Reduced Risk of Disease During Postsecondary Dengue Virus Infections

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Background. Antibodies induced by infection with any 1 of 4 dengue virus (DENV) serotypes (DENV-1–4) may influence the clinical outcome of subsequent heterologous infections. To quantify potential cross-protective effects, we estimated disease risk as a function of DENV infection, using data from longitudinal studies performed from September 2006 through February 2011 in Iquitos, Peru, during periods of DENV-3 and DENV-4 transmission.

Methods. DENV infections before and during the study period were determined by analysis of serial serum samples with virus neutralization tests. Third and fourth infections were classified as postsecondary infections. Dengue fever cases were detected by door-to-door surveillance for acute febrile illness.

Results. Among susceptible participants, 39% (420/1077) and 53% (1595/2997) seroconverted to DENV-3 and DENV-4, respectively. Disease was detected in 7% of DENV-3 infections and 10% of DENV-4 infections. Disease during postsecondary infections was reduced by 93% for DENV-3 and 64% for DENV-4, compared with primary and secondary infections. Despite lower disease rates, postsecondary infections constituted a significant proportion of apparent infections (14% [for DENV-3 infections], 45% [for DENV-4 infections]).

Conclusions. Preexisting heterotypic antibodies markedly reduced but did not eliminate the risk of disease in this study population. These results improve understanding of how preinfection history can be associated with dengue outcomes and DENV transmission dynamics.

Keywords. dengue; dengue fever; antibody cross-protection; seroepidemiology.

Dengue virus (DENV) is the most prevalent arthropod-transmitted virus among humans, with conservative estimates placing half of the world’s population at risk for infection [1, 2]. Dengue can be caused by any of 4 viral serotypes (DENV-1–4), and infection can lead to a range of outcomes, from subclinical infection to death [3]. Infection outcomes are determined by a suite of factors, including host susceptibility, virus genetics, cell-mediated immune response, and cross-reactive antibodies [4, 5]. Cross-reaction between preexisting DENV antibodies and virus of a heterotypic infecting serotype is due to the conservation of some viral envelope proteins across serotypes, which results in antibody binding to the virus particles without fully neutralizing them [6]. There is epidemiologic and in vitro evidence that these cross-reactive antibodies can enhance infection and disease severity during secondary infections (referred to as antibody-dependent enhancement [7–9]). It has also been inferred from hospital admissions data that severe disease is rare during third and fourth infections [10], suggesting that there is a protective effect conferred by cumulative cross-reactive antibodies. Despite the uncertainties, a general lesson from multistrain DENV mathematical models is that the effects of preexisting antibodies, either enhancing or protective, can significantly influence projected virus transmission and disease dynamics [11–15].

Because of the possibility for disease potentiation from subneutralizing antibodies, vaccine design efforts have aimed for a tetravalent formulation that simultaneously...
METHODS

Study Population
We used data from longitudinal cohort studies conducted in Iquitos from September 2006 through February 2011. Iquitos is a city of 380,000 inhabitants located in the Amazon Basin of northeastern Peru and has been described in detail elsewhere [19]. The city has experienced a well-defined pattern of serotype introductions, with each new serotype replacing the previous one: DENV-1 was introduced during the early 1990s [20], followed by American genotype DENV-2 in 1995 [21, 22], DENV-3 in 2001 [19], and DENV-4 in 2008 [23]. During our study interval, DENV-3 was in an interepidemic period. DENV-4 is known to have been introduced to the city by February 2008 and became the dominant serotype by October 2008 [23], although it may also have cocirculated at low levels with DENV-1 during 1990 [20]. Given very low seroprevalence (1.5%) of DENV-4 detected before 2008, we assumed that the study population was uniformly susceptible to that serotype before the 2008 introduction. Despite multiple serotype introductions, the incidence rate of reported severe disease remained extremely low throughout the region [24].

Longitudinal Cohorts
Beginning in September 2006, a baseline serum sample was collected from 2356 individuals (cohort A), with follow-up samples collected and assayed by plaque reduction neutralization test (PRNT) approximately every 6 months until October 2008. A second study with a similar structure (blood sample collection every 6 months) was initiated in September 2007, with baseline serum samples collected from 2445 individuals (cohort B). Persons aged ≥5 years were eligible to participate. Study protocols were approved by the institutional review boards of the University of California, Davis (cohort A: protocol 2006.14405/261811; cohort B: protocol 2007.15244/296683), and the Naval Medical Research Center (cohort A: protocol NMRC2005.0009; cohort B: protocol NMRC2007.0007), in compliance with regulations in the United States and Peru governing the protection of human subjects.

For both studies, participants’ homes were visited by technicians 3 times per week to inquire about febrile illness consistent with dengue [25]. Technicians were assigned specific city blocks. Dengue-like illness was classified as occurrence of fever, either by observation (oral temperature, ≥38°C; axillary temperature, ≥37.5°C) or subject self-report, plus at least 1 other symptom consistent with DENV infection, including headache, retro-orbital pain, or bone pain. There were 2 instances in which a classification was made without the presence of fever, given the presence of multiple other consistent symptoms (Table 1). Serum samples (10 mL) were collected at the time of acute fever presentation, with convalescent samples collected 2–4 weeks following collection of the initial acute-phase blood specimen.

Laboratory Methods
DENV neutralizing antibodies were measured by PRNT as described by Morrison et al and Comach et al [19, 26].

Table 1. Signs and Symptoms in >10% of Cases of Apparent Illness Due to Dengue Virus Serotype 3 (DENV-3) and DENV-4

<table>
<thead>
<tr>
<th>Sign or Symptom</th>
<th>DENV-3, Cases, No. (%) (n = 50)</th>
<th>DENV-4, Cases, No. (%) (n = 144)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fever</td>
<td>50 (100)</td>
<td>142 (99)</td>
</tr>
<tr>
<td>Headache</td>
<td>50 (100)</td>
<td>139 (97)</td>
</tr>
<tr>
<td>Chills</td>
<td>49 (98)</td>
<td>137 (95)</td>
</tr>
<tr>
<td>Body pain</td>
<td>48 (96)</td>
<td>135 (94)</td>
</tr>
<tr>
<td>Loss of appetite</td>
<td>38 (76)</td>
<td>127 (88)</td>
</tr>
<tr>
<td>Arthralgia</td>
<td>43 (86)</td>
<td>117 (81)</td>
</tr>
<tr>
<td>Myalgia</td>
<td>41 (82)</td>
<td>116 (81)</td>
</tr>
<tr>
<td>Retro-ocular pain</td>
<td>46 (92)</td>
<td>113 (78)</td>
</tr>
<tr>
<td>Rash</td>
<td>45 (90)</td>
<td>104 (72)</td>
</tr>
<tr>
<td>Nausea</td>
<td>34 (68)</td>
<td>84 (58)</td>
</tr>
<tr>
<td>Vomiting</td>
<td>16 (32)</td>
<td>37 (26)</td>
</tr>
<tr>
<td>Diarrhea</td>
<td>14 (28)</td>
<td>45 (31)</td>
</tr>
<tr>
<td>Abdominal pain</td>
<td>28 (56)</td>
<td>71 (49)</td>
</tr>
<tr>
<td>Sore throat</td>
<td>17 (34)</td>
<td>43 (30)</td>
</tr>
<tr>
<td>Cough</td>
<td>20 (40)</td>
<td>40 (28)</td>
</tr>
<tr>
<td>Nasal congestion</td>
<td>14 (28)</td>
<td>34 (24)</td>
</tr>
<tr>
<td>Petechiae</td>
<td>6 (12)</td>
<td>18 (13)</td>
</tr>
<tr>
<td>Hematuria</td>
<td>. . .</td>
<td>18 (13)</td>
</tr>
</tbody>
</table>
used were DENV-1 strain 16007 (Thailand 1974), DENV-2 strain 16681 (Thailand 1974), DENV-3 strain IQT1728 (Peru 2001), and DENV-4 strain 1036 (Indonesia 1976). Neutralization was measured at 70% plaque reduction relative to negative control specimens (ie, DENV-naive normal human serum) at cutoffs of 1:60 for DENV-1, 1:80 for DENV-2, 1:60 for DENV-3, and 1:40 for DENV-4.

Acute serum samples from febrile cases were inoculated onto C6/36 cells for virus isolation, using an immunofluorescence assay (IFA) as previously described, and screened for DENV RNA by reverse transcription polymerase chain reaction (RT-PCR) [27, 28]. Acute and convalescent samples were assayed for DENV-specific immunoglobulin M (IgM) antibodies by an antibody-capture enzyme-linked immunosorbent assay [27].

Baseline Serostatus
Two study periods were identified: (1) a period of DENV-3 dominance, between late 2006 and mid-2008, when >99% of all DENV isolates were DENV-3 [27]; and (2) a period of DENV-4 dominance, between late 2008 and late 2010, when >96% of all DENV isolates were DENV-4 (Figure 1) [23]. We defined baseline serostatus by PRNT_{70} for each participant, using samples collected at the beginning of the 2 retrospective study periods (from August to October 2006 for DENV-3 and from November 2007 to August 2008 for DENV-4). On the basis of previous studies by our research group, we were able to reliably classify individuals’ DENV exposure histories during various distinct waves of DENV invasion and transmission [19, 22, 29, 30]. However, owing to the uncertainties often associated with PRNT following multiple DENV infections [31–34], we organized individuals into broad exposure categories: naive, monotypic, and multitypic. Participants without neutralizing antibodies against any of the 4 serotypes at the dilutions specified above were defined as DENV naive, participants with neutralizing antibodies against 1 serotype were defined as monotypic for that serotype and susceptible to the remaining 3, and participants with neutralizing antibodies against 2 or more serotypes were defined as multitypic. Serostatus and seroconversion were confirmed by PRNT_{70} with subsequent sequential serum samples, when available. Individuals with DENV-3– or DENV-4–specific antibodies at the start of the respective study periods (ie, participants with prior DENV-3 or DENV-4 exposure) were excluded from the analysis (see below).

Seroconversions
We estimated infection rates among the study population by identifying DENV-3– or DENV-4–susceptible individuals at baseline and comparing the proportion who seroconverted during the study period with those who continued to show no evidence of serotype-specific antibodies throughout the study period. Primary infections occurred in people classified as naive, secondary infections in people with prior monotypic exposure, and postsecondary infections in people with prior multitypic exposures. On the basis of the low seroprevalence of DENV-3 and DENV-4 within the study population before their introductions into the region, we estimated a high serotype specificity (>95%) for DENV-3 and DENV-4 PRNTs, despite the previous circulation of other DENV serotypes [30]. Using serial samples from confirmed cases, we observed high sensitivity (approximately 88%) for DENV-3 and moderate sensitivity (approximately 67%) for DENV-4 PRNT. In light of the absence of detected concurrent circulation of heterologous serotypes, we found that we could improve our ability to detect DENV-4 seroconversions (approximately 85% sensitivity). During the period of DENV-4 circulation, we assumed that all seroconversions were attributable to DENV-4 infection and validated this assumption against samples from participants with virologically confirmed DENV-4 infections.

Symptomatic Infections
We estimated the proportion of infections that resulted in disease by comparing participants with serotype-specific seroconversions at any point during the study period against febrile surveillance data to determine whether an individual had experienced a dengue-like illness during the intervening period. Disease was defined as an acute febrile illness identified by febrile surveillance (see above), with DENV infection confirmed
by IFA, RT-PCR, or a 4-fold rise in serum IgM titer between collection of acute and convalescent blood specimens.

To estimate the proportion of symptomatic infections, we included participants who seroconverted at any point during the study period, because our door-to-door surveillance permitted us to detect febrile episodes during the intervening period. In contrast, to determine seroconversion rates, as described above, we only included participants who provided samples at the beginning and end of the study period, to avoid underestimating the number of seroconversions. Thus, the number of seroconversions used in the numerator of the seroconversion rate differed from the number of seroconversions used in the denominator of the symptomatic infection rate.

Analyses

The effects of preinfection serostatus on disease risk were estimated with mixed-effects general linear models with the assumption of a binomial error distribution and logit link function. Age was included as a fixed effect to account for age-dependent effects on disease outcomes. City block was included as a random effect to account for variation in surveillance efficiency due to differences among technicians and neighborhoods. We used Akaike’s information criterion with small sample size correction and log-likelihood ratio tests (for nested models) to compare models.

Because of the positive correlation between age and number of DENV exposures, we performed a matched-pair case-control analyses to isolate the effect of infection history on disease rate for both DENV-3 and DENV-4. Individuals with disease were matched by sex and age with controls who did not report disease. To avoid introducing systematic biases, controls were blinded for exposure history before being matched on the basis of sex and date of birth (typically within 1 month). The control with the closest birth date was chosen, and if there were 2 potential controls with the same birth date, the first on the list was used. These data were then analyzed with the McNemar test.

Initial mixed-effects generalized linear models included all infection histories, to consider specific interserotype interactions. We subsequently collapsed these into exposure categories based on preinfection serostatus: naive, monotypic, and multitypic. Broad categories were used in the final analyses, to provide a parsimonious representation of the data and to reduce uncertainties arising from the difficulty of interpreting DENV serological results after a secondary infection.

Statistical analysis was performed using R, version 2.14.1 [35]. Statistical significance was assessed at $\alpha = 0.05$.

RESULTS

DENV-3

Transmission and Case Capture

Of 1077 cohort participants fulfilling our inclusion criteria for estimating infection rates, 420 (39%) seroconverted to DENV-3 between September 2006 and October 2008. Seroconversion rates varied with infection history and were highest in the group of individuals with ≥2 previous exposures (Table 2).

There were 51 cases of apparent illness detected by active surveillance among the 691 participants with evidence of seroconversion at any point during the study period (ie, not restricted to those with samples from both the first and last 6-month sample periods; ratio of apparent to inapparent infections, 1:13). Signs and symptoms were recorded (Table 1). No...
cases required hospitalization. Ninety percent of the disease cases were confirmed by IFA or PCR, and 10% were confirmed by IgM analysis. Disease rates were lower among individuals with ≥2 prior exposures relative to individuals who were never previously exposed to DENV or with only a single prior exposure (Table 2). Still, postsecondary infections accounted for 14% of apparent dengue cases.

### Estimates of Disease Risk

Relative to naive individuals, the odds of developing disease was significantly reduced in the presence of multitypic heterologous antibodies (odds ratio [OR], 0.048; 95% confidence interval [CI], .018–.1; Table 3 and Supplementary Tables 1 and 2) after control for age. Prior exposure to DENV-1 (either in a monotypic or multitypic profile) significantly reduced the odds of disease from DENV-3 exposure, whereas DENV-2 alone did not (Supplementary Table 2). A multitypic antibody profile (in this case, DENV-1/DENV-2 infection history) conferred significantly more protection from disease than DENV-1 alone (P < .001, by the Wald test). Variation in surveillance effort (city block) was minimal and did not significantly modify model fit. Although neither sex nor age were significantly associated with disease outcome in any model for DENV-3, we ran a paired case-control analysis, matching on these variables. There was a highly significant relationship between infection history and disease (P < .001, by the McNemar test.) independent of age and sex, with prior exposure to ≥2 DENV serotypes associated with reduced disease during heterotypic DENV-3 infection (OR, 0.08; 95% CI, .0092–.32).

### Table 3. Parameter Estimates for Dengue Virus Serotype 3 (DENV-3) and DENV-4 Maximum Likelihood Models

<table>
<thead>
<tr>
<th>Serotype, Parameter</th>
<th>OR (95% CI)</th>
<th>P</th>
</tr>
</thead>
<tbody>
<tr>
<td>DENV-3</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Naive</td>
<td>1.00 (reference)</td>
<td></td>
</tr>
<tr>
<td>Monotypic</td>
<td>0.55 (.26–1.11)</td>
<td>.098</td>
</tr>
<tr>
<td>Multitypic</td>
<td>0.048 (.018–11)</td>
<td>&lt; .001</td>
</tr>
<tr>
<td>Age</td>
<td>0.99 (97.1–100)</td>
<td>.35</td>
</tr>
<tr>
<td>DENV-4</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Naive</td>
<td>1.00 (reference)</td>
<td></td>
</tr>
<tr>
<td>Prior exposure to DENV-1</td>
<td>0.45 (.21–.91)</td>
<td>.031</td>
</tr>
<tr>
<td>Prior exposure to DENV-2 or -3</td>
<td>0.97 (.57–1.63)</td>
<td>.90</td>
</tr>
<tr>
<td>Multitypic</td>
<td>0.22 (.13–.38)</td>
<td>&lt; .001</td>
</tr>
<tr>
<td>Age</td>
<td>1.07 (1.02–1.12)</td>
<td>.0039</td>
</tr>
<tr>
<td>Age squared</td>
<td>0.9989 (9.988–9.996)</td>
<td>.0014</td>
</tr>
</tbody>
</table>

Abbreviations: CI, confidence interval; OR, odds ratio.  
A. Approximate R², 22.41%.  
B. Defined as having neutralizing antibody to ≥2 serotypes, as measured by a plaque reduction neutralization test.  
C. Approximate R², 6.94%.

We observed a 53% overall seroconversion rate due to DENV-4 infection among 2997 participants who fulfilled the inclusion criteria (Table 2). We observed minimal variation in seroconversion rates across exposure histories (Table 2). There was no significant association between infection history and the risk of seroconversion when data were analyzed using logistic regression, although having ≥2 prior infections did approach statistical significance as a risk factor (OR, 1.23; 95% CI, .98–1.55; P = .072). Of the 1595 participants that seroconverted to DENV-4, active surveillance detected 161 cases of fever with at least 1 additional DENV-associated symptom (Table 1). No participants were hospitalized with DENV infection. Cases were confirmed as dengue by either PCR or IFA (82% of cases) or by a 4-fold rise in IgM titer between acute and convalescent samples (18%). Although symptomatic illness was more common with naive or monotypic serostatus than with multitypic serostatus (Table 2), the latter accounted for 45% of all detected cases of disease due to DENV-4. Overall, the ratio of apparent to inapparent DENV-4 infections was 1:9.

### Estimates of Disease Risk

In a multivariable model, infection history and participant age were significantly associated with risk of disease due to DENV-4 infection (Table 3 and Supplementary Tables 1 and 2). Monotypic DENV-1 antibodies were associated with reduced odds of disease, whereas monotypic DENV-2 or DENV-3 antibodies were not (Table 3). Disease risk was significantly reduced for individuals with multitypic serostatus (OR, 0.22; 95% CI, .13–.38), with additional protective effects beyond that of DENV-1 antibodies alone (P = .0069 by the Wald test). Disease risk appeared to vary non-linearly with age (Figure 2), with the highest risk between 25 and 30 years of age. As with DENV-3, when city block was included as a random effect, no impact on the relationship between age, serostatus, and disease was observed. Matched-pairs case-control analysis also showed that the presence of antibodies to ≥2 DENV serotypes was associated with reduced disease, independent of age and sex (OR, 0.2; 95% CI, .086–.41).

### DISCUSSION

Disease in Iquitos was significantly reduced among individuals with postsecondary DENV-3 and DENV-4 infections. Relative to average disease rates during primary and secondary infections, the incidence among postsecondary infections was reduced by 93% for DENV-3 and 64% for DENV-4, even though infection rates were not reduced among people with prior exposures to DENV. To our knowledge, this is the first population-based evidence quantifying a cumulative protective
effect of heterologous DENV neutralizing antibodies against disease, which has been hypothesized for >40 years [36].

Previous studies investigating cross-protection in sequential infections have provided evidence for a short period of protection against classic dengue fever [37] and long-term protection against severe disease in third and fourth infections [10]. Although we did not attempt to estimate a short-term effect and we did not observe any cases of severe dengue, we were able to show that the effect of heterologous antibody was cumulative, resulting in a reduced incidence of disease during postsecondary infection with DENV-3 or DENV-4.

Cross-protection was not uniform across serotypes. People with DENV-1 neutralizing antibodies were less likely to develop disease, pointing to an epidemiologically important role of the sequence of infecting serotypes in determining clinical outcome [9, 29, 38–40]. This finding, however, is discordant with the observation made in Cuba that infections with DENV-3 were more pathogenic in the presence of preexisting DENV-1 antibody than with DENV-2 antibody [41], which underscores the need to exercise caution in generalizing epidemiological observations between different populations. Previously, cross-reactive DENV-1 antibodies were hypothesized to protect against severe disease during secondary infection with American genotype DENV-2 [29]. Together, these data indicate that, in the context of the study population in Iquitos, DENV-1 antibodies may be broadly cross-protective but that antibodies to American DENV-2 and genotype III of DENV-3 are not, although they do contribute to a cumulative effect of reduced disease.

In contrast to reduced rates of disease, infection rates were higher among people with neutralizing antibody to ≥2 DENV serotypes. Studies in Cuba of 1981 and 1997 dengue epidemics also found higher infection rates in individuals with prior DENV exposure [42, 43], which the authors hypothesized was due to household-level heterogeneities in mosquito populations. In Iquitos, we have observed consistent spatial variation in Aedes aegypti population densities [44] that correlates well with patterns of seroprevalence on broad spatial scales [19, 30] and supports the premise that seroconversion rates are attributable at least in part to variation in individual exposure to mosquito bites. Our observation that a substantial proportion (approximately 70%) of the study population that seroconverted during 2006–2011 had preexisting antibodies to ≥2 serotypes leads us to predict that people experiencing postsecondary infections constitute a subpopulation that contributes disproportionately more to DENV transmission. A key unanswered question is whether the individuals with asymptomatic or mild ambulatory infections were also infectious to mosquitoes.

We observed a nonlinear, age-specific variation in the risk of disease due to DENV-4, with risk peaking around 25 years of age. Possible biological explanations are that individuals aged 15–25 years are more likely to be bitten by mosquitoes [45] and/or that an immunological response related to age is present [46]. We found, however, that age was not a significant factor in DENV-3 infection outcomes. A matched-pairs case-control analysis showed that reduction in both DENV-3 and DENV-4 disease resulting from ≥2 prior DENV exposures is independent of the effect of age. Together, these findings indicate that, within the context of the Iquitos study population, age was not a significant driver of infection outcomes.

The goal of leading DENV vaccine candidates is to induce a protective response against all 4 serotypes, as measured by neutralizing antibody titers and reductions in disease incidence [17, 47, 48]. Should a vaccine provide incomplete protection (ie, to only 2 or 3 serotypes) because of immunological interference [49] or inconsistency in delivering the complete series of inoculations, our analyses indicate that in a population where dengue is endemic, there may be a reduction in disease without a corresponding reduction in human infection and virus transmission to mosquitoes. Given our results that postsecondary infections accounted for approximately 70% of total seroconversions to DENV-3 and DENV-4 (Table 2), it may be necessary to reevaluate critical immunization thresholds by using revised basic reproduction numbers that take into consideration infection of people with preexisting exposure to ≥2 DENV serotypes [50].

A central issue in the interpretation of data from our study was the necessary reliance on in vitro virus neutralization assays to characterize individual DENV exposure history. PRNT is considered the criterion standard for measuring serotype-specific DENV neutralizing antibodies and is thought to distinguish among naive, monotypic, and multitypic antibody profiles. We grouped individuals with neutralizing antibody to ≥2 DENV serotypes into 1 category (multitypic) because of limitations in differentiating between third and fourth infections. In our study, interpretation was facilitated by the sequential dominance of single serotypes in Iquitos. DENV-3 and DENV-4...
constituted >99% of all isolates during the first and second halves, respectively. DENV-3 seroconversion was assessed in a previous study and found to be highly reliable [19], and we recently estimated a specificity of approximately 96% for our DENV-4 PRNT, regardless of infection history. PRNT is the method currently used to assess whether a vaccine induces a humoral response, and thus our results are relevant despite uncertainties inherent in the assay and its interpretation (ie, disease was reduced among individuals with elevated antibody titers to ≥2 DENV serotypes and may have been sequence/serotype specific, but infection risk was not).

The distinct sequence of serotype introductions and accompanying well-defined serological characteristics of the Iquitos cohort population constitute a unique opportunity to quantitatively assess the role of preexisting antibodies in DENV infection outcome. In our study, preexisting cross-neutralizing antibodies were strongly associated with a reduced risk of infection against infection. This underscores the need to account for and better understand the impact of DENV postsecondary infections on disease burden and virus transmission dynamics.

Supplementary Data

Supplementary materials are available at The Journal of Infectious Diseases online (http://jid.oxfordjournals.org/). Supplementary materials consist of data provided by the author that are published to benefit the reader. The posted materials are not copyrighted. The contents of all supplementary data are the sole responsibility of the authors. Questions or messages regarding errors should be addressed to the author.

Notes

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References


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