A 5-Year Implant Follow-Up in Maxillary and Mandibular Horizontal Osseous Onlay Grafts and Native Bone

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The purpose of this study is to analyze marginal bone levels (MBLs) around dental implants positioned in the upper and lower jaw with or without horizontal onlay grafting procedures, and to survey implant survival with a follow-up of 5 years. Seventeen patients were surveyed in the present retrospective chart review. A total of 27 dental implants positioned in pristine bone and 21 in horizontally grafted bone were enrolled. MBLs were recorded for 4 aspects during a radiologic survey of 5 years. Significant differences were searched for among times and surgical procedures with paired and unpaired comparison tests, respectively, and survival rates were calculated for the 2 groups.

In the maxilla, no statistically-significant differences between pristine and augmented groups were obtained; the time comparisons for pristine implants showed an affection of palatal, mesial, and distal sides, whereas the resorption around implants placed into horizontally grafted bone of anterior maxilla seemed to be limited just to buccal and distal aspects. Comparisons with the pristine horizontal procedure revealed that just the buccal sides were involved. The analysis of time comparisons attested to a continuous alveolar bone remodeling during the entire time of the survey for the pristine group. Given the extremely unfavorable resorption at the buccal aspect of the augmented areas, clinicians should reserve dental implant positioning into horizontal bone graft to selected patients, in whom it may represent one of the last opportunities of rehabilitation with a fixed prosthesis.

Key Words: autologous bone graft, marginal bone resorption, dental implants, horizontal augmentation techniques, bone remodeling

INTRODUCTION

When residual bone volume is not adequate for prosthetic rehabilitation through osseointegrated dental implants, a bone augmentation is required. Among the different surgical procedures for prosthetic rehabilitation, 1–5 a nonvascularized autogenous grafting procedure for alveolar bone augmentation is a reliable and well-established technique, which is capable of yielding a high implant survival rate (93.4–94.4%), as obtained from long-term surveys. 6–10

It had generally been proven that peri-implant marginal bone remodeling is very exiguous for dental implants placed in pristine bone. 11,12 Nevertheless, evidence-based results describing marginal bone loss for reconstructed alveolar bone by horizontal autogenous grafting procedure have been scanty. As described in a recent review by Esposito, 13 the 2 trials evaluating aspects for horizontal bone augmentation had sample sizes, which were too small to provide any reliable evidence, whereas several trials regarding vertical ridge augmentation have been conducted. A few studies regarding horizontal augmentation procedures have been performed, but using different grafting materials and generally having a short observation time. 14–17

In the planning of dental implant placements in reconstructed alveolar bone with autografts, the unfavorable resorption in the 4 peri-implant aspects (as seen by means of 3-dimensional analysis), which could jeopardize implant survival, should be considered.

The primary aim of this retrospective chart review is to evaluate long-term marginal linear remodeling of bone around dental implants over time (at the 1-, 3-, and 5-year follow-up) for both implants in pristine bone and in horizontally-augmented bone; then to compare linear bone remodeling around dental implants placed in pristine bone of the maxilla and mandible versus that recorded for horizontally-augmented areas.

The secondary aim is to investigate the survival of dental implants in the pristine bone and augmented groups.

Patients and Methods

Seventeen patients were treated from January 2000 to December 2006. The patients’ personal information, such as
age (years), gender, and that relating to the type of grafting and implant procedure (augmentation with autogenous bone, and number, location, and size of dental implants), subsequent surgical procedures, and the numbers and time points of performed CT scans, were reviewed.

The patient group consisted of 8 women and 9 men, ranging in age from 37.5 to 74.2 years (mean 53.4 ± 9.6 years). All patients were partially-edentulous with severe alveolar crest atrophy. Edentulous areas resulted from tooth loss, mainly due to periodontal disease or trauma.

Inclusion criteria: (1) functional rehabilitation with fixed prosthetic restoration supported by dental implants placed in the upper or lower jaw in pristine- or augmented-alveolar crest area with horizontally-positioned autogenous bone; (2) a complete set of computerized tomography (CT) scan data, obtained for the graft area before the bone augmentation procedure, and then for all patients at the following time-points: before dental implant placement (T0) and at 1-, 3-, and 5-years (T3) after dental implant insertion.

Exclusion criteria: (1) patients subjected to surgical treatments different from that described above; (2) irradiated subjects; (3) patients who had received bone resection as part of an oncological treatment; and (4) intravenous and or oral bisphosphonate therapy.

This is a retrospective chart review, so the criteria for the enrollment of patients could possibly generate a bias. For a tilted dental implant, the real vertical bone resorption of the alveolar ridge could be less extensive than the MBLs measured along the direction of the implant.

The present retrospective chart review was exempt from IRB approval, and was approved by the Scientific Ethics Committee of the University of Pisa (Ethical Approval Form 2626/2008, Protocol Number 58183).

Surgery

Surgery was performed as already reported by Sbordone et al. Bone for augmentation procedures from the mandibular chin or ramus was harvested using rotary instruments and reciprocating saw, or by using a piezoelectric bone surgery device, whereas autogenous material from the iliac crest was used as the axis origin. All measurements were rounded to the nearest decimal.

Sites with a residual alveolar crest width of less than 6 mm were harvested using rotary instruments and reciprocating saw, or by using a piezoelectric bone surgery device, whereas autogenous material from the iliac crest was harvested using rotary instruments and reciprocating saw, or by using a piezoelectric bone surgery device, whereas autogenous material from the iliac crest was used as the axis origin. All measurements were rounded to the nearest decimal.

Variables were divided as follows: those which were converted into numerical measurements, allowing a numerical value to be obtained by clinicians, and those which were defined as dependent variables, which were used to classify patients into a finite number of subgroups. The measurements were made by a single investigator, who was not involved in the treatment of the patients.

The outcome variables obtained from the clinicians' numerical measurements are described in the following sections.

- Marginal bone levels (MBLs) were evaluated for all 4 aspects (the buccal [bMBL], palatal [pMBL], mesial [mMBL], and distal [dMBL] sides) by subtracting the implant length from the crest bone height. Because the implant base had been initially positioned at the marginal bone level, this could be used as a point of reference. MBLs were measured at T1, T2, and T3.
- Clinical probing depth (CPD) was evaluated at the 5-year follow-up (T5) for all 4 aspects (buccal [bCPD], palatal [pCPD], mesial [mCPD], and distal [dCPD] sides) with a 15-mm UNC periodontal probe (CP 15 UNC; Hu Friedly, Chicago, Ill, USA), and the measurements were performed to the nearest millimeter.
- Probing attachment level (PAL) was evaluated at the 5-year follow-up (T5) for all 4 aspects (buccal [bPAL], palatal [pPAL], mesial [mPAL], and distal [dPAL] sides) with a 15-mm UNC periodontal probe (CP 15 UNC; Hu Friedly, Chicago, Ill, USA), and the measurements were performed to the nearest millimeter.

All patients were clinically examined 12 months after implant placement, and then twice for the rest of the follow-up period of 5 years, as is depicted in the postoperative maintenance program. Clinical probing depth (CPD) and probing attachment level (PAL) were recorded at T3.

A survived implant was defined as being immobile, and free from peri-implant radiolucency, infection, or neurologic disorder, and without associated pain, either spontaneous or upon application of a torque of 10 to 20 Ncm. In addition, the implant had to allow for placement of a functional fixed prosthesis.

The dependent variables were:
Type of procedure employed: dental implants placed in pristine bone (P) and in augmented areas with horizontal procedure (H);

Gender: male (M) or female (F);

Diameter: (\[3.75, 4.10 or 5.00\] mm) of implants placed in patients;

Length (len: 10, 11.5 and 13) of implants placed in patients.

**Statistical analysis**

All patient-related data were entered into a database (Database Toolbox, MatLab 7.13.0, The MathWorks, Natick, Mass), allowing for calculations to be performed automatically. Statistical analyses were performed using a statistical tools package (Statistics Toolbox, MatLab 7.13.0, The MathWorks).

Dental implants were grouped according to the area of placement (anterior or posterior) and to the surgical procedure employed (in pristine bone, and after horizontal augmentation). In the comparison tests, to overcome differences between multiple dental implants in the same area, 1 implant per quadrant was randomly selected.37

Normal distribution for each numerical variable was carried out and confirmed by the Lilliefors test. For each of the variables, differences attributable to explanatory factors among the groups were analyzed using multi-way analysis of variance. For all the 4 dependent variables, significances in the \(2\)-factor interactions were looked for. Multiple comparison tests with Tukey's honestly significant difference criterion were applied to the search in significant paired comparisons in the subgroups. For a more conservative analysis, \(P\)-values were obtained for each significant pair-wise comparison by the Wilcoxon signed rank test for matched samples, and by the Wilcoxon rank sum test for unmatched data. Spearman correlation \(r_s\) was used to assess the strength of the bivariate association between MBLs and either CPD or CAL variables.

All measurements in the text and tables are described as mean and standard deviations, \(\bar{m} \pm \text{std.}\) In the figures, distributions have been depicted by whiskers plot. A life table analysis, describing biennial- and cumulative-survival rates (SR and CSR, respectively) for implants placed in pristine and augmented areas, and 95% confidence intervals (CIs) were calculated, as per Eckert.38

The effect of the sample size was determined with a power analysis by using a 0.05 significance level and a power of 0.9 (Statistics Toolbox, MatLab 7.13.0, The MathWorks), based on mean and standard deviation values, for the outcome variables obtained. The level of statistical significance was set at 0.05 for pair comparison analyses, whereas a value of 0.01 was set for correlation analyses.

**RESULTS**

Seventeen patients, affected by local bone atrophy, required fixed prosthesis rehabilitation by means of osseointegrated dental implants. Numbers and sizes of the inserted dental implants, with or without bone grafting reconstruction, are shown in Table 1, both for the maxilla and mandible. A total of 28 autogenous blocks were grafted: 9 in 7 maxillae, and 19 in 10 mandibles. Twenty-five and 38 dental implants were then positioned in maxillary- and mandibular-reconstructed areas, respectively. Fifty-two were positioned in pristine bone (27 in the maxilla and 25 in the mandible). There was no sign of nerve damage exhibited postoperatively by any of the patients.34

Regarding dental implants enrolled for statistical comparisons, implants belonging to pristine groups were 14 and 13 in the maxilla and mandible, respectively; 12 dental implants were placed in 7 maxillae (8 blocks), whereas 9 were positioned in 8 horizontal mandibular blocks in 5 mandibles (Table 2).

**Peri-implant radiographic bone remodeling and clinical probing measurements**

Table 3 and Figure 1 report the data on the remodeling of the peri-implant coronal bone, measured for all aspects at the 3 follow-up times (T1, T2 and T3). Table 4 shows the mean and standard deviations of the significant compared linear measurements and their respective significance. Data were
areas (Pbone level (MBL), between T1 and further follow-up times (T2 and T3) between the implant base and the alveolar crest, or marginal bone level (MBL), between T1 and further follow-up times (T2 and T3) for the palatal aspect, both in anterior and posterior areas (P as .0039, .0098 and .0137), as well as for the mesial and distal aspects, although here only in posterior regions (P as 9.8 × 10^-4 and 0.0244). For the horizontal procedure group in the maxilla, MBLs showed significant differences between T1 and T3 for the buccal and distal aspects only in the anterior areas (P as .0391 and .0195).

Instead, regarding the mandibular data, few significant differences were discovered between procedures and times. In the pristine group, differences at a statistically-significant level were obtained comparing, for the anterior areas, the buccal values of MBL among the times (T1 vs T2, with a P of .0313, and T2 vs T3, with a P of .0156), whereas for anterior mMBLs and posterior pMBLs, differences were obtained between T1 and T3 (P of .0469 for both comparisons). Regarding significant differences found among surgical procedures, a difference was discovered only between the

### TABLE 2

Demographic descriptive analysis of data: age, gender, smoking habit, and number and area (A, anterior; P, posterior) of the enrolled dental implants with height of residual bone (in millimeters) when grafting procedure was required

<table>
<thead>
<tr>
<th>Patient#</th>
<th>Age (yrs)</th>
<th>Gender</th>
<th>Smoking habits</th>
<th>No. and area of enrolled implants</th>
<th>Residual bone thickness</th>
<th>No. and area of enrolled implants</th>
<th>Residual bone thickness</th>
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<td>1</td>
<td>58.7 F</td>
<td>N</td>
<td>2 AP pristine</td>
<td>3.6</td>
<td>2 AP pristine</td>
<td>3.4</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>58.7 F</td>
<td>Y</td>
<td>2 AP pristine</td>
<td>4.9</td>
<td>2 AP pristine</td>
<td>3.4</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>37.8 M</td>
<td>N</td>
<td>2 AP pristine</td>
<td>3.7</td>
<td>2 AP pristine</td>
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<td>2 AP pristine</td>
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<td>17</td>
<td>61.1 M</td>
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<td>3.7</td>
<td>2 AP pristine</td>
<td>3.5</td>
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</tr>
</tbody>
</table>

### TABLE 3

Descriptive analysis of maxillary- and mandibular-data: linear measurements of coronal vertical bone level (MBL) around dental implant (buccal b, palatal p, mesial m, and distal d) in augmented- and pristine-sites for the 3 time points considered: at 1-, 3-, and 5-year of survey

<table>
<thead>
<tr>
<th>Variables</th>
<th>Size</th>
<th>bMBL</th>
<th>bMBL</th>
<th>pMBL</th>
<th>pMBL</th>
<th>pMBL</th>
<th>pMBL</th>
<th>dMBL</th>
<th>dMBL</th>
<th>dMBL</th>
<th>dMBL</th>
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<td>Maxilla</td>
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<td></td>
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<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Horizontal procedure</td>
<td>13</td>
<td>-5.0 ± 1.9</td>
<td>-5.7 ± 1.3</td>
<td>-6.1 ± 1.4</td>
<td>-3.3 ± 1.6</td>
<td>-3.7 ± 1.8</td>
<td>-3.7 ± 1.6</td>
<td>-2.5 ± 1.4</td>
<td>-3.4 ± 3.0</td>
<td>-3.0 ± 1.2</td>
<td>-2.8 ± 1.1</td>
</tr>
<tr>
<td>Pristine procedure</td>
<td>14</td>
<td>-4.7 ± 1.6</td>
<td>-4.6 ± 0.7</td>
<td>-5.2 ± 1.8</td>
<td>-2.6 ± 0.8</td>
<td>-3.5 ± 0.4</td>
<td>-3.5 ± 0.9</td>
<td>-2.1 ± 1.5</td>
<td>-3.1 ± 0.5</td>
<td>-2.8 ± 1.1</td>
<td>-2.3 ± 1.1</td>
</tr>
<tr>
<td>Mandible</td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Horizontal procedure</td>
<td>9</td>
<td>-6.6 ± 2.3</td>
<td>-6.9 ± 1.0</td>
<td>-7.5 ± 3.2</td>
<td>-2.0 ± 1.4</td>
<td>-3.0 ± 0.8</td>
<td>-1.9 ± 1.3</td>
<td>-2.6 ± 1.4</td>
<td>-4.3 ± 0.8</td>
<td>-2.9 ± 1.3</td>
<td>-3.0 ± 0.9</td>
</tr>
<tr>
<td>Pristine procedure</td>
<td>13</td>
<td>-3.4 ± 2.3</td>
<td>-4.5 ± 2.1</td>
<td>-4.1 ± 1.9</td>
<td>-1.8 ± 1.9</td>
<td>-2.5 ± 1.9</td>
<td>-2.4 ± 1.8</td>
<td>-2.5 ± 2.1</td>
<td>-2.9 ± 2.0</td>
<td>-2.1 ± 1.1</td>
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</tr>
</tbody>
</table>

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pristine- and horizontal-groups concerning MBL at the buccal aspect in the anterior area and at time T2 (with P of .0167).

Clinical measurements of clinical probing depth (CPD) and clinical attachment level (CAL) at the 5-year follow-up are shown in Table 5, but no statistically significant correlations were observed between MBLs and any of the clinical measurements (Table 5).

**Clinical survival of implants**

Two failures were documented in the pristine group from the first to the third year of the survey in male patients, yielding an overall cumulative survival rate (CSR) of 96.2% (CI, 90.9% to 100%) at the 5-year follow-up. Of the 63 implants placed in grafted areas, 2 failed before the first time point of the follow-up in a female patient, resulting in an overall 5-year CSR of 96.8% (CI, 92.5% to 100%). Table 6 shows the relevant data for the upper and lower arch. Note that for maxillary pristine bone and for mandibular grafted bone, all 65 dental implants survived up to the 60-month follow-up examination, thereby yielding a CSR of 100% at 5 years. The success, as per Albrektsson, of dental implants placed via the 2 procedures appeared quite low, but an exact calculation was nonessential for the present purpose; moreover, the number of the enrolled implants for which a radiological bone resorption was attained was very poor.

**DISCUSSION**

Although the present data seem to confirm the reliability of the procedures described in the paper, the results in terms of resorption, around implants in pristine and in augmented bone, should be examined with great attention. Throughout the present analysis of maxillary data, no significant differences were recorded between pristine- and horizontal-procedures, probably due to the limited size of the sample. The pristine maxillary group seemed to show, comparing T1 to further
times, a widespread resorption, primarily in the posterior area, in which the palatal, mesial, and distal sides were affected. Resorption around implants placed into horizontally-grafted bone of the anterior maxilla seemed to be limited to just the buccal and distal sides. Moreover, bMBL at the fifth year of follow-up appeared quite negatively remodeled, showing a value of \(-0.9 \pm 0.1\) mm with a \(P = 0.391\), indicating that the resorption phenomenon seemed, indeed, mostly to occur in the first year.

These results confirmed the data found in the literature coming from identical long-time surveys; after onlay bone grafting in the posterior maxilla, the analysis of the postoperative behavior, after dental implant insertion, led to an estimated mean reduction of the residual bone height very close to 40% at the 5-year point of the survey;\(^{19}\) the present data would lead us to presume that an onlay block bone, when it is grafted to increase the horizontal dimension, is more susceptible to remodeling, especially in the buccal side, and specifically in the present patient sample, mainly in the maxillary augmented area.

Again, a general and overall bone remodeling was not verified for the horizontal procedure in the mandible, in which, as reported for both arches, comparisons with the pristine procedure showed that only the buccal sides were involved. The analysis of time comparisons revealed that only the pristine group seemed to show a continuous alveolar bone remodeling over the course of the entire survey. Although the highest value of bone remodeling among significant comparisons was \(-3.6 \pm 1.2\) mm, which is the marginal bone level of the buccal side of the anterior mandible at the fifth year of the survey, the remaining significant time comparisons were between \(T_1\) and \(T_2\). Meanwhile, in the pristine group, bone resorption seemed to be extended till the third year of follow-up. The lack of significance regarding time comparisons for mandibular augmented alveolar crest MBLs was probably due to a very wide peri-implant bone resorption during the first year after dental implant insertion, as is shown by the marked values expressed in Table 3 and in Figure 1.

For long-term surveys found in the literature on marginal bone loss in the mandible, data related to augmented alveolar crest is very scant; in those studies describing marginal bone loss, only implants placed in pristine bone were considered, yielding a crestal bone loss at mesial and distal implant sites ranging from 0 to 5.3.\(^{12}\) Moreover, changes in a pooled mean marginal bone level, irrespective of the arches, ranged from 0.24 mm to 0.75 mm over 5 years for the 3 different brands of dental implants examined, although notwithstanding the weight of this meta-analysis, no surgical augmentation was considered, and no distinction among different aspects was investigated.\(^{11}\) No conclusive results were shown regarding either clinical probing depth (CPD) or probing attachment level (PAL) when they were compared to MBLs.
The reliability and consistency of present implant survival in pristine bone, summarized by the CSR value of 96.2% (CI, 90.9% to 100%) at the 5-year follow-up, was demonstrated by the similarity in CSR to that reported by Eckert, which was 96% (CI, 93% to 98%). The cumulative survival rate for grafted areas (5-year CSR), which reached 96.8% (CI, 92.5% to 100%), was very close to the mean survival rate of 93.4% (range, 80% to 100%) of implants placed in reconstructed maxillae with a staged approach, reported by Chiapasco, and to the value of 94.4% (CI, 89.0% to 99.9%), obtained in the review paper of Lambert, for rough surface implants placed in grafted bone, but only for the upper arch.

The value of the estimated effect of the size of the sample was, on average, less than 19 for the 12 paired-comparisons discovered, and this data confirmed resulting statistical significances. It is likely that with an increase in the sample size of up to 50 subjects, significant differences would be revealed between the two procedures.

The restricted sample size was one of the main limitations of the study; moreover, due to its retrospective nature, neither

<table>
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<tr>
<th>Bone type/Interval (y)</th>
<th>Implants at start of interval</th>
<th>Dropouts</th>
<th>Implants at risk</th>
<th>Failures during interval</th>
<th>Survival rate (%)</th>
<th>Cumulative survival rate (%)</th>
<th>CI (%)</th>
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The reliability and consistency of present implant survival in pristine bone, summarized by the CSR value of 96.2% (CI, 90.9% to 100%) at the 5-year follow-up, was demonstrated by the similarity in CSR to that reported by Eckert, which was 96% (CI, 93% to 98%). The cumulative survival rate for grafted areas (5-year CSR), which reached 96.8% (CI, 92.5% to 100%), was very close to the mean survival rate of 93.4% (range, 80% to 100%) of implants placed in reconstructed maxillae with a staged approach, reported by Chiapasco, and to the value of 94.4% (CI, 89.0% to 99.9%), obtained in the review paper of Lambert, for rough surface implants placed in grafted bone, but only for the upper arch.

The value of the estimated effect of the size of the sample was, on average, less than 19 for the 12 paired-comparisons discovered, and this data confirmed resulting statistical significances. It is likely that with an increase in the sample size of up to 50 subjects, significant differences would be revealed between the two procedures.

The restricted sample size was one of the main limitations of the study; moreover, due to its retrospective nature, neither...
a masked nor a randomized prior selection of patients was applied. Then, notwithstanding the standardized acquisition of radiological or clinical data, the accuracy of the analysis was highly influenced by the level of expertise of the researchers. An advantageous feature of the present retrospective chart review was the long-term survey based on CT scans that allowed for analysis of the four aspects around placed dental implants. Confirmation of these current findings and the speculations advanced will, in any event, require longer periods of observation, and, moreover, a higher number of enrolled implants.

CONCLUSION

Clinicians planning placement of dental implants for fixed prosthesis in reconstructed alveolar bone with horizontal autografts should consider the extremely unfavorable resorption at the buccal aspect of the augmented area. Notwithstanding the fact that the loss of buccal marginal bone level did not appear to jeopardize implant survival, which seemed most likely due to the less intensive bone remodeling of the remaining three aspects, the authors suggest reserving dental implant positioning into horizontal bone grafts as a treatment for a select minority of patients in whom it may represent one of the last opportunities of rehabilitation with a fixed prosthesis.

ABBREVIATIONS

CAL: clinical attachment level
CI: confidence interval
CPD: clinical probing depth
CSR: cumulative survival rate
CT: computerized tomography
MBL: marginal bone level
PAL: probing attachment level
SR: biennial survival rate

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