Dust formation in the winds of AGBs: the contribution at low metallicities

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ABSTRACT
We present new models for the evolution of stars with mass in the range $1 \, М_\odot \leq M \leq 7.5 \, М_\odot$, followed from the pre-main sequence through the asymptotic giant branch (AGB) phase, until most of their envelope is lost via stellar winds. The metallicity adopted is $Z = 3 \times 10^{-4}$ (which, with an $\alpha$-enhancement of $+0.4$, corresponds to $[\text{Fe/H}] = -2$). Dust formation is described by following the growth of dust grains of various types as the wind expands from the stellar surface.

Models with mass $M \geq 3 \, М_\odot$ experience hot bottom burning, thus maintaining the surface C/O below unity. Unlike higher $Z$ models, the scarcity of silicon available in the envelope prevents the formation of silicates in meaningful quantities, sufficient to trigger the acceleration of the wind via radiation pressure on the dust grains formed. No silicate formation occurs below a threshold metallicity of $Z = 10^{-3}$.

Low-mass stars, with $M \leq 2.5 \, М_\odot$ become carbon stars, forming solid carbon-dust in their surroundings. The total dust mass formed depends on the uncertain extent of the inwards penetration of the convective envelope during the third dredge-up episodes following the thermal pulses. However, provided that a minimum abundance of carbon of $X(C) \sim 5 \times 10^{-3}$ is reached in the envelope, the results turn out to be fairly independent of the parameters used. Carbon grains have sizes $0.08 < a_C < 0.12 \, \mu$m and the total amount of dust formed (increasing with the mass of the star) is $M_C = (2-6) \times 10^{-4} \, М_\odot$.

Our results imply that AGB stars with $Z = 3 \times 10^{-4}$ can only contribute to carbon-dust enrichment of the interstellar medium on relatively long time-scales, $>300$ Myr, comparable to the evolutionary time of a $3 \, М_\odot$ star. At lower metallicities, the scarcity of silicon available and the presence of hot bottom burning even in $M < 2 \, М_\odot$, prevents the formation of silicate and carbon grains. We extrapolate our conclusion to more metal-poor environments, and deduce that at $Z < 10^{-4}$ dust enrichment is mostly due to metal condensation in supernova ejecta.

Key words: stars: abundances – stars: AGB and post-AGB – ISM: abundances.

1 INTRODUCTION

The importance of intermediate mass stars on the asymptotic giant branch (AGB) and super asymptotic giant branch (super-AGB) as source of cosmic dust is currently a highly debated topic. Recent chemical evolutionary models (Valiante et al. 2009, 2011) have shown that AGB stars give important contributions to dust enrichment even at early cosmic epochs, when traditionally core-collapse supernovae have been proposed as the main source of dust (Todini & Ferrara 2001; Nozawa et al. 2003; Bianchi & Schneider 2007; Dwek, Galliano & Jones 2007). The recent detection of about $0.4-0.7 \, М_\odot$ of cold dust in the supernova 1987A by the Herschel satellite (Matsuura et al. 2011) confirms the results expected by theoretical models of dust condensation in supernova ejecta. Yet, only a relatively small fraction of the newly formed dust, probably less than 20 per cent, is able to survive the passage of the reverse shock and be injected in the surrounding interstellar medium (ISM), effectively contributing to dust enrichment of the host galaxy (Bianchi & Schneider 2007; Nozawa et al. 2007). Indeed, Spitzer observations...
of young supernova remnants have provided unambiguous evidence of dust formation and survival in the ejecta, with typical masses in the range $(1-5) \times 10^{-2} M_{\odot}$ (Rho et al. 2009). These figures can be considered as an indication of the effective dust yields of massive stars.

At lower stellar masses ($<8 M_{\odot}$), grain condensation occurs during the AGB and super-AGB phases and the resulting dust mass depends on the progenitor stellar mass and metallicity through complex processes which affect the chemical composition and physical conditions in the stellar atmospheres.

Previous investigations, based on synthetic stellar models, have provided grids of AGB dust yields predicting dust masses in the range $(10^{-4}-10^{-2}) M_{\odot}$ (Ferrarotti & Gail 2006). With the aim to make further progresses following the proper stellar evolutionary sequences, we have recently computed the dust masses released by stars with masses in the range $1 M_{\odot} \leq M \leq 8 M_{\odot}$ and metallicities of $Z = 0.001 \ (5 \times 10^{-2} Z_{\odot})$ and $Z = 0.008 \ (0.4 Z_{\odot})$. We find that the total mass of dust released and its chemical composition are a strong function of the initial stellar mass and metallicity (Ventura et al. 2012a,b) and that, in the most optimistic cases, the typical dust masses released by AGB stars can reach up to $7 \times 10^{-3} M_{\odot}$. While the relative importance of AGB stars and SN as sources of dust will ultimately depend on the star formation history and stellar initial mass function (Valiante et al. 2009, 2011), in order to understand the contribution of AGB stars in early cosmic dust enrichment we need to extend our previous models to lower initial metallicities.

In this paper, we calculate a new sequence of models with metallicity $Z = 0.0003 \ (1.5 \times 10^{-2} Z_{\odot})$, evolved through the whole AGB phase. Our results imply that at these low initial metallicities, the production of dust is strongly suppressed, suggesting that in cosmic environments with metallicities $Z < 5 \times 10^{-3} Z_{\odot}$ dust enrichment is entirely dominated by supernovae.

2 THE MODEL

The thermal pulses (TP) phase is experienced by stars of intermediate mass ($M < (8 - 9) M_{\odot}$), after helium is consumed in the core (see e.g. Herwig 2005, for an exhaustive description of these phases). Helium and hydrogen burning occur in two shells above the degenerate core. This evolutionary phase is commonly known as AGB. In the most massive models, whose core mass exceeds $\sim 1.1 M_{\odot}$, carbon ignition occurs in an off-centre, degenerate region. This carbon pulse favours the development of a convective flame, that moves inwards to reach the stellar centre, leading to the formation of a core composed of oxygen and neon (Ritossa, Garcia Berro & Iben 1996, 1999; Garcia-Berro, Ritossa & Iben 1997). These stars also experience a series of TP, during the so-called super-AGB evolution (Siess 2007, 2010).

The surface chemistry of these stars may be altered by two mechanisms: hot bottom burning (HBB) and third dredge-up (TDU). HBB is active in massive AGBs, and consists in the ignition of proton-capture nucleosynthesis at the bottom of the convective envelope; it is activated when the temperature of this region reaches $\sim 5 \times 10^9$ K. TDU consists in the inwards penetration of the envelope after each TP; the bottom of the surface convection zone may reach regions processed by $3\alpha$ nucleosynthesis, enriched in carbon and oxygen.

The modification of the surface chemistry produced by HBB and TDU are different. TDU is accompanied by the increase in the surface abundance of carbon, and, to a smaller extent, of oxygen. The effects of HBB reflect proton-capture nucleosynthesis, and thus depend on the temperature at which HBB occurs. For temperatures below $\sim 8 \times 10^7$ K, the main effect is the production of nitrogen at the expenses of carbon, whereas at higher temperatures oxygen destruction also occurs.

Due to the large mass-loss rates experienced, and the cool temperatures in their expanded, low-density envelopes, the winds of AGBs are a favourable site for dust production, via condensation of gas molecules into solid grains. The investigations by Ferrarotti & Gail (2001, 2002, 2006) set the theoretical framework to model dust formation in expanding AGB winds, and showed how the formation of carbonaceous species (essentially solid carbon and SiC) and silicates (olivine, pyroxene, quartz) depends on the surface chemistry of AGBs, particularly on the C/O ratio. These works are based on a synthetic description of the AGB phase, where core mass, temperature at the bottom of the convective zone, extension of TDU, are all imposed a priori, based on the observational calibration. This approach has the clear advantage of allowing one to follow the whole AGB evolution with small computational efforts; the intrinsic limit of this description is that HBB cannot be described self-consistently.

In our previous investigations (Ventura et al. 2012a,b, hereafter Paper I and Paper II, respectively), we presented new models where the AGB evolution was calculated by means of the integration of the whole stellar structure. We also extended the calculations to the super-AGB regime, ignored in previous works. Our analysis showed that the kind of dust formed around AGBs depends on the initial mass of the star: models with $M \geq 3 M_{\odot}$, whose surface chemistry is dominated by HBB, produce silicates, whereas their lower mass counterparts produce carbon-type dust. These investigations also showed an interesting trend with metallicity: in higher $Z$ models the production of silicates is favoured, not only by the larger amount of silicon available in the envelope, but also because the HBB experienced is weaker, thus inhibiting the possibility of surface oxygen destruction.

In what follows, we further extend the model to lower initial metallicities and discuss the implications for the mass and composition of dust yields by intermediate mass stars.

Dust grains are assumed to form via condensation of gas molecules in the wind of AGBs. The description of this process requires knowledge of the evolution of the main physical and chemical properties of the central object, and a model for the thermodynamical and chemical structure of the wind. We provide here a brief description of the stellar and wind modelling, addressing the interested reader to Paper I for a thorough presentation of the model.

2.1 Stellar evolution modelling

The stellar models presented in this work have an initial metallicity $Z = 3 \times 10^{-4}$. The mixture is assumed to be $\alpha$-enhanced, with $[\alpha/Fe] = +0.4$. This corresponds to an iron content $[Fe/H] = -2$. The evolutionary sequences were calculated by means of the ATON stellar evolution code, in the version described in Ventura et al. (1998).

The range of masses involved is limited to $M \leq 7.5 M_{\odot}$, because more massive objects undergo core-collapse SN Type II explosion, thus not experiencing any AGB phase. The evolutionary models were followed from the early pre-main-sequence phase, until the phase where almost all the external envelope is consumed. Models

\textsuperscript{1}This limit is indeed partly dependent on the assumption of some extra mixing from the border of the convective core during the main-sequence phase. If overshooting is neglected, the highest mass not undergoing SN II explosion is $\sim 9.5 M_{\odot}$.
with masses below 2.5 M⊙ develop a degenerate helium core while ascending the red giant branch, and undergo the helium flash. The evolution of these models was thus re-started from the horizontal branch, from a post-flash stage, before the beginning of the quiescent core helium burning.

The convective instability is modelled according to the full spectrum of turbulence (FST) treatment by Canuto & Mazzitelli (1991). In regions unstable to convection, mixing of chemicals and nuclear burning are coupled via a diffusion-like equation, following the scheme presented in Cloutman & Eoll (1976). The overshoot of convective eddies within radiatively stable regions is described via an exponential decay of velocities from the formal border of convection; this is determined via the classic Schwarzschild criterion, stating that the zone of neutral stability, where buoyancy vanishes, corresponds to the condition \( \nabla_{\text{rad}} = \nabla_{\text{ad}} \) (where \( \nabla_{\text{rad}} \) and \( \nabla_{\text{ad}} \) are, respectively the logarithmic temperature gradients when the energy is entirely transported by radiation, or for an adiabatic transformation). The e-folding distance \( l \) of the exponential decay of convective velocities is assumed to be \( l = \xi \times H_p \), where \( H_p \) is the pressure scale height, \( H_p = P/(\rho g) \) and \( \xi \) is a measure of the extension of the overshoot region. Following the calibration given in Ventura et al. (1998), we adopt \( \xi = 0.02 \) in all the evolutionary phases preceding the TP phase. The possible extension of the extra mixing during the AGB phase is still an open issue, largely debated in the literature (Karakas 2011; Stancliffe & Lattanzio 2011). To limit the number of free parameters, here we only allow some extra mixing from the borders of the convective shell that forms during the thermal pulse, and discuss how the results obtained depend on the assumed value of \( \xi \).

Mass-loss was modelled following the treatment by Blöcker (1995).

The majority of the nuclear reaction rates were taken from the NACRE compilation (Angulo et al. 1999). The only exceptions are the 3\( \alpha \) cross-sections (Fynbo et al. 2005), the rate of the \( ^{12}C + \alpha \) reaction (Kunz et al. 2002) and some proton-captures, not relevant for the present investigation.

### 2.2 Dust production and the stellar wind

The scheme adopted to model the structure of the wind at a given phase during the AGB evolution is the same as in Paper I, based on the description given in the series of papers by Ferrarotti & Gail (2001, 2002, 2006). Here we briefly recall the schematization adopted.

The wind is assumed to expand isotropically from the stellar surface. The physical quantities characterizing the central star are luminosity \( L \), effective temperature \( T_{\text{eff}} \), radius \( R \), mass \( M \), mass-loss rate \( \dot{M} \).

The structure of the wind is described by the behaviour of velocity, temperature, density, optical depth, as a function of the radial distance from the surface of the wind.

The equation of momentum conservation determines the radial variation of velocity:

\[
\frac{dv}{dr} = -\frac{GM}{r^2}(1 - \Gamma),
\]

where \( \Gamma \) is the key quantity that determines whether the wind is accelerated by radiation pressure. It is given by the ratio between the radiation pressure on the dust grains and the gravitational pull:

\[
\Gamma = \frac{kL}{4\pi eGM}.
\]

where \( k \) expresses the opacity, as determined by the interaction of the various species of dust grains and the electromagnetic radiation. In the equation describing the radial variation of velocity we neglect pressure forces, because these are negligible compared to the gravity term. This assumption holds in the present treatment, because we do not consider any shock structure of the outflow.

The description of the radial behaviour of the wind is completed by the equation for the radial decay of the optical depth:

\[
\frac{dr}{dr} = -\rho k R^2 \frac{v^2}{r^2}.
\]

The boundary condition for the optical depth is that it vanishes at infinity. We keep the velocity constant, and equal to the local sound speed, up to the region where dust begins to form. Whenever dust is formed, and the gas is accelerated by radiation pressure, the results are practically independent of the assumed initial value of velocity, because the asymptotic behaviour turns out to depend only on the amount of dust formed.

Finally, two additional equations describe the radial variation of density and temperature:

\[
\dot{M} = 4\pi r^2 \rho v
\]

\[
T^4 = \frac{1}{2} \frac{T_{\text{eff}}}{1 - \sqrt{1 - \frac{R^2}{r^2} + \frac{3}{2} \frac{\rho v}{kT}}}
\]

The system of equations given so far is not closed, because the calculation of the opacity, \( \kappa \), requires knowledge of the kind of dust formed in the wind; it is therefore mandatory to follow the formation and growth of the dust grains of the various species.

Dust growth takes place by vapour deposition on the surface of some pre-formed seed nuclei, assumed to be nanometre-sized spheres. The precise chemical nature of these seed grains is not important in what follows. We consider various types of dust, depending on the surface chemical composition of the star. In oxygen-rich winds, we consider olivine, pyroxene, quartz and iron grains, whereas for C-rich environments we account for the presence of solid carbon, silicon carbide and iron grains. For each condensate, we define a key element, whose abundance is the minimum among all the elements necessary to form the corresponding dust aggregate. Silicon is the key elements for silicon carbide, whereas iron and carbon are the key elements for iron dust and solid carbon. As for the silicates, the key element is silicon, which is however replaced by oxygen when strong HBB conditions favour a strong depletion of the surface oxygen content. All the species considered, with the corresponding condensation reactions and key elements, are listed in Table 1.

The growth of the size of the dust grains of a given species \( i \) is determined via a competition between a production term \( J^{\text{pro}} \), associated with the deposition of new molecules on already formed grains, and a destruction factor \( J^{\text{dstr}} \), proportional to the vapour pressure of the key species on the solid state:

\[
\frac{d\dot{M}_i}{dr} = V_{\dot{M},i} (J^{\text{pro}} - J^{\text{dstr}}),
\]

where \( V_{\dot{M},i} \) is the volume of the nominal molecule in the solid, and the growth and destruction rates are given by

\[
J^{\text{pro}} = \alpha_i n_i v_{\text{th},i}
\]

\[
J^{\text{dstr}} = \alpha_i v_{\text{th},i} \frac{P_{v,g}}{kT},
\]

where \( n_i \) is the number density of the key species in the wind, \( v_{\text{th},i} \) is the thermal velocity of the same species, and \( P_{v,g} \) is the
vapour pressure of the key element over the solid state. As we see from equations (6), (7), (8), the rate of growth of the dust grains is proportional to the sticking coefficients $\alpha$, which vary between zero and unity. The coefficients adopted for each species, taken from Ferrarotti & Gail (2006), are reported in Table 1.

### Table 1. Dust species considered in the present analysis, their formation reaction and the corresponding key elements (see the text).

<table>
<thead>
<tr>
<th>Grain species</th>
<th>Formation reaction</th>
<th>Key element</th>
<th>Sticking coefficient</th>
</tr>
</thead>
<tbody>
<tr>
<td>Olivine</td>
<td>$2\times\text{Mg} + 2(1-x)\text{Fe} + \text{SiO} + 3\text{H}_2 \text{O} \rightarrow \text{Mg}_2\text{Fe}(1-x)\text{SiO}_4 + 3\text{H}_2$</td>
<td>Si</td>
<td>0.1</td>
</tr>
<tr>
<td>Pyroxene</td>
<td>$x\text{Mg} + (1-x)\text{Fe} + \text{SiO} + 2\text{H}_2\text{O} \rightarrow \text{Mg}_2\text{Fe}(1-x)\text{SiO}_3 + 2\text{H}_2$</td>
<td>Si</td>
<td>0.1</td>
</tr>
<tr>
<td>Quartz</td>
<td>$\text{SiO} + \text{H}_2 \text{O} \rightarrow \text{SiO}_2\text{H}_2 + \text{H}_2$</td>
<td>Si</td>
<td>0.1</td>
</tr>
<tr>
<td>Silicon carbide</td>
<td>$2\text{Si} + \text{C}_2\text{H}_2 \rightarrow 2 \text{SiC} + \text{H}_2$</td>
<td>Si</td>
<td>1</td>
</tr>
<tr>
<td>Carbon</td>
<td>$\text{C} \rightarrow \text{C}(s)$</td>
<td>C</td>
<td>1</td>
</tr>
<tr>
<td>Iron</td>
<td>$\text{Fe} \rightarrow \text{Fe}(s)$</td>
<td>Fe</td>
<td>1</td>
</tr>
</tbody>
</table>

### Table 2. Relevant properties of AGB models. The last column indicates the physical process dominating the surface chemistry.

<table>
<thead>
<tr>
<th>$M(M_\odot)$</th>
<th>$t_{\text{evol}}$ (Myr)</th>
<th>$M_{\text{core}}/(M_\odot)$</th>
<th>$T_{\text{max}}^\infty$ ($Z = 3 \times 10^{-4}$)</th>
<th>$\log (L/L_\odot)$</th>
<th>Process</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.0</td>
<td>5921</td>
<td>0.60</td>
<td>4</td>
<td>3.78</td>
<td>TDU</td>
</tr>
<tr>
<td>1.5</td>
<td>1065</td>
<td>0.68</td>
<td>4</td>
<td>3.98</td>
<td>TDU</td>
</tr>
<tr>
<td>2.0</td>
<td>805</td>
<td>0.72</td>
<td>11</td>
<td>4.13</td>
<td>TDU</td>
</tr>
<tr>
<td>2.5</td>
<td>455</td>
<td>0.78</td>
<td>38</td>
<td>4.27</td>
<td>TDU</td>
</tr>
<tr>
<td>3.0</td>
<td>294</td>
<td>0.84</td>
<td>79</td>
<td>4.42</td>
<td>HBB + TDU</td>
</tr>
<tr>
<td>3.5</td>
<td>207</td>
<td>0.87</td>
<td>89</td>
<td>4.54</td>
<td>HBB + TDU</td>
</tr>
<tr>
<td>4.0</td>
<td>155</td>
<td>0.90</td>
<td>98</td>
<td>4.63</td>
<td>HBB + TDU</td>
</tr>
<tr>
<td>4.5</td>
<td>120</td>
<td>0.93</td>
<td>100</td>
<td>4.70</td>
<td>HBB + TDU</td>
</tr>
<tr>
<td>5.0</td>
<td>96.0</td>
<td>0.97</td>
<td>111</td>
<td>4.75</td>
<td>HBB + TDU</td>
</tr>
<tr>
<td>5.5</td>
<td>79.0</td>
<td>1.00</td>
<td>117</td>
<td>4.85</td>
<td>HBB</td>
</tr>
<tr>
<td>6.0</td>
<td>67.0</td>
<td>1.05</td>
<td>121</td>
<td>4.97</td>
<td>HBB</td>
</tr>
<tr>
<td>6.5</td>
<td>57.0</td>
<td>1.16</td>
<td>124</td>
<td>5.05</td>
<td>HBB</td>
</tr>
<tr>
<td>7.0</td>
<td>49.5</td>
<td>1.25</td>
<td>127</td>
<td>5.12</td>
<td>HBB</td>
</tr>
<tr>
<td>7.5</td>
<td>43.5</td>
<td>1.34</td>
<td>141</td>
<td>5.20</td>
<td>HBB</td>
</tr>
</tbody>
</table>

## 3 The Evolution of Low-Z Stellar Models

Models with initial metallicity $Z = 3 \times 10^{-4}$ and mass in the range $1M_\odot \leq M \leq 7.5M_\odot$ are evolved through the TP phase, until most of the mass in the envelope is lost. We find that, for this $Z$, $7.5M_\odot$ is the largest mass not undergoing supernova explosion.

The physical properties of the models, with the maximum luminosity and temperature at the bottom of the envelope reached during the AGB evolution, are reported in Table 2.

The bottom panel of Fig. 1 shows the evolution of the temperature at the bottom of the convective zone ($T_{\text{bce}}$). A clear gap separates models with mass above $2M_\odot$ from their smaller mass counterparts. This is the signature of HBB, operating when the core mass exceeds the threshold mass of $\sim 0.8M_\odot$, reached for initial masses $M > 2.5M_\odot$. HBB is a consequence of the steepness of the temperature gradient: under these conditions the bottom of the convective envelope partially overlaps with the CNO burning shell, thus allowing some nuclear activity to take place within the outer convective zone. The pioneering investigations by Renzini & Voli (1981) and Blöcker & Schönberner (1991) showed that HBB ignition can be achieved whenever a high-efficiency treatment of convection is adopted. Ventura & D’Antona (2005a) showed that HBB is easily obtained in models with $M \geq 3M_\odot$ when the FST treatment of convection is used. HBB is a complex phenomenon, where the thermodynamic coupling between the internal, degenerate core and the external envelope plays a major role: given these arguments, HBB cannot be described within a synthetic modelling context, which is one of the main motivations of the present investigation.

HBB can impose a strong variation in the surface chemistry, because of the proton-capture nucleosynthesis experienced at the bottom of the convective zone, and the rapidity of convective motions that homogenize the whole envelope: the chemistry of stars experiencing HBB reflects the equilibria of CNO nucleosynthesis.

The variation of the surface oxygen, shown in the top panel of Fig. 2, is a clear indicator of the mechanism dominating the surface chemistry. Models with mass $M > 5M_\odot$ destroy oxygen via HBB; whereas lower mass stars, with $M < 3M_\odot$ increase it via repeated TDU episodes. Note that in models with mass in
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3.2 The carbon-star stage in low-mass AGBs

HBB is not active in low-mass stars with $M < 3 M_\odot$; the only mechanism able to modify their surface chemistry is TDU, which determines an increase in the surface carbon. The amount of $^{12}$C carried to the surface after each thermal pulse depends on the extent of the inwards penetration of the convective zone: the more internal is the region reached by the base of the envelope, the larger will be the carbon increase. Unfortunately, the extent of the TDU is sensitive to many ingredients used in calculating the evolutionary sequences, still unknown from first principles (Karakas 2011). The method used to determine the location of the border between convective and radiative regions is crucial for the results obtained. While sticking to the Schwarzschild criterion underestimates the dimensions of the mixed region, the extent of the possible overshoot is still an object of debate. Other mechanisms, different from convective overshoot, may further extend the dimensions of the mixed zone: rotational mixing and internal gravity waves may also favour mixing of layers radiatively stable (Stancliffe & Lattanzio 2011).

Studies focused on the luminosity function of the carbon stars in the Magellanic Clouds (Marigo, Girardi & Bressan 1999; Izzard et al. 2004) calibrated the extent of TDU needed to reconcile the observational evidence with theoretical modelling: these investigations provided the minimum core mass at which TDU begins to be effective in producing carbon stars, and the extension of the mixed region. However, we are still far from a robust and reliable description, holding for all masses and metallicities. We decided in this context to avoid any detailed calibration of the possible extra mixing from convective zones present in the interiors of AGB stars; this analysis would be harder than for higher metallicity models, from
Figure 3. Left: Evolution of the temperature at the bottom of the convective envelope of AGB models of 2.5 $M_\odot$ calculated with different prescriptions of the overshoot from the convective shell: no overshoot (black, dotted), $\zeta = 0.002$ (red, solid), $\zeta = 0.005$ (blue, dashed). The thin horizontal line indicates the limit in temperature leading to HBB conditions, with the depletion of the surface carbon. Right: The change in the surface carbon in the same models shown in the left-hand panel. The effects of HBB can be seen in the decrease in $X(^{12}C)$ during the late inter-pulse phases of the $\zeta = 0.005$ model. Open diamonds indicate the surface carbon content averaged during the whole AGB evolution, which corresponds to the quantity shown in Fig. 4.

which many more observations are available. We simply explore how the dust formation process is sensitive to the depth of TDU.

The extent of TDU was changed by assuming a variable overshoot from the borders of the convective shell that forms during the thermal pulse. Accounting for this extra mixing is known to enhance the strength of the pulse, and to favour the inwards penetration of the convective envelope during the TDU (Herwig & Austin 2004). In the following, we compare the results obtained with no extra mixing, with those found when values of the overshoot parameter (see section 2) $\zeta = 0.001, 0.002, 0.005$ are adopted.

The typical evolution of a low-mass star experiencing TDU, in terms of the progressive increase in the surface carbon, is shown in the right-hand panel of Fig. 3, which refers to a 2.5 $M_\odot$ model. A sudden increase in the $^{12}C$ mass fraction follows each TDU episode. The envelope of these models becomes more and more enriched in carbon, until the mass left in the envelope is so small that no TDU occurs (Vassiliadis & Wood 1993). The cases $\zeta = 0$ (black, dotted), $\zeta = 0.002$ (red, solid) and $\zeta = 0.005$ (blue, dashed) are shown. Fig. 4 shows the average carbon abundance in the envelope during the AGB evolution, for models with initial masses $1 M_\odot \leq M \leq 2.5 M_\odot$.

The average carbon in the gas ejected by the $M = 2.5 M_\odot$ model is $X(C) \sim 5 \times 10^{-3}$, independently of $\zeta$. The reason for this can be understood on the basis of the left-hand panel of Fig. 3, showing the variation of the temperature at the bottom of the convective envelope. In the $\zeta = 0.005$ case (blue, dashed line), the strength of the pulses is enhanced such that eventually HBB conditions are reached, and the temperature exceeds $\sim 50 \times 10^6$ K. The impact of $\zeta$ on the increase in the surface carbon is limited in models with mass approaching the threshold for HBB ignition, because the increase in the strength of the TP eventually leads to HBB conditions, which determine a partial destruction of the carbon previously accumulated. This can be seen in the right-hand panel of Fig. 3, showing that in the $\zeta = 0.005$ model the increase in the carbon mass fraction due to TDU is followed by the destruction of the surface carbon via HBB.

Figure 4. The average abundance of carbon in the gas ejected by low-mass models, whose surface chemistry is changed by the effects of the TDU. The lines in the figure indicate the results obtained by changing the extent of the overshoot from the borders of the convective shell formed during the thermal pulse. Stellar models with $M \leq 1.5 M_\odot$ and no overshoot never become carbon stars.

4 DUST PRODUCTION IN LOW-METALLICITY AGB STARS

Dust production is strictly correlated with the surface chemical composition of the star. In our previous investigations, we examined the metallicities $Z = 0.001$ (Paper I) and $Z = 0.008$ (Paper II); in both cases we found that in the winds of stars with an initial mass above the limit for the ignition of HBB, i.e. $(2.5 - 3) M_\odot$, conditions are favourable for the formation of silicates and very little or no carbon grains are formed. Clearly this behaviour cannot be extended to extremely low metallicities, because the silicon available in the
Dust in the winds of low-metallicity AGBs

4.1 Silicate formation

To test the possibility that silicates are formed at the metallicity investigated in this work, we focus on \( \Gamma \) (equation 2): the condition for the wind to be accelerated by radiation pressure is \( \Gamma > 1 \), which requires a minimum opacity, \( k_\text{min} = \frac{0.6 cm^2 g^{-1}}{L \times \frac{Z}{\zeta}} \).

The most favourable conditions for the formation of silicates are found in the early super-AGB phases of models with mass \( M \sim 7 M_\odot \). The high luminosities reached by these stars (see Table 2 and the right-hand panel of Fig. 1) favour large mass-loss rates, which, in turn, enhance the dust formation rate, owing to the increase in the density of the wind (equation 4). Also, as shown in Fig. 2, in these models the surface oxygen is never completely destroyed; this allows the formation of water molecules, present in all the reactions occurring when the silicates are formed (see Table 1). These conditions are not met during the evolution of smaller mass stars, whose luminosity is smaller, and that suffer a stronger reduction of the surface oxygen (see Fig. 2).

We focus on a model of 7 M_\odot, in the phase of maximum luminosity (\( L = 1.3 \times 10^5 L_\odot \)), when it has lost 1 M_\odot (see right-hand panel of Fig. 1); the surface temperature is 3700 K. Substituting \( M = 6 M_\odot \) and \( L = 1.3 \times 10^5 L_\odot \) in the expression given above, we find \( k_\text{min} = 0.6 cm^2 g^{-1} \). On the other hand, by using the optical constants for silicates by Ossenkopf, Henning & Mathis (1992), in the unrealistic case that all the silicon is condensed into dust, we find \( k = 0.3 cm^2 g^{-1} \), i.e. \( k \approx \frac{1}{2} k_\text{min} \). This result, compared with those from our previous investigations, shows that \( Z \sim 10^{-3} \) is the threshold metallicity, below which no purely dust-driven silicate winds may occur. Some little production of silicates is still possible in these conditions, but the predictions in this case are less reliable, because the results depend critically on the assumptions concerning the velocity with which the wind particles reach the condensation layer.

4.2 Carbon and SiC dust in low-mass AGBs

In analogy with the results for higher metallicities, in models with mass below 3 M_\odot dust formation depends on the extent of TDU. The excess of carbon with respect to oxygen allows formation of solid carbon and silicon carbide. This condition is reached after a few TPs, provided that TDU is sufficiently deep to enrich in carbon the surface layers (see right-hand panel of Fig. 3). In the previous phases, as far as the C/O ratio is below unity, only silicate formation is possible. The percentage of silicon in the wind is however so low, that the rate of growth of the grains is very small, the maximum dimensions hardly reaching 0.05 \( \mu m \). In agreement with the previous discussion, we find that the quantity of dust formed is far below the threshold needed to accelerate the wind. This is consistent with the analysis by Höfner (2008), indicating that in the range of masses and luminosities typical of the low-mass regime investigated here a minimum dimension of silicates grains of \( \sim 0.3 \mu m \) is required to accelerate the wind.

Table 3 reports the mass of solid carbon produced by AGB models of different initial mass, calculated with \( \zeta = 0, 0.001, 0.002, 0.005 \). The same data are shown in Fig. 5.

Models with mass below 2 M_\odot become carbon stars only for \( \zeta \geq 0.002 \). For a given \( \zeta \), the mass of carbon-dust produced increases with the mass of the star, because more massive models experience more mass-loss and more TP, thus reaching higher carbon abundances. The trend in Fig. 5 reflects the behaviour of the carbon content in the gas ejected by AGBs, shown in Fig. 4. The 2.5 M_\odot model is found to produce a smaller amount of carbon, which can be explained based on the discussion in previous sections, concerning the HBB ignition in this model.

Fig. 5 shows that the mass of carbon-dust produced becomes approximately independent of \( \zeta \), for \( \zeta > 0.001 \). The main reason for this behaviour is the rapid acceleration experienced by the wind when carbon-dust is produced: the larger is the content of carbon-dust, the larger is the acceleration of the wind, which prevents additional formation of dust. In addition, models more enriched in carbon are those experiencing the deepest TDU, thus evolving at smaller core masses their luminosity, and consequently their

<table>
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<tr>
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<td>1.5</td>
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<td>2.0</td>
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<td>( 4.7 \times 10^{-4} )</td>
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Figure 5. Mass of carbon-dust formed in the winds of AGB stars of various mass. The different symbols refer to models calculated with different extent of the extra mixing from the borders of the convective shell which forms during the thermal pulse. The shaded area indicates the degree of uncertainty associated with the carbon-dust.
mass-loss rate, is smaller, such that the density of the gas in the wind is also reduced.

Fig. 6 shows the variation of the size of C and SiC grains during the AGB evolution of stars of initial mass $1.5 M_\odot$, $2 M_\odot$, $2.5 M_\odot$; the different lines indicate the $\zeta$ adopted in the computations.

The size of the SiC grains is independent of $\zeta$, because the key element, Si, is unaffected by TDU. Owing to the scarcity of silicon available, the production of SiC is modest, the size of SiC grains being $a_{\text{SiC}} < 0.02 \mu m$. The amount of SiC formed increases with mass, because more massive models experience a larger mass-loss rate, that favours gas condensation.

Iron is scarcely produced in all models, as a consequence of the small iron content of the winds of AGBs at these low metallicities. This result is consistent with previous investigations by Ferrarotti & Gail (2006) and Paper I, indicating that the iron dust produced by AGBs diminishes with decreasing metallicity. This picture could change at higher metallicities, as suggested by the analysis by McDonald et al. (2011), focused on dust production in stars belonging to the Globular Cluster 47 Tuc. The authors find that iron is the main component of the dust content surrounding the two brightest sources, suggesting a favourite channel for iron production compared to silicates. Detailed models of low-mass stars at higher metallicities are required to be compared with these findings.

The growth of carbon grains varies with $\zeta$, because the surface carbon enrichment changes according to the depth of TDU, as shown in Fig. 4. The grain size increases during the evolution, not only because more and more carbon is brought to the surface, but also because the luminosity, hence the mass-loss rate, increases as the core grows in mass. While a minimum value of $\zeta$ is required to allow TDU to operate efficiently, the results in terms of the size of carbon grains formed become insensitive to $\zeta$ as far as a sufficient amount of carbon, $X(C) > 5 \times 10^{-3}$, is present in the envelope.

A calibration of $\zeta$ is beyond the scopes of this work. However, in the analysis of the carbon grain size formed, we may disregard the no-overshoot assumption, given the physical inconsistency, and the severe problems met when comparing the results with the observations of stars in the Magellanic Clouds (Marigo et al. 1999; Izzard et al. 2004). Limiting our attention on the $\zeta \neq 0$ cases, we conclude that the surroundings of low-mass, low-metallicity AGBs are expected to host carbon grains, whose dimensions are in the range $0.08 < a_C < 0.12 \mu m$ (see Fig. 6). These results are approximately independent of the initial mass of the star.

The reason for this is the rapid acceleration of the wind favoured by the condensation process; the increase in the velocity favours a rapid decrease in the density of the gas, that inhibits further formation of dust. In Fig. 7, we compare the structure of the wind of two models of $2 M_\odot$, calculated with $\zeta = 0$ and $\zeta = 0.005$. The figure refers to an evolutionary phase when the stars have lost $1 M_\odot$, and the surface carbon abundances are $X_C = 0.003$ ($\zeta = 0$) and $X_C = 0.014$ ($\zeta = 0.005$). The radial profiles of the grain size (red) and velocity (blue) are shown. Solid tracks refer to the no-overshoot models, whereas dashed lines indicate the $\zeta = 0.005$ case.

Dust condensation begins at a distance of $\sim 10 R_*$ from the stellar centre, and continues until the velocity of the wind reaches $\sim 10 \text{ km s}^{-1}$. The acceleration of the wind is smaller in the $\zeta = 0$ case, which allows dust formation to occur in the expanding wind up to more external regions. As clearly evident in the internal panel of Fig. 7, showing in more details the dust-forming region, this effects partly compensates the difference in the carbon available in the winds, reducing the gap between the size of the carbon grains formed.

The optical properties of solid carbon, its ability to absorb radiation and accelerate the wind, make the description of the solid
carbon growth only mildly dependent on the quantity of carbon in the stellar envelope, thus rendering more robust the results obtained, in terms of the dimension of the grains formed.

The possibility of comparing these results with the observations is undermined by the scarcity of data of AGB stars in metal poor environments. A promising field in this context is the increasing amount of available spectra of AGBs in globular clusters, from which the species and the quantity of dust formed can be deduced. Because masses evolving in Globular Clusters are surely below 1 M⊙, this approach offers the only opportunity to test our description at the lower end of the range of masses investigated, whereas no information can be obtained for models of higher mass. An example of these studies is the collection of Spitzer data presented by Sloan et al. (2010), showing a positive trend with Z of the amount of dust formed in the circumstellar shells of AGB stars.

While stars in the sample presented in Sloan et al. (2010) are more metal rich than the models presented here, the two sources belonging to NGC 4372, analysed in McDonald et al. (2013), have a similar metallicity ([Fe/H] = −2.2), which offers the opportunity of a more detailed comparison. From the spectra, the authors argue that the two stars are oxygen rich, and dusty. The luminosity of the two stars is slightly below the value found in the 1 M⊙ model presented here (see Table 2), in agreement with the smaller mass (∼0.9 M⊙) expected to evolve in the AGB of this cluster. Fig. 5 shows that some carbon-dust production is expected in these low-mass objects, provided that some extra mixing from the convective zones is adopted. This is however not in contrast with the fact that the two stars examined by McDonald et al. (2013) are oxygen-rich, because even in case that a large extra mixing is used, the model achieve the C-star stage only in the late evolutionary phases, while the surface remains oxygen rich for most of the duration of the AGB phase.

\[ \text{4.3 Dust mass produced by AGB stars: the trend towards metal-poor chemistries} \]

As discussed above, the quantity of silicon present in low-metallicity AGBs is so small to prevent the formation of silicates, if not in extremely small quantities. Even in the super-AGB regime, where the strong mass-loss might favour the condensation process, the density of silicon in the wind is too small to allow dust production. Our AGB models experience stronger HBB than other literature results (Karakas 2010), and are thus those most favourable to the formation of silicates. This makes these results more general, allowing us to conclude that Z ≈ 10⁻³ is the threshold limit in metallicity, below which no meaningful silicate formation occurs in the winds of AGBs.

The shortage of silicon in the wind also affects the formation of SiC, whose production rate scales with the silicon density.

The comparison of the results obtained in the present investigations with those presented in Paper I and Paper II allows us to infer how the mass and composition of dust produced by AGBs change with metallicity. Fig. 8 shows the mass of dust produced by stars with masses in the range 1 M⊙ ≤ M ≤ 8 M⊙ and metallicities Z = 10⁻³ (Paper I), Z = 8 × 10⁻⁴ (Paper II) and 3 × 10⁻⁴ (this study).

Stars with mass above ∼3 M⊙ produce silicates, in quantities scaling with Z. Low-mass stars produce mainly carbon-dust, although some silicate formation occurs, in quantities increasing with metallicity, owing to the larger silicon available. As far as the C-star stage is reached, the carbon-dust formed exceeds by far the previous production of silicates, because of the large excess of carbon with respect to oxygen favoured by repeated TDUs. Most of the mass of dust shown in Fig. 8, produced in the low-mass regime, is under the form of carbon-dust.

The effect of metallicity on the carbon formed is not trivial, because the carbon dredged to the surface during the TDU is produced in the He-burning shell, and is thus independent of Z. In low-Z models, TDU is more efficient (Boothroyd & Sackmann 1988), thus their surface carbon is larger. This effect is compensated by the cooler surface temperatures at which higher Z models evolve; the dust formation zone is closer to the stellar surface, thus the condensation process is more efficient. Moving to smaller metallicities the range of masses involved in the solid carbon formation becomes progressively smaller, because the larger core masses favour HBB conditions for smaller and smaller initial masses. For masses M < 2 M⊙, lower Z models produce more dust, because they reach earlier in their evolution the carbon star stage.

The results shown in Fig. 8 indicate that while the production of silicates turns out to be extremely dependent on metallicity, the carbon-dust produced show only (if any) a modest dependence on Z, but the lower and upper limits of the masses involved. These findings are in agreement with the results presented by Sloan et al. (2012), showing that the amount of amorphous carbon produced is fairly independent of metallicity, for carbon stars observed not only in the Galaxy and in the Magellanic Clouds, but also in other galaxies of the Local Group.

We plan to further extend these results to smaller metallicities, but the general pattern can be inferred from the results found here and from the properties of AGB stars at extremely small Z. In the high-mass domain, silicate formation becomes harder with decreasing Z, because of the smaller amount of silicon available and the stronger HBB, which triggers the destruction of surface oxygen. For masses M ≥ 3 M⊙, HBB is expected to occur down to Z = 10⁻⁸ (Lau, Stancliffe & Tout 2009), which prevents the formation of carbon-type dust. At Z below 10⁻⁴, low-mass AGBs evolve differently (Campbell & Lattanzio 2008; Lau et al. 2009). The ignition of He-burning,
both in the core or in a shell, is accompanied by the development of an extended convective zone, which, owing to the small height of the entropy barrier, penetrates the H-rich region. The following inwards penetration of the external envelope allows mixing of processed material in the interior with the surface, which is thus enriched in CNO elements. The composition of the winds of these stars depends on which mechanism is more efficient in changing the surface chemistry. Stars with mass above \( \sim 1 \, M_\odot \) experience both HBB and TDU; although dual shell flash is also likely to occur, the change in the surface chemistry is mostly determined by the combined effects of TDU and HBB, the former favours an enrichment in the surface carbon after each TP, the latter destroys part of this carbon and produces nitrogen. As a result, the average carbon mass fraction barely reaches \( \bar{X}(C) = 5 \times 10^{-4} \), thus preventing the formation of meaningful quantities of carbon grains. Therefore, we can expect that when \( Z \lesssim 10^{-4} \), dust production is limited to low-mass stars, whose evolution is not influenced by HBB and whose surface carbon may survive to proton fusion.

4.4 The uncertainties in the modelling

Before addressing the implications of the results presented, we discuss the robustness of these findings. The treatment of convection and mass-loss are known to have a significant impact on AGB modelling, both on the chemical and physical sides (Ventura & D’Antona 2005a,b; Ventura & Marigo 2010). However, at odds with previous explorations based on higher metallicities (Paper I,II), dust production in the high-mass domain is not sensitive to these uncertainties at these low \( Z \)’s; the amount of silicon in the wind is so scarce, that no meaningful silicate-type dust is expected, far below the quantities needed to accelerate the wind.

The choice of the convection modelling influences the upper limit of the masses producing carbon-type dust: if a less efficient description of convection was adopted, the threshold limit (in mass) to experience HBB would shift \( \sim 0.5 \, M_\odot \) upwards, which would extend the range of masses involved in carbon production up to \( \sim 3 \, M_\odot \).

The description of mass-loss could potentially influence the physical evolution of low-mass stars; the mass-loss rate determines the number of TP experienced, thus the extent of the surface carbon enrichment. Yet, we do not expect a strong sensitivity of the amount of carbon-dust formed to the mass-loss description for the following reasons: (a) a higher carbon enrichment favours a rapid cooling and expansion of the outer layers of the stars, with the consequent increase in the mass-loss rate experienced (Marigo 2002), which prevents many more TDU episodes to occur; (b) as discussed previously, the size of the carbon grains formed are not strongly sensitive to the amount of carbon present in the wind, owing to the high opacity of carbon grains, that favour a strong acceleration of the winds as soon as they begin to form.

Concerning the wind modelling, the robustness of the present results must be confronted with a more complete description, which accounts for the presence of shock waves. Dust formation would be favoured by the shock, because compression of the gas lifted upwards would increase the densities of the key species, and dense parcels of gas would be pushed to cool regions distant from the central star, where dust is expected to be formed in great quantities.

In oxygen-rich environments, a further effect due to the presence of shock waves might be the deviation from chemical equilibrium in the gas phase, with the consequence that carbon is not entirely locked into CO molecules, part of it remaining available for carbon-dust formation. This scenario could lead to the simultaneous formation of carbon and silicates grains in the circumstellar shells of oxygen-rich AGBs, and would provide an alternative mechanism for a dust-driven mass-loss for M stars (Höfner & Andersen 2007).

5 CONCLUSIONS AND IMPLICATIONS FOR COSMIC DUST ENRICHMENT

We calculate the dust formed around AGB and super-AGB stars of metallicity \( Z = 3 \times 10^{-4} \), the lowest metallicity for which dust production by low- and intermediate mass stars has been considered so far. This study complements previous investigations that were limited to metallicities \( Z \approx 10^{-3} \) and \( Z = 8 \times 10^{-3} \). Stellar evolution is followed by means of a full integration of the equations of stellar structure, allowing us to describe self-consistently the HBB phenomenon.

We find that for stars with initial metallicity \( Z = 3 \times 10^{-4} \).

(i) In the high-mass domain, with \( M \geq 3 \, M_\odot \), strong HBB prevents the formation of carbon stars. The scarcity of silicon in the wind and the strong destruction of the surface oxygen in the most massive models lead to a negligible formation of silicates, not sufficient to accelerate the wind via radiation pressure on dust grains.

(ii) Dust production is limited to the formation of solid carbon grains around low-mass stars, with initial mass \( M \leq 2.5 \, M_\odot \). The results depend on the way TDU is modelled, but as far as a minimum abundance of carbon of the order of \( X_C \sim 0.005 \) is reached in the surface layers, the results are approximately independent of the extent of TDU. This is a consequence of the balance between larger carbon abundances reached by models experiencing the deepest dredge-up and their lower core masses, that lead to smaller mass-loss rate.

(iii) Under these conditions, the carbon-dust produced is \( 2 \times 10^{-4} \, M_\odot < M < 6 \times 10^{-4} \, M_\odot \) with grains radii in the range \( 0.08 < a_C < 0.12 \, \mu m \).

(iv) Compared to higher metallicity models, low-mass stars with \( M \sim 1-1.5 \, M_\odot \) produce more dust because they reach more easily the carbon-star stage. Conversely, for \( M > 1.5 \, M_\odot \) higher Z models produce dust more efficiently, owing to their cooler surface layers.

(v) These results can be extrapolated to even more metal-poor AGBs. No dust is expected in the high-mass domain, dominated by HBB, because of the scarcity of silicon available. At low-Z HBB is present even in low-mass stars, below \( 2 \, M_\odot \). Although it is not sufficiently strong to burn oxygen, still it destroys part of the carbon accumulated by TDU. The scarcity of carbon in the envelope prevents the formation of carbon grains, if not in small quantities.

These results have interesting implications for the contribution of AGB stars to dust enrichment. The grid of stellar models that we have presented in Paper I, II and in the present study, suggest that for \( Z = 8 \times 10^{-3} = 0.4 \, Z_\odot \), the metallicity of the Large Magellanic Cloud, AGB stars can contribute to dust enrichment of the ISM on relatively short time-scales, \( \approx 40 \) Myr, comparable to the evolutionary time of an \( 8 \, M_\odot \) star. According to our results, the enrichment is initially limited to silicate dust, and carbon-dust is released on longer time-scales, \( t > 300 \) Myr, comparable to the evolutionary time of a \( 3 \, M_\odot \) star. For initial metallicities in the range \( 3 \times 10^{-4} < Z \lesssim 10^{-3} \) or \( 0.015 \, Z_\odot < Z < 0.05 \, Z_\odot \), the production of silicates by the most massive AGB stars is strongly suppressed and low-mass stars can mostly contribute to carbon-dust enrichment when \( t > 300 \) Myr.

It is important to stress that these results and the dependence of the predicted AGB and super-AGB dust yields on the initial stellar metallicity reflect the complex evolution of these stars and cannot be appreciated when synthetic stellar models are adopted.
Indeed, our results partly contradict previous claims that AGB stars can always contribute to carbon-dust enrichment, independently of their initial mass and metallicity, at least in the metallicity range, $5 \times 10^{-2} \leq Z \leq 1 Z_\odot$ (Ferrarotti & Gail 2006; Zhukovska, Gail & Trieloff 2008).

More importantly, our study suggests that the dust yields computed for stars with initial metallicity of $Z \sim 10^{-2} Z_\odot$ cannot be extrapolated to lower metallicity as the contribution of AGB stars to dust enrichment can be safely neglected when the metallicity of the stars is $Z < 10^{-3} (5 \times 10^{-3} Z_\odot)$. Hence, our results imply that at these low metallicities, supernovae are left as the only viable stellar dust sources (Todini & Ferrara 2001; Nozawa et al. 2003, 2007; Bianchi & Schneider 2007; Cherchneff & Dwek 2010).

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