Racial Disparity in Consultation, Treatment, and the Impact on Survival in Metastatic Colorectal Cancer

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Background

Black patients with metastatic colorectal cancer have inferior survival compared to white patients. The purpose of this study was to examine disparity in specialist consultation and multimodality treatment and the impact that treatment inequality has on survival.

Methods

We identified 9935 non-Hispanic white and 1281 black patients with stage IV colorectal cancer aged 66 years and older from the Surveillance, Epidemiology, and End Results (SEER)–Medicare linked database. Logistic regression models identified race-based differences in consultation rates and subsequent treatment with surgery, chemotherapy, or radiation. Multivariable Cox regression models identified potential factors that explain race-based survival differences. All statistical tests were two-sided.

Results

Black patients had lower rates of consultation with surgery, medical oncology, and radiation oncology. Among patients seen in consultation, black patients received less surgery directed at the primary tumor, liver- or lung-directed surgery, chemotherapy, and radiotherapy. Unadjusted survival analysis found a 15% higher chance of dying for black patients compared with white patients (hazard ratio [HR] = 1.15; 95% confidence interval (CI) = 1.08 to 1.22; \( P < .001 \)). Adjustment for patient, tumor, and demographic variables marginally reduced the risk of death (HR = 1.08; 95% CI = 1.01 to 1.15; \( P = .03 \)). After adjustment for differences in treatment, the increased risk of death for black patients disappeared.

Conclusions

Our study shows racial disparity in specialist consultation as well as subsequent treatment with multimodality therapy for metastatic colorectal cancer, and it suggests that inferior survival for black patients may stem from this treatment disparity. Further research into the underlying causes of this inequality will improve access to treatment and survival in metastatic colorectal cancer.


Despite screening efforts and improvements in treatment, colorectal cancer remains the second leading cause of cancer death in the United States (1). Approximately 20% of patients have stage IV disease at diagnosis (1), and upwards of 50% of those initially with stage I to stage III disease will develop metastatic disease. The management of metastatic colorectal cancer is patient-specific, requires multidisciplinary input, and often involves multimodality treatment with chemotherapy, colon or rectal surgery, metastasectomy of liver or lung tumors, and radiotherapy (2,3).

In the United States, colorectal cancer disproportionately affects black patients, with higher incidence rates (1), more advanced stage at presentation (4,5), and decreased survival compared with other races (6). Numerous studies have addressed racial disparity in colorectal cancer (4–19), and unfortunately the survival gap between black and white patients may be widening, especially in patients with metastatic disease (15). Studies in populations of patients with locoregional colorectal cancer have found that black patients have lower consultation rates with specialists, as well as lower rates of subsequent treatment after consultation (11). Additionally, prospective clinical trial data often show equivalent survival among black and white patients receiving the same treatment, which suggests that referral and treatment may contribute to inferior overall survival in black patients compared with white patients (13,16). The question of race-based differences in referral patterns, subsequent treatment, and the potential impact on survival has not been addressed in patients with metastatic colorectal cancer.

The purpose of this study is to examine treatment disparity among black patients with metastatic colorectal cancer on a population-based level. Specifically we explored race-based differences in patient consultation with cancer specialists, as well as subsequent treatment. Finally, we analyzed the impact that treatment disparity has on survival.
Methods
Data
This study used the Surveillance, Epidemiology, and End Results (SEER)–Medicare linked database. The SEER program consists of cancer registries that account for 28% of the US population. The Medicare program provides federally funded health insurance for people aged 65 years and older. The SEER–Medicare linkage contains Medicare claims data for Medicare beneficiaries with cancer in the SEER database. This unique population-based dataset provides a valuable resource that allows researchers to track patterns of care over the continuum of the life of cancer patients.

Study Population
This study identified 22,742 patients with stage IV colorectal cancer aged 66 years and older diagnosed between 2000 and 2007. We excluded patients with multiple primary tumors (n = 3,169), as well as those diagnosed with cancer at death or autopsy (n = 1,426). Patients with incomplete Medicare claims data (continuous part A and part B, without part C enrollment) for 12 months before diagnosis (to calculate comorbidity) through death or last follow-up were excluded (n = 6,168). Finally, races other than non-Hispanic black or non-Hispanic white were excluded because of small numbers, which limited further analyses (n = 763). After the above exclusions, our final sample contained 11,216 patients. A higher fraction of black patients had stage IV disease at presentation (20% black vs. 15% white). A higher fraction of black patients were excluded because of diagnosis at death or autopsy (8.5% black excluded vs. 7.3% white) and because of incomplete Medicare claim data (35% black excluded vs. 32% white). On the other hand, more white patients were excluded because of multiple primary tumors (22% white vs. 19% black). After all exclusion criteria were applied, a smaller proportion of black patients were excluded, and the overall fraction of black patients increased slightly from 9.2% to 11.4%.

Covariables Studied
Race was the primary covariable of interest, and it was obtained from SEER using the definition from the 2000 US Census and Bureau of Vital Statistics (20). In addition to race, other patient- and tumor-related variables obtained from SEER included age, marital status, disease site (colon or rectum), primary tumor size, tumor grade, geographic location, and socioeconomic status. Year of diagnosis was included as a categorical covariable (2000–2007) to account for trends over time. Individual SEER cancer registries were reclassified into East (Connecticut, New Jersey), Midwest (Detroit, Iowa), South (Atlanta, Rural Georgia, Kentucky, Louisiana), and West (San Francisco, Hawaii, New Mexico, Seattle, Utah, San Jose, Los Angeles, Greater California). Socioeconomic status was estimated by median regional household income divided into quintiles. Median regional household income was determined from the 2000 Census using Census tract data preferably over zip code data and secondarily using race and age adjusted data preferably over unadjusted data. Patients without household income data (1%) were grouped into the bottom quintile (20). Comorbidity was assessed during the year before diagnosis using inpatient and outpatient Medicare claims (21) with the Deyo adaptation (22) of the Charlson comorbidity index (23). Patient care in a teaching hospital was defined as any indirect medical education payment during a hospitalization after the patient’s diagnosis.

Identifying Consultation with a Specialist
Patient consultation with a surgeon, medical oncologist, or radiation oncologist was defined as the presence of a Medicare claim from a specialist within the timeframe extending from 1 month before diagnosis through death. The specialty of a physician was determined from 2 independent sources. First, we used the Medicare carrier file provider specialty data (HCFA codes) to identify consultation with a surgeon, medical oncologist, or radiation oncologist. Second, we linked unique physician identifier numbers (UPIN) and national provider identifier (NPI) numbers from the Medicare dataset with the American Medical Association (AMA) Masterfile. The AMA Masterfile collects specialty data on physicians and provided a secondary source to identify consultation with a surgeon, medical oncologist, or radiation oncologist. Finally, all patients who underwent surgery, received chemotherapy, or received radiotherapy were assumed to have been seen in consultation by a surgeon, medical oncologist, or radiation oncologist, respectively.

Treatment Characteristics
The treatment modalities evaluated in this study included chemotherapy, surgery, and radiation therapy; this information was obtained from Medicare claims data. Because of the heterogeneous and dynamic course of metastatic colorectal cancer, treatment can occur anytime from diagnosis through death. Therefore, this study captured treatment in the timeframe extending from diagnosis through death. Surgery in patients with metastatic colorectal cancer typically serves one of three general purposes: 1) resect the primary tumor, 2) resect metastatic disease in the liver or lung, and 3) attempt to bypass bowel obstruction from the tumor. Therefore, the delivery of surgery was classified into three groups: 1) surgery directed at the primary tumor in the colon or rectum, 2) surgery directed at the liver or lung, and 3) bowel diversion or placement of a stoma (without surgery directed at the primary). The delivery of chemotherapy and radiotherapy were captured from Medicare as described elsewhere (24,25). Radioactive implants (brachytherapy) and radioisotopes, coded separately in SEER and Medicare, were not counted as radiotherapy (n = 12). Table 1 has the specific codes from SEER and Medicare used to capture these treatments.

Statistical Analysis
Differences in patient and tumor characteristics between black and white patients were assessed with χ² tests. Continuous covariables were divided into categorical covariables to assess for nonlinear trends. We evaluated the impact of race on treatment with surgery, chemotherapy, or radiotherapy across the study population with univariate and multivariable logistic regression models. Black–white treatment disparity with treatment was discovered, so we next sought to determine whether the source of this disparity was from lack of consultation with a cancer specialist or lack of treatment after consultation. Black–white disparity in consultation rates with a cancer specialist and black–white disparity in subsequent treatment with surgery, chemotherapy, or radiation were assessed with univariate and multivariable logistic regression
Table 1. Medicare and Surveillance, Epidemiology, and End Results codes used in study

<table>
<thead>
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<th>Variable</th>
<th>Codes used to define variable</th>
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<td>Surgery</td>
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<td>Surgery consult</td>
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<td>Diversion surgery</td>
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Results

Baseline differences between the 9935 white patients (89%) and 1281 black patients (11.4%) with stage IV colorectal cancer are demonstrated in Table 2. In general, black patients were more likely to be younger at diagnosis, be unmarried, live in the South or Midwest, have more comorbid disease, and have colon primary tumors. Also, black patients were more likely to be treated at a teaching hospital, likely secondary to the higher proportion residing in metropolitan areas. Of the entire study population, 72% had surgery directed at their primary colon or rectal tumor, 5.0% had a liver or lung resection, and 6.6% had a surgical diversion or ostomy placement. Fifty percent of the population received chemotherapy, and 13% received radiotherapy.

First we looked for treatment differences between black and white patients across the whole study population. Black patients were statistically significantly less likely to receive surgery directed at their primary tumor, liver- or lung-directed surgery, chemotherapy, and radiation therapy (Table 3). Black patients were 10% less likely to have primary tumor surgery, 40% less likely to have liver- or lung-directed surgery, 17% less likely to receive chemotherapy, and 30% less likely to receive radiotherapy. Among patients who received chemotherapy, white patients were more likely to receive more than one chemotherapy agent (69% vs. 63%; \( P = 0.01 \)). The multivariable analysis presented in Table 3 shows that the statistically significant treatment differences between black and white patients held after controlling for potential confounding covariates.

To understand the source of the race-based differences in treatment, we next explored whether black patients were seen in consultation by cancer specialists and, of those seen, what fraction were subsequently treated (Table 4). On multivariable analysis, black patients were less likely to be seen in consultation by a surgeon, and black patients had a trend toward lower consultation rates with a medical oncologist. Among the patients seen in consultation, black patients were less likely to receive liver- or lung-directed surgery and chemotherapy. There was a trend toward decreased
radiation oncology consultation rates and subsequent treatment with radiotherapy for black patients (Table 4); however, the radiation treatment rate among the whole population was lower for this population (Table 3), suggesting that both decreased consultation rates and decreased consultation after treatment factor into the population-wide reduction in treatment with radiation. We found no evidence of statistical interaction between race and other covariates.

Next we focused on racial differences in the timing of specialist consultation and treatment. The median time from diagnosis to medical oncology consult was 16 days, from diagnosis to surgery consult was 14 days, and from diagnosis to radiation oncology consult was 18 days. No statistically significant differences existed in time from diagnosis to consult with any specialist for black patients compared with white patients. The time from consult through receipt of chemotherapy was 4 days longer for black patients compared with white patients ($P = .005$), whereas no statistically significant differences existed with respect to time from consult to surgery or consult to radiation. With radiotherapy, the median time from diagnosis through consult was 4 days earlier for those who subsequently received radiation compared with those who did not receive radiation ($P < .001$). With chemotherapy and surgery, the time from diagnosis through consult did not substantially vary by whether the patient was subsequently treated or not.

Next, we examined survival in colorectal cancer. Among the entire study cohort of 11,216 patients, 10,648 (95%) died within our study follow-up period. The median survival for the whole study cohort was 6.0 months. The unadjusted median survival was 4.7 months for black patients, compared with 6.3 months for white patients ($P < .001$) (Figure 1). We attempted to determine the underlying factors driving this black–white survival difference with a multivariable analysis (Table 5). In the unadjusted analysis, black patients had a 15% higher risk of dying compared with white patients (hazard ratio [HR] = 1.15; 95% confidence interval [CI] = 1.08 to 1.22; $P < .001$). Adjustment for age, sex, comorbidity, tumor site, primary tumor size, and tumor grade had no material effect on the risk of death for black patients. After further adjustment for income, location, and year of diagnosis, the risk of death for black patients attenuated to 8% (HR = 1.08; 95% CI = 1.01 to 1.15; $P = .03$). No statistically significant racial difference in risk of dying was observed after adjustment for treatment, including chemotherapy and surgery directed at liver or lung metastases (HR = 1.01; 95% CI = 0.95 to 1.08; $P = .70$). This analysis suggests that the survival differences between black and white patients are explained by a combination of demographic factors (decrease of adjusted HR from 1.15 to 1.08), and treatment differences (decrease of adjusted HR from 1.08 to 1.01).

Finally, we examined the impact that treatment has on survival with a multivariable analysis controlling for age, sex, comorbidity, tumor site, primary tumor size, tumor grade, geographic region, income level, urban/rural, year of diagnosis, and race. After controlling for these confounders, treatment with chemotherapy or surgery directed at liver or lung metastases were both independently associated with increased survival. The receipt of chemotherapy was associated with a 66% decreased risk of death (HR = 0.34; 95% CI = 0.33 to 0.36; $P < .001$), and surgery directed at liver or lung lesions was associated with a 53% decreased risk of death (HR = 0.47; 95% CI = 0.42 to 0.52; $P < .001$).

**Discussion**

This study identified several findings related to racial disparity for black patients with metastatic colorectal cancer. Our results...
demonstrate disparity in specialist consultation, as well as subsequent treatment with multimodality therapy. Additionally, our analyses suggest that inferior survival in metastatic colorectal cancer for black patients compared with white patients may result from such treatment disparity.

The racial inequality with treatment of metastatic colorectal cancer observed in this study agrees with comparable analyses in patients with localized colorectal cancer (8,11). With nonmetastatic stage II and III rectal cancer, Morris et al. found that black patients had decreased rates of consultation with medical oncology and surgical oncology, as well as decreased treatment with chemotherapy and radiotherapy after consultation (11). Similarly, Baldwin et al. studied stage III colon cancer and found decreased rates of consultation with a medical oncologist and decreased rates of subsequent treatment with chemotherapy among blacks (8). Obeida et al. found that black patients received newer chemotherapy agents less frequently than white patients (12). Additionally, our findings are consistent with research in other cancers, including nonmetastatic esophageal (26), pancreatic (27), and lung (28) cancers, that show black patients have lower rates of consultation and lower rates of subsequent treatment after consultation with a specialist. Altogether, these findings imply that racial inequality in cancer treatment does not stop after consultation. The administrative data used in this study, like other epidemiology studies, lack the granularity to isolate the underlying causes of inequality. Although the true cause of these black–white differences remains unknown, potential explanations may include the following: 1) conscious or unconscious provider biases, 2) patient mistrust, 3) health literacy, 4) patient–physician communication breakdown, 5) health-care access barriers, or 6) race-based differences in disease biology.

In addition to treatment disparity, a critical finding of our study relates to the association between treatment, race, and survival. We
found that black patients had a 15% increased risk of death compared with white patients; however, underlying patient and demographic factors only partly explained this disparity. Of note, our analysis found that 47% of the relative survival difference between black and white patients was attributable to treatment differences, and after accounting for these treatment differences, the race-based survival difference completely disappeared. Prospective cohort studies evaluating black–white differences, and analyses of clinical trial data have yielded mixed results, with some studies finding no survival difference (13,16), and others finding inferior survival for black patients (19); however, these studies lack analyses that control for important confounding factors such as comorbidity, socioeconomic status, and geography, which make direct comparisons with the results of our study difficult. Interestingly, in loco-regional nonmetastatic colorectal cancer, an analysis with SEER–Medicare data that controlled for socioeconomic status, comorbidity, and other confounders found that treatment differences failed to explain the survival differences between black and white patients (18). The difference between the importance of treatment in nonmetastatic and metastatic colorectal cancer is difficult to explain, although further understanding of these differences could shed light on the underlying causes of disparity. As with all epidemiology studies, this analysis does not show causality, and the link between treatment and survival could reflect unmeasured confounding variables. Regardless, the link between race, treatment, and survival strongly supports further research in this area.

There are potential limitations to this study worth mentioning. The SEER–Medicare data used in this study does not include patients aged less than 65 years. Although we found no variation in the race-based differences among the different age groups in this study, caution should be used when generalizing these results to patients aged less than 65 years. The median survival of 6 months for our entire study cohort was similar to other SEER registry studies involving older patients with metastatic colorectal cancer (10); however, 6 months is lower than the survival typically reported in contemporary chemotherapy trials (29–32). The survival difference between this study and clinical trials likely arises from the fact that only half of the patients in SEER received chemotherapy, which raises the question of age discrimination. Additionally, clinical trials typically include a select group of patients with higher performance status, lower disease burdens, and fewer comorbidities, which would tend to result in superior survival. A third limitation relates to the lack of clinical information on disease burden aside from the size of the primary colorectal tumor. Although all patients in this study had stage IV disease, black patients could present with more advanced or diffuse disease, which could render them less amenable to receiving treatment and could explain the inferior survival observed in our study. Additionally, the administrative data used in this study lack detail with regard to treatment specifics such as the number of chemotherapy cycles delivered, schedules, and doses, as well as details of surgery and radiotherapy. A final limitation inherent with research using registry and billing data relates to the lack the level of detail needed to conduct the in-depth analysis required to identify potential reasons for discrepancies in treatment.

In conclusion, this study demonstrates racial inequality for black patients compared with non-Hispanic white patients in specialist consultation and receipt of multidisciplinary treatment of metastatic colorectal cancer, as well as a detriment in survival associated with such disparities. These findings emphasize the need for further research into patient–physician communication, patient and provider biases, and racial hurdles both inside and outside the healthcare system. Identifying and eliminating these racial barriers will improve survival in black patients with metastatic colorectal cancer.

References


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