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Giroctocogene fitelparvovec gene therapy for severe hemophilia A: 104-week analysis of the Phase 1/2 Alta study

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Abstract:

Patients with hemophilia A require exogenous factor VIII (FVIII) or non-factor hemostatic agents to prevent spontaneous bleeding events. Adeno-associated virus (AAV) vector-based gene therapy is under clinical investigation to enable endogenous FVIII production. Giroctocogene fitelparvovec is a recombinant AAV serotype 6 vector containing the coding sequence for the B-domain-deleted human *F8* gene. In the ongoing phase 1/2, dose-ranging Alta study, 4 sequential cohorts of male participants with severe hemophilia A received a single intravenous dose of giroctocogene fitelparvovec. The primary end points are safety and changes in circulating FVIII activity. Interim results up to 214 weeks after treatment for all participants are presented. Eleven participants were dosed. Increases in alanine and aspartate aminotransferases were the most common treatment-related adverse events (AEs), which resolved with corticosteroid administration. Two treatment-related serious AEs (hypotension and pyrexia) were reported in one participant within 6 hours of infusion and resolved within 24 hours post-infusion. At the highest dose level (3×10^{13} vg/kg; $n = 5$), the mean circulating FVIII activity level at week 52 was 42.6% (range, 7.8%-122.3%) and at week 104 was 25.4% (range, 0.9%-71.6%) based on a chromogenic assay. No liver masses, thrombotic events, or inhibitors were detected in any participant. These interim 104-week data suggest that giroctocogene fitelparvovec is generally well tolerated with appropriate clinical management and has the potential to provide clinically meaningful FVIII activity levels, as indicated by the low rate of bleeding events in the highest-dose cohort.

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Giroctocogene fitelparvovec gene therapy for severe hemophilia A: 104-week analysis of the Phase 1/2 Alta study

Short title (right running head): Giroctocogene Fitelparvovec: 104-Week Results

Left running head: Leavitt AD *et al*

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Data Sharing Statement

Upon request, and subject to review, Pfizer will provide the data that support the findings of this study. Subject to certain criteria, conditions, and exceptions, Pfizer may also provide access to the related individual de-identified participant data. See <https://www.pfizer.com/science/clinical-trials/trial-data-and-results> for more information.

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Key Points

- Giroctocogene fitelparvovec is the first gene therapy for hemophilia A that uses a recombinant AAV serotype 6-based vector.
- Giroctocogene fitelparvovec was generally well tolerated with appropriate clinical management and showed efficacy at the highest dose.

Explanation of Novelty

Giroctocogene fitelparvovec uses a recombinant AAV serotype 6-based vector carrying a B-domain–deleted *F8* complementary DNA under control of a liver-specific promoter.

In the phase 1/2 Alta study, adults with severe hemophilia A received a single intravenous giroctocogene fitelparvovec infusion. With at least 104 weeks of follow-up at the highest dose, giroctocogene fitelparvovec has clinically relevant increases in factor VIII activity levels from baseline, with few bleeding events.

ABSTRACT

Patients with hemophilia A require exogenous factor VIII (FVIII) or non-factor hemostatic agents to prevent spontaneous bleeding events. Adeno-associated virus (AAV) vector-based gene therapy is under clinical investigation to enable endogenous FVIII production. Giroctocogene fitelparvovec is a recombinant AAV serotype 6 vector containing the coding sequence for the B-domain-deleted human *F8* gene. In the ongoing phase 1/2, dose-ranging Alta study, 4 sequential cohorts of male participants with severe hemophilia A received a single intravenous dose of giroctocogene fitelparvovec. The primary end points are safety and changes in circulating FVIII activity. Interim results up to 214 weeks after treatment for all participants are presented. Eleven participants were dosed. Increases in alanine and aspartate aminotransferases were the most common treatment-related adverse events (AEs), which resolved with corticosteroid administration. Two treatment-related serious AEs (hypotension and pyrexia) were reported in one participant within 6 hours of infusion and resolved within 24 hours post-infusion. At the highest dose level (3×10^{13} vg/kg; $n = 5$), the mean circulating FVIII activity level at week 52 was 42.6% (range, 7.8%-122.3%) and at week 104 was 25.4% (range, 0.9%–71.6%) based on a chromogenic assay. No liver masses, thrombotic events, or inhibitors were detected in any participant. These interim 104-week data suggest that giroctocogene fitelparvovec is generally well tolerated with appropriate clinical management and has the potential to provide clinically meaningful FVIII activity levels, as indicated by the low rate of bleeding events in the highest-dose cohort.

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Keywords: Adeno-associated virus; factor VIII deficiency

INTRODUCTION

Gene therapy for hemophilia A is potentially a one-time treatment. Adeno-associated viral (AAV) vector-based gene therapies are under clinical investigation.¹ Challenges to development of AAV-based gene therapy include the presence of preexisting anti-AAV neutralizing antibodies (NAbs), which can limit the pool of eligible patients, and posttreatment elevated transaminase levels, potentially due to immune responses stimulated by hepatocytes transiently displaying viral capsid antigens, which can diminish treatment effect.² Assessment of baseline NAb status and treatment with corticosteroids at the initial signs of a transaminase elevation may address these challenges.³

Giroctocogene fitelparvovec (PF-07055480, formerly SB-525; Pfizer Inc. and Sangamo Therapeutics) is the first gene therapy for hemophilia A using a recombinant AAV serotype 6 (AAV6)-based vector. This serotype was chosen based on preclinical studies demonstrating a high degree of liver tropism and in vivo proof of concept suggesting clinical application.⁴⁻⁹ Indeed, AAV6 was recently shown to target zinc-finger nucleases to the liver with a favorable safety profile, providing further clinical evidence supporting development of an AAV6-based vector for the treatment of hemophilia A.⁵ AAV6 antibody seroprevalence is comparable to other AAV serotypes, and the availability of multiple serotypes may increase the population of patients that can receive AAV-based gene therapy.^{10,11} Giroctocogene fitelparvovec carries a B-domain-deleted (BDD) F8 complementary DNA encoding the same amino acid sequence as moroctocog alfa followed by a polyA tail under control of a liver-specific promoter utilizing cis-acting regulatory modules to promote liver expression.¹² The entire

expression cassette is flanked by AAV2 inverted terminal repeats (**Supplementary Figure S1**) and comprises a bioengineered hybrid liver promoter derived from minimal transthyretin promoter (mTTR). Following culturing and expansion of the producer cell bank, recombinant AAV (rAAV) production is initiated in the production bioreactor and continues until harvest when the rAAVs are isolated using nuclease. The drug substance undergoes further purification before it is buffered and excipients are added to reach the desired concentration.

AAV-based gene therapy for the treatment of both hemophilia A and B has been met with encouraging results, yielding approved products in both the United States^{13,14} and European Union.^{15,16} These studies have shown a favorable overall safety profile associated with marked clinical benefit with vectors leveraging different AAV serotypes. We present ≥ 2 years (104 weeks) of interim safety and efficacy data per participant with giroctocogene fitelparvovec, leveraging an AAV serotype that has not yet been tested clinically for hemophilia A.

METHODS

Trial Design

Alta is an ongoing, multicenter, dose-ranging, single-dose, 5-year US study of giroctocogene fitelparvovec (NCT03061201) that began in June, 2017; the current analysis includes data obtained through October 1, 2021. The study is being conducted in accordance with the Declaration of Helsinki, Council for International Organizations of Medical Sciences International Ethical Guidelines, and International Council for

Harmonisation Good Clinical Practice guidelines. All participants provided written informed consent before participation.

This study used an adaptive design, with escalating doses starting at 9×10^{11} vg/kg to establish giroctocogene fitelparvovec dose levels sufficient to achieve FVIII activity of 40% to 100% of normal. After a screening period of approximately 8 weeks, the first participant in cohort 1 received a single intravenous dose (**Supplementary Figure S2**). Once safety was deemed acceptable 6 weeks post-dosing, a second participant received the same dose. Cumulative data, including FVIII expression and any dose-limiting toxicities, were then reviewed by an independent safety monitoring committee to assess the appropriateness of dose alteration, cohort expansion, or addition of cohort(s) at higher dose levels.

On treatment administration day, participants were admitted to the infusion center, where they were observed for approximately 24 hours post-infusion. Participants on prophylactic FVIII replacement therapy prior to study treatment switched to on-demand therapy approximately 2 weeks after infusion.

For 20 weeks post-dosing, FVIII activity was monitored at least weekly and hepatic enzymes were monitored at least twice weekly. For participants with alanine aminotransferase (ALT) levels $>1.5 \times$ baseline, corticosteroid treatment was administered as described in the **Supplementary Materials**.

Participants

The study enrolled males aged ≥ 18 years with severe hemophilia A (FVIII activity $<1\%$ of normal) with ≥ 150 prior treatment or exposure days to FVIII concentrates or

cryoprecipitate, and who had ≥ 12 bleeding episodes over the 12 months preceding screening if using on-demand treatment. Race/ethnicity information was self-reported by the patients. Candidates with preexisting anti-AAV6 NABs, current or previous FVIII inhibitors, or chronic renal or liver disease were excluded. Full eligibility criteria are shown in the **Supplementary Materials**.

End Points

The primary end points were for safety and efficacy. The primary end points for safety and tolerability included adverse events (AEs), serious AEs (SAEs), and clinical laboratory assessments. Detection of malignancy was included in long-term monitoring for SAEs, with assessments including magnetic resonance imaging of the liver or computerized tomography of the abdomen, alpha fetoprotein at weeks 12, 24, 52 and annually thereafter, abdominal exam for hepatomegaly, and routine liver panel assessments. The primary end point for efficacy was the change in circulating FVIII activity. The time course for FVIII expression was evaluated by a central laboratory using chromogenic (Coatest® SP4 FVIII chromogenic assay kit [Chromogenix] on the BCS®XP analyzer [Siemens Healthcare Diagnostics]) and one-stage clotting (Actin FSL [Siemens Healthcare Diagnostics] on the BCS®XP analyzer [Siemens Healthcare Diagnostics]) assays at screening, baseline, on the day of infusion, day 7 after infusion, weekly from weeks 2 through 20, then every 4 weeks beginning at week 24 through week 52, and every 6 months from week 52 to month 60 post-infusion. FVIII activity data collected within 96 hours after administration of FVIII replacement therapy or after resumption of a prophylaxis regimen were excluded from analysis.

Secondary end points included change from baseline in use of FVIII replacement therapy, frequency and severity of bleeding episodes, FVIII inhibitor levels, and recombinant AAV vector shedding in body fluids. Bleeding episodes (including date, time, location, and etiology) and FVIII concentrate usage (including date, time, reason, and dose) were self-reported by participants using handheld e-diaries. FVIII concentrate usage prior to baseline was collected retrospectively and via e-diary before giroctocogene fitelparvovec infusion. The presence of FVIII inhibitor was determined by a central laboratory using the Nijmegen-Bethesda assay. Vector shedding was assessed by polymerase chain reaction to detect and quantify vector DNA in plasma. Plasma samples were collected at 12 hours \pm 1 hour after the start of infusion, and saliva, urine, stool, and semen samples were collected at weeks 1 and 2, then all samples were collected every 2 to 4 weeks until levels were undetectable in 3 consecutive specimens. Interferon-gamma enzyme-linked ImmunoSpot (ELISpot; Cellular Technology Limited, Shaker Heights, Ohio) was used to detect a proliferation of FVIII and capsid-specific T cells at baseline and week 6 (\pm 3 days), with additional samples to be collected if a 50% drop in FVIII activity was detected and/or prior to the initiation of corticosteroid treatment.

Statistical Analysis

Data analyses were descriptive in nature and were based on the safety population, defined as all participants who received any portion of study treatment. Adverse events were coded using the Medical Dictionary for Regulatory Activities (MedDRA) version 24.0.

Plasma FVIII activity levels were plotted using units of % normal, defined as IU/mL \times 100. Group-level plots were limited to protocol-defined study visits, while individual participant plots also included data points from unscheduled visits. Results with values below the limit of quantification were assigned a value of 0.009 IU/mL (0.9%) for analysis and plotting.

The pre-gene therapy annualized bleeding rate (ABR) was based on the total number of treated and untreated bleeding events reported during the 12 months prescreening. The post-gene therapy treated ABR was based on spontaneous and traumatic bleeding events (excluding bleed episodes associated with surgery or postsurgical rehabilitation), calculated as the number of episodes requiring exogenous FVIII administration during the participant's observation period, divided by the number of days in the corresponding period, multiplied by 365.25. This observation period was defined as the period from day 22 post-gene therapy to the first of (1) FVIII prophylaxis re-initiation (day prior to re-initiation), (2) the day of study completion or discontinuation, or (3) the planned data cutoff. Post-infusion total ABR (treated and untreated) events were calculated in a similar manner; however, all bleeding episodes were included, regardless of exogenous FVIII administration.

The pre-gene therapy annualized infusion rate (AIR) was calculated as the number of exogenous FVIII administrations between 30 days prior to screening visit to just before giroctocogene fitelparvovec infusion, divided by the number of days in the corresponding period, multiplied by 365.25. Post-infusion AIR was calculated as the number of FVIII administrations on or after day 22 post-infusion up to the data cutoff date or date of study completion or discontinuation (whichever occurred first), divided by

the number of days in the corresponding observation period, multiplied by 365.25.

Statistical analyses were performed using SAS system version 9.4.

RESULTS

Participants

Eleven of 37 unique screened candidates were enrolled into 4 dose cohorts

(**Supplementary Figure S3**): cohort 1 (9×10^{11} vg/kg; participants 1 and 2), cohort 2 (2×10^{12} vg/kg; participants 3 and 4), cohort 3 (1×10^{13} vg/kg; participants 5 and 6), and cohort 4 (3×10^{13} vg/kg; participants 7, 8, 9, 10, and 11). Reasons for screening failure were detection of anti-AAV6 NABs ($n = 15$), markers of hepatic inflammation or overt or occult cirrhosis ($n = 3$), or hematologic abnormalities (≥ 2 occurrences of any of the following: hemoglobin < 10 g/dL, platelets $< 100,000/\mu\text{L}$, or white blood cells $< 4000/\mu\text{L}$; $n = 1$); individual candidates were excluded for other reasons, including infection, FVIII treatment less than 150 prior exposure days, or investigator decision. Additional information about screening failures is provided in the **Supplementary Materials**. As of the analysis cutoff date, follow-up ranged from approximately 114 weeks to 214 weeks. One participant in cohort 3 (participant #6) missed a visit at week 5, was lost to follow-up approximately 35 weeks after dosing, and rejoined the study at approximately 130 weeks.

Participants had a mean age of 30.3 years (range, 19–47) (**Table 1**). In the 12 months prior to gene therapy infusion, participants had a mean (SD) of 7.5 (8.8) total bleeding episodes (**Table 2**); 10/11 (91%) participants were receiving FVIII prophylaxis. A mean

(SD) of 8.8 (8.3) bleeding events occurred in cohort 4 participants, who were all on prophylaxis (**Table 2**).

Safety

In total, 103 treatment-emergent AEs (TEAEs) occurred across all cohorts; 26 were considered related to study treatment, and all participants reported ≥ 1 TEAE (**Table 3**). The most common treatment-related TEAEs were increases in ALT levels (13 events in 5 [46%] participants) and aspartate aminotransferase (AST) levels (5 events in 3 [27%] participants). In cohort 4, 4 of 5 participants had elevations in ALT (defined as observed ALT value > 1.5 times of baseline value) requiring tapering courses of corticosteroids with 3 of the 4 participants needing ≥ 1 additional course for recurrent ALT elevation (**Figure 1; Figure S5**). In cohort 4, onset of initial ALT elevations ranged from week 2 to week 11. The duration of individual courses of corticosteroid treatment (regardless of reason for use) ranged from 7 to 187 days, with an average duration of 65.7 days. At investigator discretion, not all courses of steroids involved a full tapering regimen prior to discontinuing treatment. The minimum duration time observed in those cases where steroids were tapered was 49 days. ALT elevations refractory to corticosteroid treatment were not observed.

A total of 5 SAEs were reported in 3 participants. Two of these events were considered related to study treatment and occurred in 1 participant in cohort 4. This participant (#7) experienced grade 3 hypotension and grade 2 pyrexia 6 hours after completion of dosing, which resolved within 24 hours after treatment with electrolytes, norepinephrine, ondansetron, glucose, and paracetamol. Additional details about this

episode are provided in the **Supplementary Materials Additional Safety Findings**.

The participant was discharged per the protocol timeline (24 hours post-infusion). The investigator considered both events related to study treatment, based on the temporal association with study drug infusion. No hypotension events occurred in the 4 subsequent participants in cohort 4. One participant in cohort 2 (participant #3) experienced SAEs of grade 3 cellulitis of the buttock and perineum and another (participant #4) experienced an SAE of grade 2 burns to the right anterior foot. Neither of these SAEs were considered related to study treatment. No confirmed FVIII inhibitors, thrombotic events, hypersensitivity, or malignancy were detected in any participant during the analysis period.

Efficacy

Changes in Circulating FVIII Activity

FVIII activity increased in a dose-dependent manner, with the highest levels achieved in cohort 4 (**Figures 2A and 2B**). One participant in cohort 2 (participant #4) had sustained FVIII activity levels of $\geq 1.4\%$, as assessed by a one-stage assay beginning at week 3, but FVIII activity levels remained below the threshold of detection for the chromogenic assay ($< 3\%$).

All participants in cohort 4 achieved peak FVIII levels in the normal range ($> 50\%$) by week 9. Mean (SD; minimum-maximum) FVIII activity levels at weeks 52 and 104 in cohort 4 were 42.6% (53.5; 7.8–122.3; $n = 4$) and 25.4% (27.5; 0.9–71.6; $n = 5$) for the chromogenic assay (**Figure 2C**), representing a relative decrease of 40% in the mean FVIII activity level during year 2. For the one-stage assay, values at weeks 52 and 104

were 66.4% (83.8; 12.0–191.3; n = 4) and 38.9% (36.7; 4.1–99.1; n = 5; **Figure 2D**). Mean FVIII activity results with the one-stage assay were approximately 1.5-fold higher than results with the chromogenic assay. Two participants in cohort 4 experienced transient chromogenic FVIII activity levels above the ULN (150%), with peak values of 169% and 187% at weeks 20 (participant #8) and 52 (participant #7), respectively (**Figure 2A**).

A scatterplot showing one-stage assay results versus chromogenic assay results is shown in **Supplementary Figure S4**.

Frequency of Bleeding Episodes

Treatment of bleeding episodes requiring the use of FVIII replacement therapy was reported in 2 of 2 participants each in cohorts 1 and 2, 1 of 2 participants in cohort 3, and 2 of 5 participants in cohort 4. In cohort 3, mean (SD) total ABR was 3.1 (4.4) versus a mean (SD) total ABR of 1.5 (2.1) in the year prior to giroctocogene fitelparvovec infusion (**Table 2**). In cohort 3, 1 participant (#5) had 20 treated bleeding episodes, with the first bleeding episode (non-target joint) at week 12; 9 bleeding episodes were in a target joint (knee), with the first target joint episode occurring at week 18. The total ABRs for each participant in the year prior to and following infusion are shown in **Supplementary Table S1**.

The cohort 4 mean (SD) total ABR was 0.7 (1.4) versus a mean (SD) total ABR of 8.8 (8.3) in the year prior to giroctocogene fitelparvovec infusion (**Table 2**). In cohort 4, 2 participants experienced bleeding events. One participant (#9) experienced a single treated bleeding event in a target joint (elbow) at week 67, with FVIII activity levels of

18.0% (week 52) and 10.9% (week 104) obtained via central chromogenic assay. Another participant (#10) had 6 treated bleeding episodes, the first occurring at week 67 post-infusion. For this participant, 4 of 6 bleeding events were traumatic, with FVIII levels dropping below levels of quantification via central chromogenic assay as of week 72, but with FVIII values remaining detectable via one-stage assay through week 104 (4.1% at week 104).

Changes in Factor VIII Replacement Therapy

At the time of data cutoff, relative to pre-infusion, there was a mean decrease of 98.6% in the AIR of exogenous FVIII in cohort 4, and no participant in cohorts 3 or 4 resumed prophylaxis. In cohort 4, there were no infusions of FVIII products during the first year, and the post-infusion mean (SD) AIR of FVIII therapy was 1.8 (3.5) at data cutoff.

Vector Shedding

Results for viral vector DNA shedding are summarized in **Table 4**. Overall, the highest concentration of vector DNA was detected in plasma, followed in descending order by saliva, semen, urine, and stool. The mean clearance rate was most rapid in urine (first negative in 7.0 to 28.0 days across the 4 cohorts), followed by semen, saliva, plasma, and stool. Positive vector DNA was not detected in urine in cohorts 1, 2, and 3 or stool in cohort 2. In cohort 4, mean (SD) times to first of 3 consecutive negative results were 14.8 (8.2) days in urine, 42.2 (27.5) days in semen, 60.5 (17.6) days in saliva, 72.6 (62.1) days in stool, and 84.7 (47.9) days in plasma.

Immunogenicity

No FVIII inhibitors were detected during the study. ELISpot results specific to AAV6 and FVIII peptide pools are shown in **Supplementary Figure S5**.

DISCUSSION

Previous reports have described AAV-based or AAV-derived gene therapy in people with hemophilia A.¹⁷⁻²⁰ Here we report results for giroctocogene fitelparvovec, a BDD *F8* gene therapy for the treatment of patients with severe hemophilia A using an AAV6 capsid. The data demonstrate that, with appropriate clinical management, giroctocogene fitelparvovec safely provided clinically relevant FVIII levels for effective treatment of patients with severe hemophilia A.

Alta is a phase 1/2 dose escalation study that included 4 dose cohorts. All 5 participants in the highest dose cohort (cohort 4) achieved FVIII activity levels in the normal range, suggesting some degree of consistency in initial treatment response and supporting that AAV6 is a viable hepatotropic serotype capable of delivering transgene to produce clinically meaningful levels of FVIII. However, at the time of data cutoff, only 1 participant (#8) remained in the normal range. In 4 of the 5 participants in cohort 4 (participants 7, 9, 10, and 11), FVIII expression showed a downward trend in activity over time. While the precise etiology(s) for the decline from peak FVIII level is unknown, in 1 case, a temporal association with a rise in transaminase levels suggests that a possible immune response and/or insult to hepatocytes harboring the transgene, while in other cases, rises in transaminase levels were not associated with a decline in FVIII levels, implicating alternative potential mechanisms. Some participants had FVIII activity

level declines that stabilized over time (participants 9 and 10), while others exhibited a slow but continuous decline in FVIII activity (participants 7 and 11). The reasons for this difference are not known, and further follow-up is necessary to assess the trajectory of FVIII activity levels and whether there are potential patient characteristics that may predict the FVIII response over time. These findings are consistent with previous reports of hemophilia A gene therapy using an AAV5 vector that showed FVIII activity increases in the highest dose cohorts to 19–164% of normal in the one-stage clotting assay,²⁰ 11–95% (median, 60%) of normal in the chromogenic assay,¹⁹ and a median of 23.9% (chromogenic assay)¹⁸ at 1 year after administration. As in our study, FVIII activity gradually declined, to chromogenic assay median values of 26%¹⁹ and 14.7%¹⁸ at 2 years, and levels of 4–100% (median, 20%) of normal (chromogenic assay) at 3 years.¹⁹ A previous report of AAV3-based gene therapy showed 15 of 18 enrolled participants had a FVIII activity level of 3.0–14.3% (mean, 6.9%) of normal (chromogenic assay) at 1 year after infusion without observable decreases to year 3; however, 2 other participants in the highest dose cohort lost expression of FVIII after an immune response to the rAAV3 vector.¹⁷

Transient asymptomatic liver transaminase elevations have been commonly observed following liver-directed AAV therapy for hemophilia.^{18,19,21} One potential etiology may be the activation of T cells that target hepatocytes displaying capsid peptides on their surface.²² Prior studies have shown that timely intervention with corticosteroids can quell potential immune response, lower liver transaminase values, and stabilize transgene-derived factor activity levels.^{17,20,23-25} We also saw this with 4 of 5 cohort 4 participants requiring ≥ 1 course of corticosteroids for elevated liver

transaminases. Notably, 3 participants received a second course of corticosteroids for a second rise in liver transaminase levels. This is not a unique finding and has been reported in another AAV-based gene therapy trial for treatment of hemophilia A.²⁰ The sampling schedule for ELISpot specimens in this study was too sparse to permit any meaningful conclusions regarding T-cell activation. Of note, 3 of the 4 participants (#7, #8, and #11) showed maintenance of FVIII activity levels through corticosteroid treatment, and no ALT elevations refractory to corticosteroid treatment were observed. These results seem to suggest that use of the AAV6 vector may not reduce the incidence of liver transaminase elevations compared with other serotypes that have been used in other hemophilia A gene therapy studies.

The exact etiology for the secondary rise in liver transaminase levels in some participants is uncertain, as initiation of second corticosteroid courses ranged from 8 to 43 weeks after gene therapy vector infusion, when capsid protein is unlikely to be present and prone to being targeted by activated T cells. There is mixed evidence that FVIII can induce a variable amount of endoplasmic reticulum stress in hepatocytes, contributing to the observed rise in transaminase levels.²⁶⁻²⁸ Regardless, the ALT elevations resolved following corticosteroid treatment, and all participants were able to maintain clinically efficacious levels of FVIII, as evidenced by low bleeding event rates. Further insights into the chronology and frequency of this finding will be determined as more patients are treated with giroctocogene fitelparvovec.

In our study, the one-stage assay provided higher FVIII activity values than the chromogenic substrate assay, similar to findings in other studies.^{17,19} There is evidence that transgene-derived FVIII activity produces an initial burst in FXa and thrombin in the

one-stage assay that is not observed with the chromogenic assay; this may, in part, explain the increased values that are observed.²⁹ Additional studies are planned to address this discrepancy.

In cohort 4, 2 participants (#9 and #10) experienced bleeding events. One (participant #9) had a single bleeding event in a known target joint, while the other (participant #10) experienced 7 total (treated and untreated) bleeding events, with 5 noted to be traumatic. No bleeding events occurred in this cohort until 67 weeks post-infusion. Both participants initially had FVIII levels in the normal range that subsequently declined to the mild range in 1 participant (#9) and to the moderate range in the other (participant #10). In this cohort, hemostasis is maintained over a broad range of FVIII activity levels, but with a tendency toward higher bleeding rates with FVIII activity in the low mild to moderate range. The majority of the bleeding events for the participant (#10) with 7 bleeding episodes occurred once their FVIII level was in the moderate hemophilia range, as measured by the one-stage assay, and below the level of quantification in the chromogenic assay (<3%), consistent with data from the mild hemophilia population in which the frequency of bleeding events increased with lower FVIII activity levels.^{30,31} Despite treated bleeding events, none of the participants in cohort 4 has elected to resume prophylaxis.

A consistent and acceptable feature of AAV-based gene therapy for the treatment of hemophilia, despite variability in AAV serotypes and transgenes utilized, is the overall safety profile.^{17-19,23} Giroctocogene fitelparvovec was well tolerated with appropriate clinical management in the 11 participants who received infusions at 4 different dose levels. The most notable findings were related to the SAEs of

hypotension and pyrexia approximately 6 hours post-infusion, experienced by the first cohort 4 participant (#7) suggesting a hypersensitivity reaction, innate immune response, and/or hypovolemia as the participant had not received intravenous fluid supplementation in the prior 24 hours. The events were transient and responded to simple intervention. It is not known whether this hypersensitivity event was specifically related to the AAV6 serotype, the dose administered, and/or potentially patient predisposition; notably, the subsequent 4 participants, being well hydrated and treated with acetaminophen and diphenhydramine prior to infusion, did not experience similar hypotension. Consequently, with appropriate clinical management, giroctocogene fitelparvovec was well-tolerated in the 11 participants who received infusions at 4 different dose levels.

Long-term effects of liver-directed gene therapy are not fully characterized. However, there have been no confirmed cases of known gene therapy-mediated development of malignancy or liver dysfunction.¹⁷⁻¹⁹ Total follow-up time in this study ranged from 2 to 4 years. Over this interval, there was no discernable adverse impact on liver health. Overall, liver function assays (ALT, AST, alkaline phosphatase, bilirubin, direct bilirubin and gamma-glutamyl transferase) were generally stable, and there were no significant findings on liver imaging and no elevations in alpha fetoprotein. Additionally, hepatocellular carcinoma was not reported. Longer follow-up and more participants are needed to gather additional data on the impact of giroctocogene fitelparvovec on long-term liver health. Furthermore, use of corticosteroids and duration of treatment did not appear to confer any long-term adverse consequences.

In this study, we present ≥ 2 years of follow-up data for patients treated with giroctocogene fitelparvovec, providing the first demonstration that an AAV6-based vector for the treatment of hemophilia A is generally well tolerated with appropriate clinical management and provides clinically relevant FVIII activity at the highest dose tested. FVIII activity levels were dose dependent, with all 5 participants in cohort 4 reaching peak levels within or above the normal range. One participant (#7) in cohort 4 had a reaction shortly after completing the infusion; however, no other concerning safety events occurred during the study. Study limitations include treatment of relatively few participants, potential variability in when participants decided to treat a bleeding event, and the relatively limited follow-up. Our current analysis did not provide information regarding investigation of immune responses such as T-cell response to the vector capsid due to limited data precluding any robust assessment of ELISpot results and exploration of any correlation to transaminase elevation. In addition, liver biopsies were not performed to assess possible integration or other potential findings. Future studies with optional liver biopsies should provide these opportunities.

Overall, the emerging risk–benefit ratio of giroctocogene fitelparvovec remains generally favorable for conduct of phase 3 investigations. Giroctocogene fitelparvovec was shown to have efficacy and safety comparable to other AAV gene therapy products while leveraging a serotype of AAV that was not used in prior studies. This has the potential to broaden the patient population eligible for gene therapy for treatment of hemophilia A. A phase 3 study (NCT 04370054) is ongoing, using the cohort 4 dose level.

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Authorship

Contribution:

K.C.S., G.DiR., and J.R. contributed to the study design. A.D.L., B.A.K., K.C.S., N.V., T.J.H., and A.G. participated as study investigators. A.D.L., B.A.K., K.C.S., N.V., T.J.H., and A.G. provided patients or study materials. B.A.K., K.C.S., and L.C. participated in the collection and assembly of data. F.P., F.G., M.A.R., L-J.T., D.A., A.Y., S.A., A.F., G.DiR, L.C, and J.R. contributed to data analysis. All authors participated in data interpretation and in the critical review and revision of this manuscript. All authors provided approval of the manuscript for submission.

Conflict of interest disclosure:

A.D.L. has received research funding from BioMarin, Pfizer, and Sangamo, served on advisory boards for BioMarin, CSL, and Genentech, and owns stock in Pfizer. B.A.K. has received research funding from Pfizer, Spark Therapeutics, Takeda, Uniqure, and has served as a paid consultant for BioMarin, Octapharma, Pfizer, Regeneron, Spark Therapeutics, and Takeda. K.C.S. has served on an advisory board for BioMarin and

ASC Therapeutics. N.V. has served on an advisory board for Biogen Idec. T.J.H. reports no conflicts to disclose. A.G. has served on advisory boards for BioMarin, Sanofi Genzyme, Pfizer, Hema Biologics, Genentech, Uniqure; speakers bureau for BioMarin, Sanofi Genzyme; and received research funding from Pfizer, Spark Therapeutics, Uniqure, Genentech, Sangamo, and Freeline. S.A., A.F., F.P., A.Y., F.G., D.A., M.A.R., L-J.T., G.DiR., and J.R. are employees of Pfizer Inc. and may own stock/options in the company. B.M.C. and L.C. are employees of Sangamo Therapeutics and own stock/options in the company.

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TABLES

Table 1. Participant Demographics

Characteristic	Cohort 1 (n = 2)	Cohort 2 (n = 2)	Cohort 3 (n = 2)	Cohort 4 (n = 5)	Total (N = 11)
Age, y					
Mean	30.5	35.5	32.5	27.2	30.3
(range)	(24, 37)	(24, 47)	(32, 33)	(19, 34)	(19, 47)
Sex, n (%)					
Male	2 (100)	2 (100)	2 (100)	5 (100)	11 (100)
Race, n (%)					
White	2 (100)	1 (50)	2 (100)	4 (80)	9 (82)
Asian	0	1 (50)	0	0	1 (9)
Other	0	0	0	1 (20.0)	1 (9)
Ethnicity, n (%)					
Hispanic or Latino	0	0	0	2 (40)	2 (18)
Not Hispanic or Latino	2 (100)	2 (100)	2 (100)	3 (60)	9 (82)

Table 2. Frequency of Bleeding Episodes

Parameter	Cohort 1 (n = 2)	Cohort 2 (n = 2)	Cohort 3 (n = 2)	Cohort 4 (n = 5)	Total (n = 11)
Experienced bleeds post study drug infusion, n (%) [*]	2 (100)	2 (100)	1 (50)	2 (40)	7 (64)
Pre-infusion total annualized bleeding rate [†] , mean (SD)	3.5 (0.7)	14.0 (17.0)	1.5 (2.1)	8.8 (8.3)	7.5 (8.8)
Post-infusion total annualized bleeding rate prior to re-initiation of prophylaxis: all episodes [‡] , mean (SD)	7.7 (3.0)	2.3 (1.3)	3.1 (4.4)	0.7 (1.4)	2.7 (3.3)

^{*}n indicates number of participants with bleeding events.

[†]Defined as the number of bleeding episodes during the 12 months prior to screening.

[‡]Post-infusion rate = (number of bleed episodes starting 3 weeks after study drug infusion and until start of prophylactic dosing of factor VIII [or date of data cut or conclusion date])/(observation period for the participant in years).

Table 3. Summary of Treatment-Emergent Adverse Events

Event	Cohort 1 (n = 2)		Cohort 2 (n = 2)		Cohort 3 (n = 2)		Cohort 4 (n = 5)		Total (N = 11)	
	Number of partici- pants	Number of events	Number of partici- pants	Number of events	Number of partici- pants	Number of events	Number of partici- pants	Number of events	Number of partici- pants (%)	Number of events
Any adverse event*	2	24	2	16	2	12	5	51	11 (100)	103
Alanine aminotransferase increased	2	3	2	3	1	1	4	12	9 (82)	19
Aspartate aminotransferase increased	2	3	1	2	1	1	3	4	7 (64)	10
Upper respiratory tract infection	2	7	1	1	0	0	1	1	4 (36)	9
Pyrexia	0	0	0	0	0	0	4	4	4 (36)	4
Otitis media	0	0	0	0	0	0	2	4	2 (18)	4
Headache	0	0	0	0	0	0	2	4	2 (18)	4
Arthralgia	0	0	0	0	2	2	0	0	2 (18)	2
Skin laceration	1	1	1	1	0	0	0	0	2 (18)	2
Fall	0	0	2	2	0	0	0	0	2 (18)	2
Oropharyngeal pain	0	0	1	1	0	0	1	1	2 (18)	2
Lymphopenia	0	0	0	0	0	0	2	2	2 (18)	2
Tachycardia	0	0	0	0	0	0	2	2	2 (18)	2
Hypotension	0	0	0	0	1	1	1	1	2 (18)	2
Any treatment-related AE [†]	0	0	2	5	0	0	4	21	6 (55)	26
Alanine aminotransferase increased	0	0	2	3	0	0	3	10	5 (46)	13
Aspartate aminotransferase increased	0	0	1	2	0	0	2	3	3 (27)	5

Pyrexia	0	0	0	0	0	0	3	3	3 (27)	3
Tachycardia	0	0	0	0	0	0	2	2	2 (18)	2
Fatigue	0	0	0	0	0	0	1	1	1 (9)	1
Myalgia	0	0	0	0	0	0	1	1	1 (9)	1
Hypotension	0	0	0	0	0	0	1	1	1 (9)	1

*Number of participants with at least one treatment-emergent adverse event (TEAE) reported in the study population.

†For any AE, only the TEAEs reported by at least 2 participants are included in this table. Similarly for any treatment-related AE, only the treatment-related TEAEs reported by at least one participant are included.

Table 4. Vector Shedding in Body Fluids

Parameter	Cohort 1 (n = 2)	Cohort 2 (n = 2)	Cohort 3 (n = 2)	Cohort 4 (n = 5)	Total (N = 11)
Plasma	n = 2	n = 2	n = 2	n = 5	n = 11
Peak value, vg/mL	2.6 x 10 ⁷ (2.7 x 10 ⁷)	2.9 x 10 ⁸ (3.8 x 10 ⁸)	1.8 x 10 ⁹ (1.4 x 10 ⁹)	3.4 x 10 ⁹ (2.8 x 10 ⁹)	2.0 x 10 ⁹ (2.4 x 10 ⁹)
Days to peak value	1.5 (0.7)	1.0 (0.0)	1.0 (0.0)	1.4 (0.6)	1.3 (0.5)
Days to first of 3 consecutive negative results	22.0 (8.5) (n = 2)	15.0 (0.0) (n = 2)	56.5 (0.7) (n = 2)	84.7 (47.9) (n = 3)	49.0 (39.3) (n = 9)
Saliva	n = 2	n = 2	n = 2	n = 5	n = 11
Peak value, vg/mL	4.5 x 10 ⁴ (2.2 x 10 ⁴)	1.6 x 10 ⁵ (9.0 x 10 ⁴)	1.6 x 10 ⁶ (4.2 x 10 ⁵)	7.0 x 10 ⁶ (5.2 x 10 ⁶)	3.5 x 10 ⁶ (4.7 x 10 ⁶)
Days to peak value	11.5 (5.0)	7.5 (0.7)	12.0 (4.2)	11.8 (4.0)	11.0 (3.7)
Days to first of 3 consecutive negative results	29.0 (-) (n = 1)	29.0 (0.0) (n = 2)	57.0 (-) (n = 1)	60.5 (17.6) (n = 4)	48.3 (19.7) (n = 8)
Semen	n = 1	n = 1	n = 2	n = 5	n = 9
Peak value, vg/mL	1.5 x 10 ⁴ (-)	3.7 x 10 ⁴ (-)	1.5 x 10 ⁵ (5.0 x 10 ³)	10.0 x 10 ⁴ (1.5 x 10 ⁵)	9.3 x 10 ⁴ (1.2 x 10 ⁵)
Days to peak value	8.0 (-)	15.0 (-)	11.0 (4.2)	14.4 (9.0)	13.0 (7.0)
Days to first negative result	18.0 (14.1) (n = 2)	15.0 (-) (n = 1)	42.0 (18.4) (n = 2)	42.2 (27.5) (n = 5)	34.6 (23.3) (n = 10)

Stool	n = 2	n = 0	n = 2	n = 5	n = 9
Peak value, vg/mL	6.0 x 10 ² (4.1 x 10 ²)	-	2.5 x 10 ³ (2.5 x 10 ³)	2.3 x 10 ³ (2.8 x 10 ³)	2.0 x 10 ³ (2.3 x 10 ³)
Days to peak value	8.0 (0.0)	-	7.5 (0.7)	17.0 (10.3)	12.9 (8.8)
Days to first negative result	15.0 (-) (n = 1)	15.0 (-) (n = 1)	42.0 (18.4) (n = 2)	72.6 (62.1) (n = 5)	53.0 (51.0) (n = 9)
Urine	n = 0	n = 0	n = 0	n = 3	n = 3
Peak value, vg/mL	-	-	-	9.7 x 10 ³ (6.2 x 10 ³)	9.7 x 10 ³ (6.2 x 10 ³)
Days to peak value	-	-	-	9.7 (3.8)	9.7 (3.8)
Days to first negative result	8.0 (0.0) (n = 2)	7.5 (0.7) (n = 2)	8.5 (0.7) (n = 2)	14.8 (8.2) (n = 5)	11.1 (6.3) (n = 11)

Data are presented as mean (standard deviation).

FIGURE LEGENDS

Figure 1. Summary of factor VIII activity, alanine aminotransferase (ALT), aspartate aminotransferase (AST), and corticosteroid dosing for individual participants in cohort 4 (giroctocogene fitelparvovec dose: 3×10^{13} vg/kg).

Administration of corticosteroids (start and end) is shown for participants 7 (A), 8 (B), 9 (C), 10 (D), and 11 (E); participant 9 did not use glucocorticoids. Elevations in liver enzymes were managed with corticosteroids, with stabilization of transaminase levels and factor VIII activity observed over time.

Figure 2. Changes in circulating factor VIII activity.* Individual plots showing FVIII activity for individual participants in all cohorts over time based on the chromogenic (A) and one-stage (B) assays. Box and whisker plots showing the group mean (diamond) and median (horizontal line) with quartiles (blue boxes) and minimum to maximum (whiskers) of FVIII activity in cohort 4 at each assessment using the chromogenic (C) and one-stage (D) assays.

*For results reported as below the limit of quantitation, a value of 0.009 IU/mL (0.9%) was used for analysis and plotting.

Figure 1

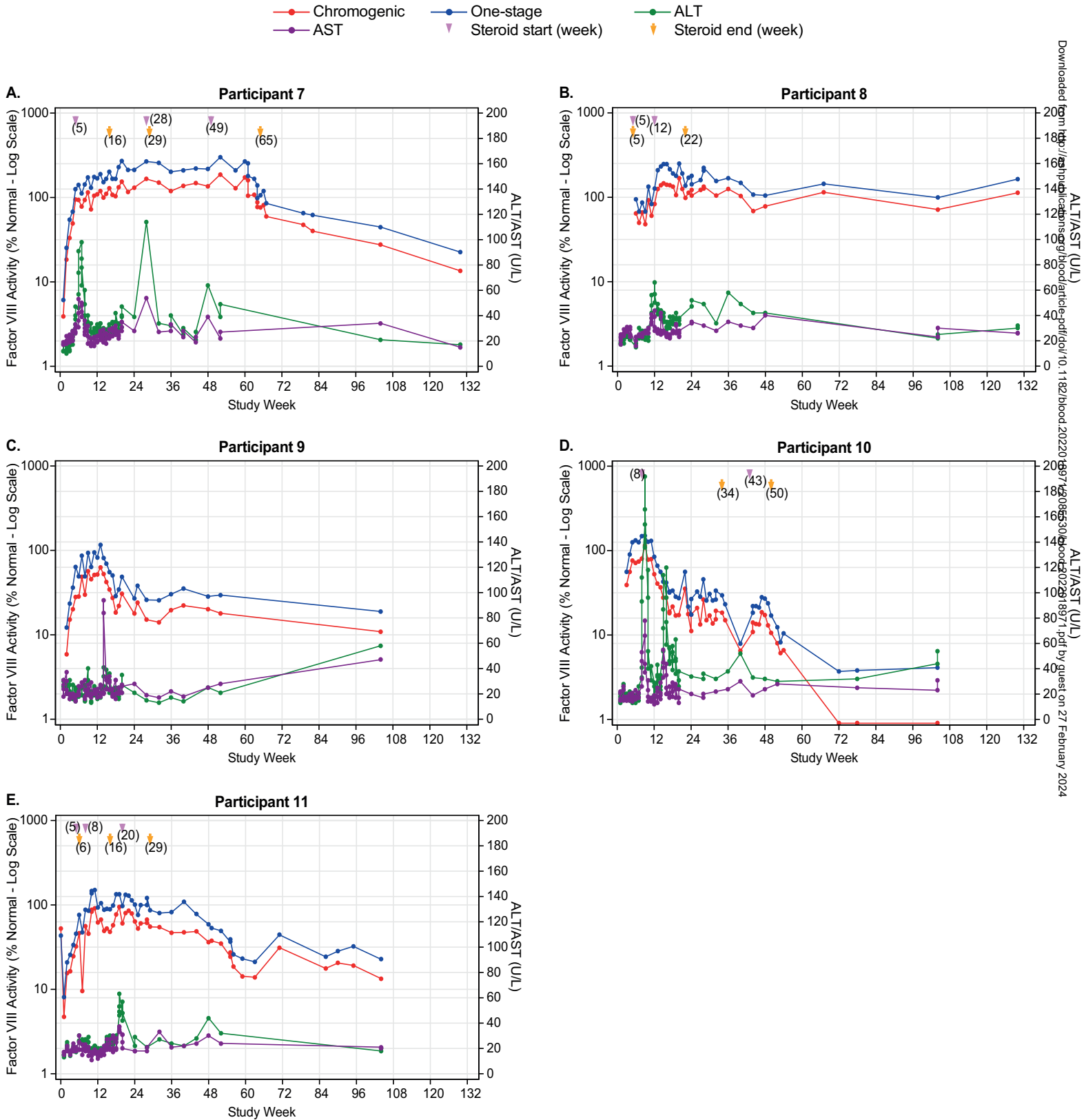


Figure 2

