

# Similarity of Semantic Relations

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*There are at least two kinds of similarity. **Relational similarity** is correspondence between relations, in contrast with **attributitional similarity**, which is correspondence between attributes. When two words have a high degree of attributitional similarity, we call them **synonyms**. When two pairs of words have a high degree of relational similarity, we say that their relations are **analogous**. For example, the word pair *mason:stone* is analogous to the pair *carpenter:wood*. This article introduces Latent Relational Analysis (LRA), a method for measuring relational similarity. LRA has potential applications in many areas, including information extraction, word sense disambiguation, and information retrieval. Recently the Vector Space Model (VSM) of information retrieval has been adapted to measuring relational similarity, achieving a score of 47% on a collection of 374 college-level multiple-choice word analogy questions. In the VSM approach, the relation between a pair of words is characterized by a vector of frequencies of predefined patterns in a large corpus. LRA extends the VSM approach in three ways: (1) The patterns are derived automatically from the corpus, (2) the Singular Value Decomposition (SVD) is used to smooth the frequency data, and (3) automatically generated synonyms are used to explore variations of the word pairs. LRA achieves 56% on the 374 analogy questions, statistically equivalent to the average human score of 57%. On the related problem of classifying semantic relations, LRA achieves similar gains over the VSM.*

## 1. Introduction

There are at least two kinds of similarity. **Attributitional similarity** is correspondence between attributes and **relational similarity** is correspondence between relations (Medin, Goldstone, and Gentner 1990). When two words have a high degree of attributitional similarity, we call them *synonyms*. When two word *pairs* have a high degree of relational similarity, we say they are *analogous*.

Verbal analogies are often written in the form  $A:B::C:D$ , meaning *A is to B as C is to D*; for example, *traffic:street::water:riverbed*. Traffic flows over a street; water flows over a riverbed. A street carries traffic; a riverbed carries water. There is a high degree of relational similarity between the word pair *traffic:street* and the word pair *water:riverbed*. In fact, this analogy is the basis of several mathematical theories of traffic flow (Daganzo 1994).

In Section 2, we look more closely at the connections between attributitional and relational similarity. In analogies such as *mason:stone::carpenter:wood*, it seems that

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Submission received: 30 March 2005; revised submission received: 10 November 2005; accepted for publication: 27 February 2006.

relational similarity can be reduced to attributional similarity, since mason and carpenter are attributionally similar, as are stone and wood. In general, this reduction fails. Consider the analogy *traffic:street::water:riverbed*. Traffic and water are not attributionally similar. Street and riverbed are only moderately attributionally similar.

Many algorithms have been proposed for measuring the attributional similarity between two words (Lesk 1969; Resnik 1995; Landauer and Dumais 1997; Jiang and Conrath 1997; Lin 1998b; Turney 2001; Budanitsky and Hirst 2001; Banerjee and Pedersen 2003). Measures of attributional similarity have been studied extensively, due to their applications in problems such as recognizing synonyms (Landauer and Dumais 1997), information retrieval (Deerwester et al. 1990), determining semantic orientation (Turney 2002), grading student essays (Rehder et al. 1998), measuring textual cohesion (Morris and Hirst 1991), and word sense disambiguation (Lesk 1986).

On the other hand, since measures of relational similarity are not as well developed as measures of attributional similarity, the potential applications of relational similarity are not as well known. Many problems that involve semantic relations would benefit from an algorithm for measuring relational similarity. We discuss related problems in natural language processing, information retrieval, and information extraction in more detail in Section 3.

This article builds on the Vector Space Model (VSM) of information retrieval. Given a query, a search engine produces a ranked list of documents. The documents are ranked in order of decreasing attributional similarity between the query and each document. Almost all modern search engines measure attributional similarity using the VSM (Baeza-Yates and Ribeiro-Neto 1999). Turney and Littman (2005) adapt the VSM approach to measuring relational similarity. They used a vector of frequencies of patterns in a corpus to represent the relation between a pair of words. Section 4 presents the VSM approach to measuring similarity.

In Section 5, we present an algorithm for measuring relational similarity, which we call Latent Relational Analysis (LRA). The algorithm learns from a large corpus of unlabeled, unstructured text, without supervision. LRA extends the VSM approach of Turney and Littman (2005) in three ways: (1) The connecting patterns are derived automatically from the corpus, instead of using a fixed set of patterns. (2) Singular Value Decomposition (SVD) is used to smooth the frequency data. (3) Given a word pair such as *traffic:street*, LRA considers transformations of the word pair, generated by replacing one of the words by synonyms, such as *traffic:road* or *traffic:highway*.

Section 6 presents our experimental evaluation of LRA with a collection of 374 multiple-choice word analogy questions from the SAT college entrance exam.<sup>1</sup> An example of a typical SAT question appears in Table 1. In the educational testing literature, the first pair (*mason:stone*) is called the *stem* of the analogy. The correct choice is called the *solution* and the incorrect choices are *distractors*. We evaluate LRA by testing its ability to select the solution and avoid the distractors. The average performance of college-bound senior high school students on verbal SAT questions corresponds to an accuracy of about 57%. LRA achieves an accuracy of about 56%. On these same questions, the VSM attained 47%.

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1 The College Board eliminated analogies from the SAT in 2005, apparently because it was believed that analogy questions discriminate against minorities, although it has been argued by liberals (Goldenberg 2005) that dropping analogy questions has *increased* discrimination against minorities and by conservatives (Kurtz 2002) that it has *decreased* academic standards. Analogy questions remain an important component in many other tests, such as the GRE.

**Table 1**

An example of a typical SAT question, from the collection of 374 questions.

Stem:	mason:stone	
Choices:	(a)	<i>teacher:chalk</i>
	(b)	<i>carpenter:wood</i>
	(c)	<i>soldier:gun</i>
	(d)	<i>photograph:camera</i>
	(e)	<i>book:word</i>
Solution:	(b)	<i>carpenter:wood</i>

One application for relational similarity is classifying semantic relations in noun-modifier pairs (Turney and Littman 2005). In Section 7, we evaluate the performance of LRA with a set of 600 noun-modifier pairs from Nastase and Szpakowicz (2003). The problem is to classify a noun-modifier pair, such as “laser printer,” according to the semantic relation between the head noun (printer) and the modifier (laser). The 600 pairs have been manually labeled with 30 classes of semantic relations. For example, “laser printer” is classified as *instrument*; the printer uses the laser as an instrument for printing.

We approach the task of classifying semantic relations in noun-modifier pairs as a supervised learning problem. The 600 pairs are divided into training and testing sets and a testing pair is classified according to the label of its single nearest neighbor in the training set. LRA is used to measure distance (i.e., similarity, nearness). LRA achieves an accuracy of 39.8% on the 30-class problem and 58.0% on the 5-class problem. On the same 600 noun-modifier pairs, the VSM had accuracies of 27.8% (30-class) and 45.7% (5-class) (Turney and Littman 2005).

We discuss the experimental results, limitations of LRA, and future work in Section 8 and we conclude in Section 9.

**2. Attributional and Relational Similarity**

In this section, we explore connections between attributional and relational similarity.

**2.1 Types of Similarity**

Medin, Goldstone, and Gentner (1990) distinguish attributes and relations as follows:

Attributes are predicates taking one argument (e.g., *X is red*, *X is large*), whereas relations are predicates taking two or more arguments (e.g., *X collides with Y*, *X is larger than Y*). Attributes are used to state properties of objects; relations express relations between objects or propositions.

Gentner (1983) notes that what counts as an attribute or a relation can depend on the context. For example, *large* can be viewed as an attribute of *X*, *LARGE(X)*, or a relation between *X* and some standard *Y*, *LARGER\_THAN(X, Y)*.

The amount of attributional similarity between two words, *A* and *B*, depends on the degree of correspondence between the properties of *A* and *B*. A measure of attributional similarity is a function that maps two words, *A* and *B*, to a real number,

$\text{sim}_a(A, B) \in \mathfrak{R}$ . The more correspondence there is between the properties of  $A$  and  $B$ , the greater their attributional similarity. For example, *dog* and *wolf* have a relatively high degree of attributional similarity.

The amount of relational similarity between two pairs of words,  $A:B$  and  $C:D$ , depends on the degree of correspondence between the relations between  $A$  and  $B$  and the relations between  $C$  and  $D$ . A measure of relational similarity is a function that maps two pairs,  $A:B$  and  $C:D$ , to a real number,  $\text{sim}_r(A:B, C:D) \in \mathfrak{R}$ . The more correspondence there is between the relations of  $A:B$  and  $C:D$ , the greater their relational similarity. For example, *dog:bark* and *cat:meow* have a relatively high degree of relational similarity.

Cognitive scientists distinguish words that are *semantically associated* (bee–honey) from words that are *semantically similar* (deer–pony), although they recognize that some words are both associated and similar (doctor–nurse) (Chiarello et al. 1990). Both of these are types of attributional similarity, since they are based on correspondence between attributes (e.g., bees and honey are both found in hives; deer and ponies are both mammals).

Budanitsky and Hirst (2001) describe *semantic relatedness* as follows:

Recent research on the topic in computational linguistics has emphasized the perspective of *semantic relatedness* of two lexemes in a lexical resource, or its inverse, *semantic distance*. It's important to note that semantic relatedness is a more general concept than similarity; similar entities are usually assumed to be related by virtue of their likeness (*bank–trust company*), but dissimilar entities may also be semantically related by lexical relationships such as meronymy (*car–wheel*) and antonymy (*hot–cold*), or just by any kind of functional relationship or frequent association (*pencil–paper*, *penguin–Antarctica*).

As these examples show, semantic relatedness is the same as attributional similarity (e.g., hot and cold are both kinds of temperature, pencil and paper are both used for writing). Here we prefer to use the term *attributional similarity* because it emphasizes the contrast with relational similarity. The term *semantic relatedness* may lead to confusion when the term *relational similarity* is also under discussion.

Resnik (1995) describes *semantic similarity* as follows:

Semantic similarity represents a special case of semantic relatedness: for example, cars and gasoline would seem to be more closely related than, say, cars and bicycles, but the latter pair are certainly more similar. Rada et al. (1989) suggest that the assessment of similarity in semantic networks can in fact be thought of as involving just taxonomic (IS-A) links, to the exclusion of other link types; that view will also be taken here, although admittedly it excludes some potentially useful information.

Thus semantic similarity is a specific type of attributional similarity. The term semantic similarity is misleading, because it refers to a type of attributional similarity, yet relational similarity is not any less *semantic* than attributional similarity.

To avoid confusion, we will use the terms attributional similarity and relational similarity, following Medin, Goldstone, and Gentner (1990). Instead of semantic similarity (Resnik 1995) or *semantically similar* (Chiarello et al. 1990), we prefer the term *taxonomical similarity*, which we take to be a specific type of attributional similarity. We interpret *synonymy* as a high degree of attributional similarity. *Analogy* is a high degree of relational similarity.

### 2.2 Measuring Attributional Similarity

Algorithms for measuring attributional similarity can be *lexicon-based* (Lesk 1986; Budanitsky and Hirst 2001; Banerjee and Pedersen 2003), *corpus-based* (Lesk 1969; Landauer and Dumais 1997; Lin 1998a; Turney 2001), or a hybrid of the two (Resnik 1995; Jiang and Conrath 1997; Turney et al. 2003). Intuitively, we might expect that lexicon-based algorithms would be better at capturing synonymy than corpus-based algorithms, since lexicons, such as WordNet, explicitly provide synonymy information that is only implicit in a corpus. However, experiments do not support this intuition.

Several algorithms have been evaluated using 80 multiple-choice synonym questions taken from the Test of English as a Foreign Language (TOEFL). An example of one of the 80 TOEFL questions appears in Table 2. Table 3 shows the best performance on the TOEFL questions for each type of attributional similarity algorithm. The results support the claim that lexicon-based algorithms have no advantage over corpus-based algorithms for recognizing synonymy.

### 2.3 Using Attributional Similarity to Solve Analogies

We may distinguish *near* analogies (*mason:stone::carpenter:wood*) from *far* analogies (*traffic:street::water:riverbed*) (Gentner 1983; Medin, Goldstone, and Gentner 1990). In an analogy  $A:B::C:D$ , where there is a high degree of relational similarity between  $A:B$  and  $C:D$ , if there is also a high degree of attributional similarity between  $A$  and  $C$ , and between  $B$  and  $D$ , then  $A:B::C:D$  is a near analogy; otherwise, it is a far analogy.

It seems possible that SAT analogy questions might consist largely of near analogies, in which case they can be solved using attributional similarity measures. We could score each candidate analogy by the average of the attributional similarity,  $sim_a$ , between  $A$  and  $C$  and between  $B$  and  $D$ :

$$\text{score}(A:B::C:D) = \frac{1}{2}(\text{sim}_a(A,C) + \text{sim}_a(B,D)) \tag{1}$$

This kind of approach was used in two of the thirteen modules in Turney et al. (2003) (see Section 3.1).

**Table 2**  
An example of a typical TOEFL question, from the collection of 80 questions.

Stem:		Levied
Choices:	(a)	imposed
	(b)	believed
	(c)	requested
	(d)	correlated
Solution:	(a)	imposed

**Table 3**

Performance of attributional similarity measures on the 80 TOEFL questions. (The average non-English US college applicant's performance is included in the bottom row, for comparison.)

Reference	Description	Percent correct
Jarmasz and Szpakowicz (2003)	Best lexicon-based algorithm	78.75
Terra and Clarke (2003)	Best corpus-based algorithm	81.25
Turney et al. (2003)	Best hybrid algorithm	97.50
Landauer and Dumais (1997)	Average human score	64.50

To evaluate this approach, we applied several measures of attributional similarity to our collection of 374 SAT questions. The performance of the algorithms was measured by precision, recall, and  $F$ , defined as follows:

$$\text{precision} = \frac{\text{number of correct guesses}}{\text{total number of guesses made}} \quad (2)$$

$$\text{recall} = \frac{\text{number of correct guesses}}{\text{maximum possible number correct}} \quad (3)$$

$$F = \frac{2 \times \text{precision} \times \text{recall}}{\text{precision} + \text{recall}} \quad (4)$$

Note that recall is the same as percent correct (for multiple-choice questions, with only zero or one guesses allowed per question, but not in general).

Table 4 shows the experimental results for our set of 374 analogy questions. For example, using the algorithm of Hirst and St-Onge (1998), 120 questions were answered correctly, 224 incorrectly, and 30 questions were skipped. When the algorithm assigned the same similarity to all of the choices for a given question, that question was skipped. The precision was  $120/(120 + 224)$  and the recall was  $120/(120 + 224 + 30)$ .

The first five algorithms in Table 4 are implemented in Pedersen's WordNet-Similarity package.<sup>2</sup> The sixth algorithm (Turney 2001) used the Waterloo MultiText System (WMTS), as described in Terra and Clarke (2003).

The difference between the lowest performance (Jiang and Conrath 1997) and random guessing is statistically significant with 95% confidence, according to the Fisher Exact Test (Agresti 1990). However, the difference between the highest performance (Turney 2001) and the VSM approach (Turney and Littman 2005) is also statistically significant with 95% confidence. We conclude that there are enough near analogies in the 374 SAT questions for attributional similarity to perform better than random guessing, but not enough near analogies for attributional similarity to perform as well as relational similarity.

<sup>2</sup> See <http://www.d.umn.edu/~tpederse/similarity.html>.

### 3. Related Work

This section is a brief survey of the many problems that involve semantic relations and could potentially make use of an algorithm for measuring relational similarity.

#### 3.1 Recognizing Word Analogies

The problem of recognizing word analogies is, given a stem word pair and a finite list of choice word pairs, selecting the choice that is most analogous to the stem. This problem was first attempted by a system called Argus (Reitman 1965), using a small hand-built semantic network. Argus could only solve the limited set of analogy questions that its programmer had anticipated. Argus was based on a spreading activation model and did not explicitly attempt to measure relational similarity.

Turney et al. (2003) combined 13 independent modules to answer SAT questions. The final output of the system was based on a weighted combination of the outputs of each individual module. The best of the 13 modules was the VSM, which is described in detail in Turney and Littman (2005). The VSM was evaluated on a set of 374 SAT questions, achieving a score of 47%.

In contrast with the corpus-based approach of Turney and Littman (2005), Veale (2004) applied a lexicon-based approach to the same 374 SAT questions, attaining a score of 43%. Veale evaluated the quality of a candidate analogy  $A:B::C:D$  by looking for paths in WordNet, joining  $A$  to  $B$  and  $C$  to  $D$ . The quality measure was based on the similarity between the  $A:B$  paths and the  $C:D$  paths.

Turney (2005) introduced Latent Relational Analysis (LRA), an enhanced version of the VSM approach, which reached 56% on the 374 SAT questions. Here we go beyond Turney (2005) by describing LRA in more detail, performing more extensive experiments, and analyzing the algorithm and related work in more depth.

#### 3.2 Structure Mapping Theory

French (2002) cites Structure Mapping Theory (SMT) (Gentner 1983) and its implementation in the Structure Mapping Engine (SME) (Falkenhainer, Forbus, and Gentner 1989) as the most influential work on modeling of analogy making. The goal of computational modeling of analogy making is to understand how people form complex,

**Table 4**

Performance of attributional similarity measures on the 374 SAT questions. Precision, recall, and  $F$  are reported as percentages. (The bottom two rows are not attributional similarity measures. They are included for comparison.)

Algorithm	Type	Precision	Recall	$F$
Hirst and St-Onge (1998)	Lexicon-based	34.9	32.1	33.4
Jiang and Conrath (1997)	Hybrid	29.8	27.3	28.5
Leacock and Chodorow (1998)	Lexicon-based	32.8	31.3	32.0
Lin (1998b)	Hybrid	31.2	27.3	29.1
Resnik (1995)	Hybrid	35.7	33.2	34.4
Turney (2001)	Corpus-based	35.0	35.0	35.0
Turney and Littman (2005)	Relational (VSM)	47.7	47.1	47.4
Random	Random	20.0	20.0	20.0

structured analogies. SME takes representations of a source domain and a target domain and produces an analogical mapping between the source and target. The domains are given structured propositional representations, using predicate logic. These descriptions include attributes, relations, and higher-order relations (expressing relations between relations). The analogical mapping connects source domain relations to target domain relations.

For example, there is an analogy between the solar system and Rutherford's model of the atom (Falkenhainer, Forbus, and Gentner 1989). The solar system is the source domain and Rutherford's model of the atom is the target domain. The basic objects in the source model are the planets and the sun. The basic objects in the target model are the electrons and the nucleus. The planets and the sun have various attributes, such as mass(sun) and mass(planet), and various relations, such as revolve(planet, sun) and attracts(sun, planet). Likewise, the nucleus and the electrons have attributes, such as charge(electron) and charge(nucleus), and relations, such as revolve(electron, nucleus) and attracts(nucleus, electron). SME maps revolve(planet, sun) to revolve(electron, nucleus) and attracts(sun, planet) to attracts(nucleus, electron).

Each individual connection (e.g., from revolve(planet, sun) to revolve(electron, nucleus)) in an analogical mapping implies that the connected relations are similar; thus, SMT requires a measure of relational similarity in order to form maps. Early versions of SME only mapped identical relations, but later versions of SME allowed similar, nonidentical relations to match (Falkenhainer 1990). However, the focus of research in analogy making has been on the mapping process as a whole, rather than measuring the similarity between any two particular relations; hence, the similarity measures used in SME at the level of individual connections are somewhat rudimentary.

We believe that a more sophisticated measure of relational similarity, such as LRA, may enhance the performance of SME. Likewise, the focus of our work here is on the similarity between particular relations, and we ignore systematic mapping between sets of relations, so LRA may also be enhanced by integration with SME.

### 3.3 Metaphor

Metaphorical language is very common in our daily life, so common that we are usually unaware of it (Lakoff and Johnson 1980). Gentner et al. (2001) argue that *novel* metaphors are understood using analogy, but *conventional* metaphors are simply recalled from memory. A conventional metaphor is a metaphor that has become entrenched in our language (Lakoff and Johnson 1980). Dolan (1995) describes an algorithm that can recognize conventional metaphors, but is not suited to novel metaphors. This suggests that it may be fruitful to combine Dolan's (1995) algorithm for handling conventional metaphorical language with LRA and SME for handling novel metaphors.

Lakoff and Johnson (1980) give many examples of sentences in support of their claim that metaphorical language is ubiquitous. The metaphors in their sample sentences can be expressed using SAT-style verbal analogies of the form  $A:B::C:D$ . The first column in Table 5 is a list of sentences from Lakoff and Johnson (1980) and the second column shows how the metaphor that is implicit in each sentence may be made explicit as a verbal analogy.

### 3.4 Classifying Semantic Relations

The task of classifying semantic relations is to identify the relation between a pair of words. Often the pairs are restricted to noun-modifier pairs, but there are many



**Table 5**  
Metaphorical sentences from Lakoff and Johnson (1980), rendered as SAT-style verbal analogies.

Metaphorical sentence	SAT-style verbal analogy
He <i>shot down</i> all of my <i>arguments</i> .	aircraft:shoot_down::argument:refute
I <i>demolished</i> his <i>argument</i> .	building:demolish::argument:refute
You need to <i>budget</i> your <i>time</i> .	money:budget::time:schedule
I've <i>invested</i> a lot of <i>time</i> in her.	money:invest::time:allocate
My <i>mind</i> just isn't <i>operating</i> today.	machine:operate::mind:think
<i>Life</i> has <i>cheated</i> me.	charlatan:cheat::life:disappoint
<i>Inflation</i> is <i>eating up</i> our profits.	animal:eat::inflation:reduce

interesting relations, such as antonymy, that do not occur in noun-modifier pairs. However, noun-modifier pairs are interesting due to their high frequency in English. For instance, WordNet 2.0 contains more than 26,000 noun-modifier pairs, although many common noun-modifiers are not in WordNet, especially technical terms.

Rosario and Hearst (2001) and Rosario, Hearst, and Fillmore (2002) classify noun-modifier relations in the medical domain, using Medical Subject Headings (MeSH) and Unified Medical Language System (UMLS) as lexical resources for representing each noun-modifier pair with a feature vector. They trained a neural network to distinguish 13 classes of semantic relations. Nastase and Szpakowicz (2003) explore a similar approach to classifying general noun-modifier pairs (i.e., not restricted to a particular domain, such as medicine), using WordNet and Roget's Thesaurus as lexical resources. Vanderwende (1994) used hand-built rules, together with a lexical knowledge base, to classify noun-modifier pairs.

None of these approaches explicitly involved measuring relational similarity, but any classification of semantic relations necessarily employs some implicit notion of relational similarity since members of the same class must be relationally similar to some extent. Barker and Szpakowicz (1998) tried a corpus-based approach that explicitly used a measure of relational similarity, but their measure was based on literal matching, which limited its ability to generalize. Moldovan et al. (2004) also used a measure of relational similarity based on mapping each noun and modifier into semantic classes in WordNet. The noun-modifier pairs were taken from a corpus, and the surrounding context in the corpus was used in a word sense disambiguation algorithm to improve the mapping of the noun and modifier into WordNet. Turney and Littman (2005) used the VSM (as a component in a single nearest neighbor learning algorithm) to measure relational similarity. We take the same approach here, substituting LRA for the VSM, in Section 7.

Lauer (1995) used a corpus-based approach (using the BNC) to paraphrase noun-modifier pairs by inserting the prepositions *of*, *for*, *in*, *at*, *on*, *from*, *with*, and *about*. For example, *reptile haven* was paraphrased as *haven for reptiles*. Lapata and Keller (2004) achieved improved results on this task by using the database of AltaVista's search engine as a corpus.

### 3.5 Word Sense Disambiguation

We believe that the intended sense of a polysemous word is determined by its semantic relations with the other words in the surrounding text. If we can identify the semantic

relations between the given word and its context, then we can disambiguate the given word. Yarowsky's (1993) observation that collocations are almost always monosemous is evidence for this view. Federici, Montemagni, and Pirrelli (1997) present an analogy-based approach to word sense disambiguation.

For example, consider the word *plant*. Out of context, *plant* could refer to an industrial plant or a living organism. Suppose *plant* appears in some text near *food*. A typical approach to disambiguating *plant* would compare the attributional similarity of *food* and *industrial plant* to the attributional similarity of *food* and *living organism* (Lesk 1986; Banerjee and Pedersen 2003). In this case, the decision may not be clear, since industrial plants often produce food and living organisms often serve as food. It would be very helpful to know the relation between *food* and *plant* in this example. In the phrase "food for the plant," the relation between food and plant strongly suggests that the plant is a living organism, since industrial plants do not need food. In the text "food at the plant," the relation strongly suggests that the plant is an industrial plant, since living organisms are not usually considered as locations. Thus, an algorithm for classifying semantic relations (as in Section 7) should be helpful for word sense disambiguation.

### 3.6 Information Extraction

The problem of relation extraction is, given an input document and a specific relation  $R$ , to extract all pairs of entities (if any) that have the relation  $R$  in the document. The problem was introduced as part of the Message Understanding Conferences (MUC) in 1998. Zelenko, Aone, and Richardella (2003) present a kernel method for extracting the relations *person-affiliation* and *organization-location*. For example, in the sentence *John Smith is the chief scientist of the Hardcom Corporation*, there is a *person-affiliation* relation between *John Smith* and *Hardcom Corporation* (Zelenko, Aone, and Richardella 2003). This is similar to the problem of classifying semantic relations (Section 3.4), except that information extraction focuses on the relation between a specific pair of entities in a specific document, rather than a general pair of words in general text. Therefore an algorithm for classifying semantic relations should be useful for information extraction.

In the VSM approach to classifying semantic relations (Turney and Littman 2005), we would have a training set of labeled examples of the relation *person-affiliation*, for instance. Each example would be represented by a vector of pattern frequencies. Given a specific document discussing *John Smith* and *Hardcom Corporation*, we could construct a vector representing the relation between these two entities and then measure the relational similarity between this unlabeled vector and each of our labeled training vectors. It would seem that there is a problem here because the training vectors would be relatively dense, since they would presumably be derived from a large corpus, but the new unlabeled vector for *John Smith* and *Hardcom Corporation* would be very sparse, since these entities might be mentioned only once in the given document. However, this is not a new problem for the VSM; it is the standard situation when the VSM is used for information retrieval. A query to a search engine is represented by a very sparse vector, whereas a document is represented by a relatively dense vector. There are well-known techniques in information retrieval for coping with this disparity, such as weighting schemes for query vectors that are different from the weighting schemes for document vectors (Salton and Buckley 1988).

### 3.7 Question Answering

In their article on classifying semantic relations, Moldovan et al. (2004) suggest that an important application of their work is question answering (QA). As defined in the Text Retrieval Conference (TREC) QA track, the task is to answer simple questions, such as “Where have nuclear incidents occurred?”, by retrieving a relevant document from a large corpus and then extracting a short string from the document, such as *The Three Mile Island nuclear incident caused a DOE policy crisis*. Moldovan et al. (2004) propose to map a given question to a semantic relation and then search for that relation in a corpus of semantically tagged text. They argue that the desired semantic relation can easily be inferred from the surface form of the question. A question of the form “Where...?” is likely to be looking for entities with a *location* relation and a question of the form “What did ... make?” is likely to be looking for entities with a *product* relation. In Section 7, we show how LRA can recognize relations such as *location* and *product* (see Table 19).

### 3.8 Automatic Thesaurus Generation

Hearst (1992) presents an algorithm for learning hyponym (*type of*) relations from a corpus and Berland and Charniak (1999) describe how to learn meronym (*part of*) relations from a corpus. These algorithms could be used to automatically generate a thesaurus or dictionary, but we would like to handle more relations than hyponymy and meronymy. WordNet distinguishes more than a dozen semantic relations between words (Fellbaum 1998) and Nastase and Szpakowicz (2003) list 30 semantic relations for noun-modifier pairs. Hearst and Berland and Charniak (1999) use manually generated rules to mine text for semantic relations. Turney and Littman (2005) also use a manually generated set of 64 patterns.

LRA does not use a predefined set of patterns; it learns patterns from a large corpus. Instead of manually generating new rules or patterns for each new semantic relation, it is possible to automatically learn a measure of relational similarity that can handle arbitrary semantic relations. A nearest neighbor algorithm can then use this relational similarity measure to learn to classify according to any set of classes of relations, given the appropriate labeled training data.

Girju, Badulescu, and Moldovan (2003) present an algorithm for learning meronym relations from a corpus. Like Hearst (1992) and Berland and Charniak (1999), they use manually generated rules to mine text for their desired relation. However, they supplement their manual rules with automatically learned constraints, to increase the precision of the rules.

### 3.9 Information Retrieval

Veale (2003) has developed an algorithm for recognizing certain types of word analogies, based on information in WordNet. He proposes to use the algorithm for analogical information retrieval. For example, the query *Muslim church* should return *mosque* and the query *Hindu bible* should return *the Vedas*. The algorithm was designed with a focus on analogies of the form *adjective:noun::adjective:noun*, such as *Christian:church::Muslim:mosque*.

A measure of relational similarity is applicable to this task. Given a pair of words, *A* and *B*, the task is to return another pair of words, *X* and *Y*, such that there is high relational similarity between the pair *A:X* and the pair *Y:B*. For example, given

$A = \textit{Muslim}$  and  $B = \textit{church}$ , return  $X = \textit{mosque}$  and  $Y = \textit{Christian}$ . (The pair *Muslim:mosque* has a high relational similarity to the pair *Christian:church*.)

Marx et al. (2002) developed an unsupervised algorithm for discovering analogies by clustering words from two different corpora. Each cluster of words in one corpus is coupled one-to-one with a cluster in the other corpus. For example, one experiment used a corpus of Buddhist documents and a corpus of Christian documents. A cluster of words such as {Hindu, Mahayana, Zen, ...} from the Buddhist corpus was coupled with a cluster of words such as {Catholic, Protestant, ...} from the Christian corpus. Thus the algorithm appears to have discovered an analogical mapping between Buddhist schools and traditions and Christian schools and traditions. This is interesting work, but it is not directly applicable to SAT analogies, because it discovers analogies between clusters of words rather than individual words.

### 3.10 Identifying Semantic Roles

A semantic frame for an event such as *judgement* contains semantic roles such as *judge*, *evaluatee*, and *reason*, whereas an event such as *statement* contains roles such as *speaker*, *addressee*, and *message* (Gildea and Jurafsky 2002). The task of identifying semantic roles is to label the parts of a sentence according to their semantic roles. We believe that it may be helpful to view semantic frames and their semantic roles as sets of semantic relations; thus, a measure of relational similarity should help us to identify semantic roles. Moldovan et al. (2004) argue that semantic roles are merely a special case of semantic relations (Section 3.4), since semantic roles always involve verbs or predicates, but semantic relations can involve words of any part of speech.

## 4. The Vector Space Model

This section examines past work on measuring attributional and relational similarity using the VSM.

### 4.1 Measuring Attributional Similarity with the Vector Space Model

The VSM was first developed for information retrieval (Salton and McGill 1983; Salton and Buckley 1988; Salton 1989) and it is at the core of most modern search engines (Baeza-Yates and Ribeiro-Neto 1999). In the VSM approach to information retrieval, queries and documents are represented by vectors. Elements in these vectors are based on the frequencies of words in the corresponding queries and documents. The frequencies are usually transformed by various formulas and weights, tailored to improve the effectiveness of the search engine (Salton 1989). The attributional similarity between a query and a document is measured by the cosine of the angle between their corresponding vectors. For a given query, the search engine sorts the matching documents in order of decreasing cosine.

The VSM approach has also been used to measure the attributional similarity of words (Lesk 1969; Ruge 1992; Pantel and Lin 2002). Pantel and Lin (2002) clustered words according to their attributional similarity, as measured by a VSM. Their algorithm is able to discover the different senses of polysemous words, using unsupervised learning.

Latent Semantic Analysis enhances the VSM approach to information retrieval by using the Singular Value Decomposition (SVD) to smooth the vectors, which helps

to handle noise and sparseness in the data (Deerwester et al. 1990; Dumais 1993; Landauer and Dumais 1997). SVD improves both document-query attributional similarity measures (Deerwester et al. 1990; Dumais 1993) and word–word attributional similarity measures (Landauer and Dumais 1997). LRA also uses SVD to smooth vectors, as we discuss in Section 5.

### 4.2 Measuring Relational Similarity with the Vector Space Model

Let  $R_1$  be the semantic relation (or set of relations) between a pair of words,  $A$  and  $B$ , and let  $R_2$  be the semantic relation (or set of relations) between another pair,  $C$  and  $D$ . We wish to measure the relational similarity between  $R_1$  and  $R_2$ . The relations  $R_1$  and  $R_2$  are not given to us; our task is to infer these hidden (latent) relations and then compare them.

In the VSM approach to relational similarity (Turney and Littman 2005), we create vectors,  $r_1$  and  $r_2$ , that represent features of  $R_1$  and  $R_2$ , and then measure the similarity of  $R_1$  and  $R_2$  by the cosine of the angle  $\theta$  between  $r_1$  and  $r_2$ :

$$r_1 = \langle r_{1,1}, \dots, r_{1,n} \rangle \tag{5}$$

$$r_2 = \langle r_{2,1}, \dots, r_{2,n} \rangle \tag{6}$$

$$\text{cosine}(\theta) = \frac{\sum_{i=1}^n r_{1,i} \cdot r_{2,i}}{\sqrt{\sum_{i=1}^n (r_{1,i})^2} \cdot \sqrt{\sum_{i=1}^n (r_{2,i})^2}} = \frac{r_1 \cdot r_2}{\|r_1\| \cdot \|r_2\|} \tag{7}$$

We create a vector,  $r$ , to characterize the relationship between two words,  $X$  and  $Y$ , by counting the frequencies of various short phrases containing  $X$  and  $Y$ . Turney and Littman (2005) use a list of 64 joining terms, such as *of*, *for*, and *to*, to form 128 phrases that contain  $X$  and  $Y$ , such as  $X$  of  $Y$ ,  $Y$  of  $X$ ,  $X$  for  $Y$ ,  $Y$  for  $X$ ,  $X$  to  $Y$ , and  $Y$  to  $X$ . These phrases are then used as queries for a search engine and the number of hits (matching documents) is recorded for each query. This process yields a vector of 128 numbers. If the number of hits for a query is  $x$ , then the corresponding element in the vector  $r$  is  $\log(x + 1)$ . Several authors report that the logarithmic transformation of frequencies improves cosine-based similarity measures (Salton and Buckley 1988; Ruge 1992; Lin 1998b).

Turney and Littman (2005) evaluated the VSM approach by its performance on 374 SAT analogy questions, achieving a score of 47%. Since there are five choices for each question, the expected score for random guessing is 20%. To answer a multiple-choice analogy question, vectors are created for the stem pair and each choice pair, and then cosines are calculated for the angles between the stem pair and each choice pair. The best guess is the choice pair with the highest cosine. We use the same set of analogy questions to evaluate LRA in Section 6.

The VSM was also evaluated by its performance as a distance (nearness) measure in a supervised nearest neighbor classifier for noun-modifier semantic relations (Turney and Littman 2005). The evaluation used 600 hand-labeled noun-modifier pairs from Nastase and Szpakowicz (2003). A testing pair is classified by searching for its single nearest neighbor in the labeled training data. The best guess is the label for the training pair with the highest cosine. LRA is evaluated with the same set of noun-modifier pairs in Section 7.

Turney and Littman (2005) used the AltaVista search engine to obtain the frequency information required to build vectors for the VSM. Thus their corpus was the set of all Web pages indexed by AltaVista. At the time, the English subset of this corpus consisted of about  $5 \times 10^{11}$  words. Around April 2004, AltaVista made substantial changes to their search engine, removing their advanced search operators. Their search engine no longer supports the asterisk operator, which was used by Turney and Littman (2005) for stemming and wild-card searching. AltaVista also changed their policy toward automated searching, which is now forbidden.<sup>3</sup>

Turney and Littman (2005) used AltaVista's hit count, which is the number of *documents* (Web pages) matching a given query, but LRA uses the number of *passages* (strings) matching a query. In our experiments with LRA (Sections 6 and 7), we use a local copy of the Waterloo MultiText System (WMTS) (Clarke, Cormack, and Palmer 1998; Terra and Clarke 2003), running on a 16 CPU Beowulf Cluster, with a corpus of about  $5 \times 10^{10}$  English words. The WMTS is a distributed (multiprocessor) search engine, designed primarily for passage retrieval (although document retrieval is possible, as a special case of passage retrieval). The text and index require approximately one terabyte of disk space. Although AltaVista only gives a rough estimate of the number of matching documents, the WMTS gives exact counts of the number of matching passages.

Turney et al. (2003) combine 13 independent modules to answer SAT questions. The performance of LRA significantly surpasses this combined system, but there is no real contest between these approaches, because we can simply add LRA to the combination, as a fourteenth module. Since the VSM module had the best performance of the 13 modules (Turney et al. 2003), the following experiments focus on comparing VSM and LRA.

## 5. Latent Relational Analysis

LRA takes as input a set of word pairs and produces as output a measure of the relational similarity between any two of the input pairs. LRA relies on three resources, a search engine with a very large corpus of text, a broad-coverage thesaurus of synonyms, and an efficient implementation of SVD.

We first present a short description of the core algorithm. Later, in the following subsections, we will give a detailed description of the algorithm, as it is applied in the experiments in Sections 6 and 7.

- Given a set of word pairs as input, look in a thesaurus for synonyms for each word in each word pair. For each input pair, make alternate pairs by replacing the original words with their synonyms. The alternate pairs are

<sup>3</sup> See <http://www.altavista.com/robots.txt> for AltaVista's current policy toward "robots" (software for automatically gathering Web pages or issuing batches of queries). The protocol of the "robots.txt" file is explained in <http://www.robotstxt.org/wc/robots.html>.

intended to form *near analogies* with the corresponding original pairs (see Section 2.3).

- Filter out alternate pairs that do not form near analogies by dropping alternate pairs that co-occur rarely in the corpus. In the preceding step, if a synonym replaced an ambiguous original word, but the synonym captures the wrong sense of the original word, it is likely that there is no significant relation between the words in the alternate pair, so they will rarely co-occur.
- For each original and alternate pair, search in the corpus for short phrases that begin with one member of the pair and end with the other. These phrases characterize the relation between the words in each pair.
- For each phrase from the previous step, create several patterns, by replacing words in the phrase with wild cards.
- Build a pair–pattern frequency matrix, in which each cell represents the number of times that the corresponding pair (row) appears in the corpus with the corresponding pattern (column). The number will usually be zero, resulting in a sparse matrix.
- Apply the SVD to the matrix. This reduces noise in the matrix and helps with sparse data.
- Suppose that we wish to calculate the relational similarity between any two of the original pairs. Start by looking for the two row vectors in the pair–pattern frequency matrix that correspond to the two original pairs. Calculate the cosine of the angle between these two row vectors. Then merge the cosine of the two original pairs with the cosines of their corresponding alternate pairs, as follows. If an analogy formed with alternate pairs has a higher cosine than the original pairs, we assume that we have found a better way to express the analogy, but we have not significantly changed its meaning. If the cosine is lower, we assume that we may have changed the meaning by inappropriately replacing words with synonyms. Filter out inappropriate alternates by dropping all analogies formed of alternates, such that the cosines are less than the cosine for the original pairs. The relational similarity between the two original pairs is then calculated as the average of all of the remaining cosines.

The motivation for the alternate pairs is to handle cases where the original pairs co-occur rarely in the corpus. The hope is that we can find near analogies for the original pairs, such that the near analogies co-occur more frequently in the corpus. The danger is that the alternates may have different relations from the originals. The filtering steps above aim to reduce this risk.

## 5.1 Input and Output

In our experiments, the input set contains from 600 to 2,244 word pairs. The output similarity measure is based on cosines, so the degree of similarity can range from  $-1$  (dissimilar;  $\theta = 180^\circ$ ) to  $+1$  (similar;  $\theta = 0^\circ$ ). Before applying SVD, the vectors are

completely non-negative, which implies that the cosine can only range from 0 to +1, but SVD introduces negative values, so it is possible for the cosine to be negative, although we have never observed this in our experiments.

## 5.2 Search Engine and Corpus

In the following experiments, we use a local copy of the WMTS (Clarke, Cormack, and Palmer 1998; Terra and Clarke 2003).<sup>4</sup> The corpus consists of about  $5 \times 10^{10}$  English words, gathered by a Web crawler, mainly from US academic Web sites. The Web pages cover a very wide range of topics, styles, genres, quality, and writing skill. The WMTS is well suited to LRA, because the WMTS scales well to large corpora (one terabyte, in our case), it gives exact frequency counts (unlike most Web search engines), it is designed for passage retrieval (rather than document retrieval), and it has a powerful query syntax.

## 5.3 Thesaurus

As a source of synonyms, we use Lin's (1998a) automatically generated thesaurus. This thesaurus is available through an on-line interactive demonstration or it can be downloaded.<sup>5</sup> We used the on-line demonstration, since the downloadable version seems to contain fewer words. For each word in the input set of word pairs, we automatically query the on-line demonstration and fetch the resulting list of synonyms. As a courtesy to other users of Lin's on-line system, we insert a 20-second delay between each two queries.

Lin's thesaurus was generated by parsing a corpus of about  $5 \times 10^7$  English words, consisting of text from the *Wall Street Journal*, *San Jose Mercury*, and *AP Newswire* (Lin 1998a). The parser was used to extract pairs of words and their grammatical relations. Words were then clustered into synonym sets, based on the similarity of their grammatical relations. Two words were judged to be highly similar when they tended to have the same kinds of grammatical relations with the same sets of words. Given a word and its part of speech, Lin's thesaurus provides a list of words, sorted in order of decreasing attributional similarity. This sorting is convenient for LRA, since it makes it possible to focus on words with higher attributional similarity and ignore the rest. WordNet, in contrast, given a word and its part of speech, provides a list of words grouped by the possible senses of the given word, with groups sorted by the frequencies of the senses. WordNet's sorting does not directly correspond to sorting by degree of attributional similarity, although various algorithms have been proposed for deriving attributional similarity from WordNet (Resnik 1995; Jiang and Conrath 1997; Budanitsky and Hirst 2001; Banerjee and Pedersen 2003).

## 5.4 Singular Value Decomposition

We use Rohde's SVDLIBC implementation of the SVD, which is based on SVDPACKC (Berry 1992).<sup>6</sup> In LRA, SVD is used to reduce noise and compensate for sparseness.

<sup>4</sup> See <http://multitext.uwaterloo.ca/>.

<sup>5</sup> The online demonstration is at <http://www.cs.ualberta.ca/~lindek/demos/depsim.htm> and the downloadable version is at <http://armena.cs.ualberta.ca/lindek/downloads/sims.lsp.gz>.

<sup>6</sup> SVDLIBC is available at <http://tedlab.mit.edu/~dr/SVDLIBC/> and SVDPACKC is available at <http://www.netlib.org/svdpack/>.



### 5.5 The Algorithm

We will go through each step of LRA, using an example to illustrate the steps. Assume that the input to LRA is the 374 multiple-choice SAT word analogy questions of Turney and Littman (2005). Since there are six word pairs per question (the stem and five choices), the input consists of 2,244 word pairs. Let’s suppose that we wish to calculate the relational similarity between the pair *quart:volume* and the pair *mile:distance*, taken from the SAT question in Table 6. The LRA algorithm consists of the following 12 steps:

1. **Find alternates:** For each word pair  $A:B$  in the input set, look in Lin’s (1998a) thesaurus for the top *num\_sim* words (in the following experiments, *num\_sim* is 10) that are most similar to  $A$ . For each  $A'$  that is similar to  $A$ , make a new word pair  $A':B$ . Likewise, look for the top *num\_sim* words that are most similar to  $B$ , and for each  $B'$ , make a new word pair  $A:B'$ .  $A:B$  is called the *original* pair and each  $A':B$  or  $A:B'$  is an *alternate* pair. The intent is that alternates should have almost the same semantic relations as the original. For each input pair, there will now be  $2 \times \text{num\_sim}$  alternate pairs. When looking for similar words in Lin’s (1998a) thesaurus, avoid words that seem unusual (e.g., hyphenated words, words with three characters or less, words with non-alphabetical characters, multiword phrases, and capitalized words). The first column in Table 7 shows the alternate pairs that are generated for the original pair *quart:volume*.
2. **Filter alternates:** For each original pair  $A:B$ , filter the  $2 \times \text{num\_sim}$  alternates as follows. For each alternate pair, send a query to the WMTS, to find the frequency of phrases that begin with one member of the pair and end with the other. The phrases cannot have more than *max\_phrase* words (we use *max\_phrase* = 5). Sort the alternate pairs by the frequency of their phrases. Select the top *num\_filter* most frequent alternates and discard the remainder (we use *num\_filter* = 3, so 17 alternates are dropped). This step tends to eliminate alternates that have no clear semantic relation. The third column in Table 7 shows the frequency with which each pair co-occurs in a window of *max\_phrase* words. The last column in Table 7 shows the pairs that are selected.
3. **Find phrases:** For each pair (originals and alternates), make a list of phrases in the corpus that contain the pair. Query the WMTS for all phrases that begin with one member of the pair and end with the other (in either order). We ignore suffixes when searching for phrases that match

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**Table 6**  
This SAT question, from Claman (2000), is used to illustrate the steps in the LRA algorithm.

Stem:		quart:volume
Choices:	(a)	<i>day:night</i>
	(b)	<i>mile:distance</i>
	(c)	<i>decade:century</i>
	(d)	<i>friction:heat</i>
	(e)	<i>part:whole</i>
Solution:	(b)	<i>mile:distance</i>

**Table 7**

Alternate forms of the original pair quart:volume. The first column shows the original pair and the alternate pairs. The second column shows Lin’s similarity score for the alternate word compared to the original word. For example, the similarity between quart and pint is 0.210. The third column shows the frequency of the pair in the WMTS corpus. The fourth column shows the pairs that pass the filtering step (i.e., step 2).

Word pair	Similarity	Frequency	Filtering step
quart:volume	NA	632	Accept (original pair)
pint:volume	0.210	372	
gallon:volume	0.159	1500	Accept (top alternate)
liter:volume	0.122	3323	Accept (top alternate)
squirt:volume	0.084	54	
pail:volume	0.084	28	
vial:volume	0.084	373	
pumping:volume	0.073	1386	Accept (top alternate)
ounce:volume	0.071	430	
spoonful:volume	0.070	42	
tablespoon:volume	0.069	96	
quart:turnover	0.229	0	
quart:output	0.225	34	
quart:export	0.206	7	
quart:value	0.203	266	
quart:import	0.186	16	
quart:revenue	0.185	0	
quart:sale	0.169	119	
quart:investment	0.161	11	
quart:earnings	0.156	0	
quart:profit	0.156	24	

a given pair. The phrases cannot have more than *max\_phrase* words and there must be at least one word between the two members of the word pair. These phrases give us information about the semantic relations between the words in each pair. A phrase with no words between the two members of the word pair would give us very little information about the semantic relations (other than that the words occur together with a certain frequency in a certain order). Table 8 gives some examples of phrases in the corpus that match the pair quart:volume.

4. **Find patterns:** For each phrase found in the previous step, build patterns from the intervening words. A pattern is constructed by replacing any or all or none of the intervening words with wild cards (one wild card can

**Table 8**

Some examples of phrases that contain quart:volume. Suffixes are ignored when searching for matching phrases in the WMTS corpus. At least one word must occur between quart and volume. At most *max\_phrase* words can appear in a phrase.

quarts liquid volume	volume in quarts
quarts of volume	volume capacity quarts
quarts in volume	volume being about two quarts
quart total volume	volume of milk in quarts
quart of spray volume	volume include measures like quart

replace only one word). If a phrase is  $n$  words long, there are  $n - 2$  intervening words between the members of the given word pair (e.g., between quart and volume). Thus a phrase with  $n$  words generates  $2^{(n-2)}$  patterns. (We use  $max\_phrase = 5$ , so a phrase generates at most eight patterns.) For each pattern, count the number of pairs (originals and alternates) with phrases that match the pattern (a wild card must match exactly one word). Keep the top  $num\_patterns$  most frequent patterns and discard the rest (we use  $num\_patterns = 4,000$ ). Typically there will be millions of patterns, so it is not feasible to keep them all.

5. **Map pairs to rows:** In preparation for building the matrix  $X$ , create a mapping of word pairs to row numbers. For each pair  $A:B$ , create a row for  $A:B$  and another row for  $B:A$ . This will make the matrix more symmetrical, reflecting our knowledge that the relational similarity between  $A:B$  and  $C:D$  should be the same as the relational similarity between  $B:A$  and  $D:C$ . This duplication of rows is examined in Section 6.6.
6. **Map patterns to columns:** Create a mapping of the top  $num\_patterns$  patterns to column numbers. For each pattern  $P$ , create a column for " $word_1 P word_2$ " and another column for " $word_2 P word_1$ ." Thus there will be  $2 \times num\_patterns$  columns in  $X$ . This duplication of columns is examined in Section 6.6.
7. **Generate a sparse matrix:** Generate a matrix  $X$  in sparse matrix format, suitable for input to SVDLIBC. The value for the cell in row  $i$  and column  $j$  is the frequency of the  $j$ th pattern (see step 6) in phrases that contain the  $i$ th word pair (see step 5). Table 9 gives some examples of pattern frequencies for quart:volume.
8. **Calculate entropy:** Apply log and entropy transformations to the sparse matrix (Landauer and Dumais 1997). These transformations have been found to be very helpful for information retrieval (Harman 1986; Dumais 1990). Let  $x_{i,j}$  be the cell in row  $i$  and column  $j$  of the matrix  $X$  from step 7. Let  $m$  be the number of rows in  $X$  and let  $n$  be the number of columns. We wish to weight the cell  $x_{i,j}$  by the entropy of the  $j$ th column. To calculate the entropy of the column, we need to convert the column into a vector of probabilities. Let  $p_{i,j}$  be the probability of  $x_{i,j}$ , calculated by normalizing the column vector so that the sum of the elements is one,  $p_{i,j} = x_{i,j} / \sum_{k=1}^m x_{k,j}$ . The entropy of the  $j$ th column is then  $H_j = - \sum_{k=1}^m p_{k,j} \log(p_{k,j})$ . Entropy is at its maximum when  $p_{i,j}$  is a uniform distribution,  $p_{i,j} = 1/m$ , in which case  $H_j = \log(m)$ . Entropy is at its minimum when  $p_{i,j}$  is 1 for some value of  $i$  and 0 for all other values of  $i$ , in which case  $H_j = 0$ . We want to give

**Table 9**  
 Frequencies of various patterns for *quart:volume*. The asterisk "\*" represents the wildcard. Suffixes are ignored, so *quart* matches *quarts*. For example, *quarts in volume* is one of the four phrases that match *quart P volume* when  $P$  is *in*.

	$P = \text{"in"}$	$P = \text{"* of"}$	$P = \text{"of *"}$	$P = \text{"* *"}$
freq("quart $P$ volume")	4	1	5	19
freq("volume $P$ quart")	10	0	2	16

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more weight to columns (patterns) with frequencies that vary substantially from one row (word pair) to the next, and less weight to columns that are uniform. Therefore we weight the cell  $x_{ij}$  by  $w_j = 1 - H_j / \log(m)$ , which varies from 0 when  $p_{ij}$  is uniform to 1 when entropy is minimal. We also apply the log transformation to frequencies,  $\log(x_{ij} + 1)$ . (Entropy is calculated with the original frequency values, before the log transformation is applied.) For all  $i$  and all  $j$ , replace the original value  $x_{ij}$  in  $\mathbf{X}$  by the new value  $w_j \log(x_{ij} + 1)$ . This is an instance of the Term Frequency-Inverse Document Frequency (TF-IDF) family of transformations, which is familiar in information retrieval (Salton and Buckley 1988; Baeza-Yates and Ribeiro-Neto 1999):  $\log(x_{ij} + 1)$  is the TF term and  $w_j$  is the IDF term.

9. **Apply SVD:** After the log and entropy transformations have been applied to the matrix  $\mathbf{X}$ , run SVDLIBC. SVD decomposes a matrix  $\mathbf{X}$  into a product of three matrices  $\mathbf{U}\Sigma\mathbf{V}^T$ , where  $\mathbf{U}$  and  $\mathbf{V}$  are in column orthonormal form (i.e., the columns are orthogonal and have unit length:  $\mathbf{U}^T\mathbf{U} = \mathbf{V}^T\mathbf{V} = \mathbf{I}$ ) and  $\Sigma$  is a diagonal matrix of *singular values* (hence SVD) (Golub and Van Loan 1996). If  $\mathbf{X}$  is of rank  $r$ , then  $\Sigma$  is also of rank  $r$ . Let  $\Sigma_k$ , where  $k < r$ , be the diagonal matrix formed from the top  $k$  singular values, and let  $\mathbf{U}_k$  and  $\mathbf{V}_k$  be the matrices produced by selecting the corresponding columns from  $\mathbf{U}$  and  $\mathbf{V}$ . The matrix  $\mathbf{U}_k\Sigma_k\mathbf{V}_k^T$  is the matrix of rank  $k$  that best approximates the original matrix  $\mathbf{X}$ , in the sense that it minimizes the approximation errors. That is,  $\hat{\mathbf{X}} = \mathbf{U}_k\Sigma_k\mathbf{V}_k^T$  minimizes  $\|\hat{\mathbf{X}} - \mathbf{X}\|_F$  over all matrices  $\hat{\mathbf{X}}$  of rank  $k$ , where  $\|\cdot\|_F$  denotes the Frobenius norm (Golub and Van Loan 1996). We may think of this matrix  $\mathbf{U}_k\Sigma_k\mathbf{V}_k^T$  as a “smoothed” or “compressed” version of the original matrix. In the subsequent steps, we will be calculating cosines for row vectors. For this purpose, we can simplify calculations by dropping  $\mathbf{V}$ . The cosine of two vectors is their dot product, after they have been normalized to unit length. The matrix  $\mathbf{X}\mathbf{X}^T$  contains the dot products of all of the row vectors. We can find the dot product of the  $i$ th and  $j$ th row vectors by looking at the cell in row  $i$ , column  $j$  of the matrix  $\mathbf{X}\mathbf{X}^T$ . Since  $\mathbf{V}^T\mathbf{V} = \mathbf{I}$ , we have  $\mathbf{X}\mathbf{X}^T = \mathbf{U}\Sigma\mathbf{V}^T(\mathbf{U}\Sigma\mathbf{V}^T)^T = \mathbf{U}\Sigma\mathbf{V}^T\mathbf{V}\Sigma^T\mathbf{U}^T = \mathbf{U}\Sigma(\mathbf{U}\Sigma)^T$ , which means that we can calculate cosines with the smaller matrix  $\mathbf{U}\Sigma$ , instead of using  $\mathbf{X} = \mathbf{U}\Sigma\mathbf{V}^T$  (Deerwester et al. 1990).
10. **Projection:** Calculate  $\mathbf{U}_k\Sigma_k$  (we use  $k = 300$ ). This matrix has the same number of rows as  $\mathbf{X}$ , but only  $k$  columns (instead of  $2 \times \text{num\_patterns}$  columns; in our experiments, that is 300 columns instead of 8,000). We can compare two word pairs by calculating the cosine of the corresponding row vectors in  $\mathbf{U}_k\Sigma_k$ . The row vector for each word pair has been projected from the original 8,000 dimensional space into a new 300 dimensional space. The value  $k = 300$  is recommended by Landauer and Dumais (1997) for measuring the attributional similarity between words. We investigate other values in Section 6.4.
11. **Evaluate alternates:** Let  $A:B$  and  $C:D$  be any two word pairs in the input set. From step 2, we have  $(\text{num\_filter} + 1)$  versions of  $A:B$ , the original and  $\text{num\_filter}$  alternates. Likewise, we have  $(\text{num\_filter} + 1)$  versions of  $C:D$ .

Therefore we have  $(num\_filter + 1)^2$  ways to compare a version of  $A:B$  with a version of  $C:D$ . Look for the row vectors in  $U_k \Sigma_k$  that correspond to the versions of  $A:B$  and the versions of  $C:D$  and calculate the  $(num\_filter + 1)^2$  cosines (in our experiments, there are 16 cosines). For example, suppose  $A:B$  is *quart:volume* and  $C:D$  is *mile:distance*. Table 10 gives the cosines for the sixteen combinations.

12. **Calculate relational similarity:** The relational similarity between  $A:B$  and  $C:D$  is the average of the cosines, among the  $(num\_filter + 1)^2$  cosines from step 11, that are greater than or equal to the cosine of the original pairs,  $A:B$  and  $C:D$ . The requirement that the cosine must be greater than or equal to the original cosine is a way of filtering out poor analogies, which may be introduced in step 1 and may have slipped through the filtering in step 2. Averaging the cosines, as opposed to taking their maximum, is intended to provide some resistance to noise. For *quart:volume* and *mile:distance*, the third column in Table 10 shows which alternates are used to calculate the average. For these two pairs, the average of the selected cosines is 0.677. In Table 7, we see that *pumping:volume* has slipped through the filtering in step 2, although it is not a good alternate for *quart:volume*. However, Table 10 shows that all four analogies that involve *pumping:volume* are dropped here, in step 12.

Steps 11 and 12 can be repeated for each two input pairs that are to be compared. This completes the description of LRA.

Table 11 gives the cosines for the sample SAT question. The choice pair with the highest average cosine (the choice with the largest value in column 1), choice (b), is the solution for this question; LRA answers the question correctly. For comparison, column 2 gives the cosines for the original pairs and column 3 gives the highest cosine.

**Table 10**

The 16 combinations and their cosines.  $A:B::C:D$  expresses the analogy *A is to B as C is to D*. The third column indicates those combinations for which the cosine is greater than or equal to the cosine of the original analogy, *quart:volume::mile:distance*.

Word pairs	Cosine	Cosine $\geq$ original pairs
<i>quart:volume::mile:distance</i>	0.525	Yes (original pairs)
<i>quart:volume::feet:distance</i>	0.464	
<i>quart:volume::mile:length</i>	0.634	Yes
<i>quart:volume::length:distance</i>	0.499	
<i>liter:volume::mile:distance</i>	0.736	Yes
<i>liter:volume::feet:distance</i>	0.687	Yes
<i>liter:volume::mile:length</i>	0.745	Yes
<i>liter:volume::length:distance</i>	0.576	Yes
<i>gallon:volume::mile:distance</i>	0.763	Yes
<i>gallon:volume::feet:distance</i>	0.710	Yes
<i>gallon:volume::mile:length</i>	0.781	Yes (highest cosine)
<i>gallon:volume::length:distance</i>	0.615	Yes
<i>pumping:volume::mile:distance</i>	0.412	
<i>pumping:volume::feet:distance</i>	0.439	
<i>pumping:volume::mile:length</i>	0.446	
<i>pumping:volume::length:distance</i>	0.491	

For this particular SAT question, there is one choice that has the highest cosine for all three columns, choice (b), although this is not true in general. Note that the gap between the first choice (b) and the second choice (d) is largest for the average cosines (column 1). This suggests that the average of the cosines (column 1) is better at discriminating the correct choice than either the original cosine (column 2) or the highest cosine (column 3).

## 6. Experiments with Word Analogy Questions

This section presents various experiments with 374 multiple-choice SAT word analogy questions.

### 6.1 Baseline LRA System

Table 12 shows the performance of the baseline LRA system on the 374 SAT questions, using the parameter settings and configuration described in Section 5. LRA correctly answered 210 of the 374 questions; 160 questions were answered incorrectly and 4 questions were skipped, because the stem pair and its alternates were represented by zero vectors. The performance of LRA is significantly better than the lexicon-based approach of Veale (2004) (see Section 3.1) and the best performance using attributional similarity (see Section 2.3), with 95% confidence, according to the Fisher Exact Test (Agresti 1990).

As another point of reference, consider the simple strategy of always guessing the choice with the highest co-occurrence frequency. The idea here is that the words in the solution pair may occur together frequently, because there is presumably a clear and meaningful relation between the solution words, whereas the distractors may only occur together rarely because they have no meaningful relation. This strategy is significantly *worse* than random guessing. The opposite strategy, always guessing the choice pair with the lowest co-occurrence frequency, is also worse than random guessing (but not significantly). It appears that the designers of the SAT questions deliberately chose distractors that would thwart these two strategies.

**Table 11**

Cosines for the sample SAT question given in Table 6. Column 1 gives the averages of the cosines that are greater than or equal to the original cosines (e.g., the average of the cosines that are marked Yes in Table 10 is 0.677; see choice (b) in column 1). Column 2 gives the cosine for the original pairs (e.g., the cosine for the first pair in Table 10 is 0.525; see choice (b) in column 2). Column 3 gives the maximum cosine for the 16 possible analogies (e.g., the maximum cosine in Table 10 is 0.781; see choice (b) in column 3).

Stem:		quart:volume	Average cosines 1	Original cosines 2	Highest cosines 3
Choices:	(a)	<i>day:night</i>	0.374	0.327	0.443
	(b)	<i>mile:distance</i>	0.677	0.525	0.781
	(c)	<i>decade:century</i>	0.389	0.327	0.470
	(d)	<i>friction:heat</i>	0.428	0.336	0.552
	(e)	<i>part:whole</i>	0.370	0.330	0.408
Solution:	(b)	<i>mile:distance</i>	0.677	0.525	0.781
Gap:	(b)–(d)		0.249	0.189	0.229

**Table 12**

Performance of LRA on the 374 SAT questions. Precision, recall, and *F* are reported as percentages. (The bottom five rows are included for comparison.)

Algorithm	Precision	Recall	<i>F</i>
LRA	56.8	56.1	56.5
Veale (2004)	42.8	42.8	42.8
Best attributional similarity	35.0	35.0	35.0
Random guessing	20.0	20.0	20.0
Lowest co-occurrence frequency	16.8	16.8	16.8
Highest co-occurrence frequency	11.8	11.8	11.8

With 374 questions and six word pairs per question (one stem and five choices), there are 2,244 pairs in the input set. In step 2, introducing alternate pairs multiplies the number of pairs by four, resulting in 8,976 pairs. In step 5, for each pair *A:B*, we add *B:A*, yielding 17,952 pairs. However, some pairs are dropped because they correspond to zero vectors (they do not appear together in a window of five words in the WMTS corpus). Also, a few words do not appear in Lin’s thesaurus, and some word pairs appear twice in the SAT questions (e.g., lion:cat). The sparse matrix (step 7) has 17,232 rows (word pairs) and 8,000 columns (patterns), with a density of 5.8% (percentage of nonzero values).

Table 13 gives the time required for each step of LRA, a total of almost 9 days. All of the steps used a single CPU on a desktop computer, except step 3, finding the phrases for each word pair, which used a 16 CPU Beowulf cluster. Most of the other steps are parallelizable; with a bit of programming effort, they could also be executed on the Beowulf cluster. All CPUs (both desktop and cluster) were 2.4 GHz Intel Xeons. The desktop computer had 2 GB of RAM and the cluster had a total of 16 GB of RAM.

**6.2 LRA versus VSM**

Table 14 compares LRA to the VSM with the 374 analogy questions. VSM-AV refers to the VSM using AltaVista’s database as a corpus. The VSM-AV results are taken

**Table 13**

LRA elapsed run time.

Step	Description	Time H:M:S	Hardware
1	Find alternates	24:56:00	1 CPU
2	Filter alternates	0:00:02	1 CPU
3	Find phrases	109:52:00	16 CPUs
4	Find patterns	33:41:00	1 CPU
5	Map pairs to rows	0:00:02	1 CPU
6	Map patterns to columns	0:00:02	1 CPU
7	Generate a sparse matrix	38:07:00	1 CPU
8	Calculate entropy	0:11:00	1 CPU
9	Apply SVD	0:43:28	1 CPU
10	Projection	0:08:00	1 CPU
11	Evaluate alternates	2:11:00	1 CPU
12	Calculate relational similarity	0:00:02	1 CPU
Total		209:49:36	

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from Turney and Littman (2005). As mentioned in Section 4.2, we estimate this corpus contained about  $5 \times 10^{11}$  English words at the time the VSM-AV experiments took place. VSM-WMTS refers to the VSM using the WMTS, which contains about  $5 \times 10^{10}$  English words. We generated the VSM-WMTS results by adapting the VSM to the WMTS. The algorithm is slightly different from Turney and Littman's (2005), because we used passage frequencies instead of document frequencies.

All three pairwise differences in recall in Table 14 are statistically significant with 95% confidence, using the Fisher Exact Test (Agresti 1990). The pairwise differences in precision between LRA and the two VSM variations are also significant, but the difference in precision between the two VSM variations (42.4% vs. 47.7%) is not significant. Although VSM-AV has a corpus 10 times larger than LRA's, LRA still performs better than VSM-AV.

Comparing VSM-AV to VSM-WMTS, the smaller corpus has reduced the score of the VSM, but much of the drop is due to the larger number of questions that were skipped (34 for VSM-WMTS versus 5 for VSM-AV). With the smaller corpus, many more of the input word pairs simply do not appear together in short phrases in the corpus. LRA is able to answer as many questions as VSM-AV, although it uses the same corpus as VSM-WMTS, because Lin's thesaurus allows LRA to substitute synonyms for words that are not in the corpus.

VSM-AV required 17 days to process the 374 analogy questions (Turney and Littman 2005), compared to 9 days for LRA. As a courtesy to AltaVista, Turney and Littman (2005) inserted a 5-second delay between each two queries. Since the WMTS is running locally, there is no need for delays. VSM-WMTS processed the questions in only one day.

### 6.3 Human Performance

The average performance of college-bound senior high school students on verbal SAT questions corresponds to a recall (percent correct) of about 57% (Turney and Littman 2005). The SAT I test consists of 78 verbal questions and 60 math questions (there is also an SAT II test, covering specific subjects, such as chemistry). Analogy questions are only a subset of the 78 verbal SAT questions. If we assume that the difficulty of our 374 analogy questions is comparable to the difficulty of the 78 verbal SAT I questions, then we can estimate that the average college-bound senior would correctly answer about 57% of the 374 analogy questions.

Of our 374 SAT questions, 190 are from a collection of ten official SAT tests (Claman 2000). On this subset of the questions, LRA has a recall of 61.1%, compared to a recall of 51.1% on the other 184 questions. The 184 questions that are not from Claman (2000) seem to be more difficult. This indicates that we may be underestimating how well LRA performs, relative to college-bound senior high school students. Claman (2000) suggests that the analogy questions may be somewhat harder than other verbal SAT

**Table 14**  
LRA versus VSM with 374 SAT analogy questions.

Algorithm	Correct	Incorrect	Skipped	Precision	Recall	<i>F</i>
VSM-AV	176	193	5	47.7	47.1	47.4
VSM-WMTS	144	196	34	42.4	38.5	40.3
LRA	210	160	4	56.8	56.1	56.5



questions, so we may be slightly overestimating the mean human score on the analogy questions.

Table 15 gives the 95% confidence intervals for LRA, VSM-AV, and VSM-WMTS, calculated by the Binomial Exact Test (Agresti 1990). There is no significant difference between LRA and human performance, but VSM-AV and VSM-WMTS are significantly below human-level performance.

### 6.4 Varying the Parameters in LRA

There are several parameters in the LRA algorithm (see Section 5.5). The parameter values were determined by trying a small number of possible values on a small set of questions that were set aside. Since LRA is intended to be an unsupervised learning algorithm, we did not attempt to tune the parameter values to maximize the precision and recall on the 374 SAT questions. We hypothesized that LRA is relatively insensitive to the values of the parameters.

Table 16 shows the variation in the performance of LRA as the parameter values are adjusted. We take the baseline parameter settings (given in Section 5.5) and vary each parameter, one at a time, while holding the remaining parameters fixed at their baseline values. None of the precision and recall values are significantly different from the baseline, according to the Fisher Exact Test (Agresti 1990), at the 95% confidence level. This supports the hypothesis that the algorithm is not sensitive to the parameter values.

Although a full run of LRA on the 374 SAT questions takes 9 days, for some of the parameters it is possible to reuse cached data from previous runs. We limited the experiments with *num\_sim* and *max\_phrase* because caching was not as helpful for these parameters, so experimenting with them required several weeks.

### 6.5 Ablation Experiments

As mentioned in the introduction, LRA extends the VSM approach of Turney and Littman (2005) by (1) exploring variations on the analogies by replacing words with synonyms (step 1), (2) automatically generating connecting patterns (step 4), and (3) smoothing the data with SVD (step 9). In this subsection, we ablate each of these three components to assess their contribution to the performance of LRA. Table 17 shows the results.

**Table 15**

Comparison with human SAT performance. The last column in the table indicates whether (YES) or not (NO) the average human performance (57%) falls within the 95% confidence interval of the corresponding algorithm’s performance. The confidence intervals are calculated using the Binomial Exact Test (Agresti 1990).

System	Recall (% correct)	95% confidence interval for recall	Human-level (57%)
VSM-AV	47.1	42.2–52.5	NO
VSM-WMTS	38.5	33.5–43.6	NO
LRA	56.1	51.0–61.2	YES

**Table 16**

Variation in performance with different parameter values. The *Baseline* column marks the baseline parameter values. The *Step* column gives the step number in Section 5.5 where each parameter is discussed.

Parameter	Baseline	Value	Step	Precision	Recall	F
<i>num_sim</i>		5	1	54.2	53.5	53.8
<i>num_sim</i>	⇒	10	1	56.8	56.1	56.5
<i>num_sim</i>		15	1	54.1	53.5	53.8
<i>max_phrase</i>		4	2	55.8	55.1	55.5
<i>max_phrase</i>	⇒	5	2	56.8	56.1	56.5
<i>max_phrase</i>		6	2	56.2	55.6	55.9
<i>num_filter</i>		1	2	54.3	53.7	54.0
<i>num_filter</i>		2	2	55.7	55.1	55.4
<i>num_filter</i>	⇒	3	2	56.8	56.1	56.5
<i>num_filter</i>		4	2	55.7	55.1	55.4
<i>num_filter</i>		5	2	54.3	53.7	54.0
<i>num_patterns</i>		1000	4	55.9	55.3	55.6
<i>num_patterns</i>		2000	4	57.6	57.0	57.3
<i>num_patterns</i>		3000	4	58.4	57.8	58.1
<i>num_patterns</i>	⇒	4000	4	56.8	56.1	56.5
<i>num_patterns</i>		5000	4	57.0	56.4	56.7
<i>num_patterns</i>		6000	4	57.0	56.4	56.7
<i>num_patterns</i>		7000	4	58.1	57.5	57.8
<i>k</i>		100	10	55.7	55.1	55.4
<i>k</i>	⇒	300	10	56.8	56.1	56.5
<i>k</i>		500	10	57.6	57.0	57.3
<i>k</i>		700	10	56.5	55.9	56.2
<i>k</i>		900	10	56.2	55.6	55.9

Without SVD (compare column 1 to 2 in Table 17), performance drops, but the drop is not statistically significant with 95% confidence, according to the Fisher Exact Test (Agresti 1990). However, we hypothesize that the drop in performance would be significant with a larger set of word pairs. More word pairs would increase the sample size, which would decrease the 95% confidence interval, which would likely show that SVD is making a significant contribution. Furthermore, more word pairs would increase the matrix size, which would give SVD more leverage. For example, Landauer and Dumais (1997) apply SVD to a matrix of 30,473 columns by 60,768 rows, but our matrix

**Table 17**

Results of ablation experiments.

	LRA Baseline system 1	LRA No SVD 2	LRA No synonyms 3	LRA No SVD, no synonyms 4	VSM-WMTS 5
Correct	210	198	185	178	144
Incorrect	160	172	167	173	196
Skipped	4	4	22	23	34
Precision	56.8	53.5	52.6	50.7	42.4
Recall	56.1	52.9	49.5	47.6	38.5
F	56.5	53.2	51.0	49.1	40.3

here is 8,000 columns by 17,232 rows. We are currently gathering more SAT questions to test this hypothesis.

Without synonyms (compare column 1 to 3 in Table 17), recall drops significantly (from 56.1% to 49.5%), but the drop in precision is not significant. When the synonym component is dropped, the number of skipped questions rises from 4 to 22, which demonstrates the value of the synonym component of LRA for compensating for sparse data.

When both SVD and synonyms are dropped (compare column 1 to 4 in Table 17), the decrease in recall is significant, but the decrease in precision is not significant. Again, we believe that a larger sample size would show that the drop in precision is significant.

If we eliminate both synonyms and SVD from LRA, all that distinguishes LRA from VSM-WMTS is the patterns (step 4). The VSM approach uses a fixed list of 64 patterns to generate 128 dimensional vectors (Turney and Littman 2005), whereas LRA uses a dynamically generated set of 4,000 patterns, resulting in 8,000 dimensional vectors. We can see the value of the automatically generated patterns by comparing LRA without synonyms and SVD (column 4) to VSM-WMTS (column 5). The difference in both precision and recall is statistically significant with 95% confidence, according to the Fisher Exact Test (Agresti 1990).

The ablation experiments support the value of the patterns (step 4) and synonyms (step 1) in LRA, but the contribution of SVD (step 9) has not been proven, although we believe more data will support its effectiveness. Nonetheless, the three components together result in a 16% increase in  $F$  (compare column 1 to 5).

## 6.6 Matrix Symmetry

We know a priori that, if  $A:B::C:D$ , then  $B:A::D:C$ . For example, *mason is to stone as carpenter is to wood* implies *stone is to mason as wood is to carpenter*. Therefore, a good measure of relational similarity,  $\text{sim}_r$ , should obey the following equation:

$$\text{sim}_r(A:B, C:D) = \text{sim}_r(B:A, D:C) \quad (8)$$

In steps 5 and 6 of the LRA algorithm (Section 5.5), we ensure that the matrix  $X$  is symmetrical, so that equation (8) is necessarily true for LRA. The matrix is designed so that the row vector for  $A:B$  is different from the row vector for  $B:A$  only by a permutation of the elements. The same permutation distinguishes the row vectors for  $C:D$  and  $D:C$ . Therefore the cosine of the angle between  $A:B$  and  $C:D$  must be identical to the cosine of the angle between  $B:A$  and  $D:C$  (see equation (7)).

To discover the consequences of this design decision, we altered steps 5 and 6 so that symmetry is no longer preserved. In step 5, for each word pair  $A:B$  that appears in the input set, we only have one row. There is no row for  $B:A$  unless  $B:A$  also appears in the input set. Thus the number of rows in the matrix dropped from 17,232 to 8,616.

In step 6, we no longer have two columns for each pattern  $P$ , one for "*word<sub>1</sub> P word<sub>2</sub>*" and another for "*word<sub>2</sub> P word<sub>1</sub>*." However, to be fair, we kept the total number of columns at 8,000. In step 4, we selected the top 8,000 patterns (instead of the top 4,000), distinguishing the pattern "*word<sub>1</sub> P word<sub>2</sub>*" from the pattern "*word<sub>2</sub> P word<sub>1</sub>*" (instead of considering them equivalent). Thus a pattern  $P$  with a high frequency is likely to appear in two columns, in both possible orders, but a lower frequency pattern might appear in only one column, in only one possible order.

These changes resulted in a slight decrease in performance. Recall dropped from 56.1% to 55.3% and precision dropped from 56.8% to 55.9%. The decrease is not statistically significant. However, the modified algorithm no longer obeys equation (8). Although dropping symmetry appears to cause no significant harm to the performance of the algorithm on the SAT questions, we prefer to retain symmetry, to ensure that equation (8) is satisfied.

Note that, if  $A:B::C:D$ , it does *not* follow that  $B:A::C:D$ . For example, it is false that “stone is to mason as carpenter is to wood.” In general (except when the semantic relations between  $A$  and  $B$  are symmetrical), we have the following inequality:

$$\text{sim}_r(A:B, C:D) \neq \text{sim}_r(B:A, C:D) \quad (9)$$

Therefore we do *not* want  $A:B$  and  $B:A$  to be represented by identical row vectors, although it would ensure that equation (8) is satisfied.

### 6.7 All Alternates versus Better Alternates

In step 12 of LRA, the relational similarity between  $A:B$  and  $C:D$  is the average of the cosines, among the  $(\text{num\_filter} + 1)^2$  cosines from step 11, that are greater than or equal to the cosine of the original pairs,  $A:B$  and  $C:D$ . That is, the average includes only those alternates that are “better” than the originals. Taking all alternates instead of the better alternates, recall drops from 56.1% to 40.4% and precision drops from 56.8% to 40.8%. Both decreases are statistically significant with 95% confidence, according to the Fisher Exact Test (Agesti 1990).

### 6.8 Interpreting Vectors

Suppose a word pair  $A:B$  corresponds to a vector  $r$  in the matrix  $\mathbf{X}$ . It would be convenient if inspection of  $r$  gave us a simple explanation or description of the relation between  $A$  and  $B$ . For example, suppose the word pair ostrich:bird maps to the row vector  $r$ . It would be pleasing to look in  $r$  and find that the largest element corresponds to the pattern “is the largest” (i.e., “ostrich is the largest bird”). Unfortunately, inspection of  $r$  reveals no such convenient patterns.

We hypothesize that the semantic content of a vector is distributed over the whole vector; it is not concentrated in a few elements. To test this hypothesis, we modified step 10 of LRA. Instead of projecting the 8,000 dimensional vectors into the 300 dimensional space  $\mathbf{U}_k \Sigma_k$ , we use the matrix  $\mathbf{U}_k \Sigma_k \mathbf{V}_k^T$ . This matrix yields the same cosines as  $\mathbf{U}_k \Sigma_k$ , but preserves the original 8,000 dimensions, making it easier to interpret the row vectors. For each row vector in  $\mathbf{U}_k \Sigma_k \mathbf{V}_k^T$ , we select the  $N$  largest values and set all other values to zero. The idea here is that we will only pay attention to the  $N$  most important patterns in  $r$ ; the remaining patterns will be ignored. This reduces the length of the row vectors, but the cosine is the dot product of normalized vectors (all vectors are normalized to unit length; see equation (7)), so the change to the vector lengths has no impact; only the angle of the vectors is important. If most of the semantic content is in the  $N$  largest elements of  $r$ , then setting the remaining elements to zero should have relatively little impact.

Table 18 shows the performance as  $N$  varies from 1 to 3,000. The precision and recall are significantly below the baseline LRA until  $N \geq 300$  (95% confidence, Fisher Exact

Test). In other words, for a typical SAT analogy question, we need to examine the top 300 patterns to explain why LRA selected one choice instead of another.

We are currently working on an extension of LRA that will explain with a single pattern why one choice is better than another. We have had some promising results, but this work is not yet mature. However, we can confidently claim that interpreting the vectors is not trivial.

**6.9 Manual Patterns versus Automatic Patterns**

Turney and Littman (2005) used 64 manually generated patterns, whereas LRA uses 4,000 automatically generated patterns. We know from Section 6.5 that the automatically generated patterns are significantly better than the manually generated patterns. It may be interesting to see how many of the manually generated patterns appear within the automatically generated patterns. If we require an exact match, 50 of the 64 manual patterns can be found in the automatic patterns. If we are lenient about wildcards, and count the pattern *not the* as matching *\* not the* (for example), then 60 of the 64 manual patterns appear within the automatic patterns. This suggests that the improvement in performance with the automatic patterns is due to the increased quantity of patterns, rather than a qualitative difference in the patterns.

Turney and Littman (2005) point out that some of their 64 patterns have been used by other researchers. For example, Hearst (1992) used the pattern *such as* to discover hyponyms and Berland and Charniak (1999) used the pattern *of the* to discover meronyms. Both of these patterns are included in the 4,000 patterns automatically generated by LRA.

The novelty in Turney and Littman (2005) is that their patterns are not used to mine text for instances of word pairs that fit the patterns (Hearst 1992; Berland and Charniak 1999); instead, they are used to gather frequency data for building vectors that represent the relation between a given pair of words. The results in Section 6.8 show that a vector contains more information than any single pattern or small set of patterns; a vector is a distributed representation. LRA is distinct from Hearst (1992) and Berland and Charniak (1999) in its focus on distributed representations, which it shares with Turney and Littman (2005), but LRA goes beyond Turney and Littman (2005) by finding patterns automatically.

Riloff and Jones (1999) and Yangarber (2003) also find patterns automatically, but their goal is to mine text for instances of word pairs; the same goal as Hearst (1992) and

**Table 18**  
Performance as a function of *N*.

<i>N</i>	Correct	Incorrect	Skipped	Precision	Recall	<i>F</i>
1	114	179	81	38.9	30.5	34.2
3	146	206	22	41.5	39.0	40.2
10	167	201	6	45.4	44.7	45.0
30	174	196	4	47.0	46.5	46.8
100	178	192	4	48.1	47.6	47.8
300	192	178	4	51.9	51.3	51.6
1000	198	172	4	53.5	52.9	53.2
3000	207	163	4	55.9	55.3	55.6

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Berland and Charniak (1999). Because LRA uses patterns to build distributed vector representations, it can exploit patterns that would be much too noisy and unreliable for the kind of text mining instance extraction that is the objective of Hearst (1992), Berland and Charniak (1999), Riloff and Jones (1999), and Yangarber (2003). Therefore LRA can simply select the highest frequency patterns (step 4 in Section 5.5); it does not need the more sophisticated selection algorithms of Riloff and Jones (1999) and Yangarber (2003).

## 7. Experiments with Noun-Modifier Relations

This section describes experiments with 600 noun-modifier pairs, hand-labeled with 30 classes of semantic relations (Nastase and Szpakowicz 2003). In the following experiments, LRA is used with the baseline parameter values, exactly as described in Section 5.5. No adjustments were made to tune LRA to the noun-modifier pairs. LRA is used as a distance (nearness) measure in a single nearest neighbor supervised learning algorithm.

### 7.1 Classes of Relations

The following experiments use the 600 labeled noun-modifier pairs of Nastase and Szpakowicz (2003). This data set includes information about the part of speech and WordNet synset (synonym set; i.e., word sense tag) of each word, but our algorithm does not use this information.

Table 19 lists the 30 classes of semantic relations. The table is based on Appendix A of Nastase and Szpakowicz (2003), with some simplifications. The original table listed several semantic relations for which there were no instances in the data set. These were relations that are typically expressed with longer phrases (three or more words), rather than noun-modifier word pairs. For clarity, we decided not to include these relations in Table 19.

In this table, *H* represents the head noun and *M* represents the modifier. For example, in *flu virus*, the head noun (*H*) is *virus* and the modifier (*M*) is *flu* (\*). In English, the modifier (typically a noun or adjective) usually precedes the head noun. In the description of *purpose*, *V* represents an arbitrary verb. In *concert hall*, the hall is for presenting concerts (*V* is *present*) or holding concerts (*V* is *hold*) (†).

Nastase and Szpakowicz (2003) organized the relations into groups. The five capitalized terms in the *Relation* column of Table 19 are the names of five groups of semantic relations. (The original table had a sixth group, but there are no examples of this group in the data set.) We make use of this grouping in the following experiments.

### 7.2 Baseline LRA with Single Nearest Neighbor

The following experiments use single nearest neighbor classification with leave-one-out cross-validation. For leave-one-out cross-validation, the testing set consists of a single noun-modifier pair and the training set consists of the 599 remaining noun-modifiers. The data set is split 600 times, so that each noun-modifier gets a turn as the testing word pair. The predicted class of the testing pair is the class of the single nearest neighbor in the training set. As the measure of nearness, we use LRA to calculate the relational similarity between the testing pair and the training pairs. The single nearest neighbor algorithm is a supervised learning algorithm (i.e., it requires a training set of labeled

**Table 19**  
Classes of semantic relations, from Nastase and Szpakowicz (2003).

Relation	Abbr.	Example phrase	Description
<b>CAUSALITY</b>			
cause	cs	flu virus (*)	<i>H</i> makes <i>M</i> occur or exist, <i>H</i> is necessary and sufficient
effect	eff	exam anxiety	<i>M</i> makes <i>H</i> occur or exist, <i>M</i> is necessary and sufficient
purpose	prp	concert hall (†)	<i>H</i> is for <i>V</i> -ing <i>M</i> , <i>M</i> does not necessarily occur or exist
detraction	detr	headache pill	<i>H</i> opposes <i>M</i> , <i>H</i> is not sufficient to prevent <i>M</i>
<b>TEMPORALITY</b>			
frequency	freq	daily exercise	<i>H</i> occurs every time <i>M</i> occurs
time at	tat	morning exercise	<i>H</i> occurs when <i>M</i> occurs
time through	tthr	six-hour meeting	<i>H</i> existed while <i>M</i> existed, <i>M</i> is an interval of time
<b>SPATIAL</b>			
direction	dir	outgoing mail	<i>H</i> is directed towards <i>M</i> , <i>M</i> is not the final point
location	loc	home town	<i>H</i> is the location of <i>M</i>
location at	lat	desert storm	<i>H</i> is located at <i>M</i>
location from	lfr	foreign capital	<i>H</i> originates at <i>M</i>
<b>PARTICIPANT</b>			
agent	ag	student protest	<i>M</i> performs <i>H</i> , <i>M</i> is animate or natural phenomenon
beneficiary	ben	student discount	<i>M</i> benefits from <i>H</i>
instrument	inst	laser printer	<i>H</i> uses <i>M</i>
object	obj	metal separator	<i>M</i> is acted upon by <i>H</i>
object property	obj_prop	sunken ship	<i>H</i> underwent <i>M</i>
part	part	printer tray	<i>H</i> is part of <i>M</i>
possessor	posr	national debt	<i>M</i> has <i>H</i>
property	prop	blue book	<i>H</i> is <i>M</i>
product	prod	plum tree	<i>H</i> produces <i>M</i>
source	src	olive oil	<i>M</i> is the source of <i>H</i>
stative	st	sleeping dog	<i>H</i> is in a state of <i>M</i>
whole	whl	daisy chain	<i>M</i> is part of <i>H</i>
<b>QUALITY</b>			
container	cntr	film music	<i>M</i> contains <i>H</i>
content	cont	apple cake	<i>M</i> is contained in <i>H</i>
equative	eq	player coach	<i>H</i> is also <i>M</i>
material	mat	brick house	<i>H</i> is made of <i>M</i>
measure	meas	expensive book	<i>M</i> is a measure of <i>H</i>
topic	top	weather report	<i>H</i> is concerned with <i>M</i>
type	type	oak tree	<i>M</i> is a type of <i>H</i>

data), but we are using LRA to measure the distance between a pair and its potential neighbors, and LRA is itself determined in an unsupervised fashion (i.e., LRA does not need labeled data).

Each SAT question has five choices, so answering 374 SAT questions required calculating  $374 \times 5 \times 16 = 29,920$  cosines. The factor of 16 comes from the alternate pairs, step 11 in LRA. With the noun-modifier pairs, using leave-one-out cross-validation, each test pair has 599 choices, so an exhaustive application of LRA would require calculating  $600 \times 599 \times 16 = 5,750,400$  cosines. To reduce the amount of computation required, we first find the 30 nearest neighbors for each pair, ignoring the alternate pairs ( $600 \times 599 = 359,400$  cosines), and then apply the full LRA, including the alternates, to just those 30 neighbors ( $600 \times 30 \times 16 = 288,000$  cosines), which requires calculating only  $359,400 + 288,000 = 647,400$  cosines.

There are 600 word pairs in the input set for LRA. In step 2, introducing alternate pairs multiplies the number of pairs by four, resulting in 2,400 pairs. In step 5, for each pair  $A:B$ , we add  $B:A$ , yielding 4,800 pairs. However, some pairs are dropped because they correspond to zero vectors and a few words do not appear in Lin's thesaurus. The sparse matrix (step 7) has 4,748 rows and 8,000 columns, with a density of 8.4%.

Following Turney and Littman (2005), we evaluate the performance by accuracy and also by the macroaveraged  $F$  measure (Lewis 1991). Macroaveraging calculates the precision, recall, and  $F$  for each class separately, and then calculates the average across all classes. Microaveraging combines the true positive, false positive, and false negative counts for all of the classes, and then calculates precision, recall, and  $F$  from the combined counts. Macroaveraging gives equal weight to all classes, but microaveraging gives more weight to larger classes. We use macroaveraging (giving equal weight to all classes), because we have no reason to believe that the class sizes in the data set reflect the actual distribution of the classes in a real corpus.

Classification with 30 distinct classes is a hard problem. To make the task easier, we can collapse the 30 classes to 5 classes, using the grouping that is given in Table 19. For example, *agent* and *beneficiary* both collapse to *participant*. On the 30 class problem, LRA with the single nearest neighbor algorithm achieves an accuracy of 39.8% (239/600) and a macroaveraged  $F$  of 36.6%. Always guessing the majority class would result in an accuracy of 8.2% (49/600). On the 5 class problem, the accuracy is 58.0% (348/600) and the macroaveraged  $F$  is 54.6%. Always guessing the majority class would give an accuracy of 43.3% (260/600). For both the 30 class and 5 class problems, LRA's accuracy is significantly higher than guessing the majority class, with 95% confidence, according to the Fisher Exact Test (Agresti 1990).

### 7.3 LRA versus VSM

Table 20 shows the performance of LRA and VSM on the 30 class problem. VSM-AV is VSM with the AltaVista corpus and VSM-WMTS is VSM with the WMTS corpus. The results for VSM-AV are taken from Turney and Littman (2005). All three pairwise differences in the three  $F$  measures are statistically significant at the 95% level, according to the Paired t-Test (Feelders and Verkooijen 1995). The accuracy of LRA is significantly higher than the accuracies of VSM-AV and VSM-WMTS, according to the Fisher Exact Test (Agresti 1990), but the difference between the two VSM accuracies is not significant.

Table 21 compares the performance of LRA and VSM on the 5 class problem. The accuracy and  $F$  measure of LRA are significantly higher than the accuracies and



**Table 20**  
Comparison of LRA and VSM on the 30 class problem.

	VSM-AV	VSM-WMTS	LRA
Correct	167	148	239
Incorrect	433	452	361
Total	600	600	600
Accuracy	27.8	24.7	39.8
Precision	27.9	24.0	41.0
Recall	26.8	20.9	35.9
<i>F</i>	26.5	20.3	36.6

**Table 21**  
Comparison of LRA and VSM on the 5 class problem.

	VSM-AV	VSM-WMTS	LRA
Correct	274	264	348
Incorrect	326	336	252
Total	600	600	600
Accuracy	45.7	44.0	58.0
Precision	43.4	40.2	55.9
Recall	43.1	41.4	53.6
<i>F</i>	43.2	40.6	54.6

*F* measures of VSM-AV and VSM-WMTS, but the differences between the two VSM accuracies and *F* measures are not significant.

**8. Discussion**

The experimental results in Sections 6 and 7 demonstrate that LRA performs significantly better than the VSM, but it is also clear that there is room for improvement. The accuracy might not yet be adequate for practical applications, although past work has shown that it is possible to adjust the trade-off of precision versus recall (Turney and Littman 2005). For some of the applications, such as information extraction, LRA might be suitable if it is adjusted for high precision, at the expense of low recall.

Another limitation is speed; it took almost 9 days for LRA to answer 374 analogy questions. However, with progress in computer hardware, speed will gradually become less of a concern. Also, the software has not been optimized for speed; there are several places where the efficiency could be increased and many operations are parallelizable. It may also be possible to precompute much of the information for LRA, although this would require substantial changes to the algorithm.

The difference in performance between VSM-AV and VSM-WMTS shows that VSM is sensitive to the size of the corpus. Although LRA is able to surpass VSM-AV when the WMTS corpus is only about one tenth the size of the AV corpus, it seems likely that LRA would perform better with a larger corpus. The WMTS corpus requires one terabyte of hard disk space, but progress in hardware will likely make 10 or even 100 terabytes affordable in the relatively near future.

For noun-modifier classification, more labeled data should yield performance improvements. With 600 noun-modifier pairs and 30 classes, the average class has only

20 examples. We expect that the accuracy would improve substantially with 5 or 10 times more examples. Unfortunately, it is time consuming and expensive to acquire hand-labeled data.

Another issue with noun-modifier classification is the choice of classification scheme for the semantic relations. The 30 classes of Nastase and Szpakowicz (2003) might not be the best scheme. Other researchers have proposed different schemes (Vanderwende 1994; Barker and Szpakowicz 1998; Rosario and Hearst 2001; Rosario, Hearst, and Fillmore 2002). It seems likely that some schemes are easier for machine learning than others. For some applications, 30 classes may not be necessary; the 5 class scheme may be sufficient.

LRA, like VSM, is a corpus-based approach to measuring relational similarity. Past work suggests that a hybrid approach, combining multiple modules, some corpus-based, some lexicon-based, will surpass any purebred approach (Turney et al. 2003). In future work, it would be natural to combine the corpus-based approach of LRA with the lexicon-based approach of Veale (2004), perhaps using the combination method of Turney et al. (2003).

SVD is only one of many methods for handling sparse, noisy data. We have also experimented with Non-negative Matrix Factorization (NMF) (Lee and Seung 1999), Probabilistic Latent Semantic Analysis (PLSA) (Hofmann 1999), Kernel Principal Components Analysis (KPCA) (Scholkopf, Smola, and Muller 1997), and Iterative Scaling (IS) (Ando 2000). We had some interesting results with small matrices (around 2,000 rows by 1,000 columns), but none of these methods seemed substantially better than SVD and none of them scaled up to the matrix sizes we are using here (e.g., 17,232 rows and 8,000 columns; see Section 6.1).

In step 4 of LRA, we simply select the top *num\_patterns* most frequent patterns and discard the remaining patterns. Perhaps a more sophisticated selection algorithm would improve the performance of LRA. We have tried a variety of ways of selecting patterns, but it seems that the method of selection has little impact on performance. We hypothesize that the distributed vector representation is not sensitive to the selection method, but it is possible that future work will find a method that yields significant improvement in performance.

## 9. Conclusion

This article has introduced a new method for calculating relational similarity, Latent Relational Analysis. The experiments demonstrate that LRA performs better than the VSM approach, when evaluated with SAT word analogy questions and with the task of classifying noun-modifier expressions. The VSM approach represents the relation between a pair of words with a vector, in which the elements are based on the frequencies of 64 hand-built patterns in a large corpus. LRA extends this approach in three ways: (1) The patterns are generated dynamically from the corpus, (2) SVD is used to smooth the data, and (3) a thesaurus is used to explore variations of the word pairs. With the WMTS corpus (about  $5 \times 10^{10}$  English words), LRA achieves an *F* of 56.5%, whereas the *F* of VSM is 40.3%.

We have presented several examples of the many potential applications for measures of relational similarity. Just as attributional similarity measures have proven to have many practical uses, we expect that relational similarity measures will soon become widely used. Gentner et al. (2001) argue that relational similarity is essential to understanding novel metaphors (as opposed to conventional metaphors). Many

researchers have argued that metaphor is the heart of human thinking (Lakoff and Johnson 1980; Hofstadter and the Fluid Analogies Research Group 1995; Gentner et al. 2001; French 2002). We believe that relational similarity plays a fundamental role in the mind and therefore relational similarity measures could be crucial for artificial intelligence.

In future work, we plan to investigate some potential applications for LRA. It is possible that the error rate of LRA is still too high for practical applications, but the fact that LRA matches average human performance on SAT analogy questions is encouraging.

### Acknowledgments

Thanks to Michael Littman for sharing the 374 SAT analogy questions and for inspiring me to tackle them. Thanks to Vivi Nastase and Stan Szpakowicz for sharing their 600 classified noun-modifier phrases. Thanks to Egidio Terra, Charlie Clarke, and the School of Computer Science of the University of Waterloo, for giving us a copy of the Waterloo MultiText System and their Terabyte Corpus. Thanks to Dekang Lin for making his Dependency-Based Word Similarity lexicon available online. Thanks to Doug Rohde for SVDLIBC and Michael Berry for SVDPACK. Thanks to Ted Pedersen for making his WordNet::Similarity package available. Thanks to Joel Martin for comments on the article. Thanks to the anonymous reviewers of *Computational Linguistics* for their very helpful comments and suggestions.

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