Rapid Immunization Against H5N1: A Randomized Trial Evaluating Homologous and Cross-Reactive Immune Responses to AS03A-Adjuvanted Vaccination in Adults

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Background. Accelerated immunization schedules may help gain early control of influenza pandemics. We investigated different schedules of an AS03A-adjuvanted H5N1 vaccine.

Methods. This phase II, open-label, 6-month study randomized participants (aged 18–64 years) to 2 vaccine doses administered 21 (standard schedule), 14, or 7 days apart, or on the same day. Coprimary end points were that the lower limit of the 98.75% confidence interval 14 days after the last dose must be (1) >40% for seroconversion rate (SCR) (Center for Biologics Evaluation and Research [CBER] criterion) and (2) >50% for seroprotection rate (SPR) (attainment rate for reciprocal hemagglutination inhibition titers ≥40, protocol-defined criterion) for the vaccine homologous strain (A/Indonesia/5/2005). European Committee for Human Medicinal Products (CHMP) immunogenicity criteria were also evaluated.

Results. Coprimary end points were achieved (lower 98.75% confidence intervals exceeded defined values). Titers were highest with the standard schedule. Nevertheless, CBER SCR, protocol-defined SPR, and CHMP criteria were met with all schedules for the A/Indonesia/5/2005 strain. There were no significant differences between age groups (18–40 vs 41–64 years). Immune response was robust against drift variants A/turkey/Turkey/1/2005 and A/Vietnam/1194/2004.

Conclusions. The AS03A-adjuvanted H5N1 vaccine in accelerated schedules offers a robust immune response against vaccine homologous and drift variant strains, allowing consideration of compressed vaccination intervals.

Clinical Trials Registration. NCT00695669.

The avian H5N1 influenza virus remains a serious threat to human health, and human cases continue to be reported [1, 2]. The 2009 H1N1 influenza pandemic illustrates that pandemics may arise rapidly with an unexpected viral source. It is therefore essential to continue diligent preparations to deal with potential pandemic strain viruses, including H5N1.

Pandemic modeling data suggest that the peak disease incidence could occur in the United Kingdom and United States 50–85 days after the first national case is identified, assuming no intervention [3, 4]. In the 2009 H1N1 pandemic, the first cases were reported in mid-April 2009, and the World Health Organization announced Pandemic Alert Phase 6 on 11 June 2009. A vaccine based on a matching pandemic strain is unlikely to be produced quickly enough to immunize the required number of people to protect communities. An alternative strategy is to vaccinate before the pandemic occurs or when it is in its very early stages with a pre-pandemic formulation containing antigens that are not
strain matched to the actual pandemic virus [3]. Prepandemic vaccines must induce a high and long-lasting cross-reactive immune response so that the immune system is primed to mount a rapid response to infection and/or to vaccination with the strain-matched pandemic vaccine. In addition, it is important that prepandemic and pandemic vaccines should be antigen sparing, because production of enough vaccine to meet global needs will rely on the availability of sufficient quantities of the prepandemic and pandemic virus antigen [5, 6].

Populations naïve to the H5 hemagglutinin (HA) antigen may respond poorly to vaccination, and it has been shown elsewhere that up to 90 μg of HA antigen is needed to produce a satisfactory immune response with conventional H5N1 vaccines [7]. The use of adjuvants has been highlighted as an important strategy to gain antigen-sparing and improved immune responses in the development of pandemic and prepandemic vaccines [5]. An H5N1 vaccine containing as little as 3.75 μg of HA, adjuvanted with AS03A (a tocopherol oil-in-water emulsion based adjuvant system), is licensed to be used in Europe in the event of an imminent H5N1 pandemic. Immunogenicity against vaccine homologous strains and cross-reactivity have been demonstrated in other studies, together with an acceptable re-}

Secondary objectives were to (1) demonstrate that the immune response against the A/Indonesia/5/2005 strain meets the CBER criterion for SCR, the protocol-defined criterion for SPR 21 days after the last vaccine dose, and European Committee for Human Medicinal Products (CHMP) criteria at 14 and 21 days after the last vaccine dose; (2) describe the immune response against the drift-variant strains, A/Vietnam/1194/2004 and A/turkey/Turkey/1/2005, at 7, 14, and 21 days after the last vaccine dose; (3) describe the kinetics of the immune response between the first and last vaccinations and up to 6 months after the first vaccine dose; and (4) describe the safety and re-actogenicity of the vaccination schedules.

**Study Design and Vaccine**

This was a phase II, open-label, randomized, parallel-group, 6-month study conducted in 3 centers in Canada between June and December 2008 (NCT00695669). Healthy male and non-pregnant female participants aged 18–64 years were included in the study. Exclusion criteria are shown in the supplementary material. The study was conducted in accordance with the current version of the Declaration of Helsinki and the International Conference on Harmonisation Good Clinical Practice guidelines. The protocol was approved by the independent ethics committee or institutional review board of each study center and written informed consent was obtained from each participant.

The random allocation list was generated by GlaxoSmithKline Biologicals. Investigators enrolled participants. Participants were randomized 1:1:1:1 and assigned to 1 of 4 study groups, according to an Internet-based randomization blocking scheme. The randomization algorithm used a minimization procedure accounting for center and age (18–40 or 41–64 years). There were 4 study groups, which received 2 vaccine doses: (1) 21 days apart on days 0 and 21 (group 0/21); (2) 14 days apart on days 0 and 14 (group 0/14); (3) 7 days apart on days 0 and 7 (group 0/7); (4) 2 doses on day 0 (group 0/0). All participants attended study visits at days 0, 21, 42, and 182. Additionally, visits were scheduled at 7, 14, and 21 days after the last vaccine dose. Telephone contact was made with all participants on day 51.

The H5N1-inactivated split-virion recombinant vaccine (GlaxoSmithKline Biologicals) contained 15 μg/mL HA of the A/Indonesia/5/2005 PR8-1BCDC-RG2 strain obtained from the Centers for Disease Control and Prevention. When mixed 1:1 with AS03A, an oil-in-water emulsion-based adjuvant system containing 11.86 mg DL-α-tocopherol [8, 9], each vaccine dose (0.5 mL) provided 3.75 μg of HA. The vaccine was administered into the deltoid region, the first dose into the nondominant arm and the last into the dominant arm.

**METHODS**

**Study Objectives**

The primary objectives of the study were to demonstrate that the accelerated immunization schedules elicit an immune response against the vaccine homologous strain (A/Indonesia/5/2005) 14 days after the last vaccine dose of a 2-dose schedule that meets or exceeds the following criteria: (1) US Food and

Drug Administration Center for Biologics Evaluation and Research (CBER) criterion for seroconversion rate (SCR) and (2) a protocol-defined, potentially clinically meaningful criterion for seroprotection rate (SPR) (attainment rate for reciprocal hemagglutination inhibition [HI] titers ≥40).

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Study End Points and Procedures

Blood samples were taken from all participants at each study visit, and the following parameters were derived from HI titers: (1) geometric mean titer (GMT), (2) SCR, (3) protocol-defined SPR, and (4) geometric mean fold rise (GMFR). The SCR was defined as the percentage of participants with either (1) a prevaccination titer <1:10 and a postvaccination titer ≥1:40 or (2) a prevaccination titer ≥1:10 and a minimum 4-fold increase in postvaccination titer. The SPR was defined as the percentage of participants who attained reciprocal hemagglutination inhibition (HI) titers of ≥40. The geometric mean fold rise (GMFR) was defined as the geometric mean of the within-subject ratio of postvaccination/prevaccination reciprocal HI titers. CBER, Center for Biologics Evaluation and Research; CHMP, European Committee for Human Medicinal Products.

Statistics

A cutoff value for HI titers was defined as 1:10. Seronegative participants were defined as those having an antibody titer below the cutoff value; seropositive participants, those having a titer greater than or equal to the cutoff value. Antibody titers below the assay cutoff value were given an arbitrary value of 5 (half the cutoff value) for the GMT calculation. A point estimate and the associated 2-sided exact 98.75% confidence interval (CI) were calculated for the coprimary end points of SCR and protocol-defined SPR [13, 14]. A 2-sided exact 95% CI was used for the secondary objective evaluation, calculated by the same method. The exact 98.75% or 95% CI for a proportion within a group was calculated with ProcStatXact software [13].

RESULTS

Of the 312 participants who were enrolled and vaccinated, all but 8 completed the study (Figure 1). The ATP cohort for the immunogenicity analysis consisted of 283 participants (90.7% of the TVC); reasons for exclusion are shown in Figure 1. Demographic characteristics were similar in each study group and between the TVC and ATP cohort; in the TVC, the mean age was 40.3 years, 53.2% of participants were female, and 87.8% were of white/European heritage. A total of 148 (47.4%) were in the younger age stratum (18–40 years; mean age, 27.8 years), and 164 (52.6%) were in the older stratum (41–64 years; mean age, 51.7 years).
Immunogenicity Analysis

**Immune Response Against the Vaccine Homologous Strain in All Participants.** The study met its coprimary end points: Both the CBER criterion for SCR and the protocol-defined criterion for SPR were achieved 14 days after the last vaccine dose with all administration schedules (Tables 2 and 3). High levels of SCR and SPR were achieved with all schedules 14 days after the last vaccine dose, although rates were higher in groups 0/21 and 0/14 than in groups 0/7 and 0/0 (Tables 2 and 3). Responses in group 0/14 were similar to those in group 0/21, with point estimates higher for group 0/21. Similar findings were observed 21 days after the last vaccine dose (Tables 2 and 3), although point estimates were somewhat higher in the 0/7 group than in the 0/0 group. Although CIs overlapped, trends were for peak antibody responses to occur 14 days after the last dose in participants receiving the vaccine doses at 21- or 14-day intervals versus peak responses occurring 21 days after the last dose in those receiving the vaccine doses 7 days apart or on the same day.

The CHMP criteria for SCR and SPR were met with all schedules at 14 and 21 days after the last vaccine dose (Tables 2 and 3).

Table 2. Seroconversion Rate (SCR) 14 and 21 Days After Administration of the Last Vaccine Dose

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<tr>
<td><strong>14 days</strong></td>
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<tr>
<td>Group 0/21 (n = 65)</td>
<td>96.9 (86.9–99.8)</td>
<td>76.9 (64.8–86.5)</td>
<td>83.1 (71.7–91.2)</td>
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<tr>
<td>Group 0/14 (n = 69)</td>
<td>92.8 (81.2–98.3)</td>
<td>59.4 (46.9–71.1)</td>
<td>75.4 (63.5–84.9)</td>
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<td>Group 0/7 (n = 74)</td>
<td>71.6 (56.8–83.7)</td>
<td>33.8 (23.2–45.7)</td>
<td>51.4 (39.4–63.1)</td>
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<tr>
<td>Group 0/0 (n = 75)</td>
<td>72.0 (57.3–83.9)</td>
<td>33.3 (22.9–45.2)</td>
<td>42.7 (31.3–54.6)</td>
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<tr>
<td><strong>21 days</strong></td>
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<tr>
<td>Group 0/21 (n = 62)</td>
<td>95.2 (86.5–99.0)</td>
<td>66.1 (53.0–77.7)</td>
<td>83.9 (72.3–92.0)</td>
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<tr>
<td>Group 0/14 (n = 69)</td>
<td>92.8 (83.9–97.6)</td>
<td>49.3 (37.0–61.6)</td>
<td>71.0 (58.8–81.3)</td>
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<tr>
<td>Group 0/7 (n = 72)</td>
<td>80.6 (69.5–88.9)</td>
<td>26.4 (16.7–38.1)</td>
<td>51.4 (39.3–63.3)</td>
</tr>
<tr>
<td>Group 0/0 (n = 74)</td>
<td>74.3 (62.8–83.8)</td>
<td>31.1 (20.8–42.9)</td>
<td>50.0 (38.1–61.9)</td>
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**NOTE.** Data are presented for according-to-protocol cohort (participants aged 18–64 years). Vaccine doses were administered at intervals of 21 days (group 0/21), 14 days (group 0/14), or 7 days (group 0/7) or on the same day (group 0/0). The SCR was defined as the percentage of participants with either a prevaccination titer <1:10 and a postvaccination titer ≥1:40 or a prevaccination titer ≥1:10 and a minimum 4-fold increase in postvaccination titer; n = number of participants with data available (stub column) or numbers of respondents (with SCR values).

*a For the A/Indonesia/5/2005 strain at 14 days, 98.75% confidence intervals (CIs) are shown; all other CIs are 95%.
As with the overall analysis, the immune response within each age group (18–40 and 41–64 years) was higher in groups 0/21 and 0/14 than in groups 0/7 and 0/0 (Figure 3a and b). Values for SCR, SPR, and GMT did not differ greatly by age. However, GMTs in the 0/21 group were somewhat higher in younger participants (age, 18–40 years) than older participants (age, 41–64 years), and a similar pattern was observed in the 0/14 group.

**Immune Response Against Drift-Variant Strains.** The immune response against the drift variants was relatively robust, although, as expected, lower than against the vaccine homologous strain (Tables 2 and 3). The immune response against the clade 2 A/turkey/Turkey/1/2005 strain was greater than against the clade 1 A/Vietnam/1194/2004 strain.

The CBER criterion for SCR was reached for both strains in group 0/21 and 0/14 at 14 days after the last vaccine dose, although this was not the case for the A/Vietnam/1194/2004 strain in group 0/14 at 21 days after the last dose (Table 2). The protocol-defined criterion for SPR was achieved in group 0/21 for both strains at both time points. For group 0/14, it was achieved for the A/turkey/Turkey/1/2005 strain at both time points (Table 3).

The CHMP criterion for SCR was met with all vaccination schedules for the A/turkey/Turkey/1/2005 strain and in groups 0/21 and 0/14 for the A/Vietnam/1194/2004 strain at both time points (Table 2). For SPR, the CHMP criterion was met at 14 days after the last dose in groups 0/21 and 0/14 for the A/turkey/Turkey/1/2005 strain and in groups 0/21 and 0/14 for the A/Vietnam/Turkey/1/2005 strain and in group 0/21 for the A/Vietnam/1194/2004 strain (Table 3). However, at 21 days after the last dose, only the A/turkey/Turkey/1/2005 strain met the CHMP criterion for SPR in groups 0/21 and 0/14 (Table 3).

**Safety Analysis**

There were no clinically relevant differences between study groups in the reactogenicity or general safety profile of the vaccine (data shown in supplementary material). A total of 8 SAEs were reported by 5 participants: 1 participant reported exacerbated irritable bowel syndrome (group 0/21); 1 died as a result of injury (group 0/7); 1 experienced syncope (group 0/7); 1 experienced exacerbated diabetes mellitus, myocardial infarction, cellulitis, and congestive heart failure, and was withdrawn from the study (group 0/7); and 1 experienced phlebitis (group 0/0). All SAEs were considered unrelated to the vaccine by the investigators.
DISCUSSION

The study showed that the AS03A-adjuvanted vaccine produced a robust immune response with all the accelerated schedules evaluated. The study met its primary end point, with the vaccine eliciting an immune response against the vaccine homologous strain with all schedules at 14 days after the last vaccine dose that exceeded the CBER criterion for SCR and a protocol-defined criterion for SPR that was considered potentially clinically meaningful.

Mathematical modeling studies have suggested that a stockpiled vaccine not strain matched to the pandemic virus may nevertheless have a considerable impact on containing the pandemic [3, 15], because protection could develop not only...
against the vaccine homologous strain but also against drifted strains. Another model has predicted that vaccinating a certain number of people with 1 dose is more effective at mitigating a pandemic than providing maximal protection with 2 vaccine doses to half that number [4]. Some schedule flexibility may help to ease the inevitable logistic pressures associated with delivering a pandemic or prepandemic vaccination program [5]. In particular, vaccination with only 1 dose will simplify programs [16]. The H1N1 pandemic has shown that a pandemic can indeed arise very rapidly from an unexpected source. Timely actions are needed to contain a pandemic, and vaccination strategies that prioritize rapid mass immunization are crucial from a public health perspective.

Licensing authority criteria are based on threshold levels of immune response that are likely to offer protection against infection to individuals. However, it could be important that health authorities also consider vaccination strategies that may offer less than maximal protection to individuals but provide greater benefits to the population as a whole because they help contain the pandemic. It was in this context that we developed the protocol-defined criterion specifying that the lower limit of the CI for SPR had to be >50%. Although there is no known correlate of protection for H5N1, we assumed that potentially useful clinical protection would be achieved if the lower limit of the SPR exceeded 50%.

This assumption was based on modeling results predicting that a vaccine that achieved 30% efficacy against viral susceptibility and 50% efficacy against infectiousness may be a useful part of a pandemic containment strategy [15]. Thus, attaining a titer potentially able to modify susceptibility or transmission in 50% of participants within 2 weeks of completing immunization seemed a reasonable goal.

The reference schedule with a 21-day interval between the first and last vaccine doses produced the highest immune response against the vaccine homologous strain, followed by the schedule with a 14-day interval. The response was less for the schedules with shorter intervals (7-day interval and same-day administration), but these schedules nevertheless produced an adequate immune response based on CBER SCR, protocol-defined SPR, and CHMP (SCR, SPR, and GMFR) criteria 14 days after the last dose. Values with the 21- and 14-day schedules tended to be higher in younger participants (18–40 years) than in older participants (41–64 years), but there were no relevant differences between the immune responses of younger versus older adults. The vaccine has also been evaluated in the elderly (≥61 years) and can be given at the same dose and schedule as in younger adults (3.75-μg doses given 21 days apart) [17]. Recent studies suggest a negative impact of prior seasonal influenza vaccination on seroresponses to H5N1 vaccines [18]. However, we did not evaluate any potential effect of seasonal vaccination in this study.

Antibodies persisted above baseline levels 6 months after vaccine administration; although levels had declined compared with earlier in the study, CBER and CHMP criteria for SCR were met with the 21-day, 14-day, and same-day schedules. Nevertheless, the study was not powered to detect differences between the different schedules in terms of the magnitude of response at 6 months.

Previous studies have shown that the AS03\textsubscript{a}-adjuvanted H5N1 vaccine produces a cross-reactive immune response to drift variant strains [8–10]. The immune response against the drift variant strains, A/Vietnam/1194/2004 and A/turkey/Turkey/1/2005, was robust, particularly against the A/turkey/Turkey/1/2005 strain, which is genetically more closely related to the vaccine homologous strain. As with the vaccine homologous strain, the response was higher with the 21- and 14-day intervals. Nevertheless, some level of response was observed with all schedules, with CBER, protocol-defined, and CHMP criteria being met in several cases.

The observed peak immune response occurred consistently at 14 days after the last vaccine dose with the 21- and 14-day schedules, regardless of strain or age. However, no clear peak was observed with the 7-day and same-day schedules, although the trend was for the peak response to occur at 21 days after the second vaccine dose. This suggests that reducing the interval between vaccinations not only induces lower immune responses but also generates a slower increase in the response.

As mentioned elsewhere, a mathematical model has predicted that vaccinating a certain number of people with 1 dose provides more effective pandemic mitigation than vaccinating half that number with 2 doses [4]. In our study, GMTs against the vaccine homologous strain in the 21-day interval group were 40.2 at 21 days after a single vaccine dose, compared with 640.0 at 14 days after the second dose. Similarly, in the 14-day interval group, GMTs were 26.8 at 14 days after a single dose, compared with 345.0 at 14 days after the second dose. The same pattern was seen in the 7-day interval group: 7.6 at 7 days after a single dose compared with 77.7 at 14 days after the second dose. Thus, a relatively low immune response was observed after a single dose, and therefore the effect on pandemic mitigation after only 1 dose of H5N1 vaccine is uncertain.

The safety profile of the vaccine was acceptable and there appeared to be no impact of administration schedule on its reactogenicity profile. Reactogenicity was no more severe when the vaccine was administered twice on the same day compared with the other schedules.

In conclusion, the present study shows that the vaccine given in an accelerated schedule elicits a potent immune response against the vaccine homologous strain, offers a rapid immune response evident after only 1 double dose, and elicits a cross-reactive response against drift variant strains. This profile may provide flexibility for health authorities to consider more temporally compressed dosing schedules with an antigen-sparing vaccine in the event of an emergency H5N1 outbreak. The data presented suggest that such shortened regimens could be implemented.
Supplementary data

Supplementary data are available at The Journal of Infectious Diseases online.

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