Full Review

Understanding associations of hemodialysis practices with clinical and patient-reported outcomes: examples from the DOPPS

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INTRODUCTION

Due to the scarcity of randomized clinical trials (RCTs) in nephrology [1], particularly in dialysis, there has been uncertainty about optimal practices. Using standardized data collection, the Dialysis Outcomes and Practice Patterns Study (DOPPS) has shown very large between- and within-country differences in hemodialysis (HD) practices and patient outcomes. These observational data are especially useful in areas where results from clinical trials are not yet available or where a randomized design may not be feasible, e.g. for ethical reasons or high cost. Observational studies have influenced medical treatments for many decades, but can result in biased and misleading findings if appropriate statistical methodology is not applied, since treatments are often confounded by clinical indication. For example, we observe that HD patients treated with erythropoietin have lower—not higher—post-treatment hemoglobin levels than patients not treated with this agent because the indication for therapy is a low hemoglobin level [2]. In the DOPPS, a vast amount of information on potential confounders, as well as application of sophisticated statistical techniques, contributes to improve comparability among treatment groups with the intent to yield more robust results.

The DOPPS

Launched in 1996, the DOPPS is an international prospective cohort study of HD patients and dialysis facility practices that is currently ongoing in more than 400 facilities in nearly 20 countries. Dialysis facilities are selected to be nationally representative, and patients within the selected facilities are sampled at random, to minimize selection bias [3–5]. Study objectives include description of differences in practice patterns and examination of their associations with patient outcomes while accounting for patient case mix and other potential confounders. Practice patterns may include preference of, or protocols for medication use or dose, clinical target values, average dialysis prescription, staffing levels, physician opinions, etc. Examples of outcomes include mortality, hospitalization, vascular access and patient-reported outcomes such as quality-of-life.

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An HD patient’s vascular access is often viewed as the lifeline for the delivery of HD therapy, with a native arteriovenous fistula (AVF) widely recognized as the vascular access with the best outcomes overall, compared with an AV graft (AVG) or central venous catheter (CVC). The DOPPS has documented large differences in vascular access use across countries and across dialysis units within countries. International differences in vascular access use among prevalent dialysis patients are depicted in Figure 1, based on DOPPS phase 5 (2012–14), showing AVF use varying from 49% in Canada to 92% in Russia, and CVC use ranging from <2% in Japan to 45% in Canada.

HD patients who receive dialysis through a CVC or an AVG tend to have greater comorbidities, are older and in poorer health, compared with patients using a native AVF. Over the last two decades, we and others have reported substantially higher mortality rates for patients using a CVC and somewhat higher mortality rates if using an AVG as a vascular access (versus AVF), even after extensive adjustment for these factors [6–8]. A concern with these analyses, however, was that if patient characteristics by vascular access were not balanced on measured covariates, then they were likely also imbalanced across unmeasured confounders. To address this limitation we applied a facility-level approach, assigning the adjusted proportion of patients prescribed a catheter in the facility as the exposure for each patient in the facility. The DOPPS has shown that vascular access type tends to be more strongly related to provider preference than to patient characteristics [4, 6], resulting in great variation in vascular access use across HD facilities. Before applying this approach, we compared the distribution of 20+ key patient characteristics across four levels of facility catheter use (i.e., the proportion of catheter users in each facility), revealing that the distributions of age and comorbidities were similar in facilities having lower versus higher percentage CVC use.

Thus, variation in catheter use likely reflected differences in provider preference for CVC use. We then conducted two types of mortality analyses [6]. (i) The standard Cox regression with extensive adjustment for patient characteristics showed in the fully adjusted model a hazard ratio (HR) for catheter versus AVF of 1.32 [95% confidence interval (CI) 1.22, 1.42] and an HR for AVG versus AVF of 1.15 (95% CI 1.06, 1.25). (ii) The facility-level analysis with adjustment for case mix and other facility practices were qualitatively consistent in demonstrating the survival benefits of AVF: the mortality HR (95% CI) was 1.14 (1.06, 1.22) per 20% greater facility AVG use and 1.07 (1.01, 1.13) per 20% greater facility AVG use. Further more, the facility-level model was minimally affected by adjustment for additional covariates, an indicator of a more robust finding.

Moreover, prior findings of higher adjusted mortality in the US versus European DOPPS countries [9] was largely explained by differences in vascular access practices as shown in Figure 2 [6].

When HD treatment first became available in the 1960s, the thrice weekly session length was often 8–10 h. The complication of dialysis disequilibrium syndrome during and after HD sessions was common, particularly with shorter sessions that removed urea and fluid more rapidly. This complication could be avoided, however, by raising dialysate sodium [10]. Subsequently, in the 1970s and 1980s, dialysate sodium was increased from 132 to about 140 mEq/L, allowing for standard session lengths of only 4 h thrice weekly. The National Cooperative Dialysis Study, a large randomized trial in the USA, yielded results that were interpreted to mean no benefit from longer treatment times (P = 0.06, labeled 'not significant').
and dialysis time in the USA was shortened further, often to 3 h. Concurrently, blood flow rates were increased to achieve a similar dialysis dose for urea (Kt/V). To test the hypothesis that longer treatment time reduces mortality, we took advantage of the large variation in observed mean prescribed treatment times across facilities within each DOPPS country as shown in Figure 3 [12]. Among prevalent HD patients, those prescribed longer dialysis sessions were more likely to have hyperkalemia or large interdialytic weight gains, likely reflecting the practice of prescribing patients longer session times to remove excess potassium or fluid. In analyses adjusted extensively for case-mix, we showed that a 30-min longer treatment time was associated with a 6% lower mortality rate (HR 0.94; 95% CI 0.92, 0.97). Recognizing the potential for treatment by indication however, we also applied an instrumental variable (IV) analysis that can minimize unmeasured confounding. The international DOPPS is well-suited for this type of analysis due to the large variation in practice patterns such as treatment time, making the dialysis facility a reasonable instrument candidate. We conducted a two-stage IV analysis using the dialysis facility as the instrument, adjusting for patient case-mix. Results of the IV analysis (Figure 4) were consistent with the standard Cox model, providing additional evidence for the benefit of longer treatment time [12].

We also estimated the effect of treatment time on mortality independent of dialysis dose (Kt/V). Adjusting also for Kt/V, which is primarily based on pre- and post-dialysis urea or blood urea nitrogen (BUN) values and body size (with little contribution of treatment time in the Kt/V formula), we found that the HR was slightly attenuated, but a 4% lower mortality rate per 30 min longer treatment time remained (HR 0.96; 95% CI 0.93, 0.99) [12]. We were puzzled, however, by differences observed across DOPPS regions: the association (unadjusted for Kt/V) was strong in Europe and Japan but very weak in North America [12]. With this unexplained caveat, DOPPS findings provided strong evidence that longer treatment time is associated with lower mortality.

**DIALYSATE SODIUM**

Recent opinions have suggested that the dialysate sodium concentration (DNa) should be lowered to avoid sodium transfer to the patient during HD [13]. We investigated this issue with international DOPPS data and discovered that almost half the DOPPS facilities used a single DNa level for virtually all their patients (excluding ≤10% patients whose DNa deviated). In these ‘non-individualized’ facilities, confounding by indication was not an issue because the patient’s DNa was not influenced by clinical indications. The other ‘individualized’ facilities

![Figure 3: Facility mean prescribed treatment time by DOPPS country and DOPPS data collection phase (1996–2008). For country abbreviations see Figure 1. Adapted with permission from Tentori et al. [12].](image-url)

![Figure 4: HR of mortality associated with prescribed treatment time (TT, per 30 min longer) in DOPPS phases 1–3 (1996–2008). Cox regression models stratified by country and phase, accounted for facility clustering, and adjusted for age, sex, black race, time on dialysis, body mass index, 13 summary comorbid conditions, residual kidney function, prescribed blood flow rate, and catheter use. Adapted with permission from Tentori et al. [12].](image-url)
allowed us to examine differences in patient characteristics by the DNa level used. In so doing, we found evidence that DNa was prescribed by indication in this latter group of facilities, and thus standard analyses could be subject to confounding by indication. For example, patients were more likely to be prescribed a lower DNa if their predialysis systolic blood pressure (SBP) was higher and a higher DNa if their intradialytic drop in SBP was greater [14].

DNa ranged from 137 to 143 mEq/L in 97% of non-individualized facilities and we found that the mean interdialytic weight gain during 1 week was greater by 0.17% of body weight per 2 mEq/L higher DNa. This translates to about 120 g or mL for a patient with a weight of 70 kg, or about 60 mL/day. While this modest fluid gain may be of concern to some clinicians, mortality analyses showed that the adjusted HR for all-cause mortality, without adjusting for weight gain, was not elevated but reduced at higher DNa (HR 0.88 per 2 mEq/L higher DNa; 95% CI 0.83, 0.94) [15]. This observed mortality HR remained in sensitivity analysis adjusting for other practice patterns and excluding Japanese patients (HR 0.91; 95% CI 0.85, 0.97) [16]. We also found association between mortality and a larger dialysate-to-serum sodium difference (gradient), as reported by others; however, a further investigation by serum sodium revealed that this sodium gradient association was fully explained by low serum sodium and not by the DNa (Figure 5) [15, 17]. Despite confirmation of greater weight gain between dialysis sessions, our finding of lower mortality (and lower hospitalization rate) with higher DNa suggests that the prior recommendation for lowering DNa was at least partly based on analyses favoring lower DNa that may have been confounded by indication.

In a subsequent DOPPS study of patient-reported time to recovery after HD sessions, Rayner et al. found that higher DNa was associated with shorter recovery time, suggesting a further benefit of higher DNa [18]. This finding requires confirmation in follow-up studies.

**SERUM PHOSPHORUS**

Control of phosphorus in dialysis patients is often difficult, as evidenced by the wide variation in phosphorus control across and within countries (Figure 6). One may speculate that this variation is due to differences in educational efforts by the dialysis staff, as well as patient compliance and dietary intake. We examined the association between serum phosphorus level at entry into the DOPPS and mortality. After extensive adjustment for case mix the mortality rate was clearly elevated for predialysis serum phosphorus above 6.5 mg/dL compared with patients whose level was 4.6–5.0 mg/dL. Additionally, very low serum phosphorus levels were also associated with adverse outcomes,

![FIGURE 5: HR of mortality by categories of dialysate sodium (DNa) and predialysis serum sodium (SNa), in 425 facilities that used a non-individualized DNa prescription (no confounding by indication) in DOPPS phases 1–4 (1996–2011). Reference category DNa = 140 mEq/L and SNa = 138–139 mEq/L. All Cox regression models were stratified by country and study phase, accounted for facility clustering, and adjusted for demographics, comorbidities and laboratory values. Analyses updated from Hecking et al. [15] and figure adapted with permission from Port [17].

![FIGURE 6: Distribution of baseline serum phosphorus among patients on dialysis >180 days, by DOPPS country and DOPPS data collection phase (1996–2008). For country abbreviations see Figure 1. Adapted with permission from Tentori et al. [19].](https://academic.oup.com/ndt/article-abstract/32/suppl_2/ii106/2989977/Understanding-associations-of-hemodialysis)
and improved nutrition [20].

We recognize that the association of phosphorus with mortality is more complex as additional DOPPS research has shed light on the potential additional relationship of phosphorus-binders with cardiovascular outcomes and health-related quality of life and for serum albumin in DOPPS phase 1 (1996–2008; \( n = 5857 \) deaths). Adapted with permission from Tentori et al. [17].

FIGURE 7: HR of mortality by categories of baseline serum phosphorus among patients on dialysis \( >180 \) days in DOPPS phases 1–3 (1996–2008; \( n = 5297 \) patients, \( n = 5857 \) deaths). Adapted with permission from Tentori et al. [17].

FIGURE 8: HR of mortality by quintile of two summary scores of health-related quality of life and for serum albumin in DOPPS phase 1 (1996–2001). Adapted with permission from Mapes et al. [21].

which may reflect poor nutritional intake (Figure 7) [19]. In a further analysis we found that the cause-specific mortality due to cardiovascular causes (censoring patients who died of other causes) was much higher than the all-cause mortality HR for phosphorus levels \( >6.0 \) mg/dL [19]. Given the large differences in the average control of phosphorus at the dialysis facility level, we also studied whether poorer facility control of phosphorus was associated with higher mortality in case mix adjusted models. Tentori et al. found qualitatively consistent results of higher mortality for patients treated at facilities with higher percentages of patients having serum phosphorus \( >6.0 \) mg/dL [19]. We recognize that the association of phosphorus with mortality is more complex as additional DOPPS research has shed light on the potential additional relationship of phosphorus-binders and improved nutrition [20].

HEALTH-RELATED QUALITY OF LIFE

Since the beginning, the DOPPS has placed great emphasis on the importance of the patient’s experience, collected through a patient questionnaire that is completed by most DOPPS participants close to their enrollment into the study and annually thereafter. In DOPPS analyses, the physical component summary (PCS) and mental component summary (MCS) score from the KDQOL 36 are low compared with the general population, indicating lower levels of functioning among HD patients in all countries. Not surprisingly, patients with lower PCS and MCS scores have higher mortality rates than those with higher scores, even after adjustment for potential confounders (Figure 8; association of serum albumin also shown) [21]. These findings suggest that patient-reported indicators deserve attention since they are prognostic markers. The DOPPS has noted strong associations of numerous patient-reported measures with outcomes including a strong association of mortality with depressive symptoms that may be treatable [22, 23].

DISCUSSION

The findings presented here provide some perspectives on ‘real world’ practice differences between HD facilities and their association with clinical outcomes in the large international DOPPS.

It is important to recognize that in any type of comparative study, even RCTs, we observe associations, not (causal) effects. The main advantage of randomized studies (experiments), relative to observational studies, is the potential for mitigation of confounding (bias), even when we have not identified or measured the variables (confounders) responsible for the bias. Of course, RCTs also have methodological limitations (e.g. non-adherence to treatment protocols; lack of blinding; limited generalizability; and low power due to small samples, weak effects, or rare outcomes), and they are often impractical, costly and lengthy in duration, or judged to be unethical.

Consequently, observational research like the DOPPS has remained critical for advancing clinical practice in nephrology, but it requires more attention to certain types of bias such as confounding, selection, and reverse causation. To this end, the design of an observational study should be based on imagining what hypothetical experiment (RCT) could be done to test the primary hypothesis. This helps to determine the source population (eligibility), when randomization should be done (and follow-up should begin), what parameter should be estimated and how the statistical analysis could be done. For example, this strategy helps us understand why we cannot determine the best time (e.g. estimated glomerular filtration rate (eGFR)) to start dialysis by conducting a study in a population identified at the start of dialysis. The problem is that persons are not followed before they start dialysis; therefore, we cannot compare chronic kidney disease (CKD) patients with a given eGFR who start versus do not start dialysis at that time, as we would in an RCT.

It is sometimes tempting to conduct (ecologic) analyses at the facility or country level without taking into account patient-level data; but those associations may be severely biased and very misleading. For example, we found that blood flow rates during dialysis are lower in Japan than elsewhere in the DOPPS and mortality is lower in Japan, which taken together (ecologic evidence) could suggest that lower blood flow is beneficial for patient survival. However, we found that higher blood flow was associated with lower mortality in the USA, Europe, and even Japan [24]. Thus, the ecologic analysis was very misleading, and the problem—called ecologic bias—was not simply confounding [25].
The DOPPS study of outcomes by dialysis session length is relevant even though a large randomized trial, the National Cooperative Dialysis Study, compared outcomes for patients assigned to short versus long treatment time (2.5–3.5 h versus 4–4.5 h) and high versus low time-averaged BUN [11]. The hospitalization rate, as the primary outcome, was lower for patients randomized to the longer treatment-time arm, but the P-value of 0.06 was labeled ‘not significant’ and therefore was interpreted as showing no benefit of longer treatment times. That conclusion was a misinterpretation of the statistical findings because P < 0.05 is an arbitrary cutpoint and the P-value is influenced by the size of the study as well as the magnitude of the association; it does not reflect the probability that the null hypothesis is true or that the results were due to chance. This long-established limitation of significance testing for interpreting statistical findings was recently released as an official consensus statement by the American Statistical Association [26].

The large differences in practices across facilities observed in the DOPPS allow the use of facility-level or IV analyses. In recent years the DOPPS approach has evolved to using a two-stage IV analysis, with the dialysis facility as the instrument, to control for unmeasured confounders while adjusting for several measured confounders at both stages. These analyses control for unmeasured or inadequately measured confounders, since confounding by indication is usually present and adjustment for measured confounders may not be sufficient to remove the bias. To enhance the validity of this approach, we also adjust for indicators of other facility practices. In an extension of this principle, restricting analysis to facilities with uniform, but contrasting, practice patterns (e.g. concentration of a dialysate component) avoids confounding by indication when comparing those practices, but there still may be confounding due to unmeasured facility (contextual) factors [27].

Patient-reported indicators of health-related quality of life usually require lengthy questionnaires to be filled out. A caveat for most analyses of patient reporting is that the sickest patients often are not able or willing to provide information, e.g. due to an acute illness or mental status change. Our previous analyses of responders versus non-responders indicate that non-responders are sicker and likely at greater risk of dying [28]. To deal with this limitation, multiple imputation may assign missing exposure status in non-responders, or sensitivity analyses may be useful. Our analyses of outcomes associated with depressive symptoms or with recovery time after HD showed that a single question may provide prognostic information and allow for potential clinical intervention.

The outcomes of interest for the DOPPS and the clinician clearly go beyond mortality. Although not addressed in this article, we note that the results for each of the studies covered here did not only show strong associations with mortality but also with time to first hospitalization (see respective citations). Given the disruption to patients’ lives caused by dialysis, validated patient-reported outcome instruments are also increasingly recognized as important outcomes capturing the experiences of dialysis patients.

There is a great need to study the transition from CKD to dialysis, particularly since there has been a trend to starting dialysis earlier with a higher eGFR and since we observed a particularly high mortality in the early months of HD. Outcomes for incident dialysis patients are influenced by factors outside the dialysis facility such as prior CKD therapy, rate of CKD progression and level of residual renal function.

The examples presented are based primarily on prevalent patients, i.e., patients with variable vintage on HD, in order to be useful to the clinician when making rounds in the dialysis facility. In addition, some findings from cohort studies may provide motivation for clinical trials, as well as data to inform design of such trials. IV analysis using the dialysis facility as the instrument is a promising method to control for unmeasured confounders. Finding consistent results with conventional analysis is reassuring when the instrument is strong; however, inconsistent results must be evaluated on the basis of a priori clinical knowledge that is not necessarily captured in the data.

The DOPPS reached its 20 year anniversary in 2016 and continues to bring new clinically useful information for the practicing nephrologist, not only for HD, but also recently for peritoneal dialysis (PDOPPS) and advanced CKD practices (CKDopps). The large observed variations in numerous treatment variables among dialysis facilities suggest uncertainties about optimal practice patterns. The DOPPS is designed to continue pursuing such questions from ‘real world’ practices and associated outcomes.

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CONFLICT OF INTEREST STATEMENT

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