Outcomes of an intervention to improve hospital antibiotic prescribing: interrupted time series with segmented regression analysis

Faranak Ansari1, Kirsteen Gray2, Dilip Nathwani3, Gabby Phillips4, Simon Ogston5, Craig Ramsay6 and Peter Davey1,3*

1MEMO (Medicines Monitoring Unit), Department of Clinical Pharmacology, University of Dundee, Dundee DD1 9SY; Departments of 2Pharmacy, 3Infectious Diseases and 4Medical Microbiology, Ninewells Hospital, Dundee; 5Department of Epidemiology and Public Health, University of Dundee, Dundee; 6Health Services Research Unit, University of Aberdeen, Aberdeen, UK

Received 18 June 2003; returned 7 August 2003; revised 27 August 2003; accepted 28 August 2003

Objectives: To evaluate an intervention to reduce inappropriate use of key antibiotics with interrupted time series analysis.

Methods: The intervention is a policy for appropriate use of Alert Antibiotics (carbapenems, glycopeptides, amphotericin, ciprofloxacin, linezolid, piperacillin–tazobactam and third-generation cephalosporins) implemented through concurrent, patient-specific feedback by clinical pharmacists. Statistical significance and effect size were calculated by segmented regression analysis of interrupted time series of drug use and cost for 2 years before and after the intervention started.

Results: Use of Alert Antibiotics increased before the intervention started but decreased steadily for 2 years thereafter. The changes in slope of the time series were 0.27 defined daily doses/100 bed-days per month (95% CI 0.19–0.34) and £1908 per month (95% CI £1238–£2578). The cost of development, dissemination and implementation of the intervention (£20 133) was well below the most conservative estimate of the reduction in cost (£133 296), which is the lower 95% CI of effect size assuming that cost would not have continued to increase without the intervention. However, if use had continued to increase, the difference between predicted and actual cost of Alert Antibiotics was £572 448 (95% CI £435 696–£709 176) over the 24 months after the intervention started.

Conclusions: Segmented regression analysis of pharmacy stock data is a simple, practical and robust method for measuring the impact of interventions to change prescribing. The Alert Antibiotic Monitoring intervention was associated with significant decreases in total use and cost in the 2 years after the programme was implemented. In our hospital, the value of the data far exceeded the cost of processing and analysis.

Keywords: education, professional behaviour change, quality improvement

Introduction

Antibiotics account for about one-third of a hospital’s pharmacy budget. Between 25% and 50% of hospitalized patients receive antibiotics but surveys suggest that 22–65% of prescriptions are either inappropriate or incorrect.1–6 Antibiotic resistance within hospitals is becoming an increasingly important problem worldwide. In the UK, hospital prescribing accounts for only 20% of human antibiotic usage; nonetheless, it is of key importance because it is concentrated in a small population brought together in a confined environment.7–9

Despite its importance, there is very little available information about hospital antibiotic consumption in the UK.10 Whereas GPs are generally equipped to quite a high level with information systems suitable for recording and analysing prescription data, UK hospitals are not. It is unlikely that many UK hospitals have information systems that allow easy assessment of their use of antimicrobials.7 It is notoriously difficult to manage what cannot be measured.7 Consequently, collection and analysis of hospital antibiotic prescribing data is a key component of the UK’s Action Plan for Antimicrobial Resistance.11,12
In 2000, the Antibiotic Subcommittee of Tayside University Hospitals Trust devised an Alert Antibiotic Policy to reduce inappropriate use of key antibiotics, targeted because they should be reserved for infections caused by organisms that are resistant to first line antimicrobials. Our first evaluation of this intervention relied on analysis of patient records completed by the ward pharmacists. In total, 794 patient records were returned in the 2 years after introduction of the Alert Antibiotic Policy. The number of records declined from 41 per month in the first year to 25 per month in the second year. The proportion of prescriptions that were appropriate was similar in the 2 years (83% and 84%). We identified two fundamental problems with using patient records as a measure of outcome of the Alert Antibiotics Policy. First, patient records are not an objective measurement of outcome. Outcome measures such as appropriateness of care should be based on a questionnaire with known reliability and validity. Moreover, outcome measures that are obtained by chart extraction or collected by an individual require two or more raters with at least 90% agreement or kappa greater than or equal to 0.8.13 Second, patient records provided no information about practice before the start of the intervention, consequently it was impossible to say whether the reduction in number of records was the result of an impact of the intervention on the inappropriate initiation of Alert Antibiotics or simply to decline in return of records by the pharmacists.13–15

The aim of this study was to use routine data from the pharmacy stock control computer to evaluate this intervention with an interrupted time series (ITS) analysis, in which a series of observations over time is interrupted by an intervention or treatment.16

Materials and methods

Setting

The intervention, an Alert Antibiotic Policy, was implemented in Ninewells Hospital, a tertiary university hospital in Tayside, Scotland.

Design of the Alert Antibiotic Intervention

The design of our intervention to change prescribing was based on evidence about changing professional behaviour in the EPOC (Effective Practice and Organization of Care) section of the Cochrane Database.17–23 From this evidence and an earlier systematic review of the literature on behaviour change,24 we identified three key elements for the intervention: development, dissemination and implementation. The Tayside Antibiotic Subcommittee developed the Alert Antibiotics Policy, the committee is multi-disciplinary, chaired by a surgeon and includes representation from junior staff and the clinical groups in addition to local opinion leaders. Our dissemination strategy was targeted at specific professionals and clinical teams via the clinical pharmacists. Our implementation strategy used immediate, concurrent feedback of information to the prescribers, who were contacted by the clinical pharmacist while their patient was still being treated.

The Policy targeted the following drugs:
(i) Carbenapenems: imipenem and meropenem
(ii) Glycopeptides: teicoplanin and vancomycin
(iii) Intravenous (iv) amphotericin
(iv) Ciprofloxacin (iv)
(v) Linezolid (iv and oral)
(vi) Piperacillin–tazobactam (Tazocin)
(vii) Third-generation cephalosporins: ceftriaxone, cefotaxime and ceftazidime

The intervention went into effect in up to 40 medical and surgical wards of Ninewells Hospital, excluding haematology and paediatrics in August 2000, and is ongoing at this time. Guidelines for prescribing use were evidence based and agreed locally with the clinicians. Clinical pharmacists were asked to identify prescribing of the Alert Antibiotics in their wards and to confirm that their use was in line with the agreed indications. If it was not the pharmacists asked medical staff to review their choice of antibiotic and contact microbiology or infectious diseases physicians for advice if necessary.

Evaluation of the intervention

Segmented regression of interrupted time series analysis. Segmented regression analysis of interrupted time series data allows us to assess, in statistical terms, how much an intervention changed an outcome of interest, immediately and over time. When a separate control group is not available, analysis of the outcome of interest in the study group does not allow control for other events that may have influenced the outcome. Nonetheless, the level and trend of the pre-intervention segment serve as control for the post-intervention segment in single group time series, and still addresses important threats to internal validity and represent a methodologically acceptable design for measuring the impact of interventions. Correcting for autocorrelation avoids underestimating standard errors and overestimated significance of the effects of an intervention. For estimating seasonal autocorrelation, the autoregression model needs to evaluate correlations between error terms separated by multiples of 12 months. Accounting for seasonally correlated errors usually requires at least 24 monthly data points.25 Three outcomes in the ITS analysis are: first, change in level immediately after the intervention; second, difference between pre-intervention and post-intervention slopes; and third, the estimation of monthly average intervention effect after the intervention.16,25,26 The monthly average intervention effect estimates the monthly average changes in outcomes had the intervention not occurred,24 and can be estimated as the following:
(i) Outcome without the intervention = intercept at time 0 + (pre-slope × number of months)
(ii) Outcome with the intervention = intercept at time 0 + (pre-slope × number of months) + (Δslope × number of months since the intervention) + Δlevel.25

Figure 1 is a graphic illustration of outcome measurements in segmented regression of interrupted time series analysis.

<table>
<thead>
<tr>
<th>Outcome</th>
<th>Month 36</th>
</tr>
</thead>
<tbody>
<tr>
<td>24 months before</td>
<td>24 months after</td>
</tr>
<tr>
<td>Time from intervention</td>
<td></td>
</tr>
</tbody>
</table>

Figure 1. Graphic illustration of outcome measurements in segmented regression of interrupted time series analysis.
We used segmented regression analysis to assess the significance of changes in level and slope of the regression lines before and after the introduction of the Alert Antibiotic Monitoring. For statistical analysis, we employed autoregressive time series analysis using SPSS software. We collected and analysed use and cost data for 2 years before and 2 years after the intervention in order to minimize the effect of seasonal variation. Data were adjusted for autocorrelation. In addition to main outcomes of ITS analysis, for more conservative prediction of the intervention effect, we assumed no increase after the intervention and measured the difference with the mean observed use and cost after the intervention. In other words, the conservative estimation is based on the difference between the intercept of the last point in the pre-intervention regression line and the middle point (36th month) on the post-intervention line.

Linezolid and imipenem were excluded from the ITS analysis because there were less than 12 monthly data points before the intervention. The level of significance was 0.05.

Data collection

After evaluation of the intervention according to patient records and its shortcomings,14 we decided to use the pharmacy stock data. During the 4 year period of analysis no restriction policy for dispensing the Alert Antibiotics was implemented by the hospital pharmacy, therefore the pharmacy data about dispensed Alert Antibiotics would provide us with the best available independent indicator for evaluation of the intervention. The impact of the intervention on antibiotic prescribing was analysed using data about the quantity of Alert Antibiotics dispensed to the hospital wards per month for 2 years before and after the start of the intervention. A program was written for collation of data about dispensing of all dosage forms of the relevant drugs and conversion of the total grams dispensed into defined daily doses (DDD). The DDD as defined by the WHO Collaborating Centre for Drug Statistics Methodology is ‘the assumed average dose per day for a drug used on its main indication in adults’,27,28 The DDD was adjusted for bed occupancy and is presented as DDD/100 bed-days.

Cost analysis

As the average price of Alert Antibiotics dosage forms have decreased during the post-intervention period, we eliminated any effect of fluctuation in drug prices by using the average price of each dosage form over the 4 years of the study. Moreover, average bed-occupancy increased with time so we used the average bed occupancy over all 4 years in order to avoid overestimation of economic impacts of the intervention. The final results were measured with £/bed-days/month but presented in £/month.

The total cost of the Alert Antibiotic Policy and clinical pharmacy intervention was calculated by measuring the time required for consultation, completing the records, data entry and interpretation. The time required for additional meetings of the Antibiotic Policy Committee was quantified and additional consumable materials were recorded. Time was costed using the average hourly rate for each grade in each of the years of the intervention, including employer’s contributions and national insurance.

Results

ITS analysis of use and cost

Before the intervention, both use and cost of Alert Antibiotics were increasing but after the intervention both use and cost declined (Figures 2 and 3). The intervention was associated with a significant change in level of use of teicoplanin and ceftazidime, but there was no significant change in level for all Alert Antibiotics combined (Table 1). There was a significant change in the slope so that overall use of all Alert Antibiotics decreased by 0.27 DDD/100 bed-days per month.

![Figure 2. Changes in use of Alert Antibiotics 24 months before and after the intervention.](https://academic.oup.com/jac/article-abstract/52/5/842/760208)
Intervention to improve prescribing

There were also significant decreases in slope for amphotericin, ciprofloxacin, piperacillin–tazobactam, teicoplanin, and vancomycin (Table 1). The slope of ceftazidime usage increased significantly but there were no significant changes in slope for use of ceftazidime, meropenem or cefotaxime (Table 1). The results of statistical analysis of changes in cost were essentially similar to changes in use (Table 2). The analysis of change in slope showed a reduction in cost of Alert Antibiotics by £1908 per month in the 2 years after the intervention (95% CI £1238–£2578; P < 0.0001).

A worked example of comparison of estimated use with and without the intervention is provided in the Appendix. Over 2 years, we
estimate that the Alert Antibiotic Policy reduced use by an average of 4.03 DDD/100 bed-days per month (Table 1) and reduced cost by an average of £23 852 per month (Table 2). The estimated use and cost of each individual drug was reduced, with the exception of ceftriaxone, for which use and cost increased (Tables 1 and 2). The average reduction in use was greatest for teicoplanin (1.02 DDD/100 bed-days per month), piperacillin–tazobactam (0.80), and vancomycin (0.78). The average reduction in monthly cost was greatest for amphotericin (£8075), piperacillin–tazobactam (£6800) and teicoplanin (£3173).

A more conservative estimate of effect size was calculated from the difference between the post-intervention line and the last point on the pre-intervention line (Figure 1). This conservative estimate was a decrease in total use by 1.60 DDD/100 bed-days/month (95% CI 1.9–2.1, P <0.0001) (Table 1) and decrease in cost by £9590/month (95% CI £8075–£13626, P <0.0001) (Table 2).

Costs of the intervention

The cost of the first year of the intervention, which included setting up the programs for extraction, formatting and analysis was £15 143 and the cost of running the intervention in the second year was £4990 (Table 3). The total cost of the intervention (£20 133) over the 2 years was therefore well below the most conservative estimate of the reduction in cost of Alert Antibiotics, which was £133 296 (the lower boundary of the 95% CI for change in slope after the intervention).
Intervention to improve prescribing

£5554 per month times 24 months). However, assuming that the cost of Alert Antibiotics would have continued to increase without the intervention, the cost of Alert Antibiotics was estimated to have decreased by an average of £23 852 per month (95% CI £18 154–£29 549, \( P<0.0001 \)) (maximum estimate of reduction, Table 2).

Discussion

The segmented regression analysis of a 4 year interrupted time series showed that the Alert Antibiotics Monitoring Policy was associated with significant decreases in total use and cost in the 2 years after the Policy was introduced and implemented by clinical pharmacists. Even the most conservative estimate suggested that the cost of the intervention was more than offset by savings in drug costs by the second year of the intervention. Nonetheless, despite the success of the overall intervention, use and costs of ceftriaxone increased. We need to expand the intervention to cover all antibiotics in order to assess the degree to which reduced use of Alert Antibiotics is associated with increased use of other drugs.23 Even if this does occur, the intervention may remain cost-effective as the Alert Antibiotics are the most expensive anti-infectives. Therefore, this study provides evidence of a good financial case for targeting inappropriate use of these drugs.

Measurement of hospital antibiotic use is a good start but needs to be supported by more detailed analysis of indications for use and outcomes. At present, collection of this information is laborious, requiring extraction from handwritten case records. The move towards electronic patient records is welcome but will not solve all of the problems inherent in the evaluation of antibiotic prescribing. Quality indicators require consensus about evidence-based clinical standards and about the information that must be recorded in order to evaluate compliance with standards.14 Audits from our hospital show that <50% of prescriptions for antibiotics are supported by any information about the indication for antibiotic treatment.15 These results are depressingly similar to an audit from the Central Middlesex Hospital over 20 years ago.29,30 Electronic medical records will be easier to analyse than paper records, but the value of the information will be questionable unless the records include standardized, evidence-based minimum datasets for recording the indications for and outcomes of antimicrobial treatment.

In conclusion, our results showed that pharmacy stock data are a goldmine for local antibiotic policy makers and hospital management. Adjustment of data by defined daily dose and for bed occupancy provide simple and objective measures of prescribing. These data combined with segmented regression analysis provide a strong, quasi-experimental design to evaluate the overall impact of interventions to change prescribing.25 Clearly these data do not provide information about indication for treatment or clinical outcomes. However, clinical pharmacists or other staff can use ward supply data as a method for identifying wards with unusual use and targeting more detailed audits. In our hospital, these data provide important support for the continuation of the Alert Antibiotic intervention and for targeting interventions to improve the quality of antibiotic prescribing.11,15,30 Having established the intervention and demonstrated its cost-effectiveness, we are now in a stronger position to request additional resources for analysis of time trends in microbiology results and indicators of clinical outcome, such as length of stay and readmissions.13–15

The European Union has funded the European Surveillance of Antimicrobial Consumption, ESAC, (http://www.ua.ac.be/main.asp?c=ESAC) to collect data from 31 countries of which 20 are able to provide national data about hospital use. The UK’s current lack of hospital data is therefore the exception rather than the rule in Europe. Analysis of hospital antibiotic prescribing is practical and would address one of the key recommendations made by the House of Lords Select Committee on Science and Technology 5 years ago. In England, a Press Release on June 9th 2003 announced an investment of £12 million to allow Hospital Pharmacists to “monitor and control more carefully the use of antibiotics”.34 Our results provide evidence that this intervention is likely to result in net savings by the end of the 3 year period and we urge other UK hospitals to consider collection and analysis of these data. The data are available, the techniques required are not difficult and we would be happy to share our methods with others. Our software includes ATC (Anatomic, Therapeutic, Chemical) coding, to allow comparison with other hospitals in the ESAC project.

Acknowledgements

We would like to thank Alan Hume in the Department of Pharmacy for downloading data about antibiotics dispensed to wards at Ninewells Hospital. We would also like to thank Jenni Douglas and Susan Cafferty from Information Support in Perth Royal Infirmary for providing bed occupancy data. F. A. was supported by a British Society for Antimicrobial Chemotherapy Overseas Fellowship. Dundee and Aberdeen are part of the MRC Health Services Research Collaboration, lead Centre the Department of Social Medicine, University of Bristol. The Health Services Research Unit is funded by the Chief Scientist Office of the Scottish Executive Health Department. However, the views expressed are those of the authors and not necessarily those of the funding body.

Conflicts of interest

None declared.

References

ing after introduction of an antibiotic policy. Journal of Antimicrobial  
Chemotherapy 38, 895–904.
11. Department of Health, UK Antimicrobial Resistance Strategy and  
arisstrat.htm (June 2003, date last accessed).
Scottish Action Plan 14191, pp. 1–32. Scottish Executive, Edinburgh,  
UK.
13. The Cochrane Effective Practice and Organisation of Care Group  
(EPOC) The Data Collection Checklist. (2002). Cochrane Effective Practice  
and Organisation of Care Group (EPOC) Methods Papers [Online.]  
http://www.epoc.ottawa.ca/ (June 2003, date last accessed).
anticipate on the effectiveness of courses on the practice and health care outcomes (Cochrane Review). Cochrane Library. Update Software, Oxford,  
UK.
anticipate on the effectiveness of courses on the practice and health care outcomes (Cochrane Review). Cochrane Library. Update Software, Oxford,  
UK.
Design & Analysis Issues for Field Settings, 1st edn, pp. 1–405.  
Houghton Mifflin Company, Boston, MA, USA.
cational materials: effects on professional practice and health care outcomes (Cochrane Review). Cochrane Library. Update Software, Oxford,  
UK.
tions: effects on health services utilisation (Cochrane Review). Cochrane  
Audit and feedback versus alternative strategies: effects on professional  
practice and health care outcomes (Cochrane Review). Cochrane  
Audit and feedback: effects on professional practice and health care  
outcomes (Cochrane Review). Cochrane Library. Update Software, Oxford,  
UK.
Educational outreach visits: effects on professional practice and health  
care outcomes (Cochrane Review). Cochrane Library. Update Software, Oxford,  
UK.
(1998). Local opinion leaders: effects on professional practice and health  
care outcomes (Cochrane Review). Cochrane Library. Update Software, Oxford,  
UK.
23. Walton, R. T., Harvey, E., Dovey, S. et al. (1997). Computerised  
advice on drug dosage to improve prescribing practice (Cochrane Review).  
on medical practice: a systematic review of rigorous evaluations. Lancet  
342, 1317–21.
25. Wagner, A. K., Soumerai, S. B., Zhang, F. et al. (2002). Seg-  
mented regression analysis of interrupted time series studies in medica-  
tion use research. Journal of Clinical Pharmacy and Therapeutics 27,  
299–309.
of mailed dissemination of the Royal College of Radiologists’ guidelines  
on general practitioner referrals for radiography: a time series analysis.  
Clinical Radiology 57, 575–8.
27. WHO Collaborating Center for Drug Statistics Methodology.  
WHO Collaborating Center for Drug Statistics Methodology, Oslo, Norway.
Statistics Methodology, Oslo, Norway.
anti-biotic prescribing in a district general hospital. II. Lower respiratory  
anti-biotic prescribing in a district general hospital. III. Urinary tract  
31. Frank, M. O., Batteiger, B. E., Sorensen, S. J. et al. (1997). Decrease  
in expenditures and selected nosocomial infections following implementa-  
hospital-based anti-microbial management program on clinical and eco-  
nomic outcomes. Clinical Infectious Diseases 33, 289–95.
in the incidence of methicillin-resistant Staphylococcus aureus and  
ceftazidime-resistant Klebsiella pneumoniae following changes in a hos-  
34. Department of Health. (2003). CMO to step up fight against hospi-  
tal infections: £12m for hospital pharmacists and central monitoring  
extended; new strategy to be published this Autumn. Department of  
ment (June 2003, date last accessed).

Appendix

A worked example for calculation of the estimated use of Alert  
Antibiotics with and without the intervention

Calculation of expected use of vancomycin in month 30:
Baseline level = the intercept at time 0 = 0.185 DDD/100 bed-  
days.
Pre-slope = 0.039 DDD/100 bed-days/month.
Number of months = 30.
Number of months since the intervention = 6.
Δ slope = −0.048 DDD/100 bed-days/month (Table 1).
Δ level = −0.178 DDD/100 bed-days/month (Table 1).
At month 30 without the intervention, vancomycin use would be  
1.355 DDD/100 bed-days (0.185+0.039 × 6)
Therefore in month 30, we estimated that the Alert Antibiotics  
Monitoring Programme had resulted in a reduction in use of vanco-  
mycin by 0.466 DDD/100 bed-days, in comparison with expected  
use if the programme had not been implemented.