



TO THE EDITOR:

A phase 1 study of azacitidine combined with chemotherapy in childhood leukemia: a report from the TACL consortium

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Despite improvements in treating childhood leukemia, relapses remain the primary cause of death.¹⁻⁴ On relapse, leukemic cells are more resistant to chemotherapy. Thus, there is an urgent need to incorporate new strategies to treat childhood leukemia. Aberrant DNA methylation has been strongly associated with progression, relapse, and drug resistance.⁵⁻¹⁰ These observations support the rationale to incorporate hypomethylating agents, such as azacitidine (AZA) and decitabine, in antileukemia therapy. At lower doses, these agents act as DNA methyltransferase inhibitors (DNMTis), inducing global DNA hypomethylation, reactivating tumor suppressors, downregulating oncogenes, stimulating an innate antiviral immune response, and increasing sensitivity to cytotoxic agents.¹¹⁻¹⁷

Based on these observations, the Therapeutic Advances in Childhood Leukemia & Lymphoma (TACL) consortium conducted a phase 1 study to administer 5 days of AZA (75 mg/m² per day, subcutaneously) followed by 5 days of fludarabine (30 mg/m² per day) and cytarabine (2 g/m² per day) in children with relapsed/refractory leukemia. After the safety evaluation of this combination, an additional 8 patients with acute myeloid leukemia (AML) were included in an expansion cohort. The study was approved by the institutional review boards of participating institutions. Informed consent was obtained from all subjects or guardians. This study was registered at www.clinicaltrials.gov as #NCT01861002.

Fourteen patients (AML: n = 12; acute lymphoblastic leukemia [ALL]: n = 2) were enrolled, and all were evaluable for toxicity and response. The median number of previous treatment regimens was 2 (range: 1-5). Five patients had previously undergone hematopoietic stem cell transplantation (HSCT). Four patients (28.6%) were refractory to the most recent treatment. Detailed eligibility criteria, treatment, and patient characteristics are listed in the supplemental Methods and supplemental Table 1, available on the *Blood* Web site.

Toxicity was graded according to the Common Terminology Criteria for Adverse Events, version 4.0. They were typical of

intensive chemotherapy regimens (Table 1). Leukopenia and infection were the most common adverse events (AEs) observed. None of the patients experienced dose-limiting toxicity or elevation of peripheral white blood cells during the AZA "priming" period. Ten of 14 patients received 2 courses of therapy. The median duration from the initiation of course 1 to the start of course 2 was 43 days (range: 34-48 days). The reasons for not receiving a second course were: progressive disease (n = 3) and HSCT after course 1 (n = 1).

Neither of the 2 patients with ALL responded. Of the 12 AML patients, 7 achieved complete remission (CR; n = 6) or CR with incomplete count recovery (CRI; n = 1) after course 1, including three patients who had previous HSCT (supplemental Tables 2 and 3). Three patients with partial response (PR)/stable disease (SD) received a second course, and none of them responded. For the patients who achieved CR, the median time for a post-nadir absolute neutrophil count $\geq 1,000/\mu\text{L}$ and platelet count $\geq 100,000/\mu\text{L}$ was 47 days (range: 42-65 days) and 39 days (range: 34-65 days), respectively.

This is the first study to test the DNMTi, AZA, combined with intensive chemotherapy in pediatric acute leukemia. We showed that this combination is well tolerated. Toxicities were typical for patients receiving intensive chemotherapy, and no new or unexpected toxicities were observed, suggesting the priming strategy could be safely used in this previously heavily treated population.

The CR/CRI with incomplete blood count recovery (CRI) rate in AML patients was 58%. Four of 7 patients who achieved CR/CRI also attained minimal residual disease–negative status ($<0.1\%$ centrally reviewed by flow cytometry). Although recognizing that the small sample size does not allow for significant comparison with published childhood AML relapsed studies,^{2,4} these results are encouraging considering that the majority (8 of 12) of the AML patients had ≥ 2 previous salvage treatment attempts.

Table 1. Grade 3 or higher nonhematological AEs

	Course 1 (n = 14)				Course 2 (n = 10)			
	AEs regardless of attribution, n (%)		AEs attributed to AZA, n (%)		AEs regardless of attribution, n (%)		AEs attributed to AZA, n (%)	
	Grade 3	Grade 4	Grade 3	Grade 4	Grade 3	Grade 4	Grade 3	Grade 4
Investigations								
White blood cell count decreased	0 (0)	13 (93)	0 (0)	10 (71)	0 (0)	8 (80)	0 (0)	5 (50)
Lymphocyte count decreased	1 (7)	3 (21)	1 (7)	2 (14)	0 (0)	2 (20)	0 (0)	1 (10)
Infection								
Febrile neutropenia	8 (57)	0 (0)	5 (36)	0 (0)	6 (60)	1 (10)	4 (40)	1 (10)
Sepsis	N/A	0 (0)	N/A	0 (0)	N/A	1 (10)	N/A	0 (0)
Infections and infestations, other	3 (21)	0 (0)	2 (14)	0 (0)	5 (50)	0 (0)	2 (20)	0 (0)
Gastrointestinal								
Anorexia	2 (14)	0 (0)	0 (0)	0 (0)	1 (10)	0 (0)	0 (0)	0 (0)
Mucositis oral	1 (7)	0 (0)	0 (0)	0 (0)	1 (10)	0 (0)	0 (0)	0 (0)
Oral hemorrhage	1 (7)	0 (0)	1 (7)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)
Metabolic/laboratory								
Hyponatremia	2 (14)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)
AST/ALT elevation	1 (7)	0 (0)	1 (7)	0 (0)	3 (30)	0 (0)	2 (20)	0 (0)
Blood bilirubin increased	1 (7)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)
Hypokalemia	1 (7)	0 (0)	1 (7)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)
Hypophosphatemia	1 (7)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)
General disorder								
Pain	1 (7)	N/A	0 (0)	N/A	1 (10)	N/A	0 (0)	N/A

ALT, alanine transaminase; AST, aspartate transaminase; N/A, not applicable.

To evaluate the effect of AZA, blood from all patients was obtained at baseline (day 0) and within 24 hours after the last dose of AZA (day 6). A reduction of GLOBAL-LINE (G-LINE) methylation was noted in all patients after AZA treatment, suggesting genome-wide methylation changes. However, no difference in G-LINE methylation was observed between responders vs nonresponders at baseline or post-AZA treatment (supplemental Figure 2).

Next, we performed comprehensive DNA methylation profiling using the HM450 DNA methylation array in the bone marrow (BM) of 12 AML patients. A supervised analysis revealed a distinct methylation pattern at baseline between the patients who responded to therapy and nonresponders. We therefore used DMRcate,¹⁸ a smoothing procedure (supplemental Methods), to find significant differences in DNA methylation across contiguous regions. After adjusting for multiple comparisons, a single region intronic to known transcripts of the farnesyl-diphosphate farnesyl-transferase 1 (*FDFT1*) gene showed significant differential methylation between patients with PR, CR/CRi, and without response (Figure 1A).

To broaden our understanding of the prognostic significance of the *FDFT1* region, we re-examined the methylation data obtained from a separate phase 2 clinical study, DACO202.¹⁹ Children with newly diagnosed AML were randomized to receive a 5-day course of decitabine followed by cytarabine, daunorubicin, and etoposide (D-ADE), or cytarabine, daunorubicin, and etoposide only. Methylation analyses were performed using the same

HM450 DNA methylation array. In the D-ADE cohort, 5 patients died due to refractory or relapsed disease. Therefore, we separated patients into 2 groups: alive in CR (n = 6) or deceased (n = 5). Similar to this study, at baseline, the *FDFT1* region showed significant differential methylation between patients who were still alive versus those who died of leukemia (Figure 1B), suggesting that methylation of the *FDFT1* DMR was associated with poorer overall outcomes in this independent clinical trial population. The difference in the methylation of this region did not correlate with the BM blast count or cytogenetics in either study (data not shown).

Investigating further, we noted that the region occurs in the first intron of the *FDFT1* gene, a master regulator of cholesterol biosynthesis, and coincides with a chromatin immunoprecipitation sequence peak for the DNA-binding factor CTCF (a master regulator of genome and chromatin structure). We cannot yet unambiguously assign a functional role to this region.

The correlative biology study demonstrated expected decreases in DNA methylation post-AZA treatment in all patients. Importantly, we identified a single contiguous DNA hypermethylation region intronic to *FDFT1*, present at baseline only in patients with treatment failure, but not in patients who achieved CR. One limitation of this analysis is that the methylation analyses were performed on BM samples with variable blast percentages (median, 68.5%; range: 16%-94%). Fortunately, we were able to incorporate samples from the DACO202 study that tested decitabine, a different DNMTi, in combination with chemotherapy in de novo AML,

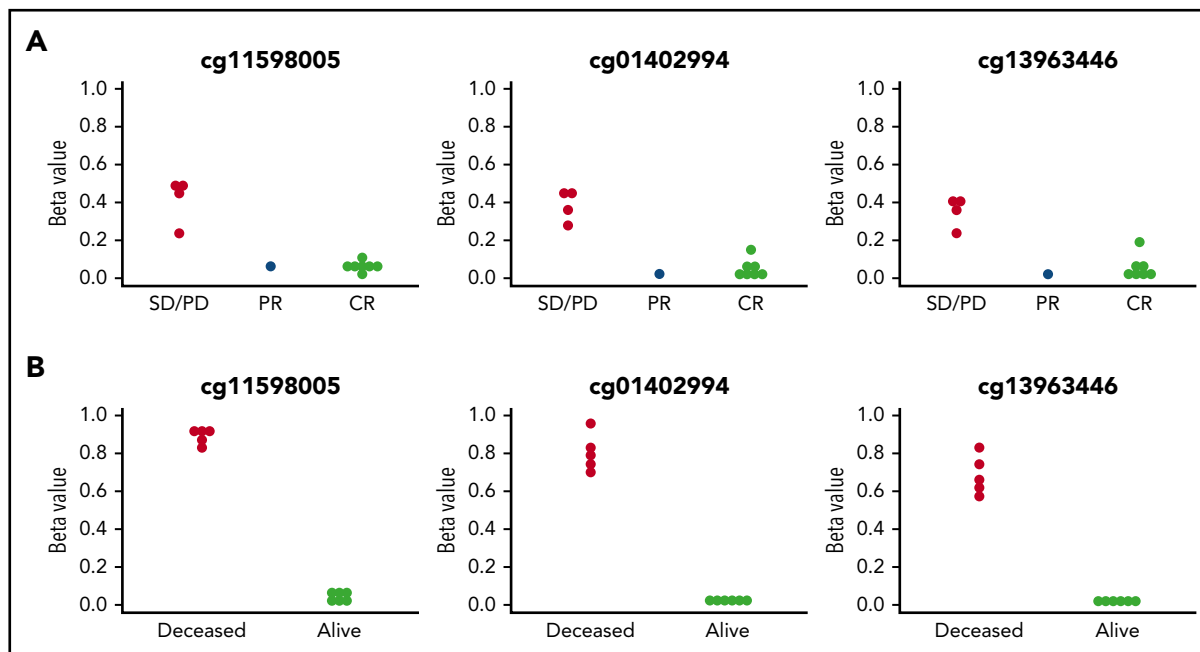


Figure 1. Baseline methylation status of CpG loci in the *FDFT1* gene region. There are 3 CpG probes located in this region on the Infinium HumanMethylation450 array. (A) Methylation status of the *FDFT1* gene region in the peripheral blood of AML patients enrolled in the TACL trial at baseline. $P = .0021$ across all 3 CpGs, by Fisher's exact test. (B) Methylation status of the *FDFT1* gene region in BM or peripheral blood (as available) from patients enrolled in the D-ADE arm of the DACOGEN202 trial at baseline. $P = .0022$. PD, progressive disease.

because newly diagnosed patients usually have higher blast percentages, and the samples were enriched for blasts.¹⁹ The validation of our finding that *FDFT1* intronic hypermethylation at baseline is also associated with worse outcomes in a different patient cohort is very encouraging, and suggests that the association between *FDFT1* methylation and outcome is not limited to the relapsed patients. Recognizing the small number of patients included in both studies, we are currently prospectively investigating the significance of the methylation of this region in a randomized phase 2 trial of epigenetic priming that will include 200 children with newly diagnosed AML (www.clinicaltrials.gov #NCT03164057).

In summary, the phase 1 clinical trial demonstrates that AZA can be used safely in sequence with intensive chemotherapy to treat children with relapsed/refractory AML and offers encouraging clinical activity. Furthermore, DNA methylation in a region of the gene *FDFT1* was highly associated with patient clinical outcomes. Further studies will be required to test the efficacy of this combination and to investigate the underlying biological mechanisms involved in hypermethylation of the identified region.

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Authorship

Contribution: W.S., T.M.C., and G.L. designed the study; W.S., J.M., P.G., R.S., H.B., A.E.P., Y.M., C.F., L.D.-P., A.S.W., and T.M.C. participated in the collection and assembly of clinical data, clinical data analysis, and interpretation; W.S., T.T., X.Y., B.S., P.J., L.G., T.M.C., and G.L. participated in the correlative biology study, data analysis, and interpretation; W.S. and T.T. wrote the first draft of the manuscript; and all authors participated in the critical review and revision of the manuscript and provided approval of the manuscript for submission.

Conflict-of-interest disclosure: P.J. is a consultant for Zymo Research Corporation. X.Y. is employed by Zymo Research Corporation. H.B. receives honoraria from Jazz Pharmaceuticals and is a consultant for Amgen, Jazz Pharmaceuticals, and Novartis Oncology. The remaining authors declare no competing financial interests.

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Footnote

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TO THE EDITOR:

The impact of age, NPM1^{mut}, and FLT3^{ITD} allelic ratio in patients with acute myeloid leukemia

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Acute myeloid leukemia (AML) is a heterogeneous disease for which genetic profiles dictate clinical outcomes. Cytogenetic and molecular profiling in AML is a mandatory diagnostic and prognostic requirement, yet interpretation of these results is becoming increasingly complex. Next generation sequencing (NGS) technologies have enabled comprehensive characterization of the genomic landscape of AML, revealing complex patterns of clonal evolution during development and treatment.^{1,2} The datasets generated represent invaluable resources to interrogate the genomic information from large patient cohorts and identify clinically relevant molecular biomarkers of disease initiation, progression, and response to treatment.^{3,4}

The 2017 update of the European LeukemiaNet recommendations (ELN 2017) on genetic risk stratification provides a comprehensive genomic classification and prognostication schema, updating the European LeukemiaNet 2010 recommendations (ELN 2010).⁵ A key change in ELN 2017 has been to reclassify nucleophosmin 1 mutant (NPM1^{mut}) AML with a low-allelic-ratio FLT3-internal tandem duplication (FLT3^{ITD}-L; allelic ratio [AR] < 0.5) mutation as favorable risk, along with AML with NPM1^{mut} (not containing a FLT3-internal tandem duplication [FLT3^{ITD}] mutation), biallelic CEBPA mutations, and core-binding factor AMLs. The evidence

supporting NPM1^{mut}FLT3^{ITD}-L as favorable risk is conflicting, with several groups showing a favorable outcome comparable with NPM1^{mut}FLT3 wild-type (FLT3^{wild}) disease,⁶⁻⁹ and others demonstrating an intermediate prognosis,¹⁰⁻¹² although none of these studies have compared survival of NPM1^{mut}FLT3^{ITD}-L within an overall-risk stratification schema. The published survival differences may be explained by varying FLT3^{ITD} AR thresholds, patient characteristics, clinical treatment algorithms, or methods of FLT3^{ITD} detection and quantification (supplemental Methods; supplemental Table 1; available on the *Blood* Web site), but in aggregate, the literature does not provide a consistent message regarding the outcome or optimal management of patients with NPM1^{mut}FLT3^{ITD}-L AML. The gold standard assay to identify FLT3^{ITD} uses polymerase chain reaction-amplified products processed by capillary electrophoresis¹³ and conventionally uses genomic DNA, but can use complementary DNA with good concordance.¹⁴ FLT3^{ITD} detection and quantification by NGS are challenging due to short DNA sequence fragments, hence the frequency or AR may be underestimated and poorly correlates with fragment based analysis.¹⁵

We therefore sought to compare the performance of ELN 2017 with ELN 2010 in 2409 AML patients from 3 publicly available data sets (German-Austrian AML Study Group¹ [AMLSG], n = 1316;