Molecular Markers and Changes of Computed Tomography Appearance in Lung Adenocarcinoma with Ground-glass Opacity

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Received March 31, 2007; accepted July 5, 2007; published online December 18, 2007

Background: High-resolution computed tomography (HRCT) of lung adenocarcinoma at early stage shows pure ground-glass opacity (GGO) and most cases of pure GGO remain stable during follow-up. There is no consensus on the strategy for follow-up. Identification of the molecular mechanisms that are associated with the natural history of lung adenocarcinoma should provide useful information.

Methods: Twenty-three lung adenocarcinomas that were followed-up for more than 6 months pre-operatively by HRCT were included in this study. Patterns of radiological changes during the follow-up period were classified into three groups; type 1, pure GGO without consolidation; type 2, appearance or increase in consolidation within pure GGO; type 3, consolidation without pure GGO. Mutational analysis of the epidermal growth factor receptor (EGFR) and K-ras genes and immunohistochemical staining of p53 protein were performed.

Results: EGFR mutations were found in 17 cases (74%), and there was no K-ras mutation. Positive staining of p53 was found in 8 cases (35%). As for radiological findings during the follow-up period, the frequencies of EGFR mutations and positive p53 staining were 67 and 0% in type 1 (n = 9), 89 and 44% in type 2 (n = 9) and 60 and 80% in type 3 (n = 5).

Conclusions: EGFR mutations were frequently found in lung adenocarcinoma with GGO on HRCT in this study. Inactivation of p53 may be associated with the appearance of central consolidation within pure GGO on HRCT which reflects invasive features and may be useful as a molecular marker during the follow-up of pure GGO.

Key words: lung neoplasms — tomography — spiral computed receptor — epidermal growth factor — tumor suppressor protein p53 — adenocarcinoma — bronchioloalveolar

INTRODUCTION

Due to recent advances in computed tomography (CT) imaging and the prevalence of lung cancer screening with the use of helical CT, the frequency of small and early lung cancers which are invisible on chest X-ray is increasing in Japan (1). Most pure ground-glass opacity (GGO) lesions detected by helical CT are stable in size during the follow-up period and are pathologically atypical adenomatous hyperplasia (AAH) or bronchioloalveolar carcinoma (BAC), which shows lepidic growth without invasion (2). Although the prognosis after surgical resection is excellent (2), some lesions with pure GGO progress rapidly (3). Although intensive and careful follow-up is required for pure GGO, it remains unknown how long and how often these should be followed.

A hypothesis of multistage carcinogenesis of lung adenocarcinoma was proposed, but it is still unclear how the lesion progresses over time in terms of radiological, pathological and molecular characteristics. Since it is technically and ethically difficult to obtain tissue samples from these lesions, most studies that have sought to reveal the natural history of lung adenocarcinoma were based on radiological findings during the pre-operative follow-up period (3–6). None of them examined the molecular
markers that are associated with the natural history of lung adenocarcinoma.

Recently, somatic mutations of the epidermal growth factor receptor (EGFR) gene were reported in lung adenocarcinoma (7,8). They have also been found in AAH and BAC (9,10). Our previous study revealed that EGFR mutations occur in the early stage of lung adenocarcinoma, such as AAH and BAC, suggesting that they play an important role in disease progression, whereas AAH with K-ras mutations stays indolent (10). Consequently, we hypothesized that these two mutually exclusive mutations might determine the natural history of pure GGO lesions.

Identification of the molecular mechanisms that affect the biological behavior of GGO lesions may offer useful information for determining the appropriate follow-up strategy for pure GGO lesions. We examined the radiological changes and genetic aberrations for lesions that were followed-up preoperatively to clarify the molecular markers that are associated with the natural history of pure GGO.

PATIENTS AND METHODS

This is a retrospective cohort study. This study was approved by the institutional review board (date of IRB approval: 30 September 2005). First, we selected patients with more than 6 months interval between their first visit to the hospital and the date of the operation by using the National Cancer Center Hospital Thoracic Surgery Division Database, which is an electronic prospective database for surgical records. All charts of listed patients were reviewed to obtain further clinical and pathological information and to select appropriate cases that fulfilled all the following criteria; surgical cases that were followed-up for 6 months using HRCT and then surgically resected. We also excluded mucinous BAC and mucin-producing adenocarcinoma of the lung. Twenty-three cases were included in the study. Ten patients were followed-up because of pure GGO lesion with its size of 15 mm or less, to which surgical resection would not be indicated until radiological changes such as increase in size or attenuation, appearance of consolidation is a predictor of pathological invasiveness (13). Patterns of radiological changes during the follow-up period were classified into three types (Fig. 1): type 1, pure GGO without consolidation during the follow-up period; type 2, appearance or increase in consolidation within pure GGO during the follow-up period; type 3, consolidation without pure GGO during the follow-up period. A board-certified general thoracic surgeon who was unaware of clinical and experimental information (K.S.) diagnosed the findings.

A board-certified clinician who was unaware of clinical and experimental information (H.K.) encircled the lesion using the segmented line selection tool in Image J software and measured the largest diameter and perpendicular size of

- **Type 1**: Pure GGO without consolidation.
- **Type 2**: Appearance or increase in consolidation within pure GGO.
- **Type 3**: Consolidation without pure GGO.

![Figure 1](https://example.com/figure1.png)  
**Figure 1.** Schema of patterns of radiological changes during the follow-up period.
the lesion (14). Corresponding slices in the initial and last HRCT examination were used. Tumor doubling time (TDT) was calculated using the formula proposed by Schwartz (15).

**Mutational Analysis**

Seven methanol-fixed and 16 formalin-fixed archives were used for mutational analysis of exons 18, 19 and 21 of the EGFR gene and exon 2 of the K-ras gene. Tumor DNA was purified by laser-captured microdissection (16). Information on primer sequences is available on request. Polymerase chain reactions and direct sequencing were performed as described previously (10). Peripheral lung tissue without cancer cells was used as a reference.

**Pathological Diagnosis and Immunohistochemical Study**

The pathological diagnosis of lung adenocarcinoma was categorized into four types according to the proposal by Ebright et al. (17): adenocarcinoma without BAC features, adenocarcinoma with BAC features (AwBF), BAC with focal invasion (BwFI) and pure BAC (PBAC).

Immunohistochemical staining of p53 was performed using a mouse primary antibody (1:100 dilution; clone DO7, Dako A/S, Glostrup, Denmark) and the avidin biotin complex method as described previously (18). A board-certified pathologist (K.T.) who was unaware of clinical and experimental information evaluated staining according to our previous criteria: +, when the proportion of tumor cells with definitely brownish nuclear staining was >20%; ±, when stained tumor cells were scattered, representing <20% of the tumor cells; −, when p53-positive cells were completely absent or seen only occasionally (19).

**Clinical Information**

Patient charts were reviewed to obtain clinical information. Patients who had quit smoking at least 1 year before the operation were defined as former smokers (20). Multiple lung cancers were discriminated from pulmonary metastases by applying the criteria proposed by Martini and Melamed (21). The TNM staging system revised in 1997 was adopted (22). All cases were cT1N0M0 and pT1N0M0. Surgical procedures were lobectomy in 11 cases, segmentectomy in 4 cases and wide wedge resection in 8 cases. The median follow-up period after the operation was 21 (9–65) months. Recurrence in the mediastinum was observed 12 months after the operation in one case with metachronous multiple lung cancers. The other 22 cases were alive without recurrence.

**Results**

The 23 lesions are summarized in Table 1, and representative cases are shown in Figs. 2–5. Increase in size of 2 mm or more during follow-up period was observed in 10 cases (1 case was type 1, 5 were type 2 and 4 were type 3) and decrease in size of 2 mm or more was observed in one case of type 2 radiological classifications. The 8 men and 15 women had a median age of 66 years (37–77). Three were current smokers, 6 were former smokers and 14 were never smokers. There were seven cases of multiple lung cancers (metachronous in two cases and synchronous in five cases) and two cases were type 1, four were type 2 and one was type 3. Patients with type 3 radiological classification tended to have shorter interval between the initial and last HRCT examination and TDT of less than 24 months (Table 1).

EGFR mutations were found in 17 cases (74%), and all were somatic mutations (Table 2). There was no K-ras mutation in any of the 23 cases. Immunohistochemical staining of p53 was positive in eight cases (35%). Staining patterns were diffuse in all cases. Positive staining was...
observed both in the periphery of the lesion where cancer cells are lining along the alveolar walls and central areas where cancer cells are invading into the stroma.

The relationships between radiological findings, EGFR mutations and p53 staining are shown in Table 3. EGFR mutations were found in 67% of Type 1, 89% of Type 2 and 60% of Type 3. There was no trend between EGFR mutations and patterns of radiological changes during the follow-up period. The frequency of EGFR mutations was above 60% in all groups. The frequency of p53-positive was 44% in type 2 and 80% in type 3, in contrast to 0% in type 1. There was a trend between positive p53 immunohistochemistry and patterns of radiological changes during the follow-up period.

**DISCUSSION**

Several studies have been conducted to reveal the natural history of lung adenocarcinoma based on radiological findings during the pre-operative follow-up period (3–6). Most of them were based on the screening with low-dose CT, conventional CT with a 10 mm interval, which was not appropriate for a detailed and precise analysis of radiological findings. No study has ever examined molecular markers. To clarify the natural history of lung adenocarcinoma in view of radiological, pathological and molecular findings, we examined selected cases of patients who were followed-up for at least 6 months using HRCT pre-operatively and investigated the molecular markers that may be associated with the pattern of radiological changes during the follow-up period.

Biological behaviors of pure GGO may be determined by either initial aberrations of multistage carcinogenesis or additional aberrations during its progression. Since we...
Table 2. EGFR mutations detected in the present study

<table>
<thead>
<tr>
<th>Exons</th>
<th>Amino acids</th>
<th>Nucleotides</th>
<th>No. of patients</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exon 19</td>
<td>del E746-A750</td>
<td>del 2235-2249</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>del E746-A750</td>
<td>del 2236-2250</td>
<td>6</td>
</tr>
<tr>
<td></td>
<td>del E746-T751</td>
<td>del2236-2251+insT</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>del L747-T751</td>
<td>del 2239-2253</td>
<td>3</td>
</tr>
<tr>
<td>Exon 21</td>
<td>L858R</td>
<td>Substitution of G for T at nucleotide 2573</td>
<td>6</td>
</tr>
</tbody>
</table>

EGFR, epidermal growth factor receptor.

Table 3. EGFR mutations, immunohistochemical staining of p53 and patterns of radiological changes during the follow-up period

<table>
<thead>
<tr>
<th>Number</th>
<th>EGFR mutation</th>
<th>Deletions in Exon 19</th>
<th>L858R</th>
<th>Positive p53 IHC</th>
</tr>
</thead>
<tbody>
<tr>
<td>Type 1</td>
<td>9</td>
<td>67%</td>
<td>4</td>
<td>2</td>
</tr>
<tr>
<td>Type 2</td>
<td>9</td>
<td>89%</td>
<td>5</td>
<td>3</td>
</tr>
<tr>
<td>Type 3</td>
<td>5</td>
<td>60%</td>
<td>2</td>
<td>1</td>
</tr>
</tbody>
</table>

IHC, immunohistochemistry.

previously demonstrated that both EGFR and K-ras mutations were early events in lung adenocarcinoma (10), we examined whether these mutations were associated with the natural history of pure GGO.

We detected EGFR mutations in 17 cases (74%). Such a high incidence of EGFR mutations was probably due to the fact that all of the lesions in our study were AwBF, which frequently harbor EGFR mutations (20). We consistently observed EGFR mutations in most of the lesions with a GGO appearance (78% in types 1 and 2).

We classified lung adenocarcinoma in terms of the pattern of radiological changes during the follow-up period into three types and investigated differences between them from a molecular perspective. In our study, we should consider that the patterns of radiological changes during the follow-up period may not be distinct from each other, but rather they might be a transient finding in multistage carcinogenesis of lung adenocarcinoma. Lesions with a type 1 radiological classification might progress to type 2 and eventually type 3 during a long follow-up. The frequent EGFR mutations in type 1 (67%) suggested that they play a role in initiation (Table 3). However, EGFR mutations failed to distinguish the radiological classifications from each other, in contrast to our hypothesis, and this suggested that such mutations have little association with the progressive behavior of pure GGO.

There was no case of positive p53 staining in type 1 in our study, in contrast to type 2 (44%) and type 3 (80%). Our result suggests that the inactivation of p53 might be associated with the appearance of consolidation within a pure GGO lesion on HRCT which reflects invasive features of the lesion. Therefore, p53 should be a useful biomarker for determining the follow-up strategy for pure GGO lesions. The detection of serum p53 auto-antibodies, e.g. might complement a radiological follow-up, prevent the unnecessary exposure to X-rays and even precede HRCT findings to determine the appropriate timing for surgical intervention without delay (23,24). Previous study by others also demonstrated that among lung AwBF, the frequency of positive p53 staining was low in PBAC, whereas it increased in accordance with invasive features and they concluded that p53 played an important role in the progression of lung adenocarcinoma, although their study did not take into account periodic changes (19).

Since lung nodules that are stable for 2 years are considered to be benign, we defined a TDT cut-off value of 2 years in Table 1 (25). However, we have to be careful when applying TDT to lesions with GGO, in which cancer cells grow in a lepidic fashion without filling alveolar spaces. In addition, some lung adenocarcinomas retract the surrounding structures and shrink in size during their progression, which makes it difficult to interpret TDT (3).

Our study has several limitations, such as the small number of patients, the short follow-up between the initial and last HRCT examination and possible inter-observer variability of HRCT findings. Furthermore, the results may have been biased since we only considered surgically resected cases and we have no data on genetic aberrations for pure GGO that is stable and followed-up without surgical resection. Although K-ras mutations are frequently found in mucinous BAC or mucin-producing adenocarcinoma of the lung (26), we found no K-ras mutation in this study. The progression of multistage carcinogenesis in lung adenocarcinoma with K-ras mutations remains unclear.

It is important that we understand multistage carcinogenesis of lung adenocarcinoma to identify molecular biomarkers which can discriminate pure GGO lesions which progress from those which stay indolent. We found that the inactivation of p53 might be associated with the appearance of central consolidation within pure GGO on HRCT in this study. These markers should offer useful information for determining the appropriate strategy regarding the interval and duration of follow-up for pure GGO lesions detected by helical CT.

FUNDING

This work was supported in part by Public Trust Haraguchi Memorial Cancer Research Fund, a grant-in-aid for the Comprehensive 10-Year-Strategy for Cancer Control from the Ministry of Health, Labor and Welfare, Japan and the Program for Promotion of Fundamental Studies in Health Sciences of the National Institute of Biomedical Innovation (NiBio), Japan.

Conflict of interest statement
None declared.
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References