

# Aqueous Humor Biomarkers of Retinal Glial Cell Activation in Patients With or Without Age-Related Cataracts and With Different Stages of Diabetic Retinopathy

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**PURPOSE.** To clarify the expression of biomarkers of retinal glial cell activation in the aqueous humor (AH) of patients with and without age-related cataracts (ARCs) at different stages of diabetic retinopathy (DR).

**METHODS.** Patients were stratified by the presence of ARCs and then grouped by the presence of diabetes mellitus (DM), nonproliferative DR (NPDR), proliferative DR (PDR), and controls. Water channel aquaporin 1 (AQP1), water channel aquaporin 4 (AQP4), inwardly rectifying potassium channel 4.1 (Kir4.1), and glial fibrillary acidic protein (GFAP) were assayed in AH samples by ELISAs.

**RESULTS.** We enrolled 82 patients. The AQP1 concentration was higher in AH from cataract control patients than in control patients without cataracts ( $P < 0.05$ ). The AQP1 concentration was also higher in patients with DM, NPDR, and PDR than in controls ( $P < 0.05$ ). The concentrations of AQP4 and GFAP were significantly increased in patients with NPDR and PDR ( $P < 0.05$ ) but not in patients with DM. Kir4.1 concentration was significantly decreased in patients with NPDR and PDR ( $P < 0.05$ ), but the decrease in patients with DM did not reach significance. There were no differences in AQP4, Kir4.1, and GFAP between patients with and without ARCs.

**CONCLUSIONS.** Increased AQP1 in AH may be a biomarker for ARCs in patients without diabetes and a biomarker for retinal glial cell activation in patients with diabetes without cataracts. AQP4, Kir4.1, and GFAP levels in AH suggested that retinal glial cell activation was affected by the progression of DR.

**Keywords:** retinal glial cell, diabetic retinopathy, age-related cataract, aqueous humor

Diabetic retinopathy (DR) is the leading cause of blindness in working-age people in developed countries and is the most common chronic microvascular complication of diabetes.<sup>1</sup> The prevalence of DR in diabetes mellitus (DM) is estimated as 44.9% in China.<sup>2</sup> Although the diagnosis of DR is based on vascular abnormality, retinal neurodegeneration has been detected in the early stage of DM before observable vasculopathy.<sup>3</sup> Activation of retinal glial cells has been seen in early stage of DM, with the release of cytokines such as VEGF, TNF- $\alpha$ , IL-1 $\beta$ , and others, which results in increased vascular permeability.<sup>4,5</sup> Retinal glial cell activation at an early stage may have a compensatory protective effect on the retina, but long-term activation may accelerate the progression of DR. Changes in retinal glial cells are thought to have a role in the development of DR.<sup>5,6</sup>

The activation of retinal glial cells in DR can be monitored by testing the aqueous humor (AH).<sup>7,8</sup> The AH is produced by the nonpigmented ciliary body epithelium, the protein and ion content is different from that of the plasma, and it continuously exchanges substances with tissues through direct and indirect contact.<sup>9,10</sup> The levels of some inflammatory and angiogenic cytokines are changed in the AH of patients with DR.<sup>11</sup> Indeed, the levels of certain proteins in the AH can be used to indicate the status of eye tissues and serve as biomarkers to predict the development of DR or DM.<sup>10</sup> Previous studies have found that the activation of Müller cells was accompanied by increases of water channel aquaporin 1 (AQP1), water channel aquaporin 4 (AQP4), and glial fibrillary acidic protein (GFAP) in the AH and that changes of the proteins could be used as biological markers for the activation of Müller cells.<sup>7</sup>

However, based on the published studies, there are still some questions that need to be clarified. First, AQP1 is normally expressed not only in the retina but also in the lens epithelium.<sup>12</sup> Its expression is increased in cataract lens epithelium.<sup>13</sup> The expression of AQP in the AH was found to be influenced by anterior segment disease.<sup>14</sup> Therefore, it is necessary to determine the differences of AQP1 present in the AH of those with and without cataracts. However, there have been no studies of AQP1 in the AH of patients with cataract. Second, AQP1, AQP4, and GFAP expression in the retina was found to be influenced by hypertension in animal experiments.<sup>15,16</sup> Although a previous study<sup>7</sup> found that AQP1, AQP4, and GFAP protein expression in the AH changed in DR, the influence of hypertension on the findings could not be completely excluded. Therefore, further study may be needed to achieve a more comprehensive, in-depth understanding of the performance of glial cell activation in the AH and to exclude the influence of hypertension. Finally, there have been no studies of the expression of AQP1, AQP4, inwardly rectifying potassium channel 4.1 (Kir4.1), and GFAP at different stages of DR. Answering the questions may help us to further understand the mechanism of DR. Therefore, the purpose of this study was to investigate the changes in the content of AQP1, AQP4, GFAP, and Kir4.1 in AH at different stages of DR as well as the influence of age-related cataracts (ARCs) on these indicators.

**MATERIALS AND METHODS**

**Study Design**

This study was a cross-sectional, case-control series evaluation. Patients with and without ARCs were selected to investigate the influence of ARCs on the expression of AQP1, AQP4, Kir4.1, and GFAP in the AH. Furthermore, in order to clarify the trends of these factors in the progression of DR, patients without diabetes, diabetes patients without DR, NPDR patients and PDR patients were included in the groups formed by stratification by ARC status. Each participant underwent a complete ophthalmologic examination before surgery, including best-corrected visual acuity (BCVA), intraocular pressure (IOP), slit-lamp examination, color fundus photos, and optical coherence tomography. Fluorescein fundus angiography was performed in the patients with DM. The presence of DR was graded by internationally established color fundus photos criteria.<sup>17</sup> Blood

pressure, fasting blood glucose (FBG), and hemoglobin A1c (HbA1c) were checked on the morning of operation. The history of medication use was also collected.

**Study Population**

All 82 patients who had eye surgery at the Department of Ophthalmology, Shanghai First People’s Hospital between February 2019 and August 2020 were selected. Only patients with ARCs were included in the cataract group. The lens was examined after pupillary dilation with tropicamide (1%) and phenylephrine (2.5%). Two ophthalmologists determined the cataract with the aid of a slit-lamp biomicroscope. The patients with cataract included in the study were stages NC2 to NC4, C2 to C4, and P2P3 according to the Lens Opacities Classification System III criteria.<sup>18</sup> The patients were divided into eight groups. Four groups included patients with ARCs (cataract group) and four did not (no-cataract group). Each of the groups included four other study groups that included patients with DM, NPDR, PDR, and controls. The group assignments of the 82 patients and controls are shown in the flow diagram in Figure 1.

The inclusion criteria were an indication for eye surgery and agreement to allow extraction of AH. The main exclusion criteria were receipt of any other treatments (laser, intravitreal injections of anti-VEGF drugs); vitreous hemorrhage; a history of eye surgery; previous diagnosis of glaucoma, ocular hypertension, or concomitant retinal disease; presence of any neurodegenerative disorders (Parkinson, Alzheimer, etc.); or history of hypertension.

Informed consent was obtained for each patient. The study was performed following the ethical principles of the Declaration of Helsinki regarding experimentation involving humans. The local ethics committee approved the study.

**AH Collection, Storage, and Total Protein Assay**

Patients with pterygium excision or intravitreal injection surgery underwent standard preoperative procedures used for cataract surgery. The skin around the eyes was disinfected with 5% povidone iodine. After topical anesthesia with bupivacaine hydrochloride, 5% povidone iodine was used to disinfect the conjunctival sac before washing with an abundance of normal saline. AH (150–200 µL) was aspirated from the anterior chamber with microscope guidance using

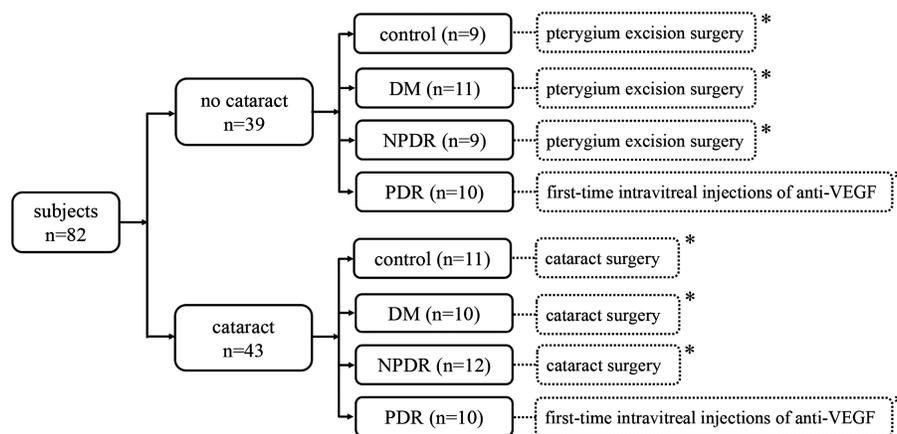


FIGURE 1. Flow diagram of patient enrollment. \*AH was aspirated from the anterior chamber during surgery.



TABLE 2. Protein Concentration in the Aqueous Humor of Patients in the Study Groups\*

Characteristic	No Cataract				Cataract			
	Control	DM	NPDR	PDR	control	DM	NPDR	PDR
AQP1, ng/L (range)	33.93 ± 6.64 (22.59–45.04)	62.40 ± 7.01 (54.54–79.33)	68.49 ± 8.07 (52.91–80.34)	92.79 ± 7.00 (80.56–103.38)	57.03 ± 8.05 (43.37–69.83)	108.80 ± 7.60 (98.41–122.73)	125.28 ± 9.02 (109.23–139.33)	185.11 ± 11.71 (163.56–199.23)
AQP4, ng/L (range)	190.73 ± 14.68 (173.38–223.41)	188.05 ± 10.95 (168.57–201.32)	365.23 ± 54.37 (278.57–431.22)	656.47 ± 95.12 (487.08–775.39)	181.67 ± 17.10 (158.59–206.35)	183.37 ± 9.95 (168.08–203.89)	361.71 ± 22.11 (322.78–388.07)	690.63 ± 32.98 (634.21–422.33)
Kir4.1, ng/mL (range)	72.27 ± 8.34 (60.02–84.15)	63.28 ± 5.00 (55.36–72.33)	28.75 ± 6.13 (20.54–38.98)	9.50 ± 2.78 (4.95–13.59)	71.00 ± 10.42 (57.42–92.33)	62.74 ± 7.79 (50.37–78.23)	24.61 ± 4.78 (18.81–35.54)	9.20 ± 3.59 (3.96–15.84)
GFAP, ng/L (range)	525.97 ± 52.73 (458.75–613.80)	558.54 ± 40.74 (482.65–617.52)	881.21 ± 50.90 (805.31–995.36)	1263.00 ± 135.72 (1033.46–1473.25)	526.76 ± 69.23 (413.02–656.37)	538.60 ± 70.86 (427.54–633.27)	826.13 ± 83.11 (632.65–953.42)	1252.15 ± 110.47 (998.91–1387.98)

\* Mean ± SD is presented except where noted otherwise.

† One-way ANOVA: comparison among control, DM, NPDR, and PDR in no cataract.

‡ One-way ANOVA: comparison among control, DM, NPDR, and PDR in cataract.

cataracts ( $P < 0.001$ ). The difference in the AQP1 concentration in the AH of the no-cataract control and the cataract control groups was significant ( $P < 0.001$ ; Fig. 2A).

In patients in the cataract and no-cataract groups, significant increases in the AQP1 concentration in the AH were found in the DM, NPDR, and PDR groups compared with the control group (all  $P < 0.001$ ). Significant increases were also found in the NPDR and PDR groups compared with the DM group (both  $P < 0.001$ ). The difference of the AQP1 concentrations in the PDR and NPDR groups was significant ( $P < 0.001$ ; Fig. 2A).

### AQP4 in the AH of Patients at Different Stages of DR

As shown in Table 2, there were significant differences in the concentration of AQP4 in the AH among the control, DM, NPDR, and PDR groups within the no-cataract ( $P < 0.001$ ) and the cataract ( $P < 0.001$ ) groups. The difference of the AQP4 concentration in the AH in the no-cataract control and cataract control groups was not significant ( $P > 0.05$ ; Fig. 2B).

In patients in the cataract and no-cataract groups, significant increases in AQP4 concentration in the AH were found in the NPDR and PDR groups compared with the control group (both  $P < 0.001$ ). Significant increases were also found in the NPDR and PDR groups compared with the DM group (both  $P < 0.001$ ). Furthermore, the difference of AQP4 in the PDR and NPDR groups was significant ( $P < 0.001$ ; Fig. 2B).

### Kir4.1 in the AH of Patients at Different Stages of DR

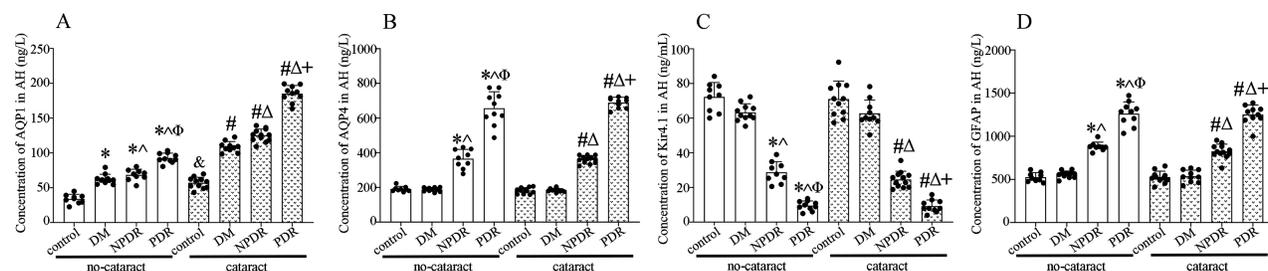
As shown in Table 2, there were significant differences in the concentration of Kir4.1 among the control, DM, NPDR, and PDR groups in the no-cataract ( $P < 0.001$ ) and cataract ( $P < 0.001$ ) groups. The difference of the Kir4.1 concentration in the AH between the no-cataract control and cataract control groups was not significant ( $P > 0.05$ ).

In patients in the cataract and no-cataract groups, significant decreases in Kir4.1 concentration in the AH were found in NPDR and PDR groups compared with the control group (both  $P < 0.001$ ). Significant decreases were also found in the NPDR and PDR groups compared with the DM group (both  $P < 0.001$ ). Furthermore, the difference of Kir4.1 in the PDR and NPDR groups was significant ( $P < 0.001$ ; Fig. 2C).

### GFAP in the AH of Patients at Different Stages of DR

As shown in Table 2, there were significant differences in GFAP concentration in the AH among the control, DM, NPDR, and PDR groups in the no-cataract ( $P < 0.001$ ) and cataract ( $P < 0.001$ ) patients. The difference of GFAP concentration in the no-cataract control and cataract control groups was not significant ( $P > 0.05$ ).

In patients in the cataract and no-cataract groups, significant increases in GFAP concentration in the AH were found in the NPDR and PDR groups compared with the control group (both  $P < 0.001$ ). Significant increases were also found in the NPDR and PDR groups compared with the DM group (both  $P < 0.001$ ). Furthermore, the difference



**FIGURE 2.** AQP1, AQP4, Kir4.1, and GFAP concentration in the AH of patients in the eight study groups (no cataract: control group [ $n = 9$ ], DM group [ $n = 11$ ], NPDR group [ $n = 9$ ], and PDR group [ $n = 10$ ]; cataract: control group [ $n = 11$ ], DM group [ $n = 10$ ], NPDR group [ $n = 12$ ], and PDR group [ $n = 10$ ]). The difference of AQP1 concentrations in no-cataract controls and cataract controls is shown in **A**. Between-group differences of AQP1 (**A**), AQP4 (**B**), Kir4.1 (**C**), and GFAP (**D**) within the no-cataract and cataract groups.  $&P < 0.001$ , no-cataract control vs. cataract control;  $*P < 0.001$ , vs. no-cataract DM;  $^{\Phi}P < 0.001$ , vs. no cataract NPDR;  $^{\#}P < 0.001$ , vs. cataract control;  $^{\Delta}P < 0.001$ , vs. cataract DM;  $^{+\Delta}P < 0.001$ , vs. cataract NPDR. Error bars are the standard deviations.

of GFAP in the PDR and NPDR groups was significant ( $P < 0.001$ ; Fig. 2D).

## DISCUSSION

To our knowledge, this is the first study to report the influence of cataracts on the AQP1 in the AH and the changes of AQP1, AQP4, GFAP, and Kir4.1 in the AH at different stages of DR. The increased concentration of AQP1 in the AH indicated that it may not be suitable as a marker of retinal glial cell activation in ARC subjects reported in the previous study.<sup>7</sup> Differences in the expression of AQP1, AQP4, GFAP, and Kir4.1 in the AH that were found at different stages of DR indicated that retinal glial cell activation was affected by the progression of DR. We also found that the changes in the AQP4 and Kir 4.1 concentrations in the AH at different stages of DR were not the same as previously reported.<sup>7,8</sup>

AQP1 is an important water channel in subpopulations of amacrine and photoreceptor cells in normal retina and in lens epithelium.<sup>12,19</sup> In animal models of streptozotocin-induced DM, the water channel in the Müller cells that surround the superficial retinal vessels switches from AQP4 to AQP1 at an early stage, 8 weeks after induction. At that time, the expression of AQP1 significantly increases in the retina.<sup>16,20,21</sup> In this study, we found a significant increase of AQP1 in the AH of patients with DM, which indicated that the function of the retina had changed at the stage of DM. The increase in AQP1 may reflect a change in the type of AQPs expressed in glial cells. However, a previous study showed that AQP1 expression was lower in the AH of DM patients without DR than in controls.<sup>7</sup> It is difficult to explain the reason for the difference between our findings and the previous ones, but it may have occurred because of racial differences or the different duration of DM (2.36 years in our study vs. 7.7 years). It also indicated that the expression and effect of AQP1 in the retina during the progression of DR may be complicated and that potential mechanisms may have yet to be discovered. More research is needed. The increase in AQP1 levels at the NPDR and PDR stages was similar to previous reports.<sup>7</sup>

The finding of an increased level of AQP1 in the AH of patients with ARCs is in line with previous findings of AQP1 protein levels in human lens epithelial cells of patients with cataract that were about 1.65 to 2.1 times above the normal levels.<sup>13</sup> We believe that, in our patients, the increased AQP1 in AH may have been influenced by a high level in the lens epithelial cells of cataracts. Therefore, AQP1 cannot be considered solely as a biomarker of retinal glial cell activation

in ARC patients with DM but may be a biomarker of ARC in patients without DM and a biomarker for retinal glial cell activation in patients with diabetes who do not have cataracts.

AQP4 is another important water channel in Müller cells, astrocytes, and ciliary epithelium cells.<sup>12</sup> In Müller cells, AQP4 channels are located in the perivascular area and end foot, and they transport water and ions between the vitreous body and retina and the vasculature and retina.<sup>22</sup> Studies in animal models have found a switch from AQP4 to AQP1 in Müller cells and astrocytes in DM, and the changes in the expression of AQP4 in the retina varied with the progression of DM.<sup>16,20,21</sup> Qin et al.<sup>16</sup> found that the expression of AQP4 in the retina remain unchanged at 8 weeks after streptozotocin-induced DM. Iandiev et al.<sup>20</sup> reported a significant increase in AQP4 in the retina 6 months (24 weeks) after streptozotocin-induced DM. Fukuda et al.<sup>21</sup> found that AQP4 significantly increased at 40 weeks of age in Torii rats with spontaneous DM. The animal studies indicated that AQP4 may remain unchanged in the early stage of DM but then change after a certain period of time. In a human study, AQP4 concentration was found to be increased in patients with DM and DR.<sup>7</sup> In our study, AQP4 had increased significantly in NPDR and PDR but not in DM patients without DR. The difference may have been caused by the different duration of DM, 2.36 years in our study compared with 7.7 years in the previous study. We speculate that the expression of AQP4 in the AH may not change in the early period of DM but increases significantly in the time DM before the occurrence of DR. We cannot know the timing of the change in AQP4 expression, which needs further study.

The effect of AQP4 on water metabolism in the retina is believed to rely on the formation of a protein complex with Kir4.1, which allows movement of water into and out of the cell.<sup>6</sup> Kir4.1 is a  $K^+$  channel that is colocalized with AQP4 water channels in Müller cell membranes that surround the retinal vessels and at both limiting membranes. In animal models of DM, the expression of Kir4.1 protein around the vessels and at both limiting membranes is absent, similar to the location at which AQP4 is switched to AQP1.<sup>6</sup> Some studies demonstrated that decreased Kir4.1 expression or function in the retina or vitreous body in DM may be associated with Müller cell activation and cause an imbalance in  $K^+$  concentration, leading to neuronal excitation, glutamate toxicity, and neuronal death.<sup>22–26</sup> However, Midena et al.<sup>8</sup> found that Kir4.1 levels in the AH were higher in patients

with diabetic macular edema than in healthy patients. In addition, the best improvement of corrected visual acuity after subthreshold micropulse laser treatment was associated with decreased levels of Kir4.1 and GFAP.<sup>8</sup> The results are different from our study and previous studies. We cannot explain the reason and propose that more study of the mechanism of Kir4.1 in patients with DR is required. Increased GFAP expression in the retina is a marker for glial cell activation and an early sensor of retinal stress.<sup>27,28</sup> We found significant increases of GFAP in the AH of patients with NPDR and PDR, which is consistent with previous reports.<sup>7</sup>

Certain study limitations should be considered. First, this was a single-center study with a relatively small number of participants. Multicenter data or a larger sample size would be needed to further confirm our conclusions. Second, as hypertensive patients were excluded, we could not know the effect of hypertension on the level of the proteins we assayed in AH. The inclusion of hypertensive patients would help us to understand the relationship between hypertension and activation of glial cells. Third, two populations of macroglial cells in the retina, astrocytes and Müller cells, expressed AQP1, AQP4, Kir4.1, or GFAP, so the cellular origins of these proteins were unknown. Last, the study size did not allow stratifying the ARCs as nuclear, cortical, or posterior subcapsular cataracts. Consequently, any differences in AQP1 that occurred in the types of ARCs were not detected.

In summary, we found that the concentration of AQP1 in the AH was increased in patients with cataract and was also increased by DM and DR. We showed that AQP1 may be a biomarker of ARCs in patients without DM and a biomarker for retinal glial cell activation in diabetes patients without cataracts. The diverse expression of AQP1, AQP4, GFAP, and Kir4.1 in the AH at different stages of DR may help us to further understand the activation of glial cells in DM and DR.

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