



Identification of optimal number and location of isolation valves in an urban water distribution network

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ABSTRACT

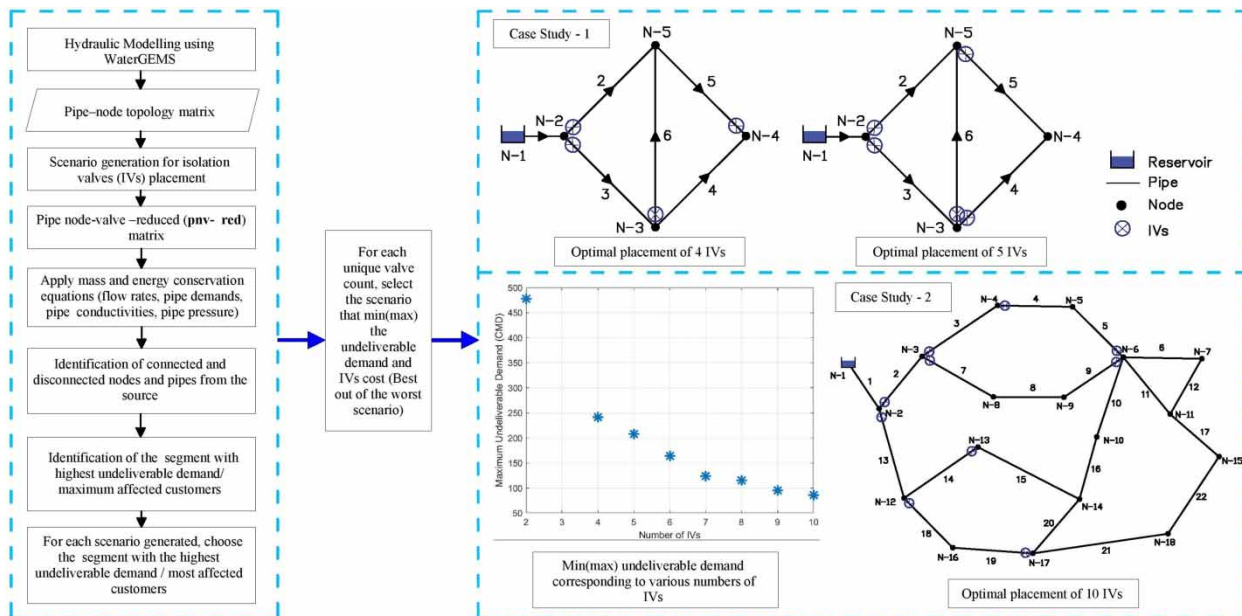
Urban water distribution networks (UWDNs) are critical infrastructures that provide essential services in an urban setting. Such infrastructures are subject to frequent breakdowns, disrupting services to downstream users. Installation of isolation valves (IVs) at strategic locations can reduce such adverse impacts by isolating small segments of the network and expediting repairs, which in turn contribute to water conservation and leak control. However, determining the optimal number of IVs and their placement is a disturbing question for the researchers. This study proposes a methodology to assess the optimal number of IVs in a UWDN and identify their placement in the best of the worst possible scenarios. Based on the network topology and the associated IV costs, it identifies the optimal numbers and their places to minimize the maximum undeliverable demand. The methodology is illustrated with the help of a small water distribution network. Thereafter, the proposed methodology is applied to a real-type UWDN. The results indicate that the optimal number of IVs for the case study is 10, which should be placed at strategic locations to reduce the maximum undeliverable demand to 18% of the total demand.

Key words: isolation valves, optimal, segments, undeliverable demand, water distribution network

HIGHLIGHTS

- To propose a methodology for determining the optimal number and locations of IVs.
- To propose a methodology for disconnected segment identification and the corresponding undeliverable demand assessment.
- To recommend the optimal number and its location based on min (max) undeliverable demand and associated IV cost.

GRAPHICAL ABSTRACT



NOTATIONS

In the paper, the following symbols are used:

A	pipe-node topological incidence matrix
A(i, j)	the matrix element value in the pipe-node topological incidence matrix
A ₁₀	nodes with fixed heads
A ₁₁	the conductivities of the pipe in the network
A ₁₂ = A ₂₁ ^T	nodes with fixed heads incidence matrix
B	key terms in linear systems for connectivity analysis
C	the unit price of the valve
CMD	cubic meter per day
d	diameter of the pipe
D _{sup}	total water supply
D _{tot}	total water demand
H	vectors of unknown heads
H ₀	vector of fixed heads
IVs	isolation valves
M	the global coefficient matrix used in network resolution
n _p	total number of pipes
n _r	number of reservoirs
n _u	unknown head values
Pnv	pipe-node-valve topology incidence matrix
pnv-red	pipe-node-valve topology reduced incidence matrix
pnv-red-mod	pipe-node-valve topology reduced and modified incidence matrix
q	vector of water demands attributed to the nodes of the unknown head
Q	vector of pipe discharges
S	segment identification number
UWDN	urban water distribution networks
V(i, j)	the value of the element for a given pair of indices i and j
V _{dn}	vector of the nodes disconnected from the source
WDN	water distribution network

INTRODUCTION

Water is essential for the sustainable development and resilience of any urban infrastructure (Abbas 2023). An urban water distribution network (UWDN) is an essential component of an urban water supply scheme to deliver safe (Rashed 2022) and adequate drinking water to consumers under various operational conditions (Beker & Kansal 2022; Cemiloglu *et al.* 2023). However, these networks are prone to frequent breakdowns, leading to partial or no service for downstream users. Pipe fittings and other appurtenances such as isolation valves (IVs) play a crucial role in regulating the water flow in the network (Nogmov *et al.* 2023). By placing the IVs strategically in the network, damaged sections of the network segments can be isolated (Liu *et al.* 2017; Fiorini Morosini *et al.* 2020; Beker *et al.* 2022). During planned (such as regular maintenance) and unplanned (such as pipe breaks and water quality failure events) interruptions (Atashi *et al.* 2020; Simone *et al.* 2022), valves help to restore water supply to the unaffected areas while repairs are underway (Abdel-Mottaleb *et al.* 2022). As a result, the number of affected customers is minimized, and the loss of water is reduced (Suribabu 2017; Hwang *et al.* 2020; Wéber *et al.* 2023).

However, the important question is where and how many IVs should be provided in a UWDN. The N -rule, which suggests placing N IVs for each node with N connecting pipes, is considered an optimal layout from a hydraulic standpoint (Walski *et al.* 2007). Additionally, it is common practice to install one fewer valve than the number of pipes at a junction, known as the ' $N - 1$ ' rule for valves (Jun & Loganathan 2007; Liu *et al.* 2017). However, it is overly redundant and not cost-effective (Walski *et al.* 2007; Wéber *et al.* 2023). Thus, optimal segmentation for water distribution network (WDN) must be balanced against minimizing the cost of installed devices (Giustolisi & Ridolfi 2014). As a result, the WDN segmentation poses a difficult trade-off between the costs involved, particularly the implementation and maintenance of IVs, and the benefits generated for users (Fontana & Morais 2017).

Some models to optimize the location of IVs in WDN can be found in the literature. Given the complexity of the problem, many authors resort to heuristic optimization techniques to address it. Examples include approaches proposed by Creaco *et al.* (2010), Giustolisi & Savic (2010), and Yang *et al.* (2022). Yang *et al.* (2022) propose an optimization model for adding optimally located IVs to old WDN, which considers the dual objectives of economy and reliability. Authors such as Cattafi *et al.* (2013), Creaco *et al.* (2010), and Giustolisi & Savic (2010) have investigated the implications of water shortage during supply interruptions in WDNs to identify the optimal placement of IVs. Giustolisi & Savic (2010) used a genetic algorithm to optimize multiple objectives. The authors proposed two objectives: minimizing the number of IVs and minimizing the maximum total undeliverable demand. However, their methodologies did not incorporate the costs associated with the installed pipe diameter. In return, Creaco *et al.* (2010) presented a comparable model. However, instead of minimizing the number of IVs, they aim to minimize the overall cost of the IVs, which is linked to the diameter of the IVs implanted. However, heuristic algorithms cannot guarantee that the true Pareto front will be found. Cattafi *et al.* (2013) used the constraint logic programming algorithm to solve the same problem as Giustolisi & Savic (2010) and came up with better solutions.

This paper introduces a methodology for determining the optimal number and placement of IVs in UWDN. It addresses considerations related to IV costs, particularly associated with the installed pipe diameter, which significantly influences the overall effectiveness and economic feasibility of IV placement strategies. The study approach seeks to minimize the maximum undeliverable demand while also optimizing IVs-related costs, thereby identifying the optimal number of IVs and their optimal locations within the network. Additionally, the study proposes a methodology for segment identification, utilizing a hybrid and modified approach suggested by Giustolisi & Savic (2010) and Creaco *et al.* (2010). By integrating these considerations, the methodology aims to provide actionable insights for water utility managers and decision-makers, empowering them to improve the resilience and performance of UWDN.

The proposed methodology was applied to two case studies: first, a small UWDN to minimize the number of maximum affected customers and to demonstrate the underlying philosophy and second, a real-type UWDN to reduce the maximum undeliverable demand. Finally, the proposed methodology was compared with the N -rule and the one IV per pipe placement strategies approach in terms of reducing maximum undeliverable demand, number of IVs, and IVs-related costs. Results indicate that the optimization method outperforms both the N -rule and the 'one IV per pipe placement' approach in all these aspects.

METHODOLOGY

Rules for pipe-node topological incidence matrix

In Figure 1(a), which serves as an illustration of the methodology, we have a simple network with one reservoir ($n_r = 1$), six nodes with unknown head values ($n_u = 6$), and eight pipelines ($n_p = 8$). A topological incidence matrix A for a pipe-node may

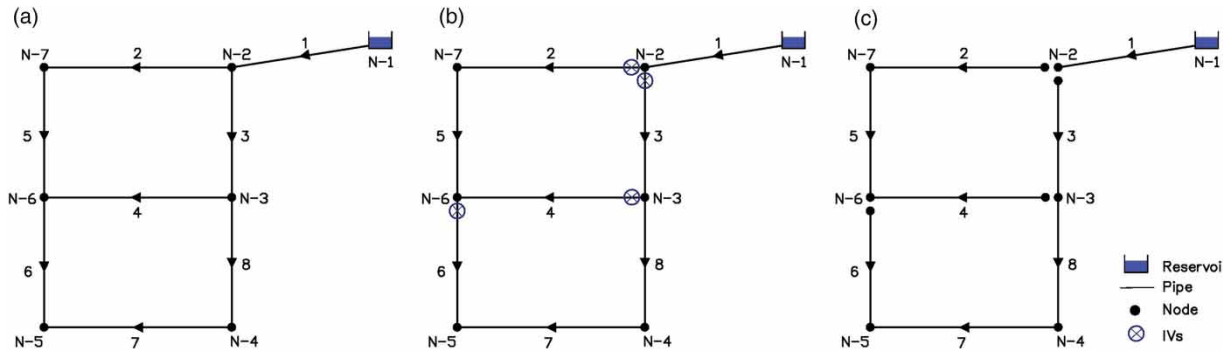


Figure 1 | A schematic WDN: (a) without installed IVs; (b) with four IVs installed; and (c) with closure of IVs.

be defined using the method described by [Todini & Pilati \(1988\)](#) and [Todini \(2003\)](#). The entry $A(i, j)$ in this matrix can have the values 0, -1, or 1:

$$A(i, j) = \begin{cases} 1 & i\text{-th node at one end and the assumed flow is entering the } j\text{-th node} \\ -1 & j\text{-th node at one end and the assumed flow is exiting the } i\text{-th node} \\ 0 & \text{does not have the } j\text{-th node at one end} \end{cases} \quad (1)$$

Using Equation (1), topological incidence matrix A can generate the pipe-node topological incidence matrix for the network depicted in [Figure 1\(a\)](#) and as shown in [Table 1](#).

Rules for pipe-node-valve-topological incidence matrix

The schematic water distribution system in [Figure 1\(a\)](#) shows a network without IVs, where water flows freely through pipes without valves controlling or isolating it. Since all of the nodes are interconnected, water can move freely through the pipes without being restricted or controlled by IVs. When it becomes necessary to access pipes for repair, rehabilitation, or replacement within a WDN, the operation of IVs is essential. By isolating particular WDN segments, these IVs enable the necessary work to be completed. Let us look at an example where four IVs are installed in the network shown in [Figure 1\(a\)](#). As shown in [Figure 1\(b\)](#), these valves are placed close to one another in pipes 2, 3, 4, and 6. Based on the IVs’ positions, we can also define the valve topology as follows:

$$V(i, j) = \begin{cases} 1 & \text{if the isolation valve is installed on pipe } k, \text{ near node } j \\ -1 & \text{if the isolation valve is installed on pipe } k, \text{ near node } i \\ 0 & \text{if no isolation valve is installed on pipe } k \end{cases} \quad (2)$$

Table 1 | Pipe-node topological incidence matrix for ([Figure 1\(a\)](#)) the schematic water distribution system

	n_1	n_2	n_3	n_4	n_5	n_6	n_7
p_1	-1	1	0	0	0	0	0
p_2	0	-1	0	0	0	0	1
p_3	0	-1	1	0	0	0	0
p_4	0	0	-1	0	0	1	0
p_5	0	0	0	0	0	1	-1
p_6	0	0	0	0	1	-1	0
p_7	0	0	0	-1	1	0	0
p_8	0	0	-1	1	0	0	0

I represents the identity matrix with the dimensions $n_p \times n_p$). By solving Equation (5), the pseudoinverse matrix (p-inverse) set of connected and disconnected nodes and pipes from the source or tanks can be identified and described by Giustolisi *et al.* (2008) and Creaco *et al.* (2010):

$$X = p - inverse(M) \cdot B \tag{6}$$

This extended form indicates that by multiplying the inverse of matrix M with the vector B , we can obtain the vector that represents the unknown variables in the system. The solution to Equation (6), $X = (Q, H)^T$ is characterized by having the norm value. $\sqrt{\sum_{j=1}^{n_p+n_i} (X, j)^2}$ represents the norm of the vector calculated as the square root of the sum of the squared elements (Penrose 1955). As explained by Creaco *et al.* (2010) the minimum norm value implies that the disconnected nodes, which are disconnected from the reservoir, will have heads equal to zero, while the connected nodes will have heads equal to one.

By resolving Equation (6), concerning Figure 1(c), it is possible to identify the disconnected and connected nodes by observing those with heads equal to zero. Solving Equation (6) results in the following vectors:

$$Q^T = (Q1, Q2, Q3, Q4, Q5, Q6, Q7, Q8) = (0\ 0\ 0\ 0\ 0\ 0\ 0\ 0) \tag{7}$$

$$H^T = (H2, H3, H4, H5, H6, H7, H9, H10, H11, H13) = (1\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0) \tag{8}$$

These vectors indicate the status of the nodes in the network. Node 1 and node 2, including the tank or reservoir node (node 1), are connected to the source (reservoir or tank) as their corresponding heads are equal to 1. On the other hand, nodes 3, 4, 5, 6, 7, 9, 10, 11, and 13 have heads equal to 0, indicating that they are disconnected from the source. As described by Creaco *et al.* (2010) disconnected nodes can be regarded as having a head value determined by the precision of the computing environment (e.g., MATLAB with a precision of 2.22×10^{-16}) and the complexity of the network structure under scrutiny.

To eliminate the columns associated with the disconnected nodes in the matrix **pnv-red** (Equation (4)), we obtained the modified matrix **pnv-red-mod**. Based on the matrix **pnv-red** provided earlier modified matrix **pnv-red-mod** will take the following form:

$$pnv-red-mod = \begin{matrix} & n_1 & n_2 \\ p_1 & \begin{bmatrix} -1 & 1 \end{bmatrix} \\ p_2 & \begin{bmatrix} 0 & 0 \end{bmatrix} \\ p_3 & \begin{bmatrix} 0 & 0 \end{bmatrix} \\ p_4 & \begin{bmatrix} 0 & 0 \end{bmatrix} \\ p_5 & \begin{bmatrix} 0 & 0 \end{bmatrix} \\ p_6 & \begin{bmatrix} 0 & 0 \end{bmatrix} \\ p_7 & \begin{bmatrix} 0 & 0 \end{bmatrix} \\ p_8 & \begin{bmatrix} 0 & 0 \end{bmatrix} \end{matrix} \tag{9}$$

Pipes that have at least one non-zero coefficient associated with them in the matrix **pnv-red-mod** are considered connected to the reservoir or source, except for the column representing the source node (n_1). In this specific network, pipe 1 is indicated as connected because it has a non-zero coefficient in the corresponding column of **pnv-red-mod**. On the other hand, pipes 2–8 are considered disconnected from the reservoir as all coefficients in their corresponding columns are zero.

Segments identification and characterization

The nodes and pipes that are disconnected from the reservoir can be grouped into segments or sections of the network (Alvisi *et al.* 2011). These segments represent portions of the network that can be isolated independently by closing the IVs. By closing the IVs at certain points in the network, these segments can be physically separated from the rest of the network, allowing for localized maintenance, repairs, or other operations without affecting the entire system (Yang *et al.* 2022).

To identify the different segments in the network, the algorithm described in this paper follows a specific procedure described by Creaco *et al.* (2010) using the vector V_{dn} , which represents the nodes disconnected from the source. In the above, the nodes disconnected from the reservoir (Figure 1(c)) are $V_{dn}^T = (3, 4, 5, 6, 7, 9, 10, 11, 13)$. In this procedure, a fictitious reservoir is introduced, replacing the actual reservoir in the network. The fictitious reservoir is assigned a head equal to

one and is positioned at the first node of the V_{dn} vector. In the case of the network in Figure 1(c), the fictitious reservoir is placed at node 3, resulting in the modified network as shown in Figure 2(a).

In the modified network, specifically for segment $S = 1$, encompassing nodes and pipes connected to the fictitious reservoir, identification is accomplished by applying the established procedure based on Equations (4)–(9). This entails a systematic repetition of the procedure on the modified network to determine the nodes and pipes belonging to segment $S = 1$. In the illustrated network (Figure 2(a)), nodes 3, 4, 5, 10, and 13 constitute segment $S = 1$, along with pipes 3, 6, 7, and 8. Upon identifying and eliminating segment $S = 1$ from vector V_{dn} , the updated vector becomes $V_{dn}^T = (6, 7, 9, 11)$. Subsequently, the procedure iterates until vector V_{dn} is devoid of elements. Applying this process to the network shown in Figure 2(b), where node 6 represents the fictitious reservoir, we identified the second segment using the previously described procedure. The second segment is identified with nodes 6, 7, 9, and 11, and pipes 2, 4, and 5.

Calculation of undeliverable demand

To calculate the undeliverable demand for each segment, the algorithm follows a procedure that considers any unintended or involuntary disconnections (Berardi et al. 2022). This procedure involves allocating user demand along the pipes, rather than solely at the nodes. By distributing the user demand along the pipes, a more accurate estimation of the undeliverable demand can be obtained, particularly in situations where segments are isolated. This choice of allocating demand along the pipes instead of just at nodes allows for a more comprehensive assessment of the undeliverable demand, considering the potential effects of the segment removal or isolation on user demand.

To calculate the total water demand of the network (D_{tot}), the individual demands associated with each pipe in the system are summed together. This calculation begins with the initial network configuration as shown in Figure 1(a). We take into consideration the demands of each pipe in this configuration ($P-1 = 0, P-2 = 11, P-3 = 19, P-4 = 6, P-5 = 7, P-6 = 7, P-7 = 3,$ and $P-8 = 9$ L/s). P-1 is designated to carry zero flow, ensuring that the customers do not receive water from mainlines. The total water demand for the whole network is then calculated by adding these demands together, resulting in a total of 62 L/s. In the subsequent step, to calculate the undeliverable demand as a result of isolation or removal of the particular segment we should subtract the supplied demand (D_{sup}) from (D_{tot}).

Let us consider that in the given example of the network depicted in Figure 1(b), pipes 3, 6, 7, and 8, which belong to segment $S = 1$, are eliminated (Figure 3(a)), and $S = 2$, composed of pipes 2, 4, and 5, are removed (Figure 3(b)), resulting in an undeliverable demand of 38 and 24 L/s, respectively.

It is important to mention that when IVs are installed in all the connected pipes near a node, it creates a node segment where the segment consists only of that particular node. However, due to the assumption that demands or the number of users are allocated only along the pipes, the node segment does not have any associated undeliverable demand (Creaco et al. 2010; Giustolisi & Savic 2010). In other words, isolating such a node segment does not result in an undeliverable demand since there are no demands or number of users allocated directly to the isolated node. The same procedure is applied to compute the number of affected customers associated with the segment.

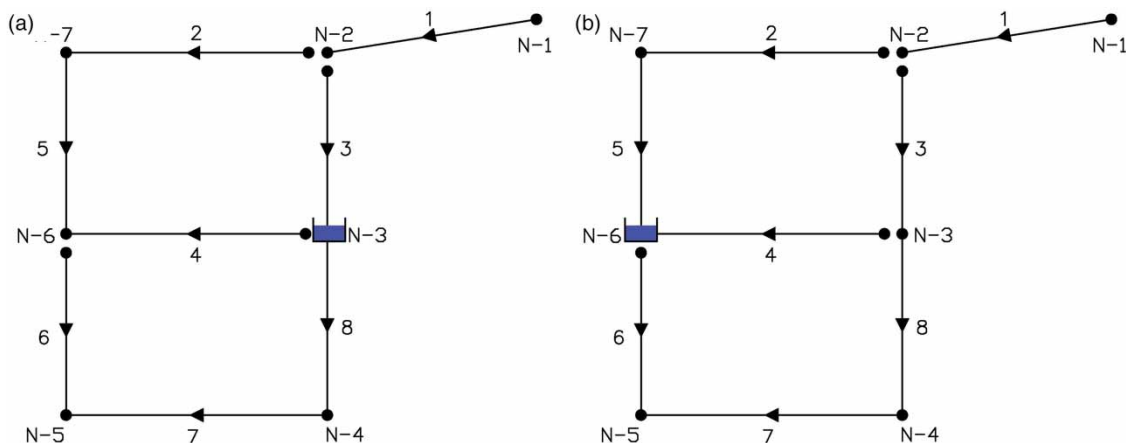


Figure 2 | Segment identification: (a) network with fictitious reservoir (FR) at node 3 and (b) network with FR at node 6.

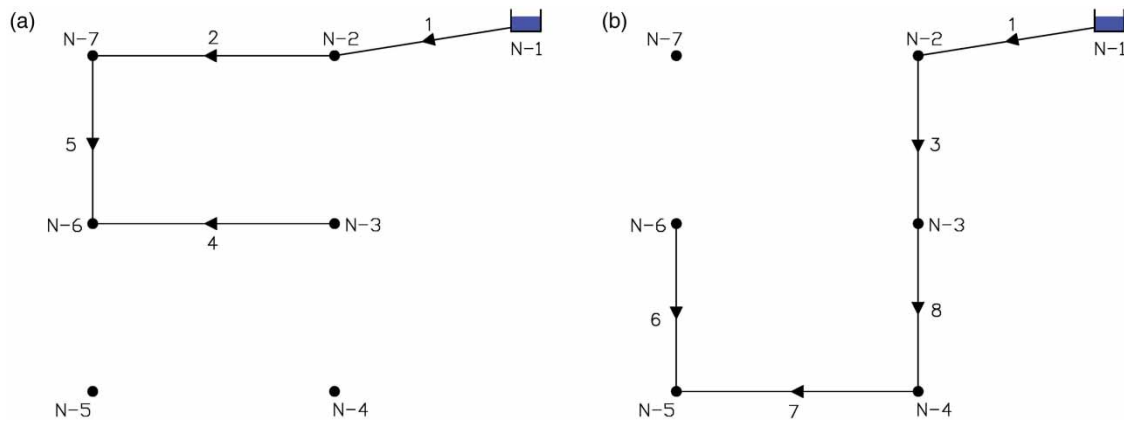


Figure 3 | Calculation of undeliverable demand: (a) network with segment 1 removed and (b) network with segment 2 removed.

Optimal number and placement of IVs

The algorithm for optimal IV placement follows the outlined procedure, systematically exploring all possible placements for ‘ n ’ pipes. Each pipe can have a maximum of one IV, with three possible states: installed on the upper side, the downside, or not installed at all (Equation (2)). The search space or scenario for this process is determined by the formula: The number of states raised to the power of the number of pipes.

For every automatically generated unique placement of IVs, distinct segments are formed within each generated scenario. Corresponding to a unique valve placement, the algorithm calculates the undeliverable demand. To minimize the maximum undeliverable demand resulting from segment isolation, the algorithm identifies, for each unique valve placement, the maximum undeliverable demand within the respective scenario generated. It then selects the minimum of the maximum undeliverable demand of the unique valve placement concerning the valve count (number of IVs). As the algorithm runs, possibilities that reduce maximum undeliverable demand are given priority, and it carefully chooses the best options. When there are several situations with equal minimized maximum undeliverable demand, the algorithm uses the total valve cost as the deciding factor to select the most economical. The total cost of the IVs is calculated based on the diameter of the IV installed on the pipe. Since no exact manufacturer pricing information is available to the author, it adopts the single valve cost formula proposed by Yang *et al.* (2022). Utilizing this formula, the total cost is calculated by summing up individual IV costs:

$$C = -0.0085d^2 + 9.46d + 114.74 \quad (10)$$

where d represents the diameter of the pipe where the valve is located and C represents the unit price of the IVs in Chinese Yuan (CNY).

The optimization process seeks the optimal number and placement of IVs associated with the minimized maximum undeliverable demand, considering the number of valves (unique IVs). Using MATLAB, the optimal result is implemented and stored in a cell array. It includes the number of IVs and their minimized maximum undeliverable demand, the state list, the pipe in which the valve is installed, and the total associated cost of the IVs.

APPLICATION OF THE METHOD

Case study 1: Small UWDN example

In this case study, the analysis is applied to the illustrative WDN in Figure 4(a), which consists of six pipes labeled P-1 to P-6 with diameters of 150, 450, 550, 400, 350, and 350 mm, respectively. Regarding the number of customers or beneficiaries assigned to each, P-3 has the most customers (30,000), while P-1 has no beneficiary since it is considered as mainline. The remaining pipes, P-2 to P-6, serve 20,000, 12,000, 10,000, and 5,000 customers, respectively. Utilizing the maximum placement of one IV per pipe approach, the aim is to achieve two objectives: (1) minimization of the maximum number of affected customers and (2) minimization of the number of required installed IVs.

The optimization for placing a maximum of one IV per pipe considers three possible states for IV placement: near the i -th node (state-2), near the j -th node (state-3), or no placement of any valve (state-1). If we generate all possible combinations of

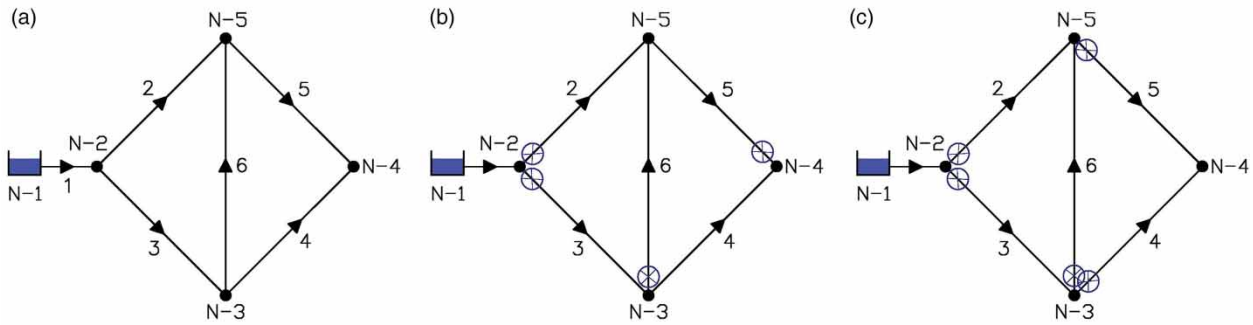


Figure 4 | Small UWDN example: (a) without installed IVs; (b) with optimal placement of four IVs; and (c) with optimal placement of five IVs.

the IVs, we have $3^6 = 729$ possible unique IVs placements. However, as described by [Creaco et al. \(2010\)](#) and [Giustolisi & Savic \(2010\)](#), to facilitate maintenance operations on the transmission main directly connected to the reservoir, the need for isolation arises. In this context, there is typically a valve in place for disconnecting the reservoir from the upstream section of the transmission main. Two distinct options come into consideration for the placement of additional valves to achieve complete isolation of the transmission main. The first option entails installing a single valve near the transmission main downstream node, specifically near node 2 of pipe 1. This placement effectively disconnects the connection between the reservoir and the transmission main, allowing for transmission main maintenance. The second option is to install IVs in pipes 2 and 3, which are directly connected to the transmission main near node 2.

Both of these options fulfill the fundamental objective of isolating the transmission main to facilitate maintenance operations. However, the second option, which involves placing valves on connected pipes, carries an additional benefit. It supports the formation of network segments within the distribution system, which can prove advantageous in various operational scenarios. Accordingly, in [Figure 4\(a\)](#), there are two pipes connected (pipe 2 and pipe 3) downstream of the transmission main (pipe 1) leading from the reservoir. This implies a minimum of two IVs are required to be placed in the network. By installing these two IVs, these two pipes connected to the transmission main lines offer the possibility of isolating transmission mains without disrupting any part of the water distribution system. Placing the minimum of two IVs, both upstream (near node 2), and no placement of IVs on pipe 1, we should reduce the number of scenarios generated. Hence, there is now no need to install an IV on pipe 1. On pipes 2 and 3, we should install on the upper side (near node 2), and we are left with pipes 4, 5, and 6. Therefore, the number of possible scenarios generated was $3^3 = 27$.

Lastly, it is crucial to acknowledge, as also noted by [Giustolisi & Savic \(2010\)](#), that a single-source network design does not necessarily adhere to optimal technical practices. However, it has been intentionally employed in this study to serve as a practical means of displaying the methodology and evaluating the performance of the optimization algorithm.

Case study 1: Result and discussion

The findings of case study 1, focusing on the small UWDN example shown in [Figure 1\(a\)](#), are displayed in [Table 2](#), which presents all possible placements of IVs. The table includes corresponding scenario numbers, the number of installed IVs, valve states, IV placements on the pipe, and other corresponding results. This comprehensive data for each scenario involving IV placement serve to illustrate the methodology used in the study.

As shown in [Table 2](#), the number of affected customers for isolated segments is determined individually, with the maximum number of affected customers selected from these segments. The total cost of IVs for each scenario is calculated by summing the associated costs of individual IVs. To shut down the entire WDN, IVs should be installed on pipes 2 and 3, near node 2, forming one segment, which would affect all customers if both IVs are closed. Attempting to place three IVs at optimal locations does not reduce the maximum number of affected customers. For four IVs, there are 12 possible placements, and for five IVs, there are eight possible placements. Installing four IVs can minimize the maximum number of affected customers to 42,000 (i.e., scenarios 12, 16, and 20). The optimal placement for four IVs is scenario number 16 (as shown in [Figure 4\(b\)](#)), incurring low IVs cost and resulting in two segment formations. The first segment, comprising pipes 3 and 4, had a maximum of 35,000 affected customers when isolated, while the second segment, comprising pipes 2, 5, and 6, resulted in a maximum of 42,000 affected customers when isolated. With five IVs installed at the optimal placement (scenarios 14 and

Table 2 | Results of the IVs placement scenario of case study 1

Scenario	Number of installed IVs	Valve state	IVs installed on the pipe	Closing the IVs installed on the pipe	Segment formed	Pipelines in segment	Number of affected customers for isolated segment (thousand)	Maximum affected customers (thousand)	Total cost of IVs (CNY)
24	5	2,2,3,2,3	2,3,4,5,6	3,4,6 4,5 2,5,6	S1 S2 S3	3,4,6 5 2	30 + 12 + 5 = 47 10 20	47	12,704.7
27	5	2,2,3,3,3	2,3,4,5,6	3,4,6 2,5,6	S1 S2	3,4,6 2,5	30 + 12 + 5 = 47 20 + 10 = 30	47	12,704.7
15	5	2,2,3,2,2	2,3,4,5,6	3,4,6 4,5 2,5,6	S1 S2 S3	3,4 5 2,6	30 + 12 = 42 10 20 + 5 = 25	42	12,704.7
18	5	2,2,3,3,2	2,3,4,5,6	3,4,6 2,5,6	S1 S2	3,4 2,5,6	30 + 12 = 42 20 + 10 + 5 = 35	42	12,704.7
17	5	2,2,2,3,2	2,3,4,5,6	3,4,6 4,5 2,5,6	S1 S2 S3	3 4 2,5,6	30 12 20 + 10 + 5 = 35	30	12,704.7
23	5	2,2,2,2,3	2,3,4,5,6	3,4,6 4,5 2,5,6	S1 S2 S3	3,6 4,5 2	30 + 5 = 35 12 + 10 = 22 20	35	12,704.7
26	5	2,2,2,3,3	2,3,4,5,6	3,4,6 4,5 2,5,6	S1 S2 S3	3,6 4 2,5	30 + 5 = 35 12 20 + 10 = 30	35	12,704.7
14	5	2,2,2,2,2	2,3,4,5,6	3,4,6 4,5 2,5,6	S1 S2 S3	3 4,5 2,6	30 12 + 10 = 22 20 + 5 = 25	30	12,704.7
9	4	2,2,3,3	2,3,4,5	2,3,4,5	S1	2,3,4,5,6	20 + 30 + 12 + 10 + 5 = 77	77	10,320.2
6	4	2,2,3,2	2,3,4,5	2,3,4,5 4,5	S1 S2	2,3,4,6 5	20 + 30 + 12 + 5 = 67 10	67	10,320.2
8	4	2,2,2,3	2,3,4,5	2,3,4,5 4,5	S1 S2	2,3,5,6 4	20 + 30 + 10 + 5 = 65 12	65	10,320.2
22	4	2,2,2,3	2,3,5,6	3,5,6 2,5,6	S1 S2	3,4,5,6 2	30 + 12 + 10 + 5 = 57 20	57	10,166.0
5	4	2,2,2,2	2,3,4,5	2,3,4,5 4,5	S1 S2	2,3,6 4,5	20 + 30 + 5 = 55 12 + 10 = 22	55	10,320.2
13	4	2,2,2,2	2,3,5,6	3,4,6 2,5,6	S1 S2	3,4,5 2,6	30 + 12 + 10 = 52 20 + 5 = 25	52	10,166.0
11	4	2,2,2,2	2,3,4,6	3,4,6 2,4,6	S1 S2	3 2,4,5,6	30 20 + 12 + 10 + 5 = 47	47	10,320.2
21	4	2,2,3,3	2,3,4,6	3,4,6 2,4,6	S1 S2	3,4,6 2,5	30 + 12 + 5 = 47 20 + 10 = 30	47	10,320.2
25	4	2,2,3,3	2,3,5,6	3,5,6 2,5,6	S1 S2	3,4,6 2,5	30 + 12 + 5 = 47 20 + 10 = 30	47	10,166.0
12	4	2,2,3,2	2,3,4,6	3,4,6 2,4,6	S1 S2	3,4 2,5,6	30 + 12 = 42 20 + 10 + 5 = 35	42	10,320.2
16	4	2,2,3,2	2,3,5,6	3,5,6 2,5,6	S1 S2	3,4 2,5,6	30 + 12 = 42 20 + 10 + 5 = 35	42	10,166.0

(Continued.)

Table 2 | Continued

Scenario	Number of installed IVs	Valve state	IVs installed on the pipe	Closing the IVs installed on the pipe	Segment formed	Pipelines in segment	Number of affected customers for isolated segment (thousand)	Maximum affected customers (thousand)	Total cost of IVs (CNY)
20	4	2,2,2,3	2,3,4,6	3,4,6 2,4,6	S1 S2	3,6 2,4,5	30 + 5 = 35 20 + 12 + 10 = 42	42	10,320.2
2	3	2,2,2	2,3,4	2,3	S1	2,3,4,5,6	20 + 30 + 12 + 10 + 5 = 77	77	7,935.7
3	3	2,2,3	2,3,4	2,3	S1	2,3,4,5,6	20 + 30 + 12 + 10 + 5 = 77	77	7,935.7
4	3	2,2,2	2,3,5	2,3	S1	2,3,4,5,6	20 + 30 + 12 + 10 + 5 = 77	77	7,781.5
7	3	2,2,3	2,3,5	2,3	S1	2,3,4,5,6	20 + 30 + 12 + 10 + 5 = 77	77	7,781.5
10	3	2,2,2	2,3,6	2,3	S1	2,3,4,5,6	20 + 30 + 12 + 10 + 5 = 77	77	7,781.5
19	3	2,2,3	2,3,6	2,3	S1	2,3,4,5,6	20 + 30 + 12 + 10 + 5 = 77	77	7,781.5
1	2	2,2	2,3	2,3	S1	2,3,4,5,6	20 + 30 + 12 + 10 + 5 = 77	77	5,397.0

17), the maximum number of affected customers is reduced to 30,000 (see Figure 4(c) for scenario 14). Both scenarios are equally optimal as they result in the same total cost for installing five IVs.

Case study 2: Real-type UWDN

This case study is applied to a real-type UWDN consisting of 18 nodes and 22 pipes, as illustrated in Figure 5. Only the pipe-level demand data for the network are presented in cubic meters per day (CMD) in Figure 5. The comprehensive dataset

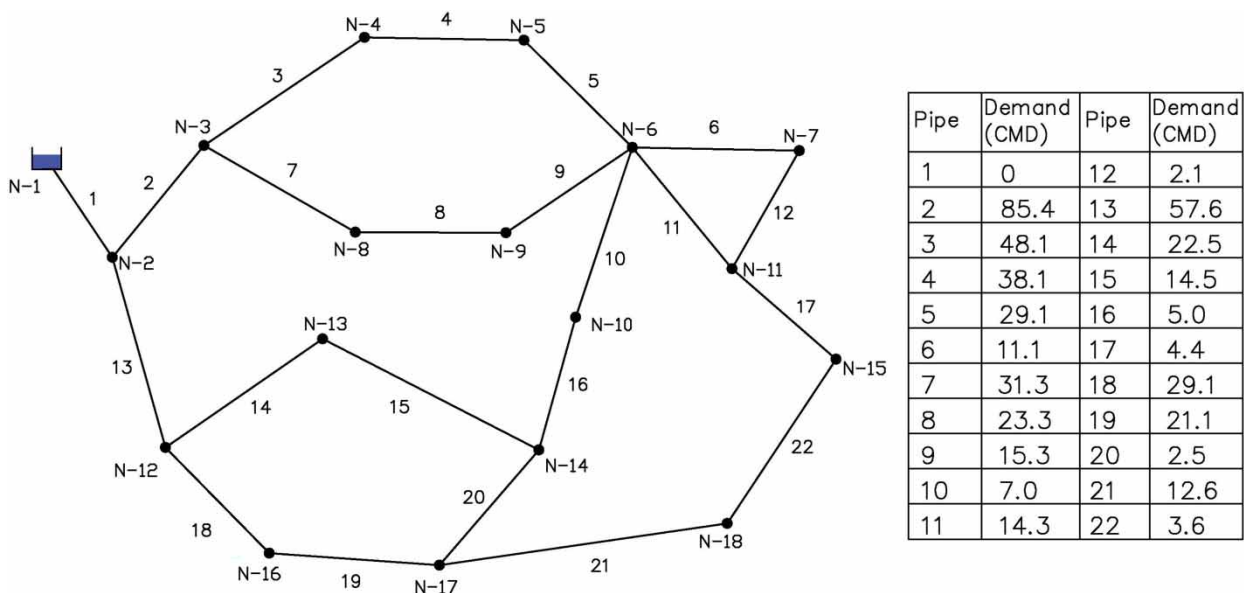


Figure 5 | A real-type UWDN contains 18 nodes and 22 pipes.

Table 3 | Optimal placement of IVs for minimization of maximum undeliverable demand

Number of IVs	Maximum undeliverable demand (CMD)	IVs installed on a pipe	The state of the IVs installed on	Pipelines in a segment that will result in maximum undeliverable demand	Closing the IVs installed on the pipe	Total cost of IVs (CNY)
2	477.98	2,13	2,2	2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18,19,20,21,22	2,13	4,572.2
4	241.40	2,5,9,13	2,2,3,2	2,3,4,7,8,9	2,5,9	8,187.5
5	207.50	2,4,5,9,13	2,2,3,3,2	6,10,11,12,13,14,15,16,17,18,19,20,21,22	5,9,13	10,375.2
6	163.58	2,3,9,13,16,22	2,2,3,2,2,3	13,14,15,18,19,20,21,22	13,16,22	11,308.4
7	123.77	2,3,5,8,13,15,19	2,2,3,2,2,3,2	13,14,15,18	13,15,19	14,187.2
8	115.24	2,3,5,7,9,13,14,19	2,2,3,2,3,2,3,2	3,4,5	3,5	16,093.2
9	94.67	2,3,5,7,9,13,15,18,19	2,2,2,2,3,2,3,2,3	13,14,15	13,15,18	18,041.7
10	85.37	2,3,4,5,7,9,13,14,18,19	2,2,2,3,2,3,2,3,2,3	2	2,3,7	20,229.4

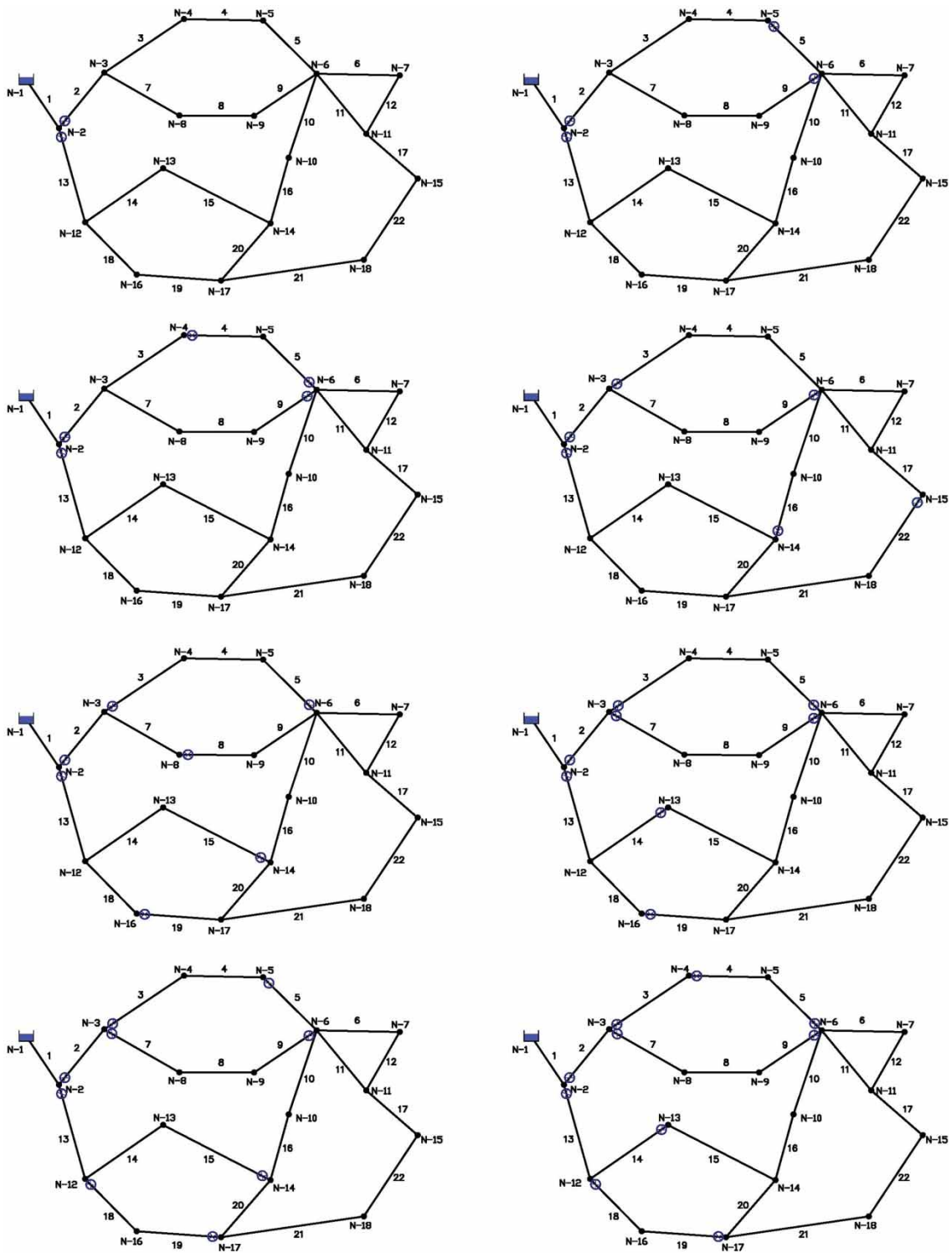


Figure 6 | Optimal placement of IVs (2, 4, 5, 6, 7, 8, 9, 10).

related to this network can be found in the publication by Kansal *et al.* (1995). In this case study, two objectives have been minimized during the optimal placement of the IVs system: the minimization of the number of IVs and the minimization of the maximum undeliverable demand, while also considering the associated cost of the IVs.

Table 4 | IVs placement scenario analysis

S.no	Scenarios	Total number of IVs	Min (max) undeliverable demand (CMD)	Cost of IVs (CNY)
1.	2 IVs per all water distribution pipe	42	85.37	75,096.58
2.	1 IV per all water distribution pipe	21	85.37	37,548.29
3.	Optimal IV placement method	10	85.37	20,229.4

Case study 2: Result and discussion

For case study 2 depicted in Figure 5, the results outlined in Table 3 provide detailed insights into the optimal placement of each unique IV, the maximum undeliverable demand, IVs installed on respective pipes, the location of IVs on each respective pipe, segments leading to maximum undeliverable demand, the specific pipes within these segments, the IVs to be closed, and the total associated IVs cost. A visual representation of these findings is provided by Figure 6.

It may be noticed from Table 3 that the minimum number of IVs required in the UWDN of Figure 5 is 2 (in pipes 2 and 13), and the maximum is 10 (in pipes 2, 3, 4, 5, 7, 9, 13, 14, 18, and 19). Closing the IVs installed on the 2nd and 13th pipes near node 2 will result in the formation of a single segment, thereby affecting the entire WDN. However, strategically placing four IVs at optimal locations on pipes 2, 5, 9, and 13 will lead to the formation of two segments. Segment 1 comprises pipes 2, 3, 4, 7, 8, and 9, while segment 2 includes pipes 13, 14, 15, 18, 19, 20, 21, 22, 17, 12, 11, 5, 6, 10, and 16. This formation occurs when we close the IVs installed on pipes 2, 5, and 9 (forming segment 1) and on pipes 5, 9, and 13 (forming segment 2). In the event of a pipe failure or the need to close a pipe for maintenance (such as pipe 3), there is no need to isolate the entire WDN; simply isolating segment 1 will suffice. This will result in a maximum undeliverable demand of 241.40 CMD, representing 51% of the total daily water demand of 477.98 CMD. If there is a pipe failure or maintenance required for any pipes within segment 2, isolating segment 2 alone will be sufficient. This isolation will result in an undeliverable demand of 236.58 CMD.

Similarly, installing 5, 6, 7, 8, and 9 IVs at optimal placements demonstrates reductions in the maximum undeliverable demand to 43, 34, 26, 24, and 20%, respectively, compared to the total undeliverable demand. Installing 10 IVs at optimal locations (in pipes 2, 3, 4, 5, 7, 9, 13, 14, 18 and 19) will result in seven segments formation and reduce the maximum undeliverable demand to 18%. In this configuration, the maximum undeliverable demand occurs when the segment comprising pipe 2 is isolated.

The results of the optimal placement of IVs obtained for case study 2 in Table 3 are also compared with the methodology described by Jun & Loganathan (2007) and Liu *et al.* (2017) and adopted by different researchers. This methodology assumes the presence of two IVs at both ends of each pipe, referred to as the ‘N valves’ layout, where the number of IVs is equal to the linked pipes at a junction. The results are displayed in Table 4, along with the assumption of a scenario with one IV per all WDN pipes.

Table 4 presents a scenario analysis of IV placement in the UWDN (Figure 5), examining various strategies to minimize maximum undeliverable demand and associated IV costs. Without optimization, if we install two IVs (at both ends of each pipe) per distribution pipe across all WDN (i.e., a total of 42 IVs), or one IV per pipe (i.e., a total of 21 IVs) solely in the WDN, the minimized maximum undeliverable demand remains the same in both cases. This emphasizes that through the optimal placement of 10 IVs, it is possible to effectively reduce both maximum undelivered demand and associated IV costs, as well as the overall number of IVs. This indicates that as also described by Liu & Kang (2022) it is found that IV optimization can significantly reduce the number of IVs without considerably decreasing the resilience performance.

CONCLUSION

The study proposes a methodology focusing on determining the optimal number and placement of IVs in a UWDN. It aims to minimize the maximum undeliverable demand, considering network topology and the IVs’ associated cost. The methodology is illustrated using a small example WDN, followed by its application to a real-type UWDN comprising 22 pipelines and 18 nodes. Results show that for an average demand scenario, the optimal number of IVs is 10 (in pipes 2, 3, 4, 5, 7, 9, 13, 14, 18, and 19) which will result in a maximum undeliverable demand of 18% of the total average daily demand of 477.98 CMD. Further, the study answers the question that if one places a different number of IVs (say 4, 5, 6, 7, 8, 9), then where these should be placed (optimally) and how much will be the maximum undeliverable demand. For example, it shows that by

installing 4, 5, 6, 7, 8, and 9 IVs, the maximum undeliverable demand will be about 51, 43, 34, 26, 24, and 20%, respectively of the total average daily demand. The proposed methodology is expected to provide valuable insights for decision-makers and designers, allowing them to minimize adverse impacts on customers while managing UWDNs. This study has not considered the likelihood of pipe failure, which can be considered in the future for probabilistic-based IV locations in a UWDN.

DATA AVAILABILITY STATEMENT

All relevant data are included in the paper or its Supplementary Information.

CONFLICT OF INTEREST

The authors declare there is no conflict.

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