Alterations in Retinal Oxygen Delivery, Metabolism, and Extraction Fraction During Bilateral Common Carotid Artery Occlusion in Rats

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PURPOSE. The purpose of the current study was to investigate alterations in retinal oxygen delivery, metabolism, and extraction fraction and elucidate their relationships in an experimental model of retinal ischemia.

METHODS. We subjected 14 rats to permanent bilateral common carotid artery occlusion using clamp or suture ligation, or they underwent sham procedure. Within 30 minutes of the procedure, phosphorescence lifetime imaging was performed to measure retinal vascular oxygen tension and derive arterial and venous oxygen contents, and arteriovenous oxygen content difference. Fluorescent microsphere and red-free retinal imaging were performed to measure total retinal blood flow. Retinal oxygen delivery rate (DO2), oxygen metabolism rate (MO2), and oxygen extraction fraction (OEF) were calculated.

RESULTS. DO2 and MO2 were lower in ligation and clamp groups compared to the sham group, and also lower in the ligation group compared to the clamp group (P \(\leq 0.05\)). OEF was higher in the ligation group compared to clamp and sham groups (P \(\leq 0.03\)). The relationships of MO2 and OEF with DO2 were mathematically modeled by exponential functions. With moderate DO2 reductions, OEF increased while MO2 minimally decreased. Under severe DO2 reductions, OEF reached a maximum value and subsequently MO2 decreased with DO2.

CONCLUSIONS. The findings improve knowledge of mechanisms that can maintain MO2 and may clarify the pathophysiology of retinal ischemic injury.

Keywords: imaging, oxygen metabolism, retinal ischemia

Ocular ischemic syndrome (OIS) is a condition that is caused by occlusion or severe narrowing of the vasculature that supplies the eye, usually the internal and/or common carotid arteries.1 It is characterized by reduced ocular blood flow, loss of visual acuity, orbital pain, and abnormalities in the anterior and posterior segments of the eye.1 Most patients with OIS are also at risk for, or suffer from, cardiovascular and/or cerebrovascular diseases.2–4 Since OIS may appear in the eye before signs and symptoms from the cerebrovascular system,1 patients with OIS should be investigated for the presence of potential cerebral disease.

Experimental permanent bilateral common carotid artery occlusion (BCCAO) is a procedure that causes ischemia by reducing perfusion pressure to tissues that are supplied by the common carotid arteries. It was first used to study cerebral ischemia in rats5 and was later adopted as an experimental model for OIS, showing changes in retinal vessels, capillary network and arterial filling time.6 With prolonged BCCAO of 3 to 300 days duration, retinal anatomical and functional abnormalities, including progressive retinal degeneration and reduced retinal electrophysiological function have been demonstrated.7–15 Additionally, retinal electrophysiologic13–15 and biochemical14–17 changes have been reported within 24 hours of BCCAO.

It is well-established that autoregulatory mechanisms maintain or augment total retinal blood flow (TRBF) during reduced perfusion pressure and light flicker stimulation.18,19 Accordingly, the initial compensatory response to BCCAO is expected to be retinal vasodilation, though not previously reported to our knowledge. Once the vasodilatory capacity is expended, TRBF is reduced thereby affecting the rate of inner retinal oxygen delivery (DO2) which is equal to the product of TRBF and retinal arterial oxygen content. To maintain inner retinal oxygen metabolism (MO2) under a reduced DO2 condition, the tissue must extract more of the supplied oxygen, consequently increasing the oxygen extraction fraction (OEF), defined as the ratio of MO2 to DO2.20 However, there is limited knowledge about changes in TRBF, DO2, MO2, and OEF immediately following BCCAO.

Methods for measurements of retinal MO2 in rats have been reported by an oxygen-sensitive microelectrode technique, visible light optical coherence tomography (OCT) and by combining photoacoustic ophthalmoscopy with spectral domain OCT.21–25 We have previously used phosphorescence lifetime and blood flow imaging for measurements of DO2 and MO2 in rats.26–29 Recently, we reported alterations in DO2, MO2, and OEF in a rat model of graded retinal ischemia induced by ligating the contralateral carotid artery and compressing the ipsilateral carotid artery at graded levels.30 However, in this study, sequential measurements under graded retinal ischemia in the same rat were obtained, thus precluding independent data points that are needed for comparative analysis and
Material and Methods

Animals

All procedures were approved by the University of Southern California Institutional Animal Care and Use Committee and adhered to the articles of the ARVO Statement for the Use of Animals in Ophthalmic and Vision Research. The study was performed in 14 adult (age: 12–18 weeks) male Long-Evans rats (weight: 300–500 g; Charles River, Wilmington, MA, USA). Rats were acclimated for 3 days before being subjected to random grouping of cohorts. They were kept under environmentally controlled conditions with a 12-hour/12-hour light/dark cycle at 20 to 22°C, were fed a standard rat diet, and had free access to food and water. Prior to performing the experimental procedures, the rats were anesthetized with xylazine (5 mg/kg) and ketamine (90 mg/kg) by intraperitoneal injections. Additional xylazine and ketamine were administered during the procedures to maintain anesthesia. The rats were placed on a heated pad for performing the surgical procedure, and the eyes were kept hydrated by administering saline drops every 10 minutes. Phenylephrine 2.5% (Paragon, Portland, OR, USA) and Tropicamide 1% (Bausch and Lomb, Tampa, FL, USA) were instilled into both eyes for pupillary dilation prior to imaging.

For BCCAO, the common carotid arteries (CAs) were accessed via an anterior midline prelaryngeal incision and the experiments were knowledgeable of group allocation after BCCAO or sham procedures. Personnel who conducted the experiments were knowledgeable of group allocation during the imaging sessions.

Blood Flow Imaging

A previously described imaging system was used to capture red-free and fluorescent image sequences and measure vessel diameter (D) and venous blood velocity (V), respectively. For D measurements, the light illumination of a slit lamp biomicroscope coupled with a green filter (540 ± 5 nm) was used to capture retinal images. Registered mean images were analyzed to determine the vessel boundaries based on the full width at half maximum of intensity profiles perpendicular to the vessel centerline at several consecutive locations along each vessel segment. Measurements in individual vessels were averaged to obtain mean arterial and venous D (Dₐ, Dᵥ) per eye. For V measurements, a 488-nm diode excitation laser and an emission filter (560 ± 60 nm) were used to acquire 520 fluorescence images at 108 Hz. Multiple image sequences were analyzed to determine the displacement of microspheres along each vein segment over time. In each vein, V was calculated by averaging 15 to 30 measurements. Additionally, measurements in individual veins were averaged to calculate a mean venous V (Vᵥ) per eye. Blood velocity was measured in veins which have a smaller variance during the cardiac cycle compared to arteries. Blood flow was calculated as V × π × D³ / 4 for each vein and summed over all the major veins to calculate TRBF.

Oxygen Tension Imaging

Retinal vascular oxygen tension (PO₂) imaging was performed using our previously established optical section phosphorescence lifetime imaging system. A vertical laser line (532 nm) was projected on the retina at an angle and an infrared filter with a cutoff wavelength of 650 nm was placed in the imaging path. The phosphorescence emission from the oxygen-sensitive molecular probe, Pd-Porphine, within all the major retinal arteries and veins was imaged. The phosphorescence lifetime was determined using a frequency-domain approach and converted to PO₂ using the Stern-Volmer expression. Three PO₂ measurements were averaged for each retinal major vein and artery.

Oxygen Delivery, Metabolism and Extraction Fraction

The O₂ content of the retinal blood vessels was determined as the sum of oxygen bound to hemoglobin and dissolved in blood: O₂ content = SO₂ × Hgb × C + k × PO₂, where SO₂ is the oxygen saturation calculated from the rat hemoglobin dissociation curve using measured PO₂ and blood pH values from literature, Hgb is the rat hemoglobin concentration value (13.8 g/dL), k is the maximum oxygen-carrying capacity of hemoglobin (1.39 mL O₂/gHgb), and C is the solubility of oxygen in blood (0.0032 mL O₂/dL mm Hg). Measurements of O₂ content in individual vessels were averaged to obtain mean arterial and venous O₂ contents (O₂Au, O₂Vu) per eye. O₂Au was computed as the difference between O₂V and O₂V, DO₂, MO₂, and OEF were calculated as: TRBF × O₂Au, TRBF × O₂Vu, and MO₂/DO₂, respectively.

Statistical Analysis

Statistical analyses were performed using statistical software (SSPS Statistics, version 24; IBM Armonk, NY, USA). Linear mixed model analysis was performed on oxygen metrics (O₂Au, O₂Vu, TRBF, DO₂, MO₂, OEF) with group (sham, clamp, ligation) and eye (right, left) as fixed factors and animal as a random factor. Estimates (β) for the effect of group were determined. The relationships of MO₂ and OEF with DO₂ were estimated using the nonlinear least squares curve-fitting tool in MATLAB. Significance was accepted at P ≤ 0.05.

Results

A schematic diagram of the methodology for measurements of retinal vascular PO₂ is shown in Figure 1. Retinal arterial and venous PO₂ in the rat from the sham group was higher than the rat from the ligation group (Figs. 1A, 1C). Retinal vessel boundaries, as automatically detected, are shown outlined on red-free retinal images from rats from the sham and ligation groups (Figs. 1B, 1D). The position of one intravenous microsphere at two time points is displayed in a yellow box overlaid on the red-free retinal images. Reduced blood velocity...
can be visualized in the rat from the BCCAO group (Fig. 1D) compared to the rat from the sham group (Fig. 1B) by the shorter distance the microsphere traveled during the same time interval.

The Table lists mean and standard deviation of retinal vascular O₂ contents, V_v, and TRBF in the sham, clamp and ligation groups. Compared to the sham group, O₂A was lower in both clamp (β = -2.9 mL O₂/dL) and ligation (β = -3.8 mL O₂/dL) groups (P < 0.01). Similarly, O₂V was lower in both clamp (β = -2.7 mL O₂/dL) and ligation (β = -5.3 mL O₂/dL) groups (P < 0.04). O₂AV was also lower in the ligation group compared to the clamp group (β = -2.6 mL O₂/dL; P < 0.04). O₂AV was not significantly different among groups (P > 0.1).

There were no statistically significant differences in D v and D A (P > 0.2). However, V_v was lower in the ligation group compared to the sham (β = -9.0 mm/minute) and clamp (β = -4.2 mm/minute) groups (P ≤ 0.006). V_v was also lower in the clamp group compared to the sham group (β = -4.9 mm/minute; P = 0.003). Likewise, TRBF was lower in the ligation group compared to the sham (β = -6.2 μL/minute) and clamp (β = -3.8 μL/minute) groups (P ≤ 0.02). Occlusion of carotid arteries had a general effect of reducing retinal O₂A, O₂V, V_v, and TRBF while D_v and D_A were not affected significantly. Additionally, O₂AV was not significantly changed with BCCAO due to comparable reductions in O₂A and O₂V.

Figure 2 displays mean and standard deviation of DO₂ and MO₂ in the sham, clamp and ligation groups. DO₂ was 948 ± 255 nL O₂/minute, 532 ± 356 nL O₂/minute, and 175 ± 137 nL O₂/minute in the sham, clamp, and ligation groups, respectively. DO₂ in the ligation group was lower compared to that in the sham (β = -772 nL O₂/minute) and clamp (β = produced.
Retinal Oxygen Metrics During BCCAO

Figure 3. Comparison of OEF measured within 30 minutes of sham procedure or bilateral common carotid artery occlusion by clamp or ligation in rats. Error bars indicate standard deviations. Asterisks indicate significantly higher than sham group and # indicates significantly higher than clamp group. OEF was increased immediately following occlusion of carotid arteries by ligation, but not significantly by clamp.

Figure 4. The relationship between retinal MO₂ and DO₂ based on compiled data obtained within 30 minutes of sham procedure or bilateral common carotid artery occlusion in rats. The data were described by an exponential function: MO₂ = 520′ (1 − e⁻0.002*DO₂; r² = 0.81). With DO₂ reduction, MO₂ initially decreased minimally, but with more severe reductions in DO₂, it declined at the same rate as DO₂.

Figure 5. The relationship between OEF and DO₂ is depicted in Figure 5. The data were described by an exponential function: OEF = 0.998′ (1 − e⁻0.649/DO₂; R² = 0.77). According to the mathematical model, OEF initially increased as DO₂ decreased, but eventually reached an asymptotic maximum value of 1 at low levels of DO₂. Using the DO₂ value of 297 nLO₂/minute, the value at which MO₂ was reduced to 50% of the mean in the sham group, OEF was calculated to be 0.89, reflecting a 75% increase with respect to the mean OEF value of 0.51 in the sham group. From this relationship, OEF threshold values for maintaining MO₂ may be estimated.

DISCUSSION

Reduced oxygen supply to the retina due to carotid artery occlusion in patients with OIS often leads to vision loss. Therefore, it is important to assess alterations in DO₂, MO₂ and OEF under the experimental BCCAO condition to gain knowledge that may be relevant to carotid occlusive disease and other retinopathies also characterized by reduced oxygen supply. The current study confirmed the first hypothesis by demonstrating reductions in DO₂ and MO₂ and an increase in OEF under BCCAO. Furthermore, we were able to confirm the second hypothesis that the retina can accommodate moderate reductions in DO₂ and relatively maintain MO₂, though there is a decrease in MO₂ mediated by severe reductions in DO₂.

During BCCAO, TRBF was considerably reduced to 27% of normal blood flow, presumably from the vertebral arteries via...
the circle of Willis. This observation is consistent with other studies that showed presence of some retinal blood flow during BCCAO. Moreover, other studies have shown collateral circulation in the brain and severe bilateral hemispheric ischemia only by occluding the vertebral arteries in addition to the CAs.

The reason for the observed reduction in retinal O$_2$A during BCCAO is not entirely clear. However, other studies have shown under conditions of reduced retinal blood flow with BCCAO or reperfusion following occlusion of ophthalmic vessels, retinal O$_2$A was similarly reduced. The main vascular compensatory response to BCCAO has been shown to be vasodilation and increased blood flow in the vertebral and basilar arteries, but this does not normalize blood flow to the circle of Willis and brain. Along this relatively long path of slow-moving column of blood from the circle of Willis to the eye, there was likely more time for oxygen to be diffused and consumed by the arterial walls, as well as by the optic nerve and peripapillary retinal tissues central to the measurement site. This may account for the measured decrease in O$_2$A.

The finding of decreased MO$_2$ mediated by a severe reduction in DO$_2$ within 30 minutes after BCCAO is consistent with previous studies that showed the electroretinogram (ERG) b-wave amplitude was reduced within 20 and 45 minutes of BCCAO. In the current study, MO$_2$ was reduced by 63%, comparable to a previously reported reduction of 46% in the ERG b-wave amplitude. Since generation of the ERG b-wave requires energy, its reduction is expected in the presence of reduced MO$_2$. Additionally, Barnett et al. did not report any retinal histologic damage after 45 minutes of BCCAO, which suggests that DO$_2$ and MO$_2$ alterations after 30 minutes of BCCAO do not cause immediately observable retinal structural damage. Future studies can be directed at whether immediate or sustained alterations in DO$_2$ and MO$_2$ can predict retinal morphological and functional abnormalities observed with prolonged BCCAO.

Initially, the principal compensatory mechanism of the retina to respond to reduced perfusion pressure is to undergo vasodilatation, ensuring TRBF and DO$_2$ are maintained and consequently MO$_2$ remains unchanged. In the current study, retinal vasodilatation was not observed at the time of the measurements, suggesting that the vasodilatory response for maintaining TRBF was either already expended or not yet activated. Since based on Poiseuille formula, both blood flow and velocity depend on vessel diameter, the finding of reductions in both TRBF and V$_v$ suggests inadequate vasodilation of retinal arteries and of downstream microvasculature for reducing vascular resistance immediately following BCCAO. Similar to our finding, Riva and coworkers found as perfusion pressure was decreased by increasing intraocular pressure in humans that retinal venous diameters near the optic nerve remained unchanged while blood velocity and flow decreased. They also found a simultaneous decrease in vascular resistance confirming the presence of vasodilatation in the microvasculature downstream from their measurement site, though not adequate to maintain blood flow and velocity. It is probable that a similar phenomenon was active under BCCAO. Moreover, several studies have reported reductions in blood flow when autoregulatory mechanisms are expended under severe decreased perfusion pressure conditions in humans, monkeys and cats. Nevertheless, with less severe reductions in perfusion pressure, regulation by both TRBF and V$_v$ is expected to minimize changes in MO$_2$.

In the current study, the use of clamp incompletely occluded the CAs in some cases, thus providing data under varying levels of reduced TRBF. This allowed for mathematical modeling of the relationships of MO$_2$ and OEF with DO$_2$. Under moderate reductions in DO$_2$, a minimum change in MO$_2$ was demonstrated. The relative maintenance of MO$_2$ was mediated by an increase in OEF as long as adequate oxygen was available to be extracted. However, with further reductions in DO$_2$, the venous O$_2$ content became negligible and thereby OEF reached a maximum value of unity, indicating that all of the delivered oxygen was metabolized. Under severe DO$_2$ reduction, OEF was maximized and MO$_2$ became limited by DO$_2$, in agreement with our previous report.

One advantage of the current study was quantitative measurements of TRBF and DO$_2$. Thus, findings on the effects of ischemia on MO$_2$ and OEF are not limited to the BCCAO model of retinal ischemia and may be applicable to other methods of reducing retinal blood flow to similar levels. Of particular interest is the information we obtained on MO$_2$. 

**Figure 5.** The relationship between retinal OEF and oxygen delivery (DO$_2$) based on compiled data obtained within 30 minutes of sham procedure or bilateral common carotid artery occlusion in rats. The data were described by an exponential function: $\text{OEF} = 0.998 \times (1 - e^{-649/\text{DO}_2})$, $r^2 = 0.77$, $n = 28$. Initially, OEF increased with decreasing DO$_2$, but with more severe reductions in DO$_2$, it increased more steeply and approached the theoretical maximum value of one, indicating oxygen was completely extracted from the retinal vasculature.
Since energy is required for most retinal functions and MO$_2$ is an indicator of retinal energy production, it should closely reflect the injurious impact of retinal ischemia.

Recently methods have become available for measurements of MO$_2$, DO$_2$, and OEF in human subjects. Thus, evaluation of these parameters may potentially lead to improvements in diagnosis and therapy of human retinal ischemic diseases. For example, thresholds for MO$_2$, DO$_2$, and OEF may be derived and applied to predict prognosis for disease progression or response to treatment for individual patients. Moreover, MO$_2$ may prove to be a valuable quantitative outcome in therapeutic trials that evaluate delivery of oxygen or neuroprotective drugs. Finally, now that it is possible to measure MO$_2$, research can focus on its role in retinal ischemia and other diseases, thereby leading to future advances in new metabolically-based treatments.

The current study had some limitations. First, the study was limited to retinal physiologic measurements and did not investigate corresponding biochemical abnormalities, electrophysiologic dysfunction, or histopathologic changes under BCCAO, which have been previously described in literature. Nevertheless, the finding of reduced MO$_2$ was consistent with previously reduced electrophysiologic responses immediately after BCCAO. Second, the systemic physiologic condition of the rats was not controlled or monitored during imaging, though we expect conditions were similar in sham and BCCAO groups. Third, the findings of the current study may not be generalizable beyond the animal species/strain under investigation. Fourth, the vascular clamp produced variable levels of CA occlusions apparently due to the displacement of the clamp during positioning of the animal for imaging. This, in turn, provided a limited number of data points under each graded level of decreased DO$_2$. Additional data are needed for more robust mathematical modeling of the relationships. Finally, mathematical models were proposed for predicting MO$_2$ and OEF based on DO$_2$ and an increase in OEF immediately after permanent TRBF reduction or TRBF recovery on MO$_2$ and OEF.

In conclusion, this study demonstrated decreases in MO$_2$ and DO$_2$ and an increase in OEF immediately after permanent BCCAO. Furthermore, OEF was maximized as MO$_2$ and DO$_2$ were matched during severe ischemia. Additionally, nonlinear mathematical models were proposed for predicting MO$_2$ and OEF based on DO$_2$. The findings improve knowledge of mechanisms that can maintain MO$_2$ and may clarify the pathophysiology of retinal ischemic injury.

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References


