_a(q^r, p^s, v))=\delta(\gamma_a(q^r, p^s, v))=\frac{\partial q_a^{ns}}{\partial q_b^{ns}} \delta(A)
\]

(117)

\[
\text{det} \frac{\partial^2 L_q}{\partial v_a \partial v_b} = \text{det} \left( \frac{\partial^2 q_a^{ns}}{\partial q_b^{ns}} \right) \text{det} \frac{\partial^2 L_q}{\partial V_a \partial V_b},
\]

(118)

we obtain

\[
Z_0 = \int \mathcal{D} q_a^{rs} \mathcal{D} p_a^{rs} \mathcal{D} V_a \mathcal{D} \omega_a \delta(T_a) \delta(\lambda) \exp \int dt [P_a \frac{\partial q_a^{ns}}{\partial q_b^{ns}} - V_a] + L_a].
\]

(119)

This shows that

\[
Z_0 = Z_0 = Z_0 = Z_0.
\]

(120)

We have found that the generalized canonical formalism is equivalent to Ostrogradski's one and these two formalisms are connected by a canonical transformation.

Singular case

First, we show the equivalence between the path integrals \(Z_{gs}\) given by (46) and \(Z_{gs}\) constructed from the Lagrangian \(L_a\) in (63). In this case, we choose, without loss of generality, for extra constraints the following form:

\[
\omega_A(q^r, p^r, v) \equiv \frac{\partial q_A^{ns}}{\partial q_A^{ns}} \Omega_a(Q^r, P^s, V) \approx 0.
\]

(121)

By introducing additional multipliers \(\lambda_a^{(5)}\), the Hamiltonian is given by

\[
\tilde{H}_{gs} = H_o(q^r, p^r) + \lambda_a^{(1)} \pi_a^{rs} + \lambda_a^{(2)} \phi_a^{rs} + \lambda_a^{(3)} \theta_a + \lambda_a^{(4)} \gamma_a + \lambda_a^{(5)} \omega_A.
\]

(122)

All the constraints \(\sigma_a^{(5)} = (\pi_a^{rs}, \phi_a^{rs}, \theta_a, \gamma_a, \omega_A)\) give for the determinant of the matrix \((\sigma_a^{(5)}, \sigma_b^{(5)})\)

\[
\det(\sigma_a^{(5)}, \sigma_b^{(5)}) \equiv \begin{vmatrix}
0 & \frac{\partial^2 L_q}{\partial v_a \partial v_b} & \frac{\partial \omega_A}{\partial v_a} \\
\frac{\partial^2 L_q}{\partial v_a \partial v_b} & \{\gamma_a, \gamma_b\}_P & \{\gamma_a, \omega_b\}_P \\
\frac{\partial \omega_A}{\partial v_b} & \{\omega_A, \gamma_b\}_P & \{\omega_A, \omega_b\}_P
\end{vmatrix}
\]

(123)

If this is nonzero, all the Lagrange multipliers are determined. The path integral is given by

\[
Z_{gs} = \int \mathcal{D} q_a^{rs} \mathcal{D} p_a^{rs} \mathcal{D} \mu_a^{rs} \mathcal{D} \pi_a^{rs} \mathcal{D} \mu_a^{rs} \mathcal{D} \theta_a \mathcal{D} (\pi^r) \mathcal{D} (\phi^r) \mathcal{D} (\gamma) \mathcal{D} (\omega_A) \text{det}^{1/2} \{\sigma_a^{(5)}, \sigma_b^{(5)}\}_P
\]

\[
\times \exp \int dt [P_a \frac{\partial q_a^{rs}}{\partial q_b^{rs}} + \pi_a^{rs} \mu_a^{rs} + \theta_a \dot{\nu}_a - H_a].
\]

(124)

Integrations with respect to \(\pi^r, \mu^r\) and \(\theta\) give
Here, we consider the matrix \( \left( \{ \sigma^{(s)}_a, \sigma^{(s)}_b \} \right) \). We change this into a form which can be integrated with respect to \( v \). The assumption that the determinant of this matrix is nonzero means

\[
\text{rank} \frac{\partial \omega_B}{\partial v_a} = \rho.
\]  

(126)

In the matrix

\[
\left( \frac{\partial^2 L_q}{\partial v_a \partial v_b} \right) = \frac{\partial}{\partial v_a} \left( \gamma_b, \omega_A \right),
\]  

(127)

we select \( \gamma_a (\xi = \rho + 1, \ldots, N) \) which satisfy

\[
\det \left( \frac{\partial (\gamma_a, \omega_A)}{\partial v_a} \right) = 0,
\]  

(128)

to define as \( Z_a(q^r, p^r) \equiv (\gamma_a, \omega_A) \). The determinant of the matrix (123) is reduced to

\[
\det \{ \sigma^{(s)}_a, \sigma^{(s)}_b \} = \det \left( \frac{\partial \Xi_a}{\partial v_b} \right) \det (\gamma_s, \gamma_s)_p.
\]  

(129)

Then the path integral (125) is given by

\[
Z_{gs} = \int D q^{r_a} D p^{r_a} D v_a \delta (\gamma_s(q^r, p^r, v)) \delta (\omega_s(q^r, p^r, v)) \det^{1/2} \{ \sigma^{(s)}_a, \sigma^{(s)}_b \}_p
\]

\[
\times \exp \int dt \left[ p^{r_a} \left( q^{s-a} - q^{r_a} + p^{r_a} + L_a(q, v) \right) \right].
\]  

(130)

Integrations with respect to \( v, p^s \) and \( q^{s+1} \) give

\[
Z_{gs} = \int D q^{a} D p^{a} D v_a \delta (\gamma_s) \det^{1/2} (\gamma_s, \gamma_s)_p \exp \int dt \left[ p^{a} q^{a1(s)} - \tilde{H}_{gs} \right],
\]  

(131)

where

\[
\tilde{H}_{gs} \equiv p^{a} v_a - L_a(\varphi^1, q^{1(s)}, v).
\]  

(132)

Putting \( \gamma_s = \phi_A \), we have arrived at the same expression as \( Z_{gs} \) in (46).

The next task is canonical transformation. Since the exponent in (94) is the same as in Eq. (81), we insert Eq. (114) into the expression (125) and integrate with respect to \( q^r, p^r \) and \( v \) to obtain

\[
Z_{gs} = \int D Q^r D P^r D V \delta (\omega_s) \delta (\omega_s) \det^{1/2} \{ \sigma^{(s)}_a, \sigma^{(s)}_b \}_p
\]

\[
\times \det \left( \frac{\partial Q^a}{\partial q_a} \right) \left|_{q = q(Q), p = P(Q, q), v = w(Q, v)} ^{-1} \right.
\]  

(133)
By using the relations

\begin{align*}
\delta(\gamma_a)|_{q=q(Q),p=p(Q),v=v(Q)} &= \delta(\Gamma_a)\det^{-1}_{q=q(Q)}, \\
\delta(\omega_a)|_{q=q(Q),p=p(Q),v=v(Q)} &= \delta(\Omega_a)\det^{-1}_{q=q(Q)}, \\
\det(\sigma_{a(s)}, \sigma_{b(s)})_p &= \det(\frac{\partial Q_a}{\partial q^a})_p \det(\frac{\partial Q_A}{\partial q^A})_p \det(\Sigma_{a(s)}, \Sigma_{b(s)})_p,
\end{align*}

we obtain

\begin{align*}
Z_{gs} &= \int \mathcal{D}Q^a \mathcal{D}P^a \mathcal{D}V \delta(\Gamma_a) \delta(\Omega_a) \det^{1/2}(\Sigma_{a(s)}, \Sigma_{b(s)})_p \\
&\times \exp i \int dt [P_a^a(\dot{Q}_a^a - \dot{Q}_a^a) + P_a^a(\dot{Q}_a^a - V_a) + L_a].
\end{align*}

This shows

\begin{equation}
Z_{gs} = Z_{os} = Z_{ns} = Z_{gs}. \quad (138)
\end{equation}

The path integrals $Z_{gs}$ and $Z_{gs}$ are connected with each other by the canonical transformation generated by $F$ in (95).

### § 5. Summary and discussion

In the present paper we have given path integral expressions for three canonical formalisms of higher-derivative theories. For each formalism we have considered both nonsingular and singular cases. It has been shown that three formalisms share the same path integral expressions. In particular it has been pointed out that the generalized canonical formalism is canonically transformed from the constrained canonical one.

Here we have to mention some crucial properties involved in higher-derivative theories. The Hamiltonian is unbounded from below in general; unitarity is violated; whether stable vacuum can be well defined is problematic. This means we should seriously consider how to define path integral. Leaving these problems to the future investigation, we have just assumed in this paper that stable lowest state can be defined, and the path integral can be written as usual by the use of a time development operator, the Hamiltonian.

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