Environmental and societal influences acting on cardiovascular risk factors and disease at a population level: a review

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It has long been known that cardiovascular disease (CVD) rates vary considerably among populations, across space and through time. It is now apparent that most of the attributable risk for myocardial infarction ‘within’ populations from across the world can be ascribed to the varying levels of a limited number of risk factors among individuals in a population. Individual risk factors (e.g. blood pressure) can be modified with resulting health gains. Yet, the persistence of large international variations in cardiovascular risk factors and resulting CVD incidence and mortality indicates that there are additional factors that apply to ‘populations’ that are important to understand as part of a comprehensive approach to CVD control. This article reviews the evidence on why certain populations are more at risk than others.

Keywords Population health, environments, societal determinants, cardiovascular risk factors

Introduction

Cardiovascular disease (CVD) is among the leading causes of morbidity and premature mortality worldwide.1 Yet, it has long been known that its importance varies considerably among populations, across space2 and through time.3 In 2004, the death rate from ischaemic heart disease (IHD) among those under 65 was almost twice as high in the UK as in Spain, yet in 1970 it had been five times higher.4 During the 1990s, when death rates from CVD were falling rapidly in western Europe and North America, they were rising elsewhere, in some parts of eastern Europe and in many developing countries.

It is now apparent that most of the attributable risk for myocardial infarction (MI) ‘within’ populations from across the world can be ascribed to variations in individual levels of a limited number of risk factors5 and that modification of these individual risk factors can achieve health gains. In 2004, the age standardized death rate from IHD in the then 15 countries comprising the European Union was 50% <30 years earlier.4 It is implausible that these dramatic falls can only be explained by individually targeted interventions. Instead, unplanned but beneficial societal changes have enabled people to live healthier lifestyles and improve population risk factor levels (e.g. fall in smoking rates). As Geoffrey Rose noted, ‘The efforts of individuals are only likely to be effective when they are working with the societal trends’.6

Historical research demonstrates the impact on health of change in social and physical environments. Examples include the negative health effects of industrialization in 19th-century England,7 and the positive health effects of the opening of markets, leading to

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increased consumption of fresh fruit and vegetables and a reduction in CVD mortality in Poland after 1989. The importance of societal factors is also apparent in migrant studies. Japanese migrants to the USA have, over generations, adopted American values, diets, lifestyle habits and ultimately disease rates. Yet the persistence of large variations in risk factors and CVD incidence and mortality both among countries and between communities within a single country indicates our failure to fully understand and therefore intentionally modify those factors that apply to ‘populations’.

These observations have highlighted the influential role of population level determinants of health. We use the term ‘societal determinants’ in this article to describe those population health determinants as they relate to people living together in a more or less ordered community and to distinguish the term from socio-economic status or class (which are individual measures within a social context). They are sometimes expressed as ‘upstream’ determinants, such as social norms, culture and geography, which shape behaviour, as well as the political, economic and legal factors that encourage or constrain the choices that individuals make. There is a now a growing body of research addressing these issues, from a range of disciplinary perspectives.

This article is a preliminary step to understanding the available evidence on population-level influences on CVD. By reviewing much of the extensive literature that illuminates the relationship between environmental and societal factors and CVD, we seek to contribute to the debate about how to balance interventions directed at the problems people face because of the places they live in vs those that treat the individual in isolation.

Search strategy

We employed an iterative process, involving follow-up of references, beginning with Medline, PubMed and Google, to collate literature using the initial search terms environment/community measures/index/risk factors/determinants, built environment, nutrition environment, obesogenic environment, social environment and cardiovascular risk factors (CVRF) and disease. Our focus was on factors acting at population level; hence, we examined outdoor but not indoor air pollution as the latter acts primarily at a household level. The search was supplemented with reference lists from course notes on Society and Health taught at the Harvard School of Public Health and authors’ personal collections.

Findings

The tobacco environment

Smoking rates vary markedly among populations and change over time. Two sets of interrelated environmental influences can be identified as contributing to these variations, the legislative/policy environment and social norms. The former includes legislation on tobacco price, advertising, sales, smoke-free air policies and their implementation and support for quitters. The latter includes customs, peer pressure and role models.

Legislation and policy

Tobacco price policy is effective in reducing tobacco consumption, with a large body of research from many countries. The price elasticity of overall cigarette demand ranges from −0.3 to −0.5, so that a 10% increase in cigarette prices would reduce smoking by 3–5%. Price elasticity is greater among young people, those on low-incomes and light smokers. However cigarette affordability, more than just price, is key. Guindon and colleagues compared minutes of labour to purchase a pack of cigarettes in 50 countries and found that trends between 1990 and 2000 in real prices and minutes of labour indicate, with some exceptions, that cigarettes have become more expensive in most developed countries but more affordable in many developing countries. The availability of inexpensive, untaxed or smuggled cigarettes may also mitigate the influence of increases in cigarette prices, indicating that implementation and enforcement of legislation is key, echoing the distinction made by legal researchers between ‘law on the books’ and ‘law on the streets’. Further, in some regions of the world (e.g. South Asia or the Middle East) indigenous forms of tobacco (e.g. beedies or sheesha) are neither regulated nor taxed. Some data from the United States indicate a trend to increasing consumption of cigars and snuff following the introduction of cigarette excise tax increases.

Smoking rates are also decreased by workplace bans on smoking, tobacco advertising bans and the use of large, highly visible and carefully worded health warnings on cigarette packets. Age limits on sales offer the potential to reduce smoking amongst adolescents but only if there is effective compliance by retailers. A combination of policies is most effective. Levy and colleagues modelled the effects of tobacco control policies in Arizona. They attributed about 61% of the observed reduction in smoking to price increases, 38% to media policies and only a small percentage to quitlines, youth access policies and clean air laws. The complementary nature of policies is also indicated by findings that within the USA, states which spend more on tobacco control achieve lower levels of tobacco use, although caution is needed in determining the direction of causality.

The ‘tobacco policy scale’ developed by Joossens and colleagues attempts to quantify the national policy environment of various countries through assessing the implementation of tobacco control policies and identifying areas of policy weakness. This scale was...
considered to have face validity in appropriately ranking European countries but its applicability to less developed regions of the world is not known. The scale gives considerable weight to price/Gross Domestic Product (GDP) ratio, so that countries with the highest price to GDP ratio score well. Hence, low-income countries might score highly if cigarette prices are high compared with GDP despite a lack of policies to increase cigarette prices. Also, as both personal incomes and economies grow, this ratio may stay the same or cigarettes may become more affordable due to economic forces, again not an indicator of policy change.

Social norms
Smoking rates are also shaped by prevailing norms, with growing evidence that these norms change, and are responsive to specific interventions (e.g. through mass-media campaigns). For example, in parts of South Asia, smoking is less socially acceptable among women in whom smoking rates are lower. In Turkey, however, smoking is socially accepted and is now common among young urban men and women. Youth report that smoking is expected of men by the time they enter military service or are economically independent, smoking is common amongst teachers and women professionals and sanctions against smoking in family and school environments are not enforced.

A number of studies of the school environment show that smoking among peers is positively associated with student smoking habits. Studies from the International Tobacco Control Survey (ITC) found favourable views of smoke-free public places and/or reported presence of smoke-free public places were independent predictors of having smoke-free homes.

Alamar and Glantz created a ‘Social Unacceptability Index’ composed of aggregated data from the Tobacco Use Supplement (TUS) of the US Census Bureau’s Current Population Survey. This collects data on individuals’ attitudes towards smoking policies (and thus the extent to which smoking is socially unacceptable). Information included attitudes of smokers and non-smokers to smoke-free restaurants, smoke-free bars and smoke-free home environment. In 1999, the mean value was 0.84, ranging from 0.55 in Kentucky to 1.26 in California. States with higher values had lower smoking rates, independent of price. Every 10% increase in the index, after controlling for cigarette price, was associated with a 3.7% drop in consumption.

The overall smoking environment
In practice, policy and social norms are interlinked; legislation is easier to implement in societies where social norms reject smoking. It is easier to ‘not’ smoke in a community where smoking is more socially/culturally unacceptable, where there is greater social support for quitting and non-smokers are role models. The socio-cultural context is hence an important modifier in the effectiveness of policies on smoking behaviours. A tool that can simultaneously characterize these interacting factors is needed to account for variation in smoking patterns between communities (Table 1).

The ‘obesogenic environment’: the built and nutrition environments and their effects on physical activity, diet and obesity
The ‘obesogenic’ environment, a concept linking a wide range of disciplinary perspectives from social sciences, agricultural and food policy, urban planning and city and building architecture, is defined as ‘the sum of influences that the surrounding opportunities or conditions of life have on promoting obesity in individuals or populations’. The many methods used to assess the obesogenity of environments have been examined in a number of recent reviews.

Physical activity environment
There is a large body of research examining how the built environment (human-modified places, e.g. homes, schools, workplaces, parks, industrial areas, farms and roads) impacts on physical activity. More footpaths and easy access on foot to shops and recreational areas, and footpath density of buildings, traffic and certain types of streets, can yield simple measures such as the distance to transport or other destinations, or information about density of buildings, traffic and certain types of shops. Perceived environmental attributes correlate with individual physical activity (either self-reported physical activity or physical activity measured using calorimeters) and rates of obesity. Perceived safety (from crime, traffic, stray dogs) of a neighbourhood and neighbourhood attractiveness are associated with greater physical activity; residents of a coastal Australian town who had positive perceptions of their local environment ‘aesthetics’ walked most.

Objective measures of the built environment also correlate with physical activity. For example, the density of indoor recreational facilities (gyms and sporting centres) (facilities per unit area) in New York was linked to frequency of self-reported physical activity.
activity, while other objective measures of urban structure correlated with distance walked per day among residents of Atlanta. Objective measures have often been derived from routine data (e.g. regional land use data, digital aerial photography, street network data, census data) to give measures of residential density (households per square kilometre), connectivity (intersections per square kilometre) and land-use mix (a measure of the distribution of different type of land use—commercial, residential, industrial, institutional, green space).

There are now composite indices that seek to capture multiple components of the built environment identified as impacting on physical activity. They include perception-based measures such as the Neighbourhood Walkability Scale (NEWS) and the Built Environment Assessment Tool (BEAT). Both include similar parameters but use different methodologies and differ in the weight placed on different parameters to give a summary score. Other composite indices use objective measures such as the ‘urban sprawl index’, which combines multiple measures of residential density, land-use mix (degree to which residential, commercial and institutional land uses are located close together), degree of centring (degree to which development is concentrated at the region’s core) and street accessibility (incorporating length and size of blocks) obtained from routine data sources. ‘Urban sprawl’ correlates with obesity and hypertension prevalence amongst adults and with weight amongst youth in the US. Similarly, Frank and colleagues developed a walkability index in Atlanta, assessing land-use mix, residential density and street connectivity from geographic information systems (GIS). Individuals living in areas in the highest walkability quartile were 2.4 times more likely than individuals in those in the lowest walkability quartile to meet the recommended 30 min or more of moderate physical activity per day (measured using accelerometers).

<table>
<thead>
<tr>
<th>Domains</th>
<th>Policy/law/social norm</th>
<th>Examples of community assessment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Clean indoor air</td>
<td>Smoke free workplaces</td>
<td>Are there regular inspections to check laws enforced?</td>
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<tr>
<td></td>
<td>Smoke free work cafes/restaurants/bars</td>
<td>Are there fines for non-compliance?</td>
</tr>
<tr>
<td></td>
<td>Smoke free public transport</td>
<td>What is the state of tobacco control in the community? E.g. assess tobacco use on random visits to work places or with air monitors inside restaurants</td>
</tr>
<tr>
<td>Tobacco taxes and price</td>
<td>Policies to increase cigarette prices</td>
<td>Are smokers able to access an untaxed source of tobacco?</td>
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<tr>
<td></td>
<td></td>
<td>What is the affordability of tobacco related to income?</td>
</tr>
<tr>
<td>Public information</td>
<td>Comprehensive tobacco advertising bans</td>
<td>Is there any tobacco advertising on TV/radio/posters/print media/point of sale/sponsorship/cinema/internet?</td>
</tr>
<tr>
<td></td>
<td>Direct health warning labels</td>
<td>Are health warnings on all packets? What is the size, nature, colour of warning labels on cigarettes?</td>
</tr>
<tr>
<td></td>
<td>Public education programs</td>
<td>Is there information in the media on harms of tobacco? Are there programs in schools?</td>
</tr>
<tr>
<td></td>
<td>Tobacco control budget — as a percentage of health budget</td>
<td>Is there public access to information on spending?</td>
</tr>
<tr>
<td>Regulations on youth</td>
<td>Youth access to tobacco restrictions</td>
<td>Can youth access cigarettes? – e.g. assess through surveys of youth or surveys of shop keepers</td>
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<tr>
<td>Support for cessation</td>
<td>Quit line</td>
<td>Is this free of cost?</td>
</tr>
<tr>
<td></td>
<td>Cessation support network</td>
<td>Is this available at the level of the community?</td>
</tr>
<tr>
<td></td>
<td>Reimbursement of tobacco cessation treatments</td>
<td>Is this available at the level of the community? Are smokers in the community aware of this?</td>
</tr>
<tr>
<td>Social acceptability</td>
<td>Support for bans</td>
<td>Are smokers/non-smokers supportive of bans?</td>
</tr>
<tr>
<td></td>
<td>Role models</td>
<td>What are the smoking behaviours of role models?</td>
</tr>
<tr>
<td></td>
<td>Presence of known cultural/religious practices</td>
<td>Are cultural/religious practices/taboos observed by all population? Only in certain areas? Only in certain sub-groups?</td>
</tr>
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</table>
Other objective-measure composite tools are more complex, including multiple measures obtained from detailed descriptions of neighbourhoods by field workers or analysis of video material. Examples include the Systematic Pedestrian and Cycling Environmental Scan (SPACES), \textsuperscript{73} Senior Walking Environmental Assessment Tool (SWEAT), \textsuperscript{74} Irvine-Minnesota Scale \textsuperscript{75} and the Built Environment Site Survey Checklist (BESSC). \textsuperscript{76,77} Few of these measures have been used outside a limited number of communities in North America and their applicability to other countries with differing social and economic structures is unclear.

A number of other external influences may also influence physical activity, but are less intensively studied (Table 2). For example, socio-cultural beliefs may inhibit physical activity (e.g. it may be considered inappropriate for women in South Asia or in...
countries of the Middle East to go jogging on the streets). Also, environmental features such as temperature (extreme heat or cold), rainfall or pollution may be important in certain locations. Individuals who report that weather does not inhibit their walking are much more likely to be physically active. However, while it is intuitive that physical activity will be lower in climatic extremes, there is little research on the association between climate and physical activity in different populations. There is a general consensus that policy (e.g. zoning ordinances regarding parks, foot paths and cycle ways or policies to encourage use of public transit) are important indicators of healthy communities, but such initiatives are rarely evaluated.

There are important methodological issues in quantifying the effects of the built environment on physical activity. Perceptions of the built environment are likely to differ across age and sex groups and be influenced by socio-cultural factors. These factors require large population surveys to capture representative information about a community and are susceptible to biases that may arise if response rates are low or sample sizes are small.

Objective measures may be less susceptible to bias but may fail to capture socio-cultural factors. However, available research indicates consistency between perceived and objective measures of environments.

A further concern relates to generalizability, as most research is from the USA, Australia and the UK and no study, to our knowledge, has, so far, examined the validity of measures in diverse countries.

**The dietary and nutrition environment**

In recent reviews, researchers conceptualize the food environment as composed of multiple factors influencing what people eat at the macro-level (legislation and policy), the physical environment level (access and availability of foods at home/work in shops, etc.) and the social environment level (social norms, role models). Quantitative research has mainly focused on the physical environment (food retail access assessed by density of fast-food outlets in deprived areas,95 an association with obesity was not observed.96 Thus, convincing evidence for neighbourhood level environmental influences on diet and obesity only exists for those who live in North America. However, this is unlikely to be because the food environment is important only in North America. The differing social, cultural, economic and regulatory environments, which govern the provision, purchase and consumption of food, need to be considered. For example, in Scotland, large supermarkets may be more common in out-of-town sites because of lower land prices. In contrast, in the USA, richer people tend to move to the outskirts of cities. Another possible explanation is that outside North America, people may have different food-purchasing behaviours; for example, it has been suggested that in the UK, low-income consumers are more likely to go outside their local neighbourhood to obtain cheap goods. However, it may also be
## Table 3  Environmental influences on diet

<table>
<thead>
<tr>
<th>Domains</th>
<th>Examples of factors that affect diet</th>
</tr>
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</table>
| Policy/Legislation             | Tax or subsidies on food/drink/imported food/locally grown food  
Tax on food bought and eaten outside the home  
Food standards e.g. salt content of foods  
Regulation of industry  
Government/National body Dietary guidelines on healthy foods  
National per capita food availability  
Food and agricultural policies e.g. agricultural subsidies  
Food production  
Drinking water availability  
Medical support/weight-loss programs |
| Public information             | Nutrition labelling  
Health claims legislation  
School programs/education  
Advertising restrictions — restriction of junk-food advertising in children’s programs  
Point of sale information — e.g. information in stores regarding healthiness of foods, information on menus regarding healthiness of meals  
Healthy diet educations programs  
Spending on research to inform and underpin policy on nutrition  
Media coverage of obesity/weight control/healthy diet issues |
| Societal and cultural norms    | Culture of eating out vs cooking at home  
Culture of drinking alcohol while eating  
Culture of eating with family/friends or eating alone; TV dinner culture  
Food purchasing patterns  
Average portion size  
Social norms e.g. normal body size  
Role models  
Cultural/ethnicity influences |
| Neighbourhood environment      | Costs of foods  
Food purchasing environment — supermarkets/small stores/convenience stores/markets/vendors etc  
Relative availability of healthy vs unhealthy stores  
In-store retail environment — including display of items, packaging of goods  
Relative availability of healthy vs unhealthy items in stores  
Variety and quality of stores  
Variety and quality of products sold  
Out-of-home meal environment — fast food outlets/restaurants/cafes/pubs/  
Relative availability of healthy/unhealthy meals  
Variety and quality of restaurants/variety and quality of meals  
Quality/quantity/availability of food at work/school/other organised environments  
Hours of store/restaurant opening  
Vending machines |
that differences in the food environment which may be less important in promoting obesity than factors influencing physical activity.

Another factor that may explain differences between the USA and other countries is the lack of standardized comprehensive instruments for research on the determinants of diet. There are instruments that describe restaurants (e.g. scoring restaurant menus for the availability, pricing and promotion of healthy/unhealthy foods) and supermarket environments (e.g. availability, price and quality of foods) but these have not been related to health outcomes.

There is considerably less work examining the relationship of food policies and the information environment with health outcomes. Promotion of unhealthy food products is almost always more extensive than of healthy products and unhealthy food promotion is more common in poorer neighbourhoods, but these have not been linked to individual health outcomes. A number of countries have policies on food labelling. For example, ‘health claims’ legislation in Australia and the European Union limits the labelling of foods with misleading claims of specific health benefits. These could impact on food-purchasing patterns, but again the effects of these policies are unclear. Some empirical work has also suggested a possible relationship between the rapid rise of foreign direct investment (FDI) in food processing and sale of processed foods in developed countries since the 1980s and, more recently, in developing countries. This has been implicated in the nutrition transition in developing countries, facing lower prices and increased promotion of processed foods. In contrast, the opening of food markets in central Europe in the early 1990s was associated with a reduction in CVD mortality as the population had greater access to healthier foods.

Unfortunately, the association between consumption of unhealthy food and specific policies is less clear than in the case of tobacco, although it has been suggested that the type of policies effective against the latter could be applied to the former. Examples include fat taxes, junk food advertising restrictions and bans on sales of unhealthy food items in schools and hospitals. There are, however, important differences between tobacco and food as the success of a ‘fat tax’ depends not only on reducing the consumption of foods high in fat, but also on positively changing food-purchasing patterns to increase the overall healthiness of the diet. Modelling the effects of taxing certain food items in the UK suggest a carefully targeted tax on unhealthy foods could produce a modest change in saturated fat and salt consumption and a reduction in CVD mortality but a model that taxed only the principal sources of dietary saturated fat had minimal effect on reducing the incidence of CVD because the reduction in saturated fat is offset by a rise in salt consumption. However, the authors emphasize the need for caution as unpredictable health effects may result if cross-elasticities of demand are ignored (e.g. fruit consumption may fall if milk and cream are taxed, desserts of fruit served with yoghurt/custard/ice-cream may be replaced by more sugary desserts or salt consumption may increase with taxation on sweet snacks and replacement with salty snacks). The situation is clearer cut with salt, as policies that reduce its content in processed food do substantially decrease dietary sodium and thus the prevalence of hypertension.

Food affordability is an important consideration in any policy to raise food prices and this varies substantially both across and within countries. The United States Department of Agriculture (USDA) reported <10% of disposable personal incomes were spent on food in US households in 2006 in contrast to surveys in low-income countries where some 50% of the household budget is spent on food. The challenge facing researchers is thus to develop instruments that capture the multiple influences related to the food environment, including supermarkets, size of stores, range, quality and cost of food, out-of-home food outlets, menus, portion sizes, food advertising, social norms and food policy (salt content, food taxes) (Table 3).

**Air pollution**

There is growing evidence that air pollution may increase CVD rates in entire communities, although the precise causal pathway by which pollution leads to increased cardiovascular events/mortality is still unclear. It may be related to a combination of direct toxic effects on the autonomic nervous system and triggering of systemic inflammation, which not only may trigger cardiac arrhythmias or precipitation of coronary thrombosis, but may also be mediated by some effects on behaviour (highly polluted environments may encourage car use and discourage physical activity, though this is unproven). Part of the difficulty with elucidating mechanisms relating air pollution to CVD is the complex and variable composition of pollution and the challenges of developing methods to relate pollution components to individuals accounting for relevant confounding factors (e.g. smoking, socio-economic factors) and factors modifying individual exposure (e.g. time spent indoors, time spent at home/work/commuting).

Air quality is generally determined by concentrations of pollutants such as nitrogen dioxide (NO₂), ozone (O₃), particulate matter (PM) and sulphur dioxide (SO₂). Measurement of particulates identifies two overlapping categories; those of diameter 10 µm or less (PM10) and those of diameter 2.5 µm or less (PM2.5). The smaller particles are considered most deleterious to health as they can penetrate deep into the lungs. All these pollutants have been implicated in time-series analyses as causes of adverse cardiovascular health effects. Although pollution is...
associated with a higher relative risk of death from respiratory than cardiovascular causes, because of the size of the population at risk, the number of deaths is in fact greater for CVDs.

The American Heart Association has concluded that an increase in fine particulate pollution of 10 μg/m³ over a 24-h period is associated with short term increases of 0.21% in total mortality and 0.31% in cardiopulmonary mortality. An increase of 10 μg/m³ in annual average exposure to PM2.5 was associated with 4% increase in long-term all-cause mortality and a 6% increase in long-term cardiopulmonary mortality. To put these measurements in perspective, the US Environmental Protection Agency (EPA) reports maximum 24-h PM10 concentrations in cities ranging from 26 to 534 μg/m³. Certain groups are more affected by air pollution than others, including communities at lower socio-economic status, of older age and those suffering from other pre-existing health conditions.

Ambient air quality is commonly monitored with a network of ground-based ambient air quality monitoring stations, which are costly to acquire and maintain. While developed countries, such as Canada and the USA, have a good network of ground monitors, many countries have few or none. Countries may also measure and report air quality using different indices and different criteria air pollutants, complicating comparison. For example, PM may be reported in terms of Total Suspended Particulates (TSP), PM10 or PM2.5. These problems have led to the use of models based on emission data, numbers and types of cars used, traffic patterns, land use (e.g. percentage of land used by forests, roads, factories, etc.) and weather patterns. The accuracy of the models depends on the quality of data collection systems.

The most recent atmospheric remote-sensing satellites offer scope to assess air quality using a uniform method across multiple sites and link these to health. At present, the technology does not offer the high spatial resolution necessary for detailed urban studies. However, it does offer hope for regional and intra-urban studies. Some studies have demonstrated the potential for using satellite-derived data as a surrogate for PM2.5. Other satellites such as the Ozone Monitoring Instrument can provide estimates of gaseous species of pollutants such as O₃, NO₂ and SO₂, although the spatial resolution of the derived data is again suitable only for regional studies. The US EPA is working to integrate satellite data with local air quality monitoring systems, while other researchers are adding data to provide more detailed spatial coverage. The advantages of satellite data include—coverage over areas with no ground data, synoptic and repeated coverage and consistent algorithms for data extraction, making it possible to compare temporal and spatial variability across communities around the globe.

**Discussion**

The evidence reviewed above shows that the environments that individuals inhabit influence their risk of CVD, (Figure 1) and there are a growing number of methods by which these environments can be assessed (Web Appendix). Yet, while population level

![Figure 1](https://academic.oup.com/ije/article-abstract/38/6/1580/667018)

**Figure 1** Environmental factors that have been linked to human behaviours and established risk factors that cause cardiovascular diseases
interventions offer considerable promise for prevention of CVD, they have received much less attention than individual determinants of disease. This reflects the many challenges that need to be surmounted in population health research. First, until recently, there were few tools that could be operationalized in large-scale epidemiological studies. Second, this research requires a multi-disciplinary approach, drawing on diverse paradigms, many of which are outside the domain of traditional biomedical research. Third, such research is extremely complex, as some people may move among communities frequently and are exposed to a variety of influences in environments that are constantly changing. Thus, occasionally, the community within which an individual is situated can be difficult to define. If a ‘community’ is defined as “a group of people who have common characteristics” then it can be defined by location, race, ethnicity, age, occupation, interest in particular problems or outcomes, or other common bonds. Hence, groups of individuals living in proximity may at times be considered to be different communities for certain purposes (e.g. individuals of different religious persuasions may observe different drinking or smoking habits) while still sharing many other cultural values and dietary habits and being exposed to several of the other societal influences. Fourth, the pathways between population level determinants and health outcomes involve many interactions, so that findings in one setting may not be completely generalizable. Thus, while in all populations, individuals who smoke increase their risk of CVD, changes in taxation on cigarettes may have differing effects in societies where smoking is or is not socially acceptable, or where there are alternative sources of low-cost cigarettes.

The characteristics of environmental measures

To gain a better understanding of the impact of the environment on CVD, a first step is to develop better instruments to measure relevant factors. Most existing instruments focus on a single risk factor (e.g. smoking) or behaviour (e.g. physical activity) (Web Appendix). The challenges involved in assessing the healthiness of an environment are, in essence, no different to those involved in assessing the health of an individual. Measures should be reliable, so that different observers obtain the same results, and valid. Thus, measures should be consistent with the known societal determinants of individual behaviour (construct validity), the instrument should capture the range of determinants (content validity), and yield similar values for environments that have similar effects (convergent validity). Observed changes in the environment should predict changes in behaviour (predictive validity). Finally, measures should be generalizable to different settings (and in particular, across developing and developed countries).

Aggregating measures into indices or keeping measures separate in profiles

Continuing the analogy with individual measures of health, measures of environmental health can be applied as single indices or as profiles as exemplified by the ranking of the world’s health systems in the 2000 World Health Report, where France came top and Sierra Leone came bottom. Thus, a global index of promotion of physical activity might place rural Nepal high and Los Angeles low on the ladder. A key issue is the allocation of weights to each component of an index. For example, for the ‘urban sprawl index’, correlates with obesity and hypertension prevalence amongst adults and with weight amongst youth in the USA. However in different countries, the importance of each of the components that it comprises (multiple measures of residential density, land-use mix, degree of centring and street accessibility) in predicting physical activity may vary. For example, land-use mix (e.g. more green park space in an urban centre) may be more important than the degree to which development is concentrated at the region’s core.

Indices are, however, of limited value in indicating what should be done to improve things. Here a profile, scoring communities on multiple dimensions, may be more useful, akin to a health status measure such as the Short Form 36. This would recognize that, for example, tobacco control policies will be more effective in an environment where those policies are socially supported.

Other methodological challenges

The pathway from environmental factors to CVD risk factors is long and complex. Few studies take account of the factors that interact, link, mediate or confound the relationship between the environment and risk factors or disease. A particular challenge is how to disentangle the effects of multiple highly correlated factors. Thus, deprived areas often have fewer facilities for outdoor activities, fewer healthy food options, poorer access to healthcare, closer proximity to highways or factories (so more air pollution) and higher crime rates. Hence, assessment of the role of any single factor in the aetiology of CVD necessitates accounting for the others (which may be confounders, explanatory or mediating factors). There may also be important effect modifiers in countries of vastly different economic environments or at very different stages of development. For example, price may be a valuable indicator of access to fruit and vegetables in urban areas of low-income countries where high rates of absolute poverty (defined as inability to meet a very basic list of needs) exist. However, in high-income countries, where the food bill only constitutes a relatively small portion of the daily budget for most
people, consumption of fruit and vegetables may be less sensitive to price. By contrast, in rural areas of poor countries widespread home cultivation may mean that fruit and vegetable consumption is also much less price sensitive, but for very different reasons. In a survey of rural Uganda, 53% stated ‘own production’ was the main source of food items.\textsuperscript{106} Characterizing the intake of fruit and vegetables, therefore, requires knowledge of price, acceptability, cultural practices, adaptive phenomena and other local circumstances (e.g. non-commercial sources of food). The situation is complicated further by different meanings of words. For example, ‘supermarkets’ are markers for ‘access to healthy foods’ in the food environment literature but they have different relationships with dietary behaviour in the USA and UK.\textsuperscript{52} Two countries that differ in population density, cultural distance (a more distinct segregation of socio-economic and racial groups in US neighbourhoods) and shopping patterns (low-income consumers in UK urban areas are more likely to travel to shops outside of their local neighbourhood\textsuperscript{62}).

Another important consideration is that of ‘structural confounding’, arising from non-random allocation of people to certain places, as unmeasured confounders differentially cause some people to live in a certain neighbourhood.\textsuperscript{126,127} This can be addressed by use of instrumental variables (variables that influence exposure but not outcome), assuming it is not possible to undertake randomized experiments.\textsuperscript{128,129}

Many of the studies reviewed here use data from routine administrative sources. These have many advantages, such as consistency and coverage, but may be insufficiently detailed to capture all factors in the causal pathway and putative confounders. Booth \textit{et al.}\textsuperscript{130} describe a continuum of methods to assess the built environment extending from indirect methods (Census data, GIS Data, street network data) to intermediate methods (perceived environment measures, regional land-use data from tax assessments, aerial photography, databases such as the phone book and Internet) to direct methods (such as in-person audit of environmental characteristics completed by trained interviewers).

Indirect methods, such as use of aerial maps to calculate percentage of green space or number of intersections, are objective and offer extensive coverage. This does not, however, capture perceptions, such as perceived safety from crime or traffic, which may influence physical activity regardless of objective measures. Yet, interpretation of data on perceptions must also consider how they relate to individual characteristics, such as age or gender. This illustrates the limitations of concepts of ‘objectivity’ and ‘generalizability’ in epidemiology. While objective measures are often held up as being ideal, they may be inadequate to encapsulate the nature of an exposure or its differential effects within a population.

It will often be necessary to combine routine data with direct observation and assessment of perceptions. For example, Raudenbush and Sampson\textsuperscript{63} combined information from interviews, direct observation (research assistants documenting detailed observations of the neighbourhood) and videotapes (of buildings on both sides facing the street in a neighbourhood) to describe neighbourhood social disorder (indicated by features such as graffiti, rubbish on streets, broken windows or derelict states of buildings). This is, however, extremely resource intensive, especially when undertaken in many communities. The advantages and disadvantages of various methods as well as examples of measures are summarized in the Web Appendix. The way forward will require development of instruments using multiple methods of data collection.

In analysing the influence of environmental and societal factors on individuals, it is necessary to take account of how factors act at multiple levels including the individual, household, community and macro-levels, involving the application of multi-level methods.\textsuperscript{131,132} Multi-level models take into account information at both the individual and community levels simultaneously and are important to understand the relative contribution of factors at each level to outcomes.\textsuperscript{133} For example, to understand factors influencing obesity, it is necessary to examine simultaneously many aspects of the environment influencing diet and physical activity at the macro-environment level (such as policies to improve public transit and decreased use of cars), community-level (such as features of the built environment as well as access and availability of healthy food options) and individual factors (including awareness of fat-content of foods or attitudes towards recreational exercise). A recent schematic example of this approach, which fully captures the complexity involved, is the obesity systems map produced for the UK Government’s Foresight Report on Obesity.\textsuperscript{134}

Where we need to go
This review has examined what we understand by the environmental determinants of CVD and examined in some detail some of the fundamental methodological concerns that are relevant in interpreting this literature and in improving study design for future research to identify causal effects of environmental determinants.

While there is now a broad consensus on the causes of individual variation in CVD, making it possible to reduce risk through individually targeted interventions, this cannot be said of population-level interventions. The general acceptance that population level influences are the most likely explanations of differences in CVD rates among countries such as Russia, Japan and Australia, and are able to explain at least part of the dramatic falls in CVD observed in some populations over the last 30 years, this is largely
a diagnosis of exclusion. This uncertainty is, at least in part, due to difficulty in applying the tools available in contemporary epidemiology to population-level determinants. This research faces many challenges and the available methods have many limitations, which will demand new methodologies, multi-disciplinary learning and research in a broad range of settings. However, we believe that the existing literature proves that this research can be done and will lead to important new insights into the determinants of population health. We also believe that the time is right to move forward with this agenda, tapping into contemporary concerns about the limit of reductionist models of society’s problems. In many parts of the world environmental interventions are taking place with the improvement of health among their many goals. It will be essential to be able to chart the extent to which they bring about real change and to quantify and understand any impacts on population health.

**Supplementary data**

Supplementary data are available at IJE online.

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