Health and Economic Impact of Switching From a 4-Valent to a 9-Valent HPV Vaccination Program in the United States

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Abstract

Background: Randomized clinical trials have shown the 9-valent human papillomavirus (HPV) vaccine to be highly effective against types 31/33/45/52/58 compared with the 4-valent. Evidence on the added health and economic benefit of the 9-valent is required for policy decisions. We compare population-level effectiveness and cost-effectiveness of 9- and 4-valent HPV vaccination in the United States.

Methods: We used a multitype individual-based transmission-dynamic model of HPV infection and disease (anogenital warts and cervical, anogenital, and oropharyngeal cancers), 3% discount rate, and societal perspective. The model was calibrated to sexual behavior and epidemiologic data from the United States. In our base-case, we assumed 95% vaccine-type efficacy, lifelong protection, and a cost/dose of $145 and $158 for the 4- and 9-valent vaccine, respectively. Predictions are presented using the mean (80% uncertainty interval [UI] = 10th−90th percentiles) of simulations.

Results: Under base-case assumptions, the 4-valent gender-neutral vaccination program is estimated to cost $5500 (80% UI = 2400–9400) and $7300 (80% UI = 4300–11 000)/quality-adjusted life-year (QALY) gained with and without cross-protection, respectively. Switching to a 9-valent gender-neutral program is estimated to be cost-saving irrespective of cross-protection assumptions. Finally, the incremental cost/QALY gained of switching to a 9-valent gender-neutral program (vs 9-valent girls/4-valent boys) is estimated to be $140 200 (80% UI = 4200–>1 million) and $31 100 (80% UI = 2100–>1 million) with and without cross-protection, respectively. Results are robust to assumptions about HPV natural history, screening methods, duration of protection, and healthcare costs.

Conclusions: Switching to a 9-valent gender-neutral HPV vaccination program is likely to be cost-saving if the additional cost/dose of the 9-valent is less than $13. Giving females the 9-valent vaccine provides the majority of benefits of a gender-neutral strategy.
HPV-related cancers; HPV6/11: 85%–90% of anogenital warts) (3–9). Large international randomized controlled clinical trials have shown the 4-valent vaccine to be safe and highly effective against persistent vaccine-type HPV infection and precancerous lesions (vaccine efficacy = 93%–100%) (10,11). Before the introduction of HPV vaccination in the United States, each year an estimated 50 million women underwent Pap testing; 3.5 to 5.0 million had positive tests requiring follow-up, and 12 000 were diagnosed with cervical cancer (1,12,13). In addition, there were approximately 17 500 new HPV-positive cancers of the oropharynx, anus, vulva, vagina, and penis (13) and 355 000 anogenital warts cases diagnosed annually in men and women (12). Prevention and treatment of HPV-attributable diseases resulted in more than $8 billion in direct costs annually (12). Mathematical models have predicted that 4-valent HPV vaccination would substantially reduce this burden and be highly cost-effective (14–20).

On December 10, 2014, the 9-valent HPV vaccine was approved by the US Food and Drug Administration (21). In addition to the types included in the 4-valent vaccine, the 9-valent includes HPV31/33/45/52/58, which cause an estimated 28%–31%, 15%–20%, and 5%–20% of high-grade cervical lesions, cervical cancers, and other HPV-related cancers, respectively (3–8). Recent results from large randomized clinical trials have shown that, compared with the 4-valent vaccine, the 9-valent prevented over 95% of persistent HPV31/33/45/52/58 infections and associated cervical, vulvar, and vaginal disease of any grade (22). The 9-valent also generated immune responses to HPV6/11/16/18 that were noninferior to those generated by the 4-valent (23). Finally, the immune response to the 9-valent types was noninferior in young adolescent females and males compared with females in the efficacy trial (24).

On February 26th, 2015, the ACIP recommended the use of the 9-valent for females and males. In addition to safety and efficacy, a determining factor in the policy recommendations about substituting the 9-valent for the 4-valent vaccine was the trade-off between the additional health benefits and healthcare cost saved by 9-valent vaccination vs its additional price (25).

To address this issue, we used transmission-dynamic modeling to evaluate the incremental population-level effectiveness and cost-effectiveness of switching from the 4- to the 9-valent vaccine in the United States.

**Methods**

**Vaccination Scenarios Investigated and Study Design**

Using HPV-ADVISE, a multitype individual-based transmission-dynamic model of HPV infection and disease, we examined four HPV vaccination scenarios: 0) no vaccination, 1) current 4-valent gender-neutral (females/males) HPV vaccination program, 2) switching to a 9-valent vaccine for females but maintaining the 4-valent for males, or 3) switching to a 9-valent gender-neutral vaccination program (Supplementary Figure 1, available online). For scenarios 1–3, we used observed age- and gender-specific three-dose HPV vaccine uptake rates in the United States from 2007 to 2013 (26) and assumed these rates remained constant at 2013 levels from 2014 onwards. In 2013, the average three-dose vaccination coverage in girls and boys aged 13 to 17 years was 38% and 14%, respectively (26). Under base-case assumptions, our model predicts that vaccination coverage among those aged 13 to 17 years will reach 46% and 25% in 2017 among girls and boys, respectively (with 62% and 38% coverage by 17 years of age). We performed sensitivity analysis on future vaccination coverage, given its uncertainty (see Supplementary Figure 2, available online, for vaccination coverage scenarios and fit to observed data). All changes to the current HPV vaccination strategy were modeled to occur at the beginning of 2015.

For model predictions of population-level effectiveness, the primary outcome was the relative reduction in HPV-attributable disease after HPV vaccination (scenarios 1–3) compared with no vaccination. In addition, we calculated the number needed to vaccinate to prevent one cervical intraepithelial neoplasia grade 2 or 3 (CIN2/3) episode, cervical cancer, HPV-attributable cancer, or death. For the economic analysis, we performed cost-utility analysis (cost/quality-adjusted life-year [QALY] gained) from the societal perspective, including only direct medical costs. We discounted costs and benefits at 3% per year. All costs were inflated to $US 2010 using the medical care component of the US consumer price index (27). All outcomes were modeled over a 70-year time horizon to capture both short- and long-term benefits of HPV vaccination.

**Model Structure**

HPV-ADVISE was originally developed to inform HPV vaccination policy in Canada (28–30). The model was adapted and recalibrated to represent the United States. The model contains six fully integrated components: 1) sociodemographic characteristics, 2) sexual behavior and HPV transmission, 3) natural history of HPV-attributable diseases, 4) QALYs and healthcare costs, 5) screening and treatment, and 6) vaccination (see http://www.marc-brisson.net/HPVadvise-US.pdf for an in-depth description of US HPV-ADVISE).

The simulated US population is open and stable (ie, age-specific mortality rates balanced by the birth rate). Individuals enter the population prior to sexual debut (9 years of age) and are assigned three different characteristics to represent heterogeneity in the risk of HPV infection and/or disease: 1) gender, 2) level of sexual activity (4 levels: low to high), and 3) cervical screening behavior (5 levels: frequently to never screened). Risk of HPV infection is gender- and age-specific and depends on sexual behavior and on HPV type-specific biologic parameters (eg, probability of transmission, duration of infectiousness, and natural immunity). Eighteen HPV types, including vaccine types, are modeled independently. The HPV-related diseases modeled are anogenital warts and cervical, vaginal, anal, penile, and oropharyngeal cancers (see Supplementary Figure 3, available online, for flow diagrams). QALYs and medical costs are attributed to clinical outcomes over time (see Supplementary Table 1, available online).

HPV-ADVISE reproduces different screening algorithms at the individual level by simulating each woman’s screening history. Screening behavior parameters were estimated using US population-based data (31). Screening algorithms and treatment were based on 2012 guidelines (32). In their simplified form, the guidelines for routine screening stated that: 1) those aged 21 to 29 years should have a cytology test every three years, and 2) those aged 30 to 65 years should have the choice between cytology every three years or cytology and HPV DNA cotesting every five years. Cytology-based screening was chosen as our base-case. However, we examined cytology and HPV DNA cotesting for women aged 30 to 65 years in the sensitivity analysis. Finally, the model assumes that HPV vaccines are prophylactic and have no therapeutic effects.

**Model Parameters**

Sexual behavior and natural history parameter values were estimated using previously developed calibration methodology (see http://www.marc-brisson.net/HPVadvise-US.pdf for details). Briefly,
we identified multiple parameter sets that simultaneously fit 776 US sexual behavior, HPV epidemiology, and screening data target points, taken from the literature and population-based datasets (Supplementary Table 2, available online) (4,8,31,33–40). For model predictions, we selected the 50 best-fitting parameter sets using Least Squares. Multiple parameter sets were used to account for parameter uncertainty. Of particular relevance for the added benefit of 9-valent vaccination, we capture uncertainty in HPV type-specific progression and regression rates towards cervical cancer, and thus uncertainty in HPV type-specific positivity in CIN2/3, and cervical and noncervical cancers. For the economic parameters, we used previously published data on healthcare resources use, direct medical costs and QALY-weights parameter values (Supplementary Table 1, available online) (12,16,19,37,41–49).

In the base-case, the cost of the 4- and 9-valent vaccines is $145 and $158 per dose (including administration fees), respectively (50). Vaccine-type efficacy and duration of protection is 95% and lifelong, respectively. Given the uncertainty around 4-valent efficacy against the additional five nonvaccine types and its likely importance on the incremental impact of the 9-valent, we presented all base-case results with and without cross-protection for the 4-valent. In cross-protection scenarios, vaccine efficacy against HPV-31/33/45/52/58 is 46/29/8/18/6% based on a meta-analysis (51) and duration of protection is assumed to be lifelong (Supplementary Table 3, available online).

Sensitivity Analysis

Uncertainty on natural history parameters is represented in model predictions as the mean, 10th, and 90th percentiles of simulation results using the 50 parameter sets identified through calibration (referred to as the 80% uncertainty intervals [80% UI]). We performed univariate sensitivity analysis on key vaccination characteristics (coverage, efficacy, and duration), screening assumptions, and economic parameters.

Results

Population-Level Effectiveness

Current 4-Valent HPV Program

Assuming no cross-protection, the current US 4-valent gender-neutral HPV vaccination program is predicted to reduce the incidence of CIN2/3 and cervical cancer by 61 (80% UI = 57–66) and 65 (80% UI = 60–69) percentage points, respectively, after 70 years (Figure 1, A and B; Supplementary Table 4, available online). In addition, the vaccination program would also lead to 76 (80% UI = 74–78) and 80 (80% UI = 75–87) percentage point reductions in the incidence of other HPV-attributable cancers and anogenital warts in women and men after 70 years (Supplementary Figure 4, available online). In total, 4-valent vaccination is predicted to prevent 602 000 HPV-attributable cancers (422 000 and 180 000 among women and men, respectively) and 193 000 associated deaths (125 000 and 68 000 among women and men, respectively) in the United States over the program's first 70 years (Figure 1, C and D). Incidence of CIN2/3 and HPV-attributable cancers would be further decreased by 4 to 7 percentage points if the 4-valent provides cross-protection (Figure 1, A–D; Supplementary Table 4, available online).

Switching to a 9-Valent Program

Assuming no 4-valent cross-protection, switching females only to the 9-valent is estimated to further reduce the incidence of CIN2/3 and cervical cancer by 17 (80% UI = 12–21) and 13 (80% UI = 9–18) percentage points, respectively, after 70 years (Figure 1, A and B; Supplementary Table 4, available online). Such a switch would produce small additional reductions in other HPV-attributable cancers but have no incremental impact on the incidence of anogenital warts (Supplementary Figure 4 and Supplementary Table 4, available online). In total, switching females only to the 9-valent is predicted to prevent an additional 88 000 HPV-attributable cancers (71 000 and 17 000 among women and men, respectively) and 27 000 associated deaths (21 000 and 6 000 among women and men, respectively) over the first 70 years of the program (Figure 1, C and D). These incremental benefits are 35% to 60% lower when the 4-valent is assumed to provide cross-protection (Figure 1, A–D; Supplementary Table 4, available online). Finally, the incremental benefits of switching to a 9-valent gender-neutral program (vs 9-valent females/4-valent males) are estimated to be minimal (Figure 1, A and B; Supplementary Table 4, available online).

Number Needed to Vaccinate

Over the first 70 years of the HPV vaccination program, the predicted number needed to vaccinate (NNV) with the 4-valent to prevent one CIN2/3 episode, HPV-attributable cancer, and death is 23 (80% UI = 17–30), 251 (80% UI = 242–262) and 784 (80% UI = 750–821), respectively (Table 1, assuming no 4-valent cross-protection). If females only are switched to the 9-valent, one additional CIN2/3 episode, HPV-attributable cancer and death is predicted to be prevented for every 51 (80% UI = 37–81), 1086 (80% UI = 860–1556), and 4538 (80% UI = 3400–7837) females vaccinated with the 9-valent, respectively. The NNV increases more than six-fold when examining the incremental benefit of switching to a 9-valent gender-neutral program rather than a 9-valent females/4-valent males program (Table 1).

Cost-Effectiveness Analysis

The US 4-valent HPV vaccination program is predicted to produce 1 032 000 (80% UI = 960 000–1 102 000) discounted QALY gains and $19 305 (80% UI = 16 016–21 990) million in direct medical costs averted over 70 years (Figure 2, no 4-valent cross-protection). Switching females only to the 9-valent is estimated to result in 134 000 (80% UI = 51 000–202 000) incremental QALYs gained and $3225 (80% UI = 1863–4591) million in direct medical costs averted over 70 years. Vaccinating boys with the 9-valent instead of the 4-valent produces very small QALY gains and medical costs averted. The incremental QALY gains and medical costs averted from 9-valent vaccination are mainly because of increased prevention of cervical cancer and reduction in the costs of treating and managing cervical lesions, respectively (Figure 2).

Assuming no cross-protection, the 4-valent gender-neutral vaccination program is estimated to cost $7300 (80% UI = 4300–11 000)/QALY-gained (Table 1). Switching females only to the 9-valent is estimated to be cost-saving, while the incremental cost-effectiveness ratio of switching to a 9-valent gender-neutral program (vs females-only 9-valent) is estimated to be $31 100 (80% UI = 2100–1 million)/QALY gained (Table 1). Assuming 4-valent cross-protection has very little impact on the estimated cost-effectiveness ratios of 4-valent gender-neutral ($5500 (80% UI = 2400–9400)/QALY-gained) and 9-valent female/4-valent male (cost-saving) vaccination strategies but increases the incremental cost-effectiveness ratio of the 9-valent gender-neutral vaccination strategy to $140 200 (80% UI = 4200–1 million)/QALY-gained (Table 1).
Results were relatively insensitive to assumptions about HPV natural history, vaccination coverage, duration of protection (lifelong or 20 years), healthcare costs, burden of disease, outcomes examined (from all HPV-related outcomes to cervical lesions only), time horizon of analysis (70 or 30 years) and current screening guidelines (cytology screening only or cytology and HPV DNA co-testing) (Table 2). Under all sensitivity analysis scenarios investigated, 9-valent gender-neutral vaccination was either cost-saving or highly cost-effective compared with 4-valent gender-neutral vaccination. The only scenarios where the 9-valent was not cost-saving was when assuming 4-valent cross-protection and 1) assuming minimum healthcare costs, 2) assuming high vaccination coverage, 3) including only cervical cancer or cervical lesion outcomes, 4) using a 30 year time horizon, or 5) assuming only a small proportion of CIN2/3 and cervical cancers are associated with HPV31/33/45/52/58 (Table 2). Finally, results were very sensitive to variation in the additional cost of the 9-valent compared with the 4-valent vaccine (Figure 3).

Discussion

In this transmission-dynamic modeling study, we predict that substituting the 9-valent vaccine for the 4-valent is likely to be cost-saving in the US More specifically, switching to 9-valent HPV vaccination would lead to substantial reductions in cervical lesions and cancers, and the associated healthcare cost-savings in treatment/management of cervical lesions would be greater than the additional costs of the 9-valent program. Vaccinating girls with the 9-valent provides the majority of cost-savings and QALYs-gained of a 9-valent gender-neutral program.

Four key issues must be considered when using our results for decision-making, the additional price of the 9-valent vaccine, shifting HPV vaccination paradigm towards two rather than three doses, future changes to cervical screening, and feasibility of vaccinating girls and boys with different HPV vaccines. Firstly, the conclusions are highly dependent on the price of the 9-valent vaccine, which has yet to be decided. We used the hypothetical vaccine prices presented by the manufacturer at an international conference (50). Increases in the...
### Table 1. Number needed to vaccinate and cost-effectiveness

<table>
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<tr>
<th>Vaccination scenarios</th>
<th>Comparison scenarios</th>
<th>Number needed to vaccinate*</th>
<th>Change in costs ( million)</th>
<th>Change in QALYs (1000 QALY)</th>
<th>Incremental cost-effectiveness* ($/QALY gained)</th>
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* Number needed to vaccinate (NNV) are undiscounted and incremental cost-effectiveness ratio are discounted at 3% annually. Base-case: vaccine-type efficacy = 95%, cross-protective vaccine efficacy presented in Supplementary Table 3 (available online); duration = lifelong; 4-valent cost/dose = $145; 9-valent cost/dose = $158. Predictions: mean estimate generated by the 50 best fitting parameter sets. Each parameter set run 20 times. Uncertainty intervals: 10th and 90th percentiles of model results based on the 50 best-fitting parameter sets, reflects uncertainty in the natural history parameters. NNV = number of individuals vaccinated with the 9-valent; additional events prevented by vaccinating with 9-valent. CIN2/3 = cervical intraepithelial neoplasia grade 2 and 3; CS = cost saving; ICER = incremental cost-effectiveness ratio; QALY = quality-adjusted life-year; UI = uncertainty interval.

† Includes cervical cancer and all other human papillomavirus-attributable cancers.
additional price per dose of the 9-valent vaccine beyond $13 could change our predictions and make the switch to 9-valent vaccination less cost-effective than the current 4-valent program (Figure 3). Secondly, recent trials and post-vaccination surveillance data suggest that two doses of the 2- or 4-valent HPV vaccines may be as protective as three doses in pre-adolescent girls (52–54). Based on these results and cost-effectiveness modeling (55,56), the World Health Organization’s Strategic Advisory Group of Experts on Immunization has recommended a two-dose schedule for girls aged 9–14 years (57), and many countries have recently switched to two-dose vaccine schedules (eg, Switzerland, the Netherlands, Mexico, the United Kingdom and Canada). Although trials are under way (58), there is no evidence on 9-valent two-dose vaccine efficacy. Policy-makers may have to decide whether to switch to a three dose 9-valent vaccine, or switch to two dose schedules with the 2- or 4-valent vaccines. Using HPV-ADVISE, we estimated that the cost per QALY-gained of a 3-dose 9-valent gender-neutral vaccination program compared with a 2-dose 4-valent gender-neutral vaccination program is $38 900 (80% UI = $20 300–80 500), when assuming both strategies have equal vaccine-type efficacy (95%) and duration of protection (lifelong), and 2-dose 4-valent vaccination does not provide cross protection (results not shown). Hence, the 9-valent is highly likely to be cost-effective compared with 2-dose 4-valent vaccination. Thirdly, we predict that switching to 9-valent is cost-saving under current screening guidelines (either with cytology-based screening or cytology and HPV DNA co-testing) (32). However, to alter our conclusions, future changes in screening would have to substantially reduce the cost of treating/managing CIN2/3 lesions (which is the key driver of the additional cost-savings from 9-valent vaccination). Finally, we presented both 9-valent girls/4-valent boys and 9-valent gender-neutral vaccination scenarios to isolate the incremental cost and benefits of vaccinating girls and boys with the 9-valent vaccine. However, vaccinating girls and boys with different HPV vaccines would raise both logistical/feasibility issues and acceptability/equity concerns.

Although the United States has similar sexual behavior (59,60) and HPV epidemiology (15,67–70) to other high income countries (HIC), generalization of results should be made with care. The key reason for the cost-saving results of the 9-valent in the United States is the large reduction in the costs of treating/managing HPV31/33/45/52/58 associated cervical lesions. This is highlighted by the fact that the 9-valent remains cost-saving when only including the health gains and costs saved from the added prevention of HPV-31/33/45/52/58 associated cervical lesions (Table 2). Given that healthcare costs are lower in other HIC (eg, European countries, Canada, Australia), the price differential between the 9- and 4-valent vaccines will have to be smaller in order for 9-valent vaccination to be cost-saving. In a previous exploratory cost-effectiveness analysis for Canada (30), we found that, although not cost-saving, 9-valent vaccination would likely be cost-effective. Finally, our results should not be extrapolated to resource-poor settings because of major differences in screening, healthcare costs and HPV epidemiology.

Four main limitations should be considered when interpreting our results. Firstly, we assumed equal 9-valent vaccine efficacy for girls and boys based on immunogenicity bridging data (24). However, we predict that vaccinating boys with the 9-valent has very little incremental benefits, thus assumptions about vaccine efficacy among boys is unlikely to impact our overall conclusions. Secondly, the duration of 4- and 9-valent vaccine efficacy and future HPV vaccination coverage remain unknown. In our base-case, we assumed that duration of protection would be lifelong and coverage would plateau among both girls and boys based on current vaccine uptake rates. However, our results clearly show that our findings are insensitive to vaccine duration and that even when vaccination coverage is high, switching to a 9-valent gender-neutral vaccination remained very cost-effective. Thirdly, we did not examine the cost-effectiveness of the 9-valent vaccine relative to the 2-valent, as the 4-valent is used in the United States and most HIC. Finally, we did not examine scenarios where the 9-valent vaccination strategy would allow more aggressive changes in screening, further reducing future costs. However, even without this potential additional impact, switching to 9-valent vaccination was estimated to be cost-saving.

Our mathematical model and analyses have several strengths. First, our multi-type HPV transmission-dynamic model includes sexual behavior, health seeking behavior (vaccination and screening), type-specific HPV transmission, screening performance in detection of underlying health states, and natural history of infection and disease. By doing so, our model...
can predict herd effects and reproduce 1) progression/clearance through different clinical cytological classifications (e.g., CIN1 to CIN3), and 2) the course of underlying HPV infection progression/clearance to CIN3 based on infection duration and HPV-type (61). Second, the model was calibrated to highly-stratified data on sexual behavior, natural history and cervical cancer screening from the United States Predictions were performed using the 50 best fitting parameter sets to represent uncertainty in the natural history of HPV infection/disease.

To our knowledge, this is the first published economic evaluation of 9-valent HPV vaccination in the United States From the societal perspective, switching from a 4-valent to 9-valent...
gender-neutral HPV vaccination program is predicted to be cost-saving if the additional cost/dose of the 9-valent is less than $13, irrespective of key sources of uncertainty (duration of protection, burden of disease, and use of cytology or co-testing as primary screening). When vaccination coverage is assumed to be high, the switch to a 9-valent vaccination is predicted to be very cost-effective, though it may not be cost-saving. The findings were driven by the incremental health benefits and medical costs averted from preventing cervical lesions and cervical cancer associated with HPV-types 31/33/45/58.

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Notes
The funders had no role in the design and conduct of the study; the collection, management, analysis, or interpretation of the data; or the preparation, review, or approval of the manuscript.

The findings and conclusions in this report are those of the authors and do not necessarily represent the official position of the Centers for Disease Control and Prevention.

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MB designed the study, drafted the article, had full access to all of the data in the study, and takes responsibility for the integrity of the data and the accuracy of the data analysis. JFL, HWC, MD, TM, MCB, and LEM commented on the study design and model structure. MB and MCB designed the first version of HPV-ADVISE (ie, HPV transmission and natural history of cervical cancer) and helped design the initial model. Finally, Dr. Nicolas Van de Velde programmed many core components of HPV-ADVISE (ie, HPV transmission and natural history of cervical cancer) and helped design the initial model.

In the past three years, MB received an unrestricted grant from Merck Frosst related to Zoster burden of illness (no grants ongoing). MD consulted for GSK (Zoster vaccine). JFL, HWC, TM, MCB, and LEM have no conflicts of interest to declare.

References

Figure 3. Sensitivity analysis. Cost per dose of the 9-valent vaccine (gender-neutral vaccination) compared with the 4-valent vaccine. A) No cross-protection for 4-valent vaccine. B) With cross-protection for 4-valent vaccine. Note: The maximum additional cost per dose for the 9-valent vaccine to remain cost-saving compared with the 4-valent is the value at which the incremental cost-effectiveness ratio is equal to $0. Base-case: vaccine duration = lifetime, vaccine-type efficacy = 95%, cross-protective vaccine efficacy presented in Supplementary Table 3 (available online), 4-valent cost per dose = $145, 9-valent cost per dose = $158. Predictions: mean, and 10th and 90th percentile of model results based on the 50 best-fitting parameter sets (20 runs per parameter set). The 10th and 90th percentiles reflect the uncertainty in the natural history parameters. QALY = quality-adjusted life-year.


