

# Assembling Old Tricks for New Tasks: A Neural Model of Instructional Learning and Control

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## Abstract

■ We can learn from the wisdom of others to maximize success. However, it is unclear how humans take advice to flexibly adapt behavior. On the basis of data from neuroanatomy, neurophysiology, and neuroimaging, a biologically plausible model is developed to illustrate the neural mechanisms of learning from instructions. The model consists of two complementary learning pathways. The slow-learning parietal pathway carries out simple or habitual stimulus–response (S-R) mappings, whereas

the fast-learning hippocampal pathway implements novel S-R rules. Specifically, the hippocampus can rapidly encode arbitrary S-R associations, and stimulus-cued responses are later recalled into the basal ganglia-gated pFC to bias response selection in the premotor and motor cortices. The interactions between the two model learning pathways explain how instructions can override habits and how automaticity can be achieved through motor consolidation. ■

## INTRODUCTION

We don't always need to learn things on our own, the hard way, from trial and error. By reading books and chatting with other people, we can immediately learn from the wisdom of others to avoid making mistakes. This way of learning from instruction/advice, unlike observational learning, does not require explicit demonstration and lies at the core of human communication and flexible behavior.

Given that instructional learning is arguably the most sophisticated form of learning seen in the animal kingdom, why does it appear so effortless in comparison with more basic, trial-and-error learning? How does instruction enable us to perform complex novel tasks perfectly on the first attempt? In this article, we address these questions with a biologically informed neural network model that can flexibly recombine old tricks for new tasks via mechanisms of hippocampal fast learning and prefrontal working memory.

Although instruction learning and following happen in almost every human laboratory experiment and in everyday life, learning from instruction has been much less explored from a mechanistic, computational modeling perspective, compared with other domains of learning, such as reinforcement learning. There has been some research in this area examining the role of instructional control in category learning (Noelle & Cottrell, 1996), probabilistic reward learning (Li, Delgado, & Phelps, 2011; Walsh & Anderson, 2011; Biele, Rieskamp, & Gonzalez, 2009; Doll, Jacobs, Sanfey, & Frank, 2009), and stimulus–response (S-R) learning (Cohen-Kadosh & Meiran, 2009; Wenke, Gaschler,

Nattkemper, & Frensch, 2009), instructional learning remains a poorly characterized phenomenon. Because different types of tasks likely engage different brain networks and mechanisms for encoding procedural knowledge in that particular domain, for simplicity we center our discussion on S-R instructions hereafter.

Multiple sources of neural data (e.g., neuroimaging and electrophysiology) show that implementation of novel S-R mappings involves several cortical and subcortical areas, such as premotor, prefrontal and posterior parietal cortices, hippocampus and striatum (Brass, Wenke, Spengler, & Waszak, 2009; Suzuki, 2007; Casey, Thomas, Davidson, Kunz, & Franzen, 2002). Although it remains unclear exactly how these brain areas coordinate to learn and implement instructions, the lateral pFC appears to be particularly important for instructional control (Hartstra, Kuhn, Verguts, & Brass, 2011; Ruge & Wolfensteller, 2010).

Several human and monkey studies on conditional motor learning (without the instruction component) provide insight into the functional roles of brain regions involved in processing S-R mappings. Whereas the hippocampal system underlies rapid acquisition of novel S-R associations (Brasted, Bussey, Murray, & Wise, 2005; Casey et al., 2002), the posterior parietal cortex subserves transformation of simple and well-learned S-R mappings (Grol, de Lange, Verstraten, Passingham, & Toni, 2006; Corbetta & Shulman, 2002). As for responses, motor preparation is carried out by the premotor cortex and other motor-related areas (Suzuki, 2007; Cavina-Pratesi et al., 2006), and the ACC is involved in monitoring response conflict (Brass et al., 2009; Botvinick, Cohen, & Carter, 2004).



Unlike a single-purpose neural network that slowly re-wires the whole system to learn a new sensorimotor transformation, this general-purpose instructable model separates movement from plan representations and restricts plan updating to lie within the fast-learning hippocampus. Specifically, the pFC together with BG in the model learns a vocabulary of action items and the corresponding motor responses. The model hippocampus rapidly encodes S-R instructions as action episodes. It can retrieve a stimulus-dependent action command into the dorsolateral pFC as a goal for guiding subsequent behavior although sufficiently simple S-R rules, such as categorical rather than one-to-one mappings, may be directly maintained in the unmodeled ventrolateral pFC (Hartstra et al., 2011; Bunge, 2004) by verbal working memory instead of episodic memory. The model posterior parietal cortex alone can also carry out well-versed S-R transformations, and all the response plans outputted from the posterior parietal cortex (PPC) and pFC compete in the model premotor cortex. For all the simulated brain areas, below we discuss their anatomical connections and computational functions in the model.

### Posterior Parietal Cortex

The PPC receives inputs from visual, auditory, and somatosensory cortices and outputs to motor areas, such as the premotor and primary motor cortices, consistent with the “how” or perception-for-action framework of Goodale and Milner (1992). After extensive learning, the PPC can process highly familiar S-R mappings in a fast, automatic, and unconscious manner (Rossetti et al., 2005; Schindler et al., 2004) and damage to the PPC in humans can produce a variety of sensorimotor deficits such as Apraxia (Andersen & Buneo, 2002). In the model, the PPC layer connects the input Condition layer with the output Premotor layer in the parietal learning pathway. It is essentially a hidden layer in a generic three-layer neural network that learns arbitrary input–output transformations.

### Hippocampus

The entorhinal cortex provides the major interface for communication between the hippocampus and neocortex through perirhinal and parahippocampal cortices (Lavenex & Amaral, 2000). Within the hippocampus, there are two major pathways. The perforant pathway, originated from layer II of the entorhinal cortex, links dentate gyrus, Cornu Ammonis fields CA3, CA1, and subiculum by a long neuronal chain; the direct pathway projects from layer III of the entorhinal cortex directly to CA1, which in turn projects back to layer V of the entorhinal cortex via subiculum (Duvernoy, 2005).

Depending on the phase of the hippocampal theta rhythm, CA1 is driven mainly by either entorhinal or CA3 inputs for memory encoding and retrieval, respectively (Hasselmo, Bodelon, & Wyble, 2002). For goal-directed

decisions, memory retrieved in the hippocampus can be routed to the pFC via subicular, entorhinal, perirhinal, and parahippocampal cortices (Simons & Spiers, 2003; Goldman-Rakic, Selemon, & Schwartz, 1984).

In the model, we simulate both the perforant and direct pathways except subiculum, whose functional role in novelty processing (Lisman & Grace, 2005) is beyond the scope of this article. Computationally, the perforant pathway up to CA3 carries out pattern separation and completion (O’Reilly & McClelland, 1994) such that the model CA3 encodes sparse, conjunctive, and content-addressable memory representations of sensory inputs to the hippocampus, such as verbally instructed S-R associations; the direct pathway is a three-layer autoencoder network, which learns to perform a topographically organized identity mapping from the model EC\_in (i.e., entorhinal superficial layers) via CA1 to EC\_out (i.e., entorhinal deep layers) such that a recalled episode in CA3 can be reinstated into EC\_out via the CA3-to-CA1 projection (Norman & O’Reilly, 2003).

### Prefrontal Cortex and Basal Ganglia

Although the pFC comprises cytoarchitecturally distinct areas, as a whole it extensively interconnects with sensory, motor, and limbic systems. In particular, the dorsolateral pFC, implicated in working memory function, receives inputs from visual/auditory/somatosensory cortices, hippocampus (mainly via the orbitomedial pFC), and BG (via the thalamus) and outputs to motor areas such as the SMA, FEFs, and premotor cortex (Miller & Cohen, 2001).

In the model, we implement a pFC BG working memory (PBWM) system (Hazy, Frank, & O’Reilly, 2006, 2007; O’Reilly & Frank, 2006) to hold instructed actions recalled from the hippocampus. Specifically, the model pFC has two separate layers, pFC\_mnt and pFC\_out, to simulate neurons showing either tonic maintenance or phasic motor-related activities. The simplified model BG has distinct go and no-go neurons in every striatal matrix layer for deciding whether to maintain and/or output working memory contents. The pathway from Matrix\_mnt to SNrThal\_mnt controls pFC\_mnt firing, whereas the pathway from Matrix\_out to SNrThal\_out controls pFC\_out firing. The action units of the model EC\_out layer projects to pFC\_mnt, which in turn projects to pFC\_out.

Dopamine (DA) neurons signal reward prediction errors, which allows learning of go versus no-go decisions of BG-gated working memory operations, such as outputting working memory contents to Premotor during performance but not during instruction memorization. Because appropriate go/no-go behaviors depend on the environmental context, different learning stages (see Methods for more details) are coded with localist representations in the model Context layer as a sensory, contextual input to the simulated matrices of the striatum, namely Matrix\_mnt and Matrix\_out.

The reward-predictive firing properties of DA neurons in the substantia nigra pars compacta and ventral tegmental





was evaluated based on these different teaching signals accordingly (see the italicized labels in Figure 2). For example, during instruction memorization, it was considered an error when the hippocampus failed to output the designated “Action” in response to a specific “Condition” input in a trial. Error-driven, supervised learning was then continued for each type of learning until no error was made for several epochs, where an epoch comprised 10 trials of different input–output pairs. For cortical consolidation, no external teaching signals were provided to the model. Instead, the hippocampal–prefrontal outputs served as internal teaching signals to correct spontaneous outputs from the model parietal cortex.

The proposed model used a rate-based coding scheme with a hybrid of localist and distributed representations to process information. Here the neural firing rates in the model were computed from excitatory conductances rather than membrane potentials to better capture the rates of neuronal spiking (O’Reilly et al., in press). Localist/sparse and distributed codes refer to whether certain information is represented by a relatively small or large number of neurons, respectively. Because we abstracted away sensory and motor processes during instructional control, it was difficult to manually specify a realistic pattern of distributed neural activities in the model input/output layers and thus localist representations were used. Otherwise, distributed coding was the default representation throughout the network.

For simplicity, the model input/output layers communicated with each other through one-to-one connections between the same localist representations. These interface layers, listed in Figure 2, included the inputs/outputs of the whole system (i.e., Condition, Action, and Premotor), the hippocampus (i.e., EC\_in and EC\_out), and the PBWM system (i.e., pFC\_mnt and pFC\_out). For example, one-to-one connections were set between Condition and the first unit group of EC\_in, between Action and the second unit group of EC\_in, between the second unit group of EC\_out and pFC\_mnt, between pFC\_mnt and pFC\_out, and between pFC\_out and Premotor (see also Figure 1B).

As a whole, the proposed model is a synthesis of several specialized neural systems. Different brain regions simulated in the model share the same set of computational principles (e.g., lateral inhibition, bidirectional connectivity, and local learning) but become functionally specialized because of different parameterizations (e.g., differences in sparseness of neural codes, learning rate, and balance between error-driven and associative learning). The present work adopts the default structures and parameter values from previous studies that detail these specialized parameterizations for the neocortex (O’Reilly et al., in press), hippocampus (Norman & O’Reilly, 2003), mid-brain DA system (Hazy et al., 2010), and prefrontal-BG working memory (O’Reilly & Frank, 2006). For technical reference, the source code of our model and simulations are available for download at [grey.colorado.edu/~tren/instruct/](http://grey.colorado.edu/~tren/instruct/).

## RESULTS

The model simulation results are presented below. Each simulation result shows the mean and the standard error of the mean of 20 independent model runs, each of which used randomly generated S-R mappings and initial weights for the network connections. In all the simulations, each of the Condition, Action, and Premotor layers used 10 localist units to respectively represent 10 encountered conditions, 10 verbal actions, and 10 motor outputs (see Methods for more details).

Each simulation ran through a specific set of stages that are described in Figure 2. Three of the stages, however, were common to all the simulations: The model was pre-trained with Action-to-Motor mappings (i.e., from verbal commands to real motor responses) during the vocabulary-building stage and then trained with Condition-to-Action mappings (i.e., S-R rules) during the instruction memorization stage. During the performance stage, it was tested with Condition-to-Motor mappings without any inputs from the Action layer.

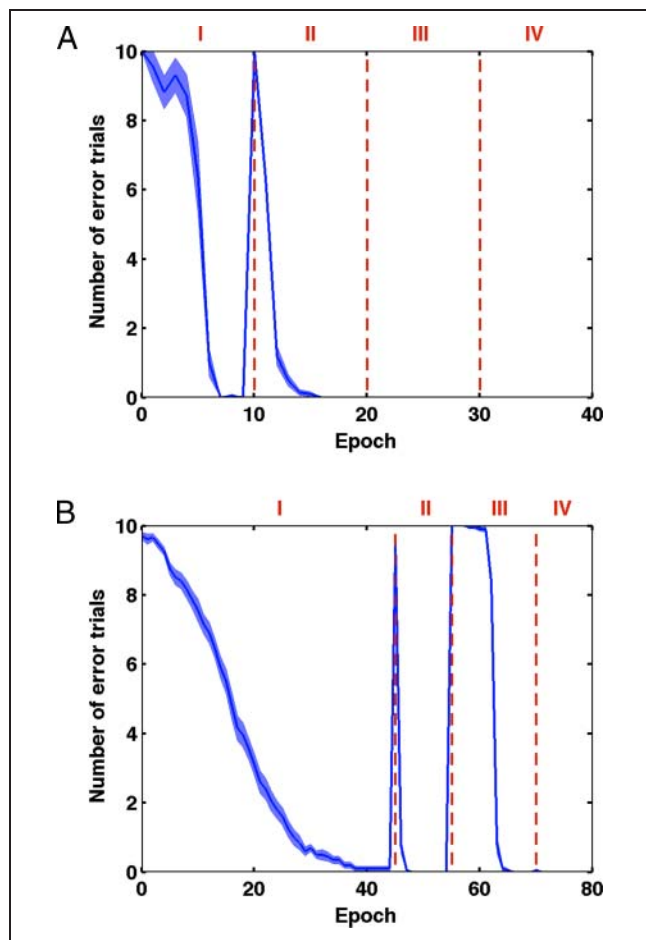
### Simulation 1: Instruction Following

To simulate basic instruction following, the proposed model was instructed with 10 novel pairs of S-R rules (e.g., if see S, then do R) and evaluated for its success in performing conditional actions (e.g., do R) when encountering a specific condition (e.g., see S). As shown in Figure 3A, the model quickly memorized an S-R rule in few trials during the instruction memorization stage, and without further practice it made no error in carrying out these instructions for response during the performance stage. Such a perfect performance was due to the contribution from the hippocampal–prefrontal learning pathway because lesioning the model posterior parietal cortex did not impair instruction following.

### Simulation 2: Habit Suppression

S-R instructions can sometimes conflict with previously developed habits, as in S-R compatibility tasks. In such a case, newly instructed S-R associations stored in the hippocampus need to override prepotent responses promoted by old S-R habits (Casey et al., 2002). Although behaviorally people can make few or no error in following instructions, neurally they must resolve competition between new and old S-R mappings during response selection, as signaled by the dorsal ACC (Botvinick et al., 2004).

To simulate habit suppression, we first trained up the parietal habit pathway on 10 S-R rules before instructing the model on 10 newly remapped S-R rules, which recycled the same set of 10 stimuli and 10 responses in the old rules. Independently training the PPC and hippocampus was achieved by providing teaching signals to the model layers that interfaced with the parietal or



**Figure 3.** (A) Simulation of instruction-following. Stage I: Action-to-Motor vocabulary building; Stage II: Condition-to-Action instruction memorization; Stage III: Condition-to-Motor performance; Stage IV: Condition-to-Motor performance after PPC was lesioned. (B) Simulation of habits being temporarily suppressed by instructions. Stage I: Condition-to-Motor habit formation; Stage II: Action-to-Motor vocabulary building; Stage III: Condition-to-Action instruction memorization; Stage IV: Condition-to-Motor performance on the newly instructed actions.

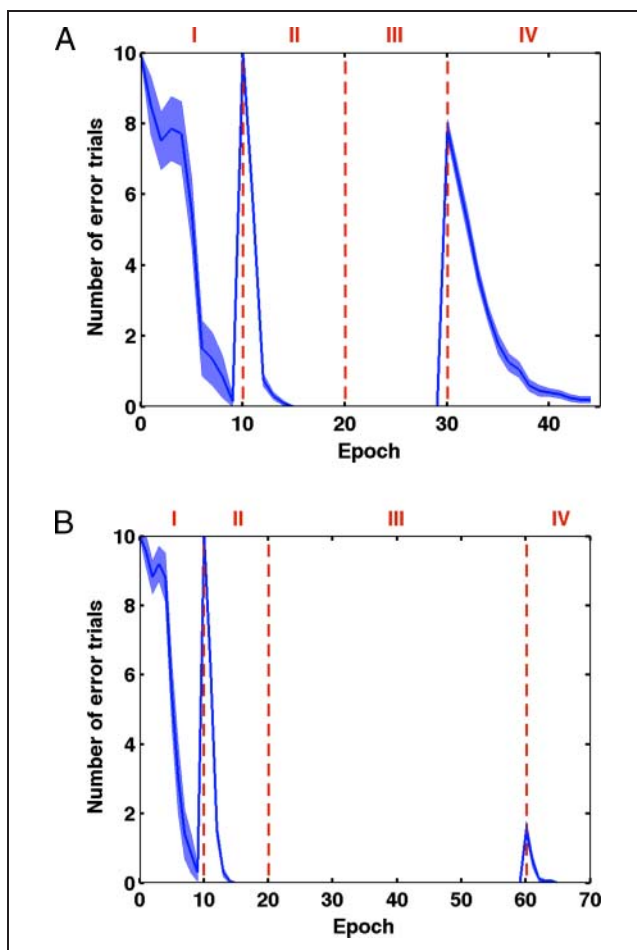
hippocampal outputs: Premotor was an output layer and Action provided null inputs during the parietal training, whereas Action was an output layer and Premotor provided null inputs during the hippocampal training (see Figure 2). Such a treatment ensured proper credit assignment during error-driven learning.

As shown in Figure 3B, during the performance stage, the model successfully employed the newly instructed S-R rules without making any errors because the stronger outputs from the hippocampal–prefrontal pathways outweighed the weaker outputs from the parietal pathway in the Premotor layer, which resolved the competition between these two conflicting motor plans over time using a winner-take-all mechanism. During such a response selection process, the model ACC detected incompatible motor plans in the Premotor layer despite the model’s errorless performance (Figure 1B).

### Simulation 3: Automaticity

Damage to the hippocampus in primates can lead to anterograde amnesia and temporally graded retrograde amnesia although novel S-R mappings can still be slowly acquired by the habit learning system in this circumstance (Bayley, Frascino, & Squire, 2005; Wise & Murray, 1999). It is thus believed that novel declarative memory formed in the fast-learning hippocampus is later consolidated in the slow-learning neocortex (McClelland et al., 1995; Alvarez & Squire, 1994). Although the BG is suggested to train up the neocortex during perceptual and motor consolidation (Ashby et al., 2010), we propose that the posterior hippocampus and the dorsolateral striatal circuit provide teaching signals of declarative and non-declarative S-R rules, respectively, to the neocortex for developing automaticity.

In the model, the hippocampal–prefrontal pathway is fast at learning but slow at processing, whereas the parietal pathway is slow at learning but fast at processing. Such



**Figure 4.** Simulation of cortical consolidation for automaticity. Stage I: Action-to-Motor vocabulary building; Stage II: Condition-to-Action instruction memorization; Stage III: Spontaneous hippocampal–prefrontal recall of Condition-to-Motor mappings (i.e., consolidation); Stage IV: Condition-to-Motor performance after the hippocampus and pFC were lesioned. (A) A shorter Stage III led to more errors in Stage IV. (B) A longer Stage III led to fewer errors in Stage IV.



a paradoxical property is because of a larger number of synaptic connections and more complex machinery involved in the hippocampal–prefrontal than the parietal pathway. As a result, when no teaching signal is supplied from the external environment to the premotor/motor cortices, a deliberately constructed motor plan that is transmitted from the hippocampal–prefrontal pathway to the model premotor cortex can serve as an internal teaching signal to the faster-responding parietal pathway to enable error-driven and/or supervised Hebbian learning. Gradually, the parietal pathway learns to conform its answers to those from the hippocampal–prefrontal pathway during this cortical consolidation process, and automaticity purely through parietal mediation can be achieved in the long run.

To simulate development of automaticity, a memory consolidation stage was introduced before the regular performance stage. During the consolidation stage, no explicit teaching signals were provided from the simulation environment to the model Premotor layer, and thus, the model performance was not evaluated. During the performance stage, all the model prefrontal and hippocampal layers were lesioned to see the pure parietal contributions to the model outputs. As shown in Figure 4, the degree of automaticity in terms of performance accuracy was positively correlated with the duration of consolidation.

## DISCUSSION

We have presented a neural model capable of instructional control based on the relevant brain areas known to be involved. The model treats an instructed S-R task as a combinatorial generalization task in that novel combination of perceptual and motor knowledge is the primary learning problem faced by the system. This is a realistic simplification, in that people can only be instructed to perform tasks where they already know the basic task elements, and the instructions consist of a particular combination of these elements to be performed. Our model shows that these combinations can be easily memorized by the hippocampus and then implemented through top–down cognitive control via the pFC/BG system. This form of cognitive learning can be more rapid and flexible than perceptual-motor learning, which engages the slow-learning neocortex to develop task-specific representations.

Learning occurs in multiple parts of the architecture to support the ability to behave according to instructions. To comprehend the language of action instructions, the model needs a vocabulary-building stage during which the hippocampus learns to perform identity mapping for relaying information from the Action layer to the corresponding motor representations in pFC layers. Meanwhile, BG learns to open the execution gate for pFC to output a motor decision to the Premotor layer. During the instruction memorization stage, the hippocampus associates inputs from the Condition and Action layers and learns

each condition–action pair as an episodic pattern. Only after completing all these various forms of learning can the model follow instructions flawlessly during the performance stage—using mechanisms of pattern completion, the hippocampus recalls instructions about what action to perform based on retrieval cues from the Condition layer, and its downstream pFC either maintains a retrieved motor command in working memory when BG closes the execution gate or further triggers a motor decision in the Premotor layer when BG opens the execution gate.

Compared with reinforcement learning, instructed learning has several advantages. Reinforcement learning adapts behavior based on the consequences of actions, whereas instructed learning adapts behavior in accordance with instructed action rules. Hence, unlike the slow, retrospective process of trial and error in reinforcement learning, instructed learning tends to be fast, proactive, and errorless. In general, trial-and-error learning increases the likelihood of making the same mistakes in the future, and errorless learning avoids such a possibility of strengthening incorrect S-R associations through Hebbian mechanisms (McClelland, 2001). Note, however, that although errorless model performance is mainly because of perfect recalls of instructions from the hippocampus, we do not deny hippocampal involvement in trial-and-error learning, especially when task rules are explicit or deterministic. In fact, S-R studies that used trial-and-error procedures found hippocampal contributions to one-trial learning of correct responses (e.g., Brasted et al., 2005).

Our model differs from others in level of detail and in theorizing about how the pFC, BG, and the hippocampus interact with each other. For example, connectionist approaches (e.g., Noelle & Cottrell, 1995, 1996) and various reinforcement learning algorithms have been used to imply how instructions may interact with the experience system subserved by the BG (Li et al., 2011; Walsh & Anderson, 2011; Biele et al., 2009; Doll et al., 2009). However, the prefrontal and hippocampal mechanisms that mediate instructions remain underspecified in these studies compared with ours. Also, hippocampal memory and PBWM, respectively, underlies rule-based and habitual behavior in the bilinearity model (Dayan, 2007), but they work in tandem as the instructable pathway in our model where habitual S-R responses engage little or no prefrontal control.

Our model explains existing data and makes predictions. Note that postresponse feedback that defines correct S-R associations is functionally equivalent to prerresponse instructions, our model would predict impairment of learning and executing novel conditional responses in both the cases of S-R specification when either the pFC or hippocampus is damaged in humans, as shown by Petrides (1985, 1997). Moreover, whereas the BG plays a major role in habit learning and automaticity (Ashby et al., 2010; Yin & Knowlton, 2006), our model predicts hippocampal and prefrontal involvement in cortical consolidation of instructed one-to-one S-R mappings. Furthermore, because

the parietal pathway mainly supports habitual S-R execution, our model predicts that applying TMS onto the PPC will not disrupt learning and executing of instructed S-R mappings.

Finally, the model proposed here is being extended to address other important questions about instructional learning and control. For example, how does the brain handle hierarchical, probabilistic, or sequence rules other than simple S-R mappings? How does misleading instructions affect learning and performance of a given task? These more complex scenarios engage a larger brain network than the present work and require more detailed modeling of the pFC (Cole, Bagic, Kass, & Schneider, 2010; Bunge, 2004) as well as the BG and motor cortices.

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