

RESEARCH ARTICLE

Comparative study of chemical characterization and source apportionment of PM_{2.5} in South China by filter-based and single particle analysis

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Single particle aerosol mass spectrometers (SPAMS) have created significant interest among atmospheric scientists by virtue of their ability to provide real-time size-resolved information on the chemical composition of aerosols. The objective of this study is to evaluate the newly developed single particle analysis technique in terms of chemical characterization and source apportionment of ambient aerosols by comparing it with traditional filter-based methods. In this study, an air quality monitoring campaign was conducted over a period of 25 days at an urban area in Yulin city, southern China, by employing both SPAMS and traditional filter-based measurements to establish the performance of SPAMS. It was observed that the chemical characterization of particles based on SPAMS did not agree well with the filter-based analysis. Based on the filter analysis, sulfate was the most abundant component in PM_{2.5} (23.5%), followed by OC (18.1%), while for single particle analysis (number concentration), EC-containing particles showed the largest contribution to PM_{2.5} (>40%), followed by OC (15.7%). In terms of source apportionment via positive matrix factorization, six sources were identified by each of the two approaches. Both the approaches showed relatively good agreements for secondary species, traffic, and dust sources; however, discrepancies were noted for industry, fossil fuel, and biomass burning sources. Finally, investigation of diurnal profiles and two specific emission episodes monitored during the Chinese New Year and traffic activities demonstrated the relative advantage of single particle analysis over filter-based methods. Overall, single particle analysis can provide source apportionment with a high time resolution, which is helpful for policy makers to analyze and implement emergency control strategies during air pollution episodes. However, SPAMS performs quantification of number concentration rather than mass concentration and is limited to particles larger than 200 nm, which leads to discrepancies between the two methods. SPAMS measurements can therefore not simply replace traditional filter-based analyses, which needs to be carefully considered in the selection of the monitoring implementation.

Keywords: Air quality, Source apportionment, Single particle, Real-time measurement, Pollution control

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1. Introduction

China has faced a severe air pollution challenge in recent years due to its fast-developing economy and large-scale urbanization. Several studies have established PM_{2.5} (particles with aerodynamic diameter smaller than 2.5 μm) as the major air pollutant in China, responsible for significant health impacts and economic losses (Bai et al., 2018; Xie et al., 2019). A city-level study has revealed that PM_{2.5}-related deaths in China could range from 0.77 million to 1.258 million depending on the nature of exposure-response functions in 2016 (Maji et al., 2018). This is not surprising given that PM_{2.5} is classified as the world's largest environmental health risk, contributing to approximately 7 million deaths per year worldwide, especially in the Western Pacific and Southeast Asia (European Environment Agency, 2015; World Health Organization, 2014).

Owing to a significant reduction in visibility and increased threat to public health, the Chinese government has implemented several emission reduction strategies in the recent years. Annual concentration reduction targets for PM_{2.5} were set for the most economically developed regions of China to substantially curtail their PM_{2.5} levels by 2017 as compared to 2013 values. For example, reduction targets of 25%, 20%, and 15% were proposed for the Beijing–Tianjin–Hebei region in northern China, Yangtze River Delta in eastern China, and the Pearl River Delta in southern China, respectively (State Council of PRC, 2013). A robust understanding of the major sources of PM_{2.5} is vital to implement effective emission reduction strategies and regulations. Currently, source apportionment is the primary tool used by the Chinese government to identify and quantify the major sources of fine particles. Positive matrix factorization (PMF) has been widely adopted over the past few decades as an effective approach to identify the sources of air pollution and quantitatively estimate the pollutant levels contributed by various sources through statistical interpretation of ambient measurements (Paatero and Tapper, 1994).

Most source apportionment studies in China and elsewhere are typically carried out based on chemical analysis of particulate matter collected on filters. For example, using PMF based on filter measurements, Zong et al. (2016) identified the major sources of PM_{2.5} in North China as fossil fuel combustion (29.6%), biomass burning (19.3%), and vehicle emission (15.9%). Employing a wet denuder-steam jet aerosol collector system, Guo et al. (2010) analyzed size-resolved aerosol particles and observed that regional secondary aerosol formation could lead to aerosol pollution during summer in Beijing, which accounted for more than 50% of fine particle mass. Studies such as these that utilize the traditional offline measurement technique involving collection of particles on filters followed by chemical processing are susceptible to biases originating from the potential decay or degeneration of chemical species during preservation, transportation, and sample analysis. To overcome these challenges, high time resolution analytical techniques have been recently developed to characterize short-term variability in aerosol concentrations and to isolate individual sources (Prather et al., 2008; Zhang et al., 2018; Zhang et al., 2018;

Wang et al., 2019). Despite potential issues of bias, as discussed before, filter-based analysis still remains the most widely used approach worldwide and is considered as the benchmark (e.g., United States and China). Therefore, it is vital that the newly developed single particle method is compared and validated against the traditional filter-based analysis to establish the efficacy of the single particle method and identify potential discrepancies.

The single particle aerosol mass spectrometer (SPAMS) offers real-time detection of atmospheric particles and can simultaneously provide information on the size distribution, number concentration, and chemical composition of the particles at a single particle level. This information can be collated to a direct source apportionment based on single particle number concentration and chemical composition (Kelly et al., 2003). Only a limited number of studies thus far have verified high-resolution online measurements against the traditional offline analysis; for example, Huang et al. (2014) compared major ions (sulfate, nitrate, and ammonium) measured in filter samples against those measured online by a monitor for aerosols and gases in ambient air (MARGA) system, and the two sets of data showed agreement within 25%. However, verification of SPAMS data and its interpretation by comparison with offline filter-based measurements have seldom been reported.

In view of this knowledge gap, the main objective of this study was to compare the source apportionment of PM_{2.5} based on single particle and traditional filter-based analyses. To this end, we conducted an air quality monitoring campaign for a period of 25 days at an urban area in Yulin city, southern China, by employing both SPAMS (corresponding to particle number concentration) and traditional filter-based collection and analysis (corresponding to particle mass concentration). The mass and number concentration data from this campaign were then input to the PMF model in order to determine the emission sources of aerosols. Additionally, the high time resolution measurements from SPAMS were harnessed to analyze diurnal variation and episodic pollution events which cannot be captured by the filter-based analysis.

2. Experimental

2.1. Sampling location and period

This campaign was carried out at Yulin Environmental Monitoring Center (20 m above the ground), Guangxi, China (N22°38'28.65", E110°10'1.70"), as depicted in **Figure 1**. Two bus interchanges were located at approximately 500 m northeast and 900 m north from the sampling site, respectively. PM_{2.5} samples were collected daily from 6:30 AM to 6:00 PM (daytime) and from 6:30 PM to 6:00 AM (nighttime) during February 25 (6:30 PM)–March 22 (6:00 PM), 2016. A total of 49 filter samples were collected and processed while three samples were lost due to contamination. Single particle analysis was simultaneously conducted from February 25 (6:00 PM)–March 22 (11:00 PM), 2016. A total of 596 h of samples were collected and processed, while samples for 34 h could not be obtained due to contamination or malfunctioning of the instrument.

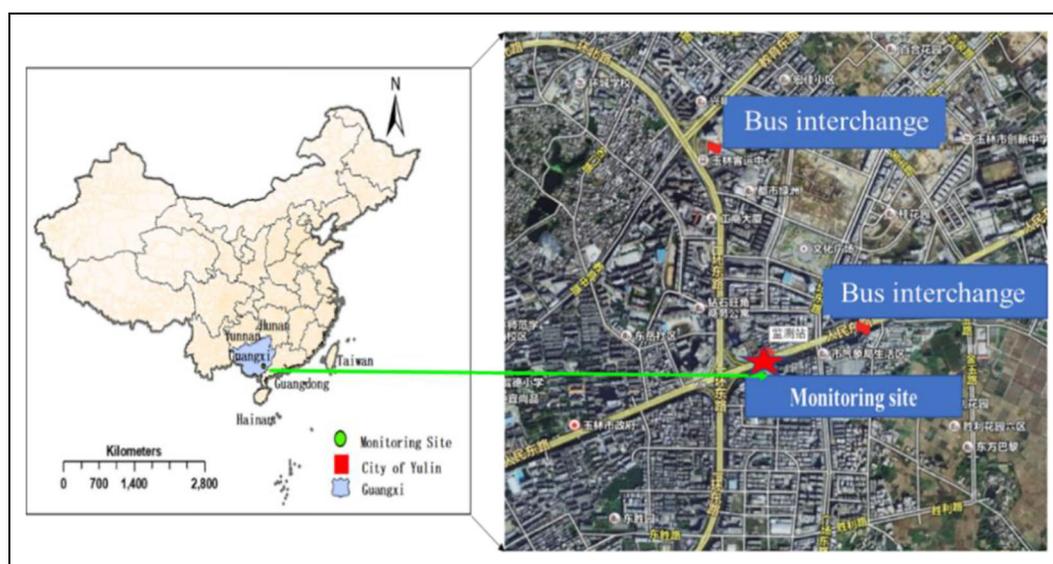


Figure 1. Sampling location in Yulin, China, indicated with a star. DOI: <https://doi.org/10.1525/elementa.2021.00046.f1>

2.2. Instrumentation and chemical analysis

2.2.1. PM_{2.5} sample collection

PM_{2.5} aerosol samples were collected on Pallflex Tissue quartz membrane filters (90 mm diameter, Whatman) using a TH150D III sampler (Wuhan Tianhong Environmental Protection Industry Co., Ltd., Wuhan, China) with a flow rate of 100 L min⁻¹. Quartz membrane filters were preheated in a muffle furnace at 450 °C for 4 h to remove residual carbon before usage. The filters were conditioned in a desiccator for 48 h before and after sampling and gravimetric weighing was carried out using a microbalance (BT224S, Sartorius) in a controlled environmental chamber at a temperature of 25 °C and relative humidity less than 38%. The collected filter samples were wrapped in organic-free aluminum foil (baked overnight) and stored in a freezer (−25 °C) before analysis. The aerosol-laden filters were then cut into different pieces for the quantification of metal species, water-soluble ionic species, and carbonaceous species.

2.2.2. Chemical analysis of PM_{2.5} particles

For metal species analysis, half of the filter was transferred into Teflon tubes with a 10-mL mixture of acid solution. Each 1000 mL of the acid solution was prepared with 167.5 mL of 65% HNO₃ (Merck, for analysis EMSURE ISO), 55.5 mL of 37% HCl (Merck, for analysis EMSURE ISO), and 777 mL of ultrapure water according to the Chinese Technical Specification for Aerosol Environmental Monitoring (HJ777-2015). The filter sample in the acid solution was microwaved at 200 °C for 20 min under an operating power of 600 W, which was followed by the quantification of 18 metals (Al, Fe, Ca, Mg, Ti, K, Na, V, Cr, Hg, Mn, Ni, Cu, Zn, As, Cd, Pb, and Ba) via an inductively coupled plasma mass spectrometry system (ICAP-Q, Thermo Scientific, Waltham, MA, USA). Standard solution was spiked on blank filters to track recovery of each element for each batch. The standard filters underwent the same analytical

procedure as the aerosol-laden filters, and recoveries were in the range of 85%–115% for all the metals.

For quantification of ionic species, a portion of the filter underwent water extraction in an ultrasonic bath for 30 min. An aliquot of the water extract was then analyzed by ion chromatography (Dionex, ICS-1000) for anionic and cationic species including fluoride (F⁻), chloride (Cl⁻), magnesium (Mg²⁺), nitrate (NO₃⁻), ammonium (NH₄⁺), and sulphate (SO₄²⁻). Potassium (K⁺), calcium (Ca²⁺), and sodium (Na⁺) were not included since these elements had been measured using ICP-MS.

Carbonaceous compounds including organic carbon (OC) and elemental carbon (EC) were quantified using a thermal/optical carbon analyzer (DRI Model 2015, Atmoslytic Inc., Reno, NV, USA). Briefly, following the IMPROVE thermal/optical reflectance protocol (Chow et al., 1993; Baldauf et al., 2002; Kochy et al., 2002), a 0.495 cm² punch of filter was analyzed for eight carbon fractions. OC fractions OC1, OC2, OC3, and OC4 were liberated under a nonoxidizing He atmosphere at lower temperatures up to 580 °C, while EC comprising of EC1, EC2, and EC3 was combusted in an oxidizing atmosphere with 98% He and 2% O₂ at temperatures up to 840 °C. The liberated carbon was oxidized to carbon dioxide (CO₂) by heated manganese dioxide (MnO₂), which was then quantified by a nondispersive infrared detector to obtain the corresponding OC and EC. The sample results were corrected using the average concentration of the field blank filters ($n = 4$).

2.2.3. SPAMS analysis

The SPAMS (Hexin Analytical Instrument Co., Ltd., Guangzhou, China) is an instrument designed to measure real-time particle size distribution and chemical composition of aerosols. A more detailed description on its operation can be found elsewhere (Li et al., 2011). Briefly, ambient particles were introduced into the SPAMS through a 2–100 μm metal orifice into the vacuum system, following

which the polydisperse particles were focused onto a centerline by an aerodynamic lens. After leaving the aerodynamic lens, the single particles were introduced into the diameter sizing region. Based on the transit time of single particles, two continuous 532 nm lasers were employed to size the particles. Finally, the sized particles reached the laser desorption/ionization region, and both positive and negative ions were generated by a 266 nm Nd: YAG laser, which were then analyzed by a bipolar mass spectrometer analyzer. The mass spectra data obtained by SPAMS was loaded into a Matlab-based toolkit called Yet Another ATOFMS Data Analyzer (2008), and the toolkit was used to search particular mass spectral features (Allen, 2001; Lie et al., 2016). The mass-to-charge (m/z) ratio of characteristic ions used to identify different species is listed in Table S3.

2.3. Source apportionment

Source contributions for both particle number and mass concentrations were estimated by the U.S. Environmental Protection Agency's Positive Matrix Factorization tool (U.S. EPA, PMF), a bilinear unmixing model that identifies factors that serve to approximately reconstruct the measured mass concentration (Ulbrich et al., 2009). Each factor was comprised of a constant mass spectrum and a time series of mass concentration, and all values in the factors were constrained to be positive. By minimizing the sum of the weighed squared residuals of the fit (known as Q), the PMF model was solved with the EPA PMF 5.0 using the high-resolution mass spectral matrix of all species and associated error matrix as inputs (Paatero and Tapper, 1994). It is worthwhile to mention that for number concentration, only the ions with detection ratios larger than 0.5% (40 ions in total) were considered as true data and used for data analysis. Furthermore, the number concentration averaged over 1 h was used as input. For mass concentration, a total of 26 species (18 metals, 6 ions, and 2 carbonaceous compounds) were used as input. However, the concentration of Ni, Cr, Hg, F⁻, and Mg²⁺ were excluded from the PMF analysis due to their low signal to noise ratio (see S1 and S2 in Supplementary Information). The uncertainty for each filter sample was estimated using the method by Tan et al. (2014) as per Equations 1 and 2. Other detailed information including categorizations of variables and selection of the number of factors can be found in Sections S1 and S2 in the Supplementary Information.

$$U = 2 \times \text{MDL}(c \leq \text{MDL}). \quad (1)$$

$$U = \sqrt{(P \times c)^2 + (\text{MDL})^2}(c > \text{MDL}). \quad (2)$$

Where U is the overall uncertainty; P is the measurement uncertainty, which is expressed as a percentage of concentration (10%); c is the concentration of the sample; MDL is the method detection limit. For SPAMS analysis, a 20% uncertainty (U) was assumed as suggested by Zhou et al. (2016).

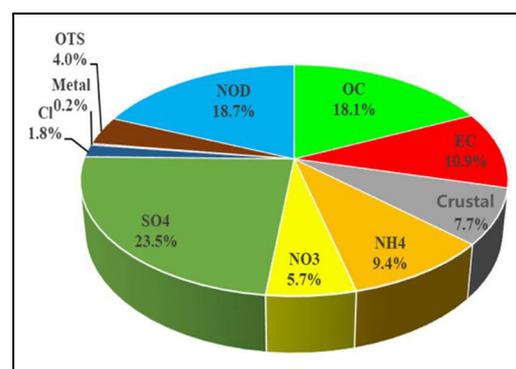


Figure 2. The reconstructed chemical map for PM_{2.5} based on mass concentration during the study period, including SO₄²⁻, NO₃⁻, NH₄⁺, Cl⁻, crustal, EC, OC, metal, other soluble ions (OTS), and undetected fraction (NOD). DOI: <https://doi.org/10.1525/elementa.2021.00046.f2>

3. Results and discussion

3.1. Characteristics of PM_{2.5}

Figure 2 represents the detailed aerosol chemical composition of PM_{2.5} based on particle mass concentration. The averaged PM_{2.5} concentration for the entire measurement period was $41.9 \pm 15.9 \mu\text{g m}^{-3}$. Sulfate, likely originating from the oxidation of the gaseous precursor SO₂, was the most abundant component of PM_{2.5} ($9.7 \pm 4.8 \mu\text{g m}^{-3}$, 23.5%), with the ratio of sulfate to total PM_{2.5} being similar to those reported from other megacities such as Guangzhou (Tao et al., 2012; Tao et al., 2017). OC, which is emitted from fossil fuel combustion and biomass burning, or produced in the atmosphere from photochemical reactions of volatile organic compounds (VOCs; Song et al., 2006), was the second largest component of PM_{2.5} ($8.0 \pm 4.5 \mu\text{g m}^{-3}$, 18.1%). EC, which is usually emitted from carbon fuel-based combustion processes and is exclusively associated with primary emissions such as vehicles (Wu and Yu, 2016; Mao et al., 2018), was the third largest component of PM_{2.5} ($5.1 \pm 4.2 \mu\text{g m}^{-3}$) contributing 10.9% to PM_{2.5} mass. The two secondary inorganic species, nitrate and ammonium together, contributed 15.1% to total PM_{2.5}, while crustal material, potentially from road dust (Upadhyay et al., 2015), contributed 7.7%.

A total of 2,474,981 particles were sized, and 397,311 particles (the average hit rate was 16.1%) with both positive and negative ion mass spectra were analyzed via SPAMS during the study period. **Figure 3** shows the temporal trends of particle number concentration measured using SPAMS and particle mass concentration from filter-based measurements. The temporal trend of particle number and mass concentrations showed a reasonable agreement during the study period with a correlation coefficient (R) of 0.69 ($P < 0.05$).

On the other hand, the chemical profile of particles based on SPAMS data was significantly different from that obtained using the filter measurements. As shown in the plot of PM_{2.5} composition based on number concentration (**Figure 4**), EC-containing particles had the largest contribution to PM_{2.5} (>40%), followed by OC-containing particles (15.7%). Levoglucosan-containing particles and

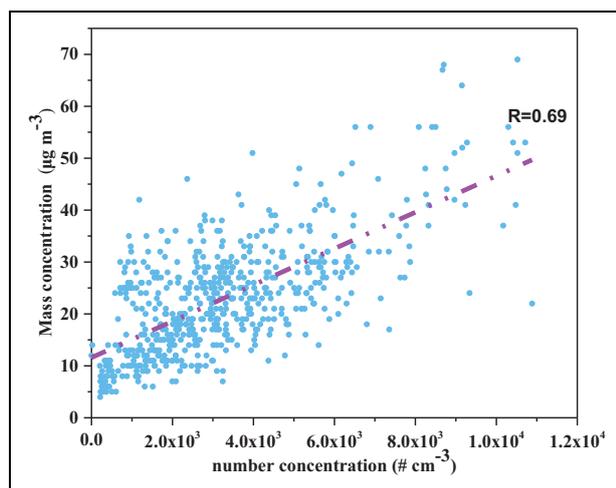


Figure 3. Scatterplot of mass and number concentration of PM_{2.5}. DOI: <https://doi.org/10.1525/elementa.2021.00046.f3>

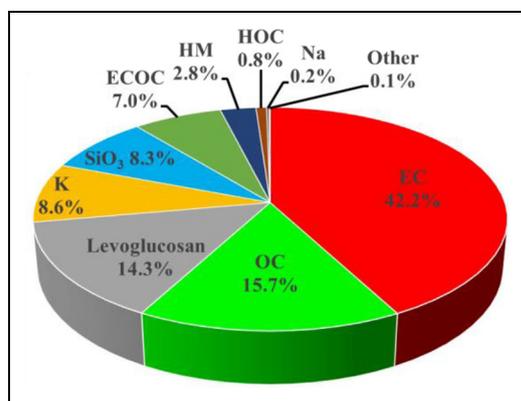


Figure 4. The reconstructed chemical map for PM_{2.5} based on number concentration during the study period, including EC (elemental carbon-containing particles), OC (organic carbon-containing particles), Levoglucosan (Levoglucosan-containing particles), K (K⁺-containing particles), Na (Na⁺-containing particles), SiO₃ (SiO₃⁻-containing particles), ECOC (EC and OC combined particles), HM (heavy metal-containing particles), HOC (high molecular OC), and other undetected fractions. DOI: <https://doi.org/10.1525/elementa.2021.00046.f4>

potassium-containing particles, which are widely associated with biomass burning (Duan et al., 2004; Li et al., 2017), contributed 14.3% and 8.6% to total PM_{2.5}, respectively. SiO₃ particles, which could be related to crustal material, contributed 8.3% to total PM_{2.5}. The remaining species contributing to the chemical profile were EC and OC combined particles (7.0%), heavy metal particles (2.8%), high molecular OC particles (0.8%), sodium particles (0.2%), and others (0.08%). It should be noted that secondary species such as sulfate, nitrate, and ammonium are not present in the results since these ions have lower priority compared to EC and OC as per the ART-2a algorithm. For example, a particle consisting of both sulfate and EC will be classified as an EC-containing particle based

on ART-2a. The spectra for the different types of particles are shown in Figure S4. It can be seen that sulfate and nitrate ions are present in almost all particle types with strong signals. However, the signal of NH₄⁺ is relatively weak because the instrument is sensitive to alkali metal ions (especially K⁺) but not for NH₄⁺ (Liu et al., 2016). Overall, PM_{2.5} from both mass and particle number concentrations indicated large contributions from carbon containing particles and secondary inorganic species, which is typical of urban PM_{2.5} in China (e.g., Zhengzhou, Xi'an, Jinan, Chengdu, Chongqing, and Changsha; Tao et al., 2017).

3.2. Source identification

Source contributions of both particle mass and number concentration of PM_{2.5} were apportioned by applying the PMF5.0 receptor model. The identification of sources was based on chemical tracers that are generally presumed to be emitted by specific sources and are present in significant amounts in the collected samples. **Figures 5** and **6** show profiles of the six identified sources from the PMF model based on particle mass and number concentration, respectively. We separately discuss each of the sources below.

3.2.1. Fossil fuel combustion

This source profile derived from PM_{2.5} mass concentration (**Figure 5**) was characterized by high levels of Zn (74%), Fe (80%), Mn (48%), Pb (40%), Cd (21%), and V (18%), suggesting that it might represent fossil fuel combustion. This is supported by previous studies, which reported that sources traced by V were frequently interpreted as residual/fuel oil combustion from industrial processes, such as power plants and/or oil refineries, and the presence of Pb and Zn indicates anthropogenic fossil fuel combustion (Al-Momani, 2003; Hu and Balasubramanian, 2003; Song and Gao, 2009; Cong et al., 2010; Cheng et al., 2011). Additionally, OC (12%) and EC (16%) were present in this factor, consistent with the profile of emissions from coal combustion reported by Duan et al. (2006).

Adopting similar criteria as the mass concentration profile, the presence of relatively high levels of Ni (29%), Zn (29%), EC (28%), and OC (18%) in the particle number concentration profile also suggested dominance of fossil fuel combustion (**Figure 6**). This source assignment was further confirmed by the presence of polycyclic aromatic hydrocarbons (PAHs) in this factor. Previous studies have reported that particulate matters from combustion sources, especially coal combustion, contained significant amounts of PAHs (Su et al., 2004; Ravindra et al., 2006; Shen et al., 2013). The presence of sulphate, ammonium, and nitrate in this source suggested that these fossil fuel combustion particles might have been aged. Also, the presence of a few trace metals (Mo, Ag, Ge, and La) in this factor could indicate combustion or sulfur fumigation where fossil fuel is used.

3.2.2. Dust (crustal material)

The second factor showed abundance of Ca (66%), Ti (41%), Al (36%), and Mg (24%), which clearly indicated

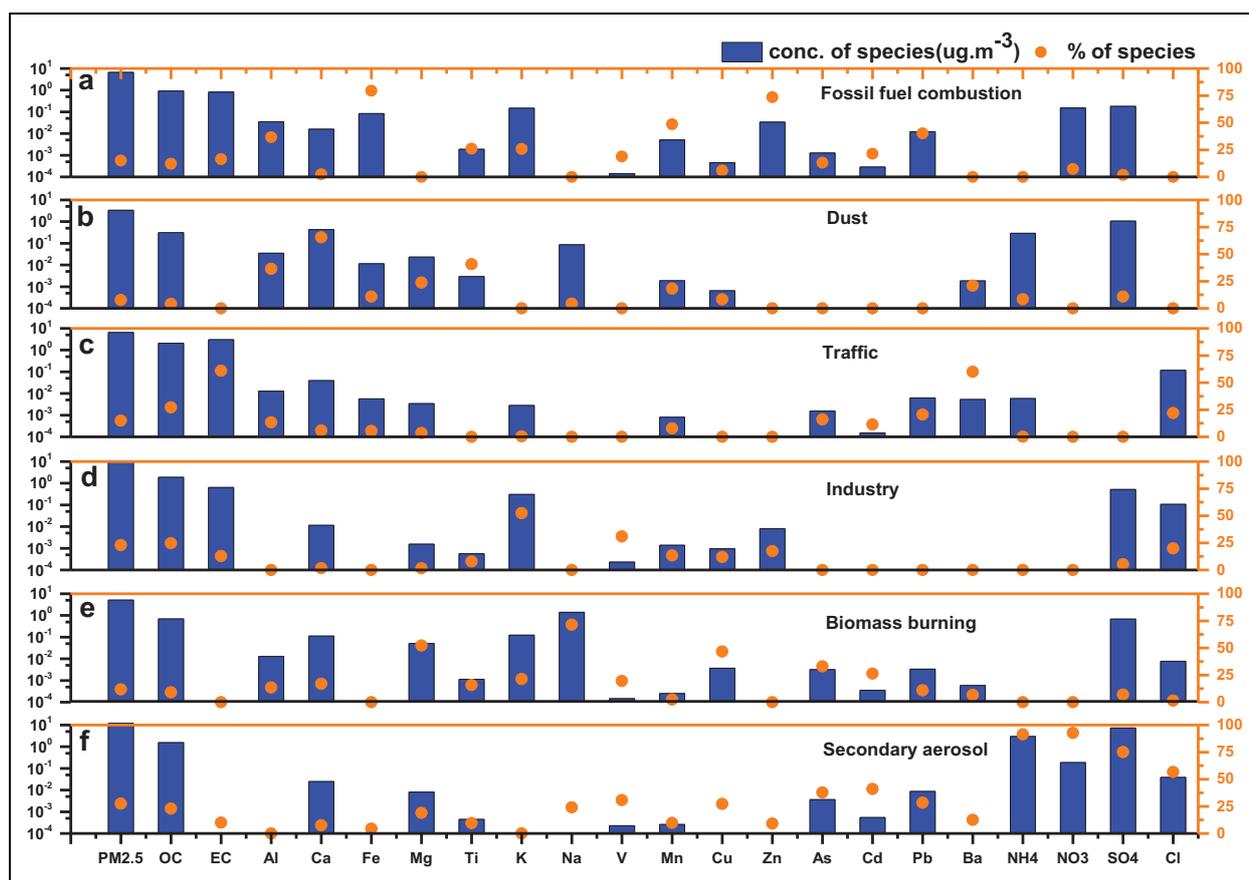


Figure 5. Profiles of six sources resolved using the PMF model based on chemical mass concentration, including fossil fuel combustion (a), soil dust (b), traffic (c), industrial emission (d), biomass burning (e), and secondary inorganic aerosol (f). Concentrations ($\mu\text{g m}^{-3}$) are shown on the left Y-axis and percentage of each species apportioned in the factors (circles) on the right Y-axis. DOI: <https://doi.org/10.1525/elementa.2021.00046.f5>

a dust source (**Figure 5**). Generally, high loadings of Ca, Al, Fe, Ti, and Sr are markers of crustal materials; especially, Ca, which is considered a tracer for construction dust, cement plants or lime kilns while Al and Ti represent crustal elements (Querol et al., 2002; Lucarelli et al., 2003; Almeida et al., 2005; Dall'Osto and Harrison, 2012). Interestingly, a much higher percentage of Ca (66%) compared to Al (36%) suggests Ca-rich dust in this source (Zhang et al., 2013). Similarly, based on single particle analysis, dust-related particles were characterized by high levels of Ca (55%), SiO_3^- (55%), Mg (38%), and Ti (23%; **Figure 6**), consistent with results from mass analysis. However, it is interesting to note that Pb containing particles (Pb, 66%) are also present in this source, possibly due to the presence of Pb oxidant in mineral dust (Langmann, 2013). Additionally, nitrate and sulfate signals appeared in this factor suggesting aging and evolution of mineral dust particles (Taiwo et al., 2014).

3.2.3. Traffic

EC is one of the most commonly used markers for vehicle emissions (Mao et al., 2018) while Ba, Zn, Pb, and Cu represent nonexhaust traffic sources such as motor vehicle lubricating oil, brake pad, or tire wear (Sternbeck et al., 2002; Thorpe and Harrison, 2008). Based on the source profiles of mass concentration, high levels of EC (61%)

and Ba (60%; **Figure 5**) suggested this profile to be a traffic source. Other elements present in an appreciable amount in this source include Al (13%), Pb (20%), As (16%), and Mn (8%), which might be a signature of road traffic, especially from brake wear (Sternbeck et al., 2002; Thorpe and Harrison, 2008).

Based on particle number concentration, traffic emissions were characterized by high levels of SiO_3^- (55%), PO_3^- (41%), SO_4^{2-} (11%) and NO_3^- (13%; **Figure 6**). SiO_3^- and PO_3^- may indicate road dust that is correlated with vehicle activity (Zhang et al., 2013). As with the fossil fuel combustion and dust sources, nitrate and sulfate signals also appear in this factor possibly indicating aging and evolution of the particles. Interestingly, high levels of F, Cl, Br, Li, Mg, and Na are shown in this source, while untypical in traffic sources, may be a result of internal mixing of particles from multiples sources, and needs to be studied further.

3.2.4. Industry

Presence of high levels of Na (72%), Mg (52%), Cu (47%), As (33%), and Cd (26%) indicated that these particles could be from industrial emissions (**Figure 5**). Generally, glass manufacturing could generate a significant amount of Na and Mg-containing particles (Michelle, 1995), and it has been documented that glass production might

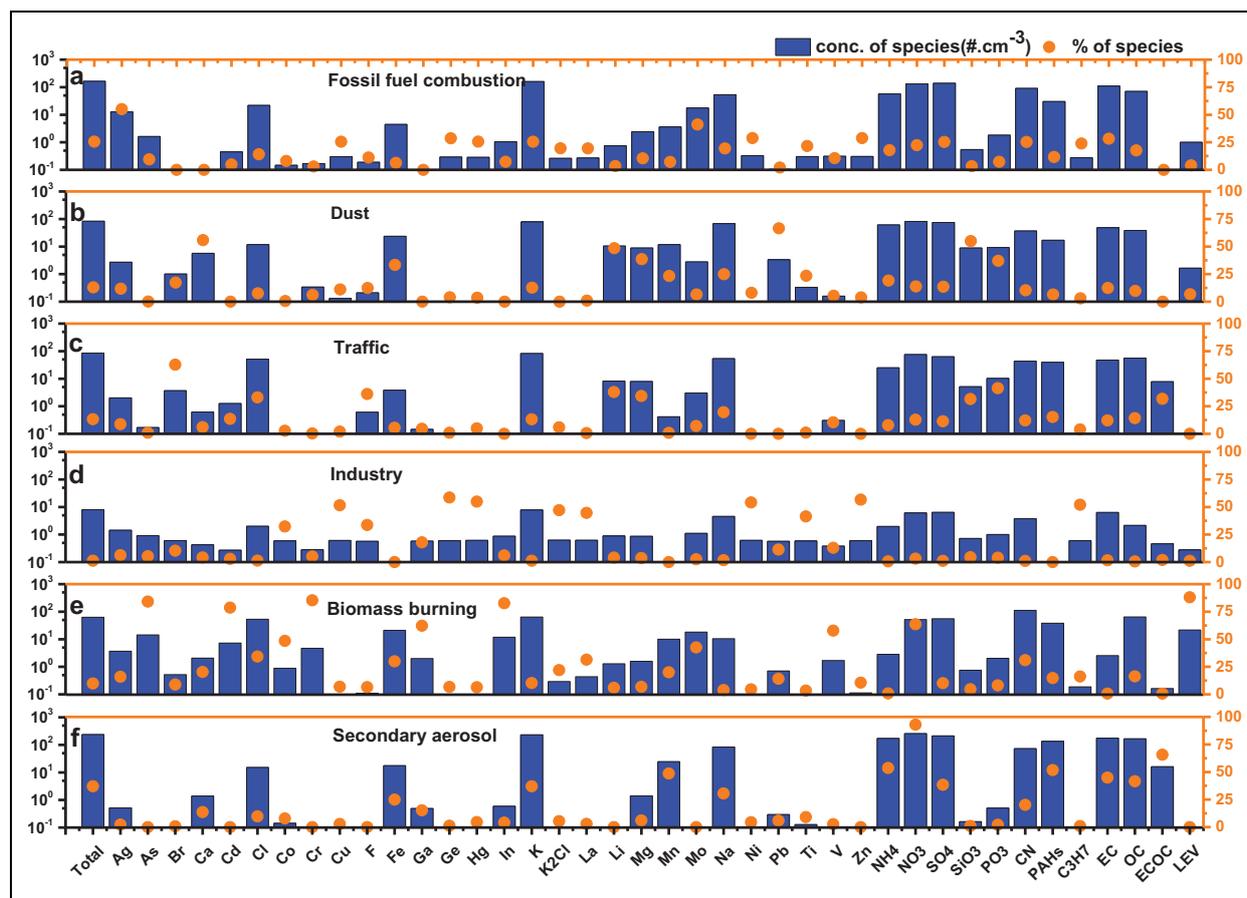


Figure 6. Profiles of six sources resolved using the PMF model based on chemical particle number concentration, including fossil fuel combustion (a), soil dust (b), traffic (c), industrial emission (d), biomass burning (e), and secondary inorganic aerosol (f). Concentrations ($\# \text{ cm}^{-3}$) are shown on the left Y-axis and percentage of each species apportioned in the factors (circles) on the right Y-axis. DOI: <https://doi.org/10.1525/elementa.2021.00046.f6>

contribute >20% of Na in PM_{2.5} in Yulin city (based on local government report). Additionally, the presence of Cu and Cd in industrial emissions is supported by previous studies, which have reported emissions of Cu and As from Cu smelters and that of Cd from metallurgy (Alastuey et al., 2006; Querol et al., 2007). As for single particle analysis (**Figure 6**), the industrial emission source was characterized by high levels of Cu (52%). Other markers for this source included Co, Ni, Zn, Ti, La, Ge, Hg, and C₃H₇. La, Ga, and Co are believed to originate from sulfur-fumigation and ceramics processes (Swaine, 1994; Wang et al., 2003; Almeida et al., 2005; Xu et al., 2007).

3.2.5. Biomass burning

A high level of K (52%; **Figure 5**) represented the biomass burning factor, which has been reported in many source apportionment studies conducted in China (Cachier and Ducret, 1991; He et al., 2001; Watson et al., 2001; Zheng et al., 2005; He et al., 2006; Zhang et al., 2013). In the case of particle number concentration (**Figure 6**), biomass burning emissions were characterized by high levels of levoglucosan (53%), which is one of the most commonly used markers for biomass burning (He et al., 2006). Similarly, nitrate and sulfate signals also appeared in this factor indicating aging and evolution of these particles.

3.2.6. Secondary aerosol

Substantially high NO₃⁻ (93%), NH₄⁺ (91%), and SO₄²⁻ (75%) were observed in the sixth factor as shown in **Figure 5**, which is the typical signature of secondary inorganic aerosol (Giorio et al., 2012). Interestingly, Cl and Na were also found in this source, which could indicate that sea-salt particles could have been present with a coating of ammonium sulfate on the surface (Ottley and Harrison, 1992). The source profile for number concentration concurs with that of mass concentration for this factor (**Figure 6**), showing strong signals of NH₄⁺, SO₄²⁻, and NO₃⁻. Interestingly, large fractions of OC and EC-containing particles were also present in this source, which points to the possibility of agglomeration of EC and primary/aged OC with the secondary particles (Lin et al., 2017).

3.3. Comparison of source contributions

The comparison of source contributions based on mass concentration and number concentration is shown in **Figure 7**. In general, a relatively good agreement is observed for secondary species, traffic, and dust sources, but significant differences exist for industrial emission, fossil fuel combustion, and biomass burning. Although secondary compounds had the largest contribution to PM_{2.5} based on both approaches (31% and 35%,

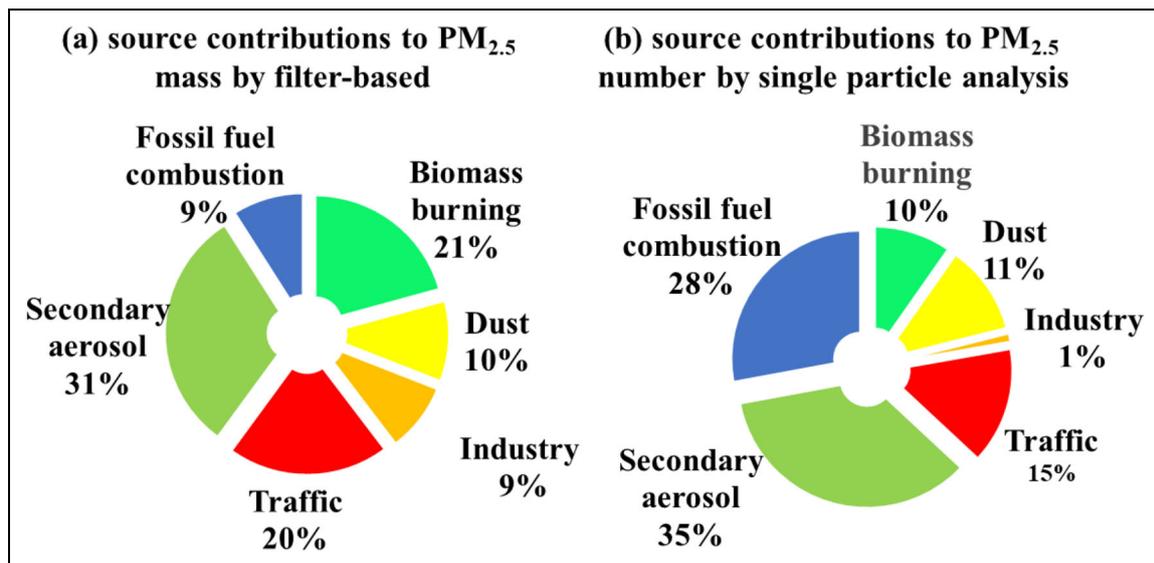


Figure 7. Comparison of source contributions to PM_{2.5} during the study period based on (a) mass concentration and (b) number concentration. DOI: <https://doi.org/10.1525/elementa.2021.00046.f7>

respectively), the contribution by fossil fuel combustion had the largest difference between these two approaches (9% based on mass concentration against 28% based on number concentration). Additionally, the contribution of biomass burning to PM_{2.5} based on mass concentration (21%) was much larger than that based on number concentration (9%). Industrial emissions have little contribution (only 1%) based on particle number concentration, while they showed much higher contribution (9%) based on particle mass concentration.

Given that the source contributions were obtained using two different techniques, it is difficult to explain why the methods can accurately predict the contribution of some sources while diverging in their prediction of other sources. The possible reasons for the difference in the source contribution from mass concentration and number concentration may include the following: (1) For particle mass concentration analysis, all compositions were quantified based on their mass. However, for particle number concentration analysis, particles were counted based only on the detectable signal while ignoring information on signal intensity. Therefore, the resultant fraction of elements in each source could be different. Although a scaling approach has been built to quantify mass concentration of chemical species based on analysis using SPAMS (Zhou et al., 2016), this approach was not adopted in the current study due to the lack of necessary data such as total particle number concentration measured using scanning mobility particle sizer spectrometer (SMPS); (2) the source analysis based on particle mass concentration does not consider the size effect whereas SPAMS differentiated the particles based on size prior to chemical characterization; (3) the measurement based on the mass concentration covered all PM_{2.5} particles, while SPAMS only analyzed the particles larger than 200 nm; (4) single particle analysis may provide clues on the processing mechanism of particle formation while mass

concentration is unable to do so. For example, more EC-containing particles were identified in the secondary source since EC could be coated with secondary species. For particle mass concentration, on the other hand, the degree of mixing between EC and secondary species could not be differentiated and considered; (5) different tracers with varying applicability have been used to identify the same source. For example, the biomass burning tracer used in single particle analysis (levoglucosan) is considered to be more robust than that used in mass concentration (K⁺), since K⁺ could be emitted from other sources such as dust, meat cooking, and steelworks sinter plant (Watson and Chow, 2001; Tsai et al., 2007; Dall'Osto et al., 2013; Hleis et al., 2013). Given the discrepancy between SPAMS and filter-based analysis, more comparative studies should be conducted at various locations and across seasons before standalone SPAMS data can be used with confidence. As the first study to compare these two approaches, our scope is limited to identifying the presence of discrepancies and speculating on the possible reasons. More research is needed before specific suggestions and guidelines on how to use single particle data, or general adjustment factors to enable comparison can be provided.

3.4. Diurnal variation and special case analysis

One of the advantages of source apportionment based on SPAMS is the higher time resolution, which enables us to analyze diurnal variations of source contributions and to study specific transient events.

3.4.1. Diurnal variation

Figure 8 shows the diurnal variation of different sources based on particle number concentration. Traffic emissions exhibited a peak between approximately 8:00 and 11:00 due to the morning rush hour and another peak between approximately 22:00 and 2:00 of the next day, which

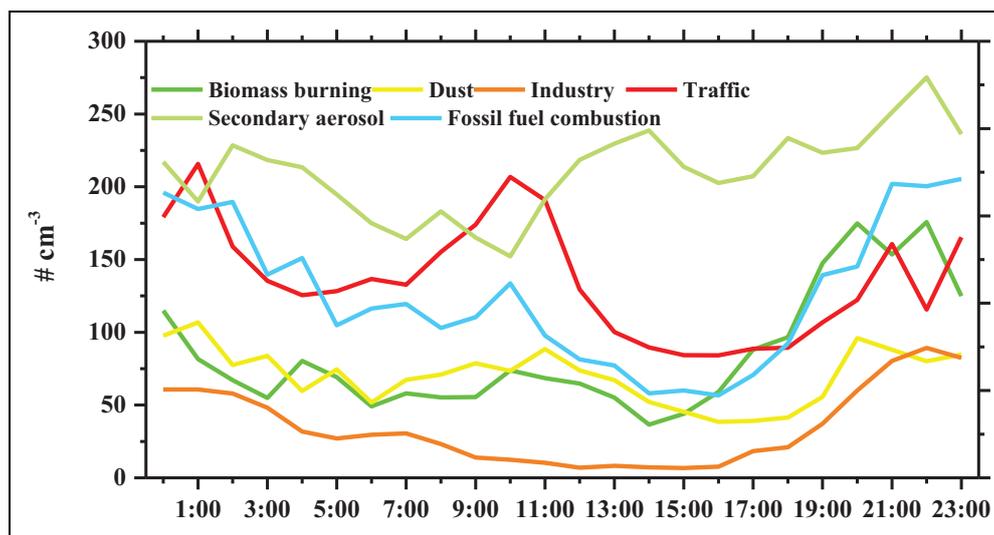


Figure 8. Diurnal variation of source contributions to PM_{2.5} based on particle number concentration. DOI: <https://doi.org/10.1525/elementa.2021.00046.f8>

could be due to an increase in diesel vehicles during this time. According to the local government policy, diesel vehicles are prohibited from driving in the city until 22:00. The dust source showed stable contributions throughout the 24-h period. Biomass burning contributions were obviously increased during nighttime from about 19:00–22:00, which could be due to the influence of local cooking emissions during dinner time from restaurants near our monitoring site.

On the other hand, industrial emission and fossil fuel combustion showed higher concentrations during nighttime and lower during daytime, which might be because of a decrease in mixing height during nighttime along with a relatively constant emission strength (Li et al., 2015). The first peak of secondary compounds appeared at about 12:00–14:00, which is expected due to the increase in solar radiation and subsequent enhancement in photochemical reactions that promote the formation of secondary particles. However, in the evening, decrease in temperatures created inversion conditions that potentially accelerated the accumulation of atmospheric particulate matter. Therefore, the concentration of secondary compounds did not decrease significantly even with lower amounts of solar radiation. Furthermore, the peak of secondary compounds at about 2 AM could be due to nighttime nitrate chemistry in the form of nighttime oxidation and condensation of locally emitted NO_x that could have led to an increase in secondary aerosol formation (Brown et al., 2012; Rollins and Science, 2012; Edwards et al., 2017).

3.4.2. Special case analysis

One of the major advantages of the high time resolution of SPAMS measurements is that transient pollution events (spanning several hours) can be extracted and investigated. Two interesting cases with sudden increases in particle number concentration are demonstrated as follows (Figure S5), which went undetected in the filter-based particle mass concentration analysis. Case-I was recorded from

February 29 21:00 to March 1 4:00 lasting for approximately 8 h. During this episode, secondary compounds were the major source of PM_{2.5} accounting for 57% followed by the transportation at 19% (shown in **Figure 9a**). Biomass burning and dust particles accounted for 15% and 9%, respectively, while industrial and fossil fuel combustion sources were hardly present. Case-II was observed from March 17 18:00 to March 18 1:00 (approximately 8 h). In this case, the major source was from vehicle emissions, which accounted for 40% of the PM_{2.5} particles followed by the secondary compounds (29%; shown in **Figure 9b**), while contributions from fossil fuel combustion and dust were 15% and 12%, respectively.

Case-I happened during the Chinese New Year period, one of most important Chinese festivals which is celebrated with intensive use of fireworks all over the country, both in megacities and in rural areas. Large amounts of SO₂ and VOCs—the precursors of secondary inorganic and organic compounds—could be emitted from fireworks causing a significant increase in the secondary compounds (Ciarelli et al., 2019). The increase of biomass burning particles (from $61 \pm 98 \text{ # cm}^{-3}$ to 567 # cm^{-3} , **Table 1**) during this period also confirmed the emission from fireworks. However, in Case-II, the particles from transportation increased the most (from $109 \pm 158 \text{ # cm}^{-3}$ to $885 \pm 297 \text{ # cm}^{-3}$, **Table 1**). This could be due to an increase in diesel vehicles during nighttime on that date since diesel vehicles were only allowed to enter the urban area of Yulin city based on the policy of the local government. Additionally, particles due to secondary formation were increased from $259 \pm 335 \text{ # cm}^{-3}$ to $624 \pm 163 \text{ # cm}^{-3}$ (**Table 1**), which was potentially due to greater emissions of precursors of secondary species (e.g., NO_x (NO₂ + NO) and VOCs). The weather conditions were less favorable for pollutant accumulation in this case than for Case-I with lower wind speeds (Case-I wind speed: 0.4 m s^{-1} ; Case-II wind speed: 0.8 m s^{-1}), which was further confirmed by longer backward trajectories from the southwest (Figure S6).

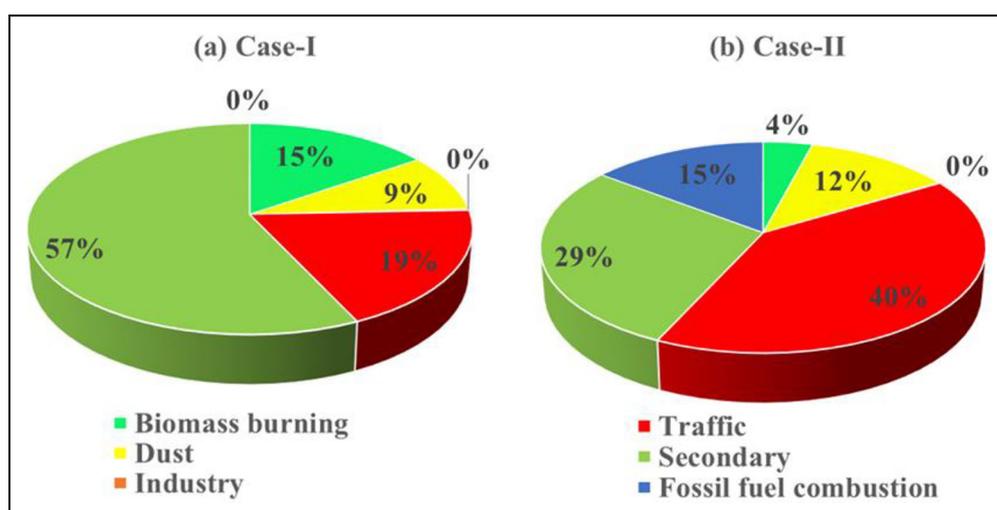


Figure 9. Source contributions to PM_{2.5} based on particle number concentration for Case-I and Case II. DOI: <https://doi.org/10.1525/elementa.2021.00046.f9>

Table 1. PM_{2.5} number concentration (# cm⁻³) for six sources identified by positive matrix factorization during the two cases. DOI: <https://doi.org/10.1525/elementa.2021.00046.t1>

Case	Biomass Burning	Dust	Industry	Transportation	Secondary	Fossil Fuel Combustion	Subtotal
Case-I (<i>n</i> = 8) ^a	567 ± 148	324 ± 205	8 ± 4	688 ± 219	2104 ± 355	0	3692
Case-II (<i>n</i> = 11) ^a	89 ± 33	267 ± 138	3 ± 3	885 ± 297	624 ± 163	320 ± 119	4216
Average	61 ± 98	84 ± 99	8 ± 3	109 ± 158	259 ± 335	201 ± 140	693 ± 572

The data are presented as average ± standard deviations.

^aThe numbers in parentheses show the sample size (hourly).

4. Conclusions

This comparative study on aerosol source apportionment based on number and mass concentrations has demonstrated the advantages of SPAMS in terms of its capabilities to characterize aerosols at a single particle level, and specifically, its usefulness to investigate diurnal variations and episodic events, which are difficult to capture using the traditional filter-based approach. Overall, for mass concentration, the averaged PM_{2.5} concentration for the entire measurement period was 42 ± 16 μg m⁻³ with sulfate (23.5%) and carbonaceous compounds (18.1%) dominating, while for particle number concentration, EC-containing particles had the largest contribution to PM_{2.5} (42.2%), followed by OC-containing particles (15.7%). Source apportionment results showed that the six sources identified based on each of the two approaches were similar in general; however, differences were noted for industrial emission, fossil fuel combustion, and biomass burning, presumably due to differences in measurement techniques and/or marker ions. Two episodic events: one with enhanced firework activity during the Chinese New Year and another with increased diesel-related traffic emissions were analyzed to demonstrate the advantage of SPAMS analysis. In summary, single particle analysis can

provide source apportionment with a high time resolution, which is helpful for the government to analyze and implement control strategies on an emergency basis for air pollution episodes. However, caution should be exercised while comparing SPAMS data with results generated based on the traditional filter-based approach, especially in terms of quantitative contributions of each source to ambient PM_{2.5}. We therefore suggest that SPAMS might be useful for the analysis of short-time air pollution episodes; however, for long-term source apportionment of air pollution, the traditional filter-based analysis should be relied upon, at least until the specific differences between these two approaches are robustly understood and quantified.

Data accessibility statement

Data summaries are provided in the supplemental materials.

Supplemental files

The supplemental files for this article can be found as follows:

Text S1–2, Figures S1–6, Tables S1–3.docx
Data S1.xlsx

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Competing interests

The authors have no competing interests to declare.

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Supervision, writing- Original draft preparation: ZJ.

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Methodology: WC, BZ.

Project administration, reviewing, and editing: SJ.

Project administration and funding acquisition: XW.

Interpreted the data and wrote the manuscript: YY.

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