A proposal for a new HIV-1 DLS structural model

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ABSTRACT

The dimer initiation site/dimer linkage sequence (DIS/DLS) region of the human immunodeficiency virus type 1 (HIV-1) RNA genome is suggested to play essential roles at various stages of the viral life cycle. Through a novel assay we had recently developed, we reported on the necessary and sufficient region for RNA dimerization in the HIV-1 virion. Using this system, we performed further detailed mapping of the functional base pairs necessary for HIV-1 DLS structure. Interestingly, the study revealed a previously unnoticed stem formation between two distantly positioned regions. Based on this and other findings on functional base pairing in vivo, we propose new 3D models of the HIV-1 DLS which contain a unique pseudoknot-like conformation. Since this pseudoknot-like conformation appears to be thermodynamically stable, forms a foundational skeleton for the DLS and sterically restricts the spontaneous diversification of DLS conformations, its unique shape may contribute to the viral life cycle and potentially serve as a novel target for anti-HIV-1 therapies.

INTRODUCTION

The genome of a retrovirus such as human immunodeficiency virus type 1 (HIV-1) is a single-stranded, positive-sense RNA. The viral genome always exists as a dimer in virions, and they interact non-covalently, as heating easily dissociates purified dimeric genomes into monomers. It has been suggested that the genome dimerization plays an important role at various stages of the viral life cycle, including genome packaging and reverse transcription, as well as genome recombination processes involved in viral diversification (1–3). Electron microscopy studies have suggested that the 5′ region of both viral RNAs contains a primary contact point and is referred to as the dimer linkage structures (DLS) (4–6). Thus far, there have been a certain number of reports regarding in vivo HIV-1 RNA dimerization (7–11) and we are gradually accumulating further knowledge and insight. The presumptive primary DLS of HIV-1 has been mapped to a region near the major splice donor and biochemical analysis indicates that the DLS probably consists of multiple stem–loop structures (12). Within the DLS, stem–loop 1 (SL1) has been regarded as the most important region, which forms a stem–loop structure with a hairpin loop containing a 6-nt palindromic sequence (13). The dimer formation would occur through a kissing hairpin mechanism by which the two RNAs would form an initial loop–loop contact based on complementary anti-parallel base pairing at the SL1 loops (14). So far, partial structures within DLS, such as SL1, 2, 3 and 4, have been solved and characterized to some extent (15–24). Furthermore, an in vitro NMR structural model of the entire HIV-1 DLS was released recently (25), stimulating increasing demand for the further detailed structural information about the in vivo structure of the HIV-1 DLS.

We previously developed a novel system to assess the DLS operation within the HIV-1 virion and identified the region that is necessary and sufficient for HIV-1 genome dimerization in the virion (26). Using this system coupled with loss- and gain-of-function studies using site-directed mutagenesis, we performed a more detailed mapping of the functional base pairs required for HIV-1 genome dimerization and replication. Interestingly, the study revealed a previously unnoticed base-pairing interaction between two distantly positioned 5 nt in the DLS (GCGUC-GACGC duplex). Based on this and other findings, we propose a new secondary structure model of a minimal DLS element that contains a unique pseudoknot-like conformation, as well as two 3D structure models of the DLS element, which are consistent with current and previous experimental findings.

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MATERIALS AND METHODS

Constructs

The replication-competent HIV-1 pro-viral clone pNL4-3 (subtype B) (27), its Env mutant pNLNh (1), or its derivative DDNLp4Δ2 (renamed as DDNd2) (26) were used as progenitors for the mutant constructs described below. Mutant plasmids were constructed with standard methods. To make the construction of recombination clones more convenient, several base-substitution mutations were introduced into the N-terminal region of the matrix (MA) gene of pNLNh to create the SgrAI recognition site (pNLAINh) (2). Although the one amino acid substitution (Ser to Thr) at the ninth position of MA in pNLAINh was inevitably introduced as a result of these base substitutions, similar levels of virion production were observed in both cells transfected with pNLAINh or pNLNh, suggesting that the effect of the mutations on particle formation was negligible. Precise details of the plasmid construction are found in the Supplementary Methods and Supplementary Table S1.

DNA transfection

In total, 293 T cells (28) (~3 x 10^6) were seeded on dishes (diameter, 100 mm) the day before transfection with plasmid DNA (5 μg) by means of the calcium phosphate precipitation method (29). The day after transfection, the supernatant was replaced with fresh medium.

Virus infection

At 48–72 h post-transfection, the media was centrifuged and the supernatant was used for infection into MT-4 cells. Equal amounts of p24 were inoculated into the cells. The supernatants of the MT-4 were harvested every 3 or 4 days for multiple replication assays. About 10 μl of each cell supernatant was subjected to exogenous RT assay as described previously (30).

Isolation of RNA from virions

At 48–72 h post-transfection, virus particles were collected from the media as described elsewhere (31). The physical virus titer was determined with an ELISA assay kit for quantitation of CA-p24 (ZeptoMetrix, Inc., Buffalo, NY, USA). To isolate RNA from particles, virions were disrupted by the addition of 1% sodium dodecyl sulfate (SDS) and treated with proteinase K (300 μg/ml) at room temperature for 60 min, followed by TE-saturated phenol/chloroform extraction, chloroform extraction and ethanol precipitation.

Northern blotting analysis

Pelleted RNA was resuspended in T-buffer (10 mM Tris–HCl pH 7.5, 1 mM EDTA, 1% SDS, 100 mM NaCl and 10% formamide) and the thermostability of the dimeric viral RNA was determined by incubating RNA aliquots for 10 min at the prescribed temperatures (32). RNA folding under native conditions is regarded to be minimized instability of the structure. With these
constraints, we could obtain only two monomer models, since pseudo-knot like conformation in the DLS restrained conformational flexibility of the monomer. Then, dimer structures were constructed by superposing the 3D structure of SL1 in the predicted monomer with that in the reported structure of SL1 dimer [PDB code: 2D19 (24)]. For the superposition, we ignored intra-molecular base pairs at the dimerization site in SL1 to preserve inter-molecular base pairs critical for the RNA dimerization. Last, energy minimization was achieved for the constructed dimer structures, by 10,000 steps of the steepest descent method and the subsequent 10,000 steps of the conjugated gradient method. At the minimization, energy calculation was performed with parmbsc0 force field (38) and generalized born solvent energy function (39) by sander module in AMBER9 (40).

RESULTS

In vivo evaluation of the branched multiple hairpin formation of HIV-1 DLS

In our previous report, we identified the region that is necessary and sufficient for HIV-1 genome dimerization in the virion (d2 fragment) utilizing a unique analysis system that we developed (Figure 1A and B) (26). The mapping of the DLS has been performed based on the predicted secondary structural model which includes the PBS stem–loop and SL2 (12). So far, several reports suggested that the HIV-1 genome leader region could form a possible structure called branched multiple hairpin (BMH) (41,42). In this model, the 5′ junction sequence formed a stem structure with a gag AUG sequence that has been suggested to form the base of SL4. As shown in Figure 2A, gag AUG region could interact with either the immediate downstream region to form SL4, or with the 5′ junction to make the BMH form. The BMH model has been previously validated by in vitro experiments (41,42), and our in vivo DLS studies included all sequences required for proper BMH formation. To test whether the BMH or SL4 formation occurs in virus particles, several mutants derived from DDNd2 (renamed from DDNLp4Δ2) (26) were constructed. A series of mutants were constructed by introducing several base substitutions in the inserted d2 fragment of DDNd2 (Figure 2A). The mutant M5 was constructed to disrupt an interaction between AUG and the 5′ junction by introducing a mutation in the 5′ junction. M5M3 was constructed to restore the AUG–5′ interaction and to disrupt SL4 formation by an additional mutation in the AUG region. M3comp was constructed to force SL4 formation through mutations in both stems of SL4. The mutants d3.5 and M4 contained an 8-base deletion and a 3-base substitution at the 3′-end of DLS, respectively, to eliminate SL4 formation. The mutants d3.5 and M4 did not make any notable contributions to BMH structure formation (Figure 2B). The viral RNAs from these mutants were analyzed by native northern blotting. As shown in Figure 2B, all of the genomic RNA from the mutants formed monomeric genomes inefficiently in comparison with that of the wild-type (WT). These data indicated that neither the BMH nor SL4 forms sufficiently represented the functional structure of HIV-1 DLS.
observation strongly suggested that the actual functional active form of DLS in vivo might be an entirely novel secondary structure.

**Discovery of a novel interaction within the DLS for HIV-1 RNA dimerization and replication**

We focused our analysis on the functional significance of the RNA sequence at the 3'-end of the DLS on the HIV-1 life cycle, since a complete deletion or subtle base substitutions of the 3' half of SL4 severely crippled the dimerization ability of DLS (Figure 2, M4 and d3.5). When comparing the DLS sequences from nine HIV-1 subtypes and SIVcpz, we found highly-conserved complementary sequences immediately upstream of the SL1 stem (GACGC) and at the 3'-end of the DLS (GCGUC) (Figure 3A, green and blue boxes). To assess whether the two complementary sequences can form a functional duplex for RNA dimerization and support HIV-1 replication, mutational analysis was performed. As the GCGUC sequence is in Gag frame, several silent mutations at GCGUC were introduced into the d2 fragment of DDNd2 to observe the roles of RNA sequence on genome dimerization (Figure 3B). Notably, the dimerization abilities of the silent mutants were markedly reduced and the subsequent compensatory substitutions restored its ability, to a level comparable with that of WT (Figure 3C and Supplementary Figure S2). The same mutations were introduced into the backbone of the HIV-1 pro-viral construct (pNLAI43) and similar defects and subsequent restorations were also observed in the viral replication process (Figure 3D). These data strongly suggest that this duplex formation (GACGC-GCGUC duplex) occurs within the virus and grossly affects its activity in vivo.

Recently, in vivo HIV-1 RNA secondary structures have been described in several reports, based on the results of chemical or enzymatic probing, such as SHAPE analysis (10,33,34). In these presented structural models, there were no traces of the GACGC-GCGUC duplex. To get further information about the duplex by chemical probing, we have performed SHAPE analysis with...
The reactivity of the duplex mutants (NLAI84m1, NLAI84m1PK and NLAI236G) along with the wild-type virus was calculated for each base in the duplex and adjacent U5-AUG duplex (Supplementary Figure S3A and B). Consistent with the previous SHAPE reports, the overall GACGC-GCGUC duplex reactivity was very low and not significantly affected by the mutations to destabilize (C236G and S4m1) and to restore the duplex (S4m1PK). Although it was very faint, there were some changes in reactivity, notably at GCGUC sequence exclusively in the destabilizing mutant. In addition, coinciding with the results from dimerization assay above (Figure 4B) and previous SHAPE assays, the 5'-end of DLS (U105-U107) was relatively reactive, suggesting the absence of base paring. At the same time, similar to the GACGC-GCGUC duplex, the overall U5-AUG duplex reactivity was not significantly affected by the mutations.

Confirmation of reported stem formations within DLS in vivo

We next closely examined the roles of several stem formations within the DLS that have been predicted by computational or in vitro biochemical analysis, for viral RNA dimerization using our in-virion assay system (Figure 4 and Supplementary Figure S2) (26). Figure 4A illustrates a schematic diagram of the DLS and stems analyzed in this report. The importance of the long stem, which establishes the BMH form (U5-AUG stem), was examined by multiple experiments (Figure 4B and C). The 2-base substitution at the 3'-end of the U5-AUG stem resulted in a reduction in dimerization abilities (Figure 4B, C).
S4m8 and m9) and compensatory mutations did not rescue the ability (Figure 4B, S4m10). On the other hand, a 2-base substitution in 5'-end of the stem triggered a mild reduction in dimerization (Figure 4B, S4m11). To better understand the role of the 5'-end of the DLS, 2-, 4- and 6-base deletions were introduced. The 2-base deletion showed a mild effect, whereas the 4- and 6-base deletions nearly abolished dimerization activity completely (Figure 4B, 5'd2, 5'd4 and 5'd6). We next examined the importance of duplex formation at the top of the U5-AUG stem (Figure 4C). A single base substitution of G to C, or C to G, reduced dimerization activity, and respective compensatory substitutions fully recovered dimerization activity. Taken together, these results strongly suggest that the top stem of the U5-AUG structure was functionally important for the DLS structure.

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The computer-aided modeling of the DLS 3D structure

Upon the accumulated results, we draw the two-dimensional (2D) structural schema of DLS (Figure 5A). The 2D schema shows that the DLS is characterized by a unique pseudoknot-like conformation and three stem–loops. Such a pseudoknot-like conformation was predicted to form upon performing secondary structure prediction analysis using MC-Fold, without using additional experimental information. We further attempted the 3D structural modeling of the DLS using RNA structure prediction computer programs that have shown marked improvements as of recent. We then confirmed whether the DLS could indeed have conformations similar to our modeling, without unfavorable interactions such as steric clash. The modeling predicted only two plausible 3D structures of the DLS monomer having the pseudoknot-like conformation (Figure 5B).
and C. In each of the DLS structures, the SL1 loop neighbored the SL3 loop and the pseudoknot-like conformation was located near the bases of these loops. In contrast, the two structures had distinct PBS stem orientations. Furthermore, we constructed dimer predictions based on these monomer structures (Figure 5D and E). In the dimer structures, palindromic sequences within the SL1 had interactions between monomers, whereas the pseudoknot-like conformations were furthest apart from each other. We also predicted 3D structural models of the DLS without a connection region between SL3 and the 3’-end part of the pseudoknot-like conformation. The modeling continually generated more structures, suggesting the pseudoknot-like conformation in the DLS would restrict its conformation. In the two models, an AUG codon at the translation initiation site of Gag p17 ORF formed a ‘U5-AUG duplex’, which was embedded as unexposed, translation-incompetent structures.

**DISCUSSION**

The retroviral RNA genome dimerization is an essential event for the viral lifecycle, especially for genome packaging, reverse transcription and recombination. Thus, the genome dimerization signal (DLS) of HIV-1 could be a probable target for anti-retroviral therapy. Although the DLS on the RNA strand is known to be located at the 5’-end of the genome, its precise sequence and its functional structure has never been determined. We previously developed a unique analysis system to
map the functional region of the DLS working within the HIV-1 virion (1) (Figure 1A and B). This assay is very sensitive and even subtle mutations within the DLS are often detectable, as mutations dramatically alter RNA dimerization abilities. Through this system, we determined entire functional sequences that are required for mediating the RNA–RNA interactions within the HIV-1 virion (26). We built upon our previous observations and attempted to determine the DLS functional structure through loss- and gain-of-function studies using site-directed mutagenesis. We initially tried to choose the right structural model from two previously proposed models (BMH and SL4), but were unsuccessful in fully restoring the WT dimerization phenotype (Figure 2). All base substitution mutations on either 5'- or 3'-end of the DLS, as well as the deletion of the 3'-end crippled dimerization abilities, and we were unable to confirm a definitive stem formation. The data suggested that the 3'-end of the DLS is responsible for viral RNA dimerization in vivo. On the other hand, mutations in the 5'-end of DLS also notably affected dimerization abilities. As both the 3'-end region in the BMH model and the 5'-end region in the SL4 form do not contribute to maintain the overall DLS structure, these existing models may not fully represent the actual DLS structure. Thus, it was necessary to explore a new structural model to better compensate for the conflicts apparent in the previous models. In the northern blots of Figure 2, there are several lower migrating bands than viral RNA dimers. We believe they represent heterologous form(s) of viral genome dimer. In our recent report, we noted that the viral RNA profiles transform variously during maturation (3), thus under certain conditions, it is plausible that viral RNAs could form heterologous profiles.

We next tried to get further insight into the importance of the 3'-end of the DLS by searching for nucleotide sequence conservation among variants of HIV-1 (Figure 3A). As expected, complete conservation of the SL4 region (downstream of AUG) was found with nine HIV-1 subtypes and SIVcpz. In addition, we discovered that the 3'-end of DLS also notably affected dimerization abilities. As both the 3'-end region in the BMH model and the 5'-end region in the SL4 form do not contribute to maintain the overall DLS structure, these existing models may not fully represent the actual DLS structure. Thus, it was necessary to explore a new structural model to better compensate for the conflicts apparent in the previous models. In the northern blots of Figure 2, there are several lower migrating bands than viral RNA dimers. We believe they represent heterologous form(s) of viral genome dimer. In our recent report, we noted that the viral RNA profiles transform variously during maturation (3), thus under certain conditions, it is plausible that viral RNAs could form heterologous profiles.

Our consecutive exploration to determine DLS structure resulted in the accumulation of informative data (Figure 4). The data suggested the existence of important structures in the upper portion of the U5-AUG stem, a part of the PBS stem and the SL3 stem. Additionally, the data also suggested the importance of the DLS 5'-end (Figure 4B, DDNd2-5'd2, d4 and d6) and the base just downstream of the PBS stem (Figure 4D, DDNd2P3), although the structural status of those bases remains unknown.

From these data, we constructed a 2D DLS schematic model which contained a pseudoknot-like conformation and three stem–loops (Figure 5A). We further attempted to construct a 3D structural model through in silico analysis. The modeling predicted only two plausible monomer models and two corresponding dimer models, due to limitations imposed by steric restriction from the pseudoknot-like conformation. In those two models, conformations and orientations of the SL1 and SL3 pseudoknot-like regions were similar to each other. This suggests that the pseudoknot-like conformation would be solid, and formed a foundational skeleton for the DLS to maintain each angle of stem–loops, including SL1.

Several studies were published reporting on the HIV-1 packaging signal (psi) and DLS (psi/DLS) structural models (10,14,25,33,34,43,44). However, none of the works predicted the stem formation of the GCGUC-GAGC duplex reported here, and we believe there are several reasons to explain this discrepancy. First, some models were originated by in vitro experiments (14,25,34,44). As HIV-1 RNA dimerization and packaging occurs during virion production, Gag– and/or GagPol–RNA binding is believed to be one of the essential steps for RNA dimerization and DLS formation (3,45–47). Thus, even in the presence of exogenous viral nucleocapsid (NC) or Gag proteins in the reaction, the conditions for RNA folding in vitro would not be the same as in vivo.

These subtle differences may mask, or cause oversight, details of these interactions that were unexpected. Second, other reports were based on the results of chemical or enzymatic probing of viral RNA in vivo (10,33,34). These RNA probing analyses basically provide us with the information of single- or double-stranded RNA formation tendencies within the RNA strand, yet no information on specific stem formation. It should be noted that SHAPE analysis-based structural modeling still depends on empirical and predictive computer calculations, which are susceptible to the aforementioned discrepancies. In fact, most of the bases in GAGC-GCGUC were unresponsive to probing in some of the previous reports (33,34), suggesting the stem formation could occur between these strands. The SHAPE analysis we performed in which we targeted the duplex with ex virion RNA (Supplementary Figure S3A) confirmed previous reports that the overall SHAPE reactivity of duplex forming bases was very low. It was not surprising that the duplex reactivity of the mutants was not significantly affected, as the mutations only disrupt one out of five possible base pairings. In addition, the viral RNA dimerization status of the destabilizing mutants looked unchanged in the viral particle (Supplementary Figure S3C). Slight elevations in reactivity that were observed at the counterpart of each mutated stem in the destabilizing mutant (GAGC of S4m1 and GCGUC of C236G) might reflect the reduction in mutant viability (Figure 3D). To pursue and confirm this interaction, as well as the proposed 3D model, additional in vitro experiments might be beneficial such as...
cross-linking [as in (44)], NMR [as used in (25)] or/and fluorescence resonance energy transfer (FRET) experiments providing distance constraints [for a review, (48)].

We do not rule out the possibility of many RNA–RNA interactions presented hitherto. As we mentioned in our previous reports (1,26), we assume that RNA dimerization is one of the multiple steps involved in RNA packaging, and that psi/DLS RNA must be recognized as an intact packaging signal by viral protein only after a secondary or higher structure is composed by two psi/DLS molecules. Within the cytoplasm of virus producing cell, non-specific Gag–viral RNA binding would occur just after Gag translation. If that were indeed the case, transient RNA secondary structure formation and/or Gag–RNA interaction would be continually required to form the accurate DLS through a trial-and-error process. During this process, various stem formations would be possible, and the suggested stems in previous reports, such as SL4 (12) or GACGC and the lower part of SL1 (33,34), might exist transiently.

According to our previous data, SL2 is dispensable for RNA dimerization in virion (26). This does not mean that SL2 is unnecessary for RNA packaging, as we repeatedly mentioned that genome packaging process would require additional steps other than genome dimerization for completion. Indeed, certain RNA regions outside DLS such as SL2 were reported to be required for genome encapsidation (17,18,31), suggesting SL2 could be required for formation of psi, which is larger than and wholly involves DLS. SL2 region showed high conservation and GC-rich profiles (Figure 3A), which may suggest it as a target for a stem formation other than SL2 during psi construction. Moreover, SL2 is definitely essential for viral RNA splicing, thus its sequence must be highly conserved for solid structure formation of SL2.

We mainly discussed a potential of intra-strand long-range RNA–RNA interaction in the 5′-UTR of HIV-1. However, based on presented results, this interaction could also involve inter-strand RNA interactions (within a dimer of viral RNA). The dimerization assay we performed (Figures 2, 3 and 4) only validated intra-strand interactions since loss-and-gain mutations were introduced in one DLS of the constructs. On the other hand, the results of viral replication assay and SHAPE analysis could reflect both intra- and inter-strand interactions. During virion maturation, dimerized DLS is suggested to change its form from kissing-loop to extended-duplex by the action of the NC protein (49). Elongation of extended-duplex form might result in the inter-strand encounter between long-range interaction sites. Further analysis focusing on inter-strand base pairing may give us unforeseen possibility of DLS structure.

There are several reports suggesting viral RNA pseudoknot formations [for a review, (50)] and their importance in viral replication have been well discussed. However, most of the reported studies focus on internal ribosomal entry site (IRES) formulations or ribosomal frameshifts. Although there was a report describing long range pseudoknot in the 5′-untranslated and MA coding regions of HIV-1 genomic RNA (51), it was in vitro study with partial RNA fragments. As far as we know, this is the first report suggesting that the RNA pseudoknot-like formation is essential to maintain viral genome dimerization in virion and subsequent viral replication.

In conclusion, we discovered a long-range interaction within the HIV-1 DLS which has been previously unnoticed and successfully constructed novel 3D models of the DLS through in silico modeling. The structure around this long-range interaction was very conserved and formed a solid pseudoknot-like conformation; therefore its unique shape could be an attractive target for novel anti-HIV therapies. So far, the development of anti-HIV drugs that target RNA dimerization has been dominated by the DIS site (52–55), therefore the characteristic viral RNA structure presented here could be a good target candidate for multi-drug therapy strategies. Further investigation of both the function and structure of the DLS would be required for effective drug development, as well as a better understanding of the viral life cycle.

SUPPLEMENTARY DATA
Supplementary Data are available at NAR Online: Supplementary Table 1, Supplementary Figures 1–4, Supplementary Methods and Supplementary References [54–58].

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