Short gamma-ray bursts from SGR giant flares and neutron star mergers: two populations are better than one

Robert Chapman, Robert S. Priddey and Nial R. Tanvir

Centre for Astrophysics Research, University of Hertfordshire, College Lane, Hatfield AL10 9AB
Department of Physics and Astronomy, University of Leicester, Leicester LE1 7RH

ABSTRACT

There is increasing evidence of a local population of short duration gamma-ray bursts (sGRB), but it remains to be seen whether this is a separate population to higher redshift bursts. Here we choose plausible luminosity functions (LFs) for both neutron star binary mergers and giant flares from soft gamma repeaters (SGR), and combined with theoretical and observed Galactic intrinsic rates we examine whether a single progenitor model can reproduce both the overall Burst and Transient Source Experiment (BATSE) sGRB number counts and a local population, or whether a dual progenitor population is required. Though there are large uncertainties in the intrinsic rates, we find that at least a bimodal LF consisting of lower and higher luminosity populations is required to reproduce both the overall BATSE sGRB number counts and a local burst distribution. Furthermore, the best-fitting parameters of the lower luminosity population agree well with the known properties of SGR giant flares, and the predicted numbers are sufficient to account for previous estimates of the local sGRB population.

Key words: gamma-rays: bursts.

1 INTRODUCTION

Results from the Burst and Transient Source Experiment (BATSE) on-board the Compton Gamma-Ray Observatory showed that gamma-ray bursts (GRBs) divide observationally into two classes based primarily on their duration (Kouveliotou et al. 1993): long GRBs have durations > 2 s, and short GRBs (sGRBs) ≤ 2 s. sGRBs seem to be associated with a variety of host galaxies with no apparent restriction on galactic properties (Prochaska et al. 2006; Berger 2007; Levan et al. 2008), although host identification is not always trivial (Levan et al. 2007). Additionally, a handful of recently detected sGRBs have localizations consistent with origins in nearby galaxies (Ofek et al. 2006, 2007; Frederiks et al. 2007; Mazets et al. 2008; Levan et al. 2008). Overall, the Swift redshift distribution of sGRBs (Berger 2007) peaks closer than that of long GRBs (Jakobsson et al. 2006), though there is evidence that some sGRBs may occur at higher redshifts (Levan et al. 2006a), and that there may be a local population of underluminous long GRBs (e.g. Liang & Zhang 2006; Pian et al. 2006; Soderberg et al. 2006; Chapman et al. 2007; Liang et al. 2007).

The leading progenitor model for sGRBs is the merger of two compact objects, neutron star–neutron star (NS–NS) or neutron star–black hole (NS–BH) binaries. The luminosity function (LF) of BATSE sGRBs has been investigated previously assuming a single progenitor population (e.g. Schmidt 2001; Ando 2004; Guetta & Piran 2005, 2006; Hopman et al. 2006) in order to determine the intrinsic rate and most likely LF parameters. In a refinement to their previous work, Guetta & Piran (2006) noted that a second population of bursts may be necessary to explain some features of their model fits and the comparison with Swift bursts, particularly at lower redshifts. Hopman et al. (2006) considered both primordial and dynamically formed NS binaries, and suggested that the early observed redshift distribution of sGRBs favoured dynamical formation. Further to that work, Salvaterra et al. (2008) suggested that the more recent Swift cumulative redshift distribution is better encompassed by including both formation routes with different abundances above and below z ~ 0.3. Recently, in an analysis of a large number of models of compact object merger scenarios from population synthesis models, O’Shaughnessy, Belczynski & Kalogera (2008) have shown that the observed sGRB redshift distribution could be reproduced by a reasonable fraction of those models, though this analysis was insensitive to the low end of the redshift distribution on which our work here is focused. Nakar, Gal-Yam & Fox (2006b) find the high rate of observed sGRBs within 1 Gpc to imply that a single population of NS binaries responsible for all sGRBs must be dominated by long merger times, inconsistent with the observed NS binary population. However, they also point out that a non-unimodal LF, such as produced by two separate populations of progenitor, cannot be ruled out for sGRBs.

There are indeed other possible progenitors for sGRBs. At much closer distances still, the initial spike in a giant flare from a soft
gamma repeater (SGR) in a relatively nearby galaxy would also appear as a sGRB. For example, the 2004 December 27 event from SGR 1806–20 would have been visible by BATSE out to \( \approx 50 \) Mpc (Hurley et al. 2005; Palmer et al. 2005; Taylor & Granot 2006; Nakar 2007). Thus it is entirely plausible that some fractions of sGRBs are extragalactic SGR giant flares. Several studies have estimated the likely contributions of SGR flares to BATSE sGRBs. Popov & Stern (2006) estimate a rate of a few per cent based on a lack of definite sGRB detections among the shortest BATSE GRBs consistent with locations within the Virgo cluster. Searches for hosts plausibly connected with six well-localized sGRBs (Nakar et al. 2006a) suggest a rate of less than 15 per cent, and in a comparison of the spectra of a limited sample of the brightest BATSE sGRBs, Lazzati, Ghirlanda & Ghisellini (2005) conclude only 4 per cent. Palmer et al. (2005), based again on a lack of events from the Virgo cluster, find a rate of less than 5 per cent, though point out that the LF of SGR giant flares may extend to much larger luminosities, such as suggested by Eichler (2002). Ofek (2007) points out that the fraction cannot be less than \( \approx 1 \) per cent without being inconsistent with the observed Galactic SGR giant flare rate, and calculate an upper limit of 16 per cent (95 per cent confidence limits) based on a conservative measure of probable interplanetary network (IPN) sGRB coincidences with bright star-forming galaxies within 20 Mpc. This limit is sensitive to their estimate of the completion of the galaxy sample and may be higher still.

Previously, using the full sample of BATSE sGRBs localized to better than \( \approx 10^3 \)”, we demonstrated that between 6 and 12 per cent of BATSE sGRBs were correlated on the sky with galaxies within \( \approx 28 \) Mpc (Tanvir et al. 2005), and we have now extended this work out to \( \approx 155 \) Mpc. Our analysis was based purely on burst/galaxy distribution correlations and unbiased with regards to burst brightness or other assumptions, though our later work showed that this correlation is dependent mostly on large-scale structure on the sky rather than individual burst/galaxy pairings (Chapman et al. 2007). The main question we address in this paper is whether a nearby population (\( z < 0.03 \)) of this magnitude may be produced by a suitable LF describing a single progenitor population, or whether it is necessary to include an intrinsically lower luminosity population as well.

Here we attempt to answer this question by considering first single, and then dual population LFs. The intrinsic rates in the models will be assumed from both the observed Galactic SGR flare rates and the modelled NS–NS merger rates in order to investigate the LF parameters. Obviously there are significant uncertainties in these rates: the Galactic giant flare rate in particular is estimated from only three observed events. Regardless of these uncertainties and the exact form of LFs chosen, we find that a single progenitor population described by a unimodal (i.e. with a single peak or knee) LF cannot produce sufficient local events, whereas a dual population reproduces the likely local sGRB distribution as well as the overall number counts.\(^1\)

2 METHODS

The number of sGRBs, \( N \), observed above a threshold \( p \) in time \( T \) and solid angle \( \Omega \) is given by equation (1), where \( \Phi(L) \) is the sGRB LF, \( R_{\text{GRB}}(c) \) is the comoving event rate density at redshift \( z \), \( dV(z)/dz \) is the comoving volume element at \( z \) and \( z_{\text{max}} \) for a burst of luminosity \( L \) is determined by the detector flux threshold and the luminosity distance of the event:

\[
N(> p) = \frac{\Omega T}{4\pi} \int_{z_{\text{max}}}^{z_{\text{max}}} \Phi(L) dL \int_0^{z_{\text{max}}} R_{\text{GRB}}(z) dV(z) dz. \tag{1}
\]

We are of course dealing with detector limited and not bolometric luminosities. Following Schmidt (2001) and Guetta & Piran (2005) we assume a constant median spectral index of \( -1.1 \) in the BATSE energy range of 50–300 keV to derive a simplified \( K \) correction and conversion to photon flux.

2.1 Intrinsic rates

The sGRB rate per unit volume, \( R_{\text{GRB}}(c) \), is given by equation (2), where \( N_{\text{GRB}} \) is the number of sGRBs per progenitor, \( \rho_{\text{progenitor}} \) is the intrinsic \( (z = 0) \) progenitor formation rate and \( F(z) \) describes the volume evolution of this rate with \( z \):

\[
R_{\text{GRB}}(z) = N_{\text{GRB}} \rho_{\text{progenitor}} F(z) \text{Mpc}^{-3}. \tag{2}
\]

For NS–NS mergers, a burst is produced only once at merger, and we therefore assume \( N_{\text{GRB}} = 1 \). This is of course an upper limit: any beaming of sGRBs, or a GRB production efficiency per merger of less than 100 per cent, would effectively reduce this number, and reduce the number of bursts observable from the NS–NS merger population. This limit is therefore conservative in the sense that it maximizes the possible fraction of bursts produced by mergers in our analysis. The intrinsic NS–NS merger rate is taken as \( 10^{-5} \) yr\(^{-1} \) per Milky Way equivalent galaxy [star formation rate (SFR) \( \approx 4 \) M\(_{\odot} \) yr\(^{-1} \); e.g. Diehl et al. 2006] from the population synthesis models of Kalogera et al. (2007). Mergers, of course, occur some time after the formation of the binary itself. Thus the merger rate at redshift \( z \) is dependent not on the SFR at the same \( z \), but on the earlier SFR at higher redshift. \( F(z) \) is therefore given by the convolution of the SFR as a function of redshift with a distribution of delay times from binary formation to merger. The population syntheses of Belczynski et al. (2006) suggest a merger delay time (formation plus coalescence) distribution \( dp_m/dt \propto 1/t \) between 10 and 100 yr, with a narrow peak at the very lowest times, and we thus assume a delay time probability distribution where \( dp_m/dt \propto 1/t \) between 10 and 100 yr and zero outside this range, for simplicity and comparison with previous LF analyses. We note, however, that using a delay model including a narrow early ‘spike’ (with an order of magnitude higher value between 15 and 30 Myr) makes little difference to the derived LF parameters as can be seen from the tables in Section 3.

SFR as a function of \( z \) is parametrized according to the SF2 model of Porciani & Madau (2001), normalized to a local SFR of \( 1.3 \times 10^{-2} \) M\(_{\odot} \) yr\(^{-1} \) Mpc\(^{-3} \) (Gallera et al. 1995) as given in equation (3):

\[
\text{SFR}(z) = 1.3 \times 10^{-2} \left( \frac{23 e^{4z} - 22}{e^{3z} + 22} \right) \text{M}_{\odot} \text{yr}^{-1} \text{Mpc}^{-3}. \tag{3}
\]

An alternative analysis is that the merger rate should be proportional to stellar mass density (SMD), which must be representative of star formation history. We therefore also investigate merger rates which follow a simple single exponential fit to the SMD out to \( z \approx 5 \) derived from the FORS Deep Field (Drory et al. 2005) as

\[
\text{SMD}(z) = 10^{9.75} \exp(-0.22) \text{M}_{\odot} \text{Mpc}^{-3}. \tag{4}
\]

Over the last 30 yr of observations, there have been three giant flares from four known SGRs in the Milky Way and Magellanic Clouds. The observed local rate of giant flares per Galactic SGR is therefore \( \approx 3 \times 10^{-2} \) yr\(^{-1} \), and their short active lifetimes of \( \approx 10^3 \) yr

\(^1\) Throughout this paper we assume a flat cosmology with \( H_0 = 71 \text{ km s}^{-1} \text{Mpc}^{-1} \), \( \Omega_M = 0.27 \) and \( \Omega_{\Lambda} = 0.73 \).
Short GRBs from SGR flares and NS mergers

2.2 Luminosity functions

LFs for SGR giant flares and NS–NS mergers are not well known. A lognormal LF approximates the shape of the theoretical NS–NS merger luminosity distribution (Rosswog & Ramirez-Ruiz 2003), but other functional forms may be equally valid: for example, Guetta & Piran (2005) assumed a broken power law for their LF calculations, and the luminosities of many other astronomical populations are well described by a Schechter function (Schechter 1976).

Given only three events, it is not possible to constrain the SGR giant flare LF to any great degree. The three observed events have peak luminosities of \( \sim 10^{44}, \sim 10^{46} \) and \( \sim 10^{47} \) erg s\(^{-1}\) (Tanaka et al. 2007) (including a correction for the lower estimate of SGR 1806–20 found by Bibby et al. 2008). The more common short duration bursts from SGRs, with luminosities up to \( 10^{37} \) erg s\(^{-1}\), seem to follow a power-law distribution in energy, \( dN \propto E^{-\gamma} dE \), where \( \gamma \sim 1.4–1.8 \) (Cheng et al. 1996; Göğüş et al. 2000) similar to that found in earthquakes and solar flares. Intermediate bursts with energies and luminosities between the short bursts and giant flares are also seen, and it is possible therefore that this distribution continues to higher energies and includes the giant flares themselves, particularly since Göğüş et al. (2000) found no evidence for a high-energy cut-off in their work. However, Cheng et al. (1996) did find evidence of a cut-off around \( 5 \times 10^{41} \) erg, and furthermore, the intermediate bursts are generally seen following giant flares and may be some form of aftermath rather than representing part of a continuous spectrum of flare activity. Theory suggests that the common bursts are produced by the release of magnetic energy gated by a small-scale fracturing of the crust sufficient only to relieve crustal stresses, whereas the giant flares are the result of large-scale cracking sufficient to allow external field reconfiguration to a new equilibrium state (Thompson & Duncan 1993, 1995). Assuming the latter is a physically distinct process discontinuous (in terms of energy release) from the short bursts, then it must have some minimum energy release, and a maximum defined by the total destruction of the external field via the Flowers–Ruderman instability (Flowers & Ruderman 1977) where entire hemispheres of the magnetar flip with respect to each other (Eichler 2002). Having only the three observed events to go on, a lognormal LF is once again plausible for giant flare luminosities. The possibility of a continuous luminosity distribution between the short, intermediate and giant flares is not ruled out however, and we therefore also consider a single power-law LF as well.

To summarize, we consider the possibility that sGRBs may be produced via two different progenitor routes, both NS–NS mergers and SGR giant flares, each population with intrinsically different luminosities. The forms chosen for the LFs examined are as follows:

1. lognormal distribution
   \[
   \frac{dN}{d\log L} \propto \exp \left[ -\frac{(\log L - \log L_0)^2}{2\sigma^2} \right].
   \]

2. Schechter function
   \[
   \frac{dN}{dL} \propto \left( \frac{L}{L_0} \right)^{-\alpha} \exp \left( -L/L_0 \right), \quad L \geq L_{\text{min}},
   \]

3. power law
   \[
   \frac{dN}{dL} \propto \left( \frac{L}{L_0} \right)^{-\alpha}, \quad L_{\text{min}} \leq L \leq L_0,
   \]

where \( L_{\text{min}} = 10^{42} \) erg s\(^{-1}\) for normalization and convergence of the Schechter function (see Appendix A for discussion of the limited effect of the choice of \( L_{\text{min}} \)). The power-law distribution is normalized to the observed Galactic rate between \( L_{\text{Gmin}} = 10^{44} \) erg s\(^{-1}\) and \( L_0 \), but the distribution is analysed down to \( L_{\text{min}} \) to investigate the possible extension of the power law to lower luminosity flares. \( L_0 \) and \( \alpha \) or \( \sigma \) are the free parameters to be estimated.

2.3 Constraining the models

The \( C_{\text{max}}/C_{\text{min}} \) table from the current BATSE catalogue (Paciesas et al. 1999) provides peak count rate for bursts in units of the threshold count rate. Not all bursts are included and in addition the BATSE threshold was varied historically. Therefore, in order to analyse a consistent set of bursts we restricted the table to only those sGRBs recorded when the 64-ms time-scale threshold was set to \( 5.5\sigma \) above background in at least two detectors in the 50–300 keV range. The all sky equivalent period (including correction for BATSE’s sky coverage) this represents is estimated as \( \sim 1.8 \) yr.

We then examined the differential distributions of predicted overall counts first from various single, and then combined populations of burst progenitor. By varying the parameters of the chosen LFs, we compared the predicted overall counts (\( dN/dp \)) to the observed differential distribution from the \( C_{\text{max}}/C_{\text{min}} \) table. For each set of LF parameters, the redshift distribution of SGRBs was calculated, and the nearby distributions compared with the observed correlated distributions from Tanvir et al. (2005). Note that we use an extended version of our previous correlation analysis out to 155 Mpc, and use the correlations measured against galaxies in concentric shells (as opposed to spheres) of recession velocity (see Chapman et al. 2007) in order to obtain a local differential distribution for the model fitting. \( \chi^2 \) minimization was then used to optimize the LF parameters by fitting simultaneously to the overall count rate and the local distributions. We assumed a Poissonian error distribution on the overall count rate, whereas we used the explicit Monte Carlo derived error distribution on the local correlated fraction [the error distributions from the Monte Carlo simulations closely follow a normal distribution, even at low correlation levels since the function defined in Tanvir et al. (2005) is equally sensitive to anticorrelation giving rise to negative percentage correlations in those situations]. Note that the greater number of data points in the number count fits means that the combined \( \chi^2 \) values are dominated by the goodness of fit to the count rate distribution. To explicitly ask whether any of our chosen single LFs can remain consistent with the BATSE number.
counts while being forced to produce a local distribution of bursts, we also find the best-fitting model constrained by the correlated fraction alone.

In order to check the plausibility and consistency of the best-fitting models, we further compared the derived redshift distribution to that of sGRBs observed by Swift. We caution that this sample is neither uniformly selected nor complete. Previous studies have analysed the early Swift distributions (e.g. Guetta & Piran 2006; Hopman et al. 2006; Nakar et al. 2006b; Salvaterra et al. 2008; O’Shaughnessy et al. 2008), and it is clearly useful to compare our models to the current best known redshift distribution in order to check that the predictions are not unrealistic. We stress that the Swift distribution was not part of the statistical analysis. sGRB redshifts have so far only been found from host galaxy associations, the identification of which is not always unambiguous. Furthermore, even the classification of some bursts as either short or long is controversial since their durations change substantially depending on whether or not emission from the long-soft tails (seen in a number of bursts) is included. Nevertheless, about a dozen probable short-hard bursts have reasonably redshifts. Specifically we include the following 10 sGRBs: GRBs 050509B, 050724, 051221a, 060801, 061006, 061201, 061210, 061217 (see Berger 2007 and references therein), 070714B (Graham et al. 2007) and 071227 (D’Avanzo et al. 2007). In order to produce the predicted Swift redshift distribution, the Swift Burst Alert Telescope (BAT) threshold for sGRBs was assumed to be twice that of BATSE (Band 2006).

3 RESULTS

Table 1 lists the best-fitting parameters found from fitting distributions produced by single population NS merger LFs simultaneously to both the overall number counts and the local population as described above. The table is ordered in decreasing overall goodness of fit (i.e. increasing combined $\chi^2$/dof). As mentioned previously, the combined $\chi^2$ is dominated by the fit to the overall BATSE number counts and, as expected, all our chosen single population LFs produce good fits to the $C_{\text{max}}/C_{\text{min}}$ data leading to acceptable overall fits as measured by the combined $\chi^2$. However, none of the single progenitor population LFs reproduces the local burst population expected from the correlation results: for example, Fig. 1 shows the results from a single Schechter function LF which can be seen to produce effectively no sGRBs within 300 Mpc. To enable a quantitative comparison with later results, column 3 in Table 1 lists the $\chi^2$ results considering the fit to the four data points of the local distribution alone. Note that since the fit is constrained by the overall data set, the local $\chi^2$ value is quoted unredused in this and all subsequent tables since the precise number of degrees of freedom relevant to this subset alone is difficult to estimate formally.

In order to ascertain whether a single merger population can produce the local bursts and remain consistent with the $C_{\text{max}}/C_{\text{min}}$ data, we then fit single LF populations to the local distribution alone, with no constraints placed on goodness of fit to the overall number counts. As can be seen from Table 2, single Schechter function LFs can produce a local population, but the associated number count distribution is an extremely poor match to the $C_{\text{max}}/C_{\text{min}}$ data.

Of course, these results represent the best possible reproduction of the local bursts (in terms of minimum $\chi^2$ values), and it maybe that there exist poorer fits to the local population which are nevertheless better fits to the overall number counts. Fig. 2 shows the $\chi^2$ contours (individually for fits to both the overall number counts and the local distribution) for the single population Schechter function LF [$dP_m/d(\log(t))$ = constant merger delay time distribution] from

![Figure 1](https://academic.oup.com/mnras/article-abstract/395/3/1515/999384/05315469699484?by=guest)

**Figure 1.** Burst distributions from the best-fitting merger single population Schechter function LF [following a $dP_m/d(\log(t))$ = constant merger time delay distribution]. Top panel shows predicted sGRB distribution within 500 Mpc compared to the local burst fraction measured in Tanvir et al. (2005). Bottom panel shows the predicted burst distribution out to $z = 3$ normalized and compared to the Swift distribution discussed in the text.

Table 1. From the figure it can be seen that acceptable fits to the overall number count distribution (to a significance level of 0.999) are found only in a narrow band of the LF parameter space, well separated from even the 0.999 significance level of the local distribution fits (which represents effectively no local distribution given the size of the errors on the correlation points shown in the top panel of Fig. 1 for example). Hence the possibility of this single Schechter function LF to reproduce the local distribution while remaining...
Table 2. Results of single population LFs constrained to fit the local distribution, presented in order of decreasing goodness of fit. Details as for Table 1.

<table>
<thead>
<tr>
<th>NS merger LF</th>
<th>Parameters</th>
<th>Local $\chi^2$</th>
<th>$C_{\text{max}}/C_{\text{min}}$</th>
<th>Overall $\chi^2$/dof</th>
</tr>
</thead>
<tbody>
<tr>
<td>Schechter</td>
<td>$l_0 = 51.0$</td>
<td>0.76</td>
<td>&gt;100</td>
<td>&gt;100</td>
</tr>
<tr>
<td>(flat)</td>
<td>$\alpha = 2.2$</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Schechter</td>
<td>$l_0 = 51.0$</td>
<td>0.76</td>
<td>&gt;100</td>
<td>&gt;100</td>
</tr>
<tr>
<td>(spike)</td>
<td>$\alpha = 2.2$</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Schechter</td>
<td>$l_0 = 53.0$</td>
<td>1.08</td>
<td>&gt;100</td>
<td>&gt;100</td>
</tr>
<tr>
<td>(SMD)</td>
<td>$\alpha = 1.35$</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lognorm</td>
<td>$l_0 = 43.1$</td>
<td>5.30</td>
<td>&gt;100</td>
<td>&gt;100</td>
</tr>
<tr>
<td>(spike)</td>
<td>$\sigma = 1.3$</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lognorm</td>
<td>$l_0 = 43.1$</td>
<td>5.38</td>
<td>&gt;100</td>
<td>&gt;100</td>
</tr>
<tr>
<td>(flat)</td>
<td>$\sigma = 1.3$</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lognorm</td>
<td>$l_0 = 43.0$</td>
<td>6.43</td>
<td>&gt;100</td>
<td>&gt;100</td>
</tr>
<tr>
<td>(SMD)</td>
<td>$\sigma = 1.4$</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Figure 2. $\chi^2$ contours for the single population Schechter function LF [$dP/dt = \text{constant merger delay time distribution}$] from Table 1. The solid lines are the contours for the fits to the overall number counts, and the dotted lines are contours for the fits to the local distribution. Contours are plotted at 0.68, 0.9, 0.99 and 0.999 significance levels. For the sake of clarity, only the outermost 0.999 contour is labelled for the number count fit consistent with the overall number counts can be rejected with greater than 99.9 per cent confidence. Similar results with equivalent levels of rejection are found for the other single LF models.

The inability of the local constraint to produce a distribution which fits the number counts is effectively a consequence of the intrinsic SGR rate calculated in equation (2) from the assumed merger rates: not enough bursts in total can be produced. The fit to the $C_{\text{max}}/C_{\text{min}}$ data can be improved to reasonable $\chi^2$ levels by increasing the intrinsic merger rate by a large factor ($\gtrsim 500$), but the overall redshift distribution produced as a consequence is extremely unrealistic, with all bursts produced within $z \sim 0.1$.

In contrast, Table 3 shows best-fitting LF parameters for various combinations of dual NS merger and SGR giant flare LF models, along with their respective minimum $\chi^2$ values. As can be seen from Table 2, and the examples of Figs 3 and 4, the local bursts are only ever reproduced by the lower luminosity LF. By minimizing the combined $\chi^2$ values, all the dual LFs tested reproduced the local distribution well while retaining overall number count fits comparable to those of the single LFs. Furthermore, the best-fitting LF parameters of the dual models are reasonable, and the overall redshift distribution is much more realistic.

For example, a dual lognormal LF, with merger rates following a delayed merger model (Fig. 3) or the SMD model of equation (4) (Fig. 4), produces a good fit to the expected local population while remaining consistent with the early Swift redshift distribution. The upper panels of Figs 3 and 4 show the comparison of these models to the local sGRB distribution determined by our BATSE cross-correlation analysis, and are typical in that all the dual populations reproduce this local population well. Since these data were used to constrain the fit, a good agreement is to be expected, but it is still interesting to note that the merger population contributes only a small fraction to these local bursts. The lower panels show the overall predicted redshift distribution.

As mentioned before, the intrinsic Galactic rates used to normalize the LFs are not well constrained. Hence in Table 3 we also show the results of varying the intrinsic SGR flare rate up and down by an order of magnitude for the dual lognorm (SMD) fit of Fig. 4. The production of a local sGRB population is robust against this change, and the overall fit remains good. As may be expected, an increase in the intrinsic flare rate leads to the best-fitting SGR LF being moved down in luminosity, thus removing a greater fraction of the total flares from observability. Likewise, a lower intrinsic rate generates a higher (and narrower) LF distribution, though in both cases the LF parameters remain entirely plausible.

Fig. 5 shows the best-fitting LFs and associated contours of $\chi^2$ with respect to $L_0$ for the dual population from Fig. 4. Despite the uncertainties in the underlying Galactic rates of the models, the best-fitting parameters obtained for this and the other dual LFs are plausible given the known properties of SGR giant flares and classic SGR luminosities. We note that the slopes of the SGR flare power-law LFs obtained (1.25–1.35) are shallower than the slopes found for ordinary SGR burst fluence distributions (1.4–1.8; Cheng et al. 1996; Gügüş et al. 2000).

4 DISCUSSION

The lower panels of Figs 3 and 4 imply that Swift should have triggered on about one SGR flare to date (this would rise by a factor of $\sim 2$ if the redshift completeness for such flares were greater than for sGRBs as a whole, as is likely given that low-redshift host galaxies are easily identified). We note that a possible candidate is GRB 050906, which may have originated in a galaxy at $\sim 130$ Mpc (Levan et al. 2008), and the preliminary Swift redshift distributions in Figs 3 and 4 are plotted both including and excluding this burst.

There are two further recent sGRB events which are candidate extragalactic SGR flares, though neither triggered: Swift: GRB 051103 whose IPN error box includes the outskirts of M81 at 3.5 Mpc (Golenetskii et al. 2005), and GRB 070201 whose error box similarly overlaps a spiral arm of M31 at only $\sim 0.77$ Mpc (Mazets et al. 2008; Pal’Shin 2007; Perley & Bloom 2007). Both have characteristics of SGR giant flares (Frederiks et al. 2007; Mazets et al. 2008; Ofek et al. 2008) and furthermore, the non-detection of gravitational waves by LIGO from GRB 070201 (Abbott et al. 2008) excludes a merger progenitor within M31 with $> 99$ per cent confidence. If both these events were due to extragalactic SGRs then this brings to three the number of giant flares with peak luminosity $> 10^{47}$ erg s$^{-1}$ seen in just a few years.

Levan et al. (2008) estimated that a Galactic SGR giant flare rate of $\sim 0.5 \times 10^{-4}$ yr$^{-1}$ would be sufficient to produce $\sim 10$ extragalactic flares within a sphere of radius 100 Mpc. Using a power-law LF (constrained by a search for positional coincidences between galaxies within 20 Mpc and the IPN error boxes of a sample of 47 sGRBs), Ofek (2007) estimated the rate of extragalactic flares with energy $> 3.7 \times 10^{47}$ erg (the energy of the 2004 SGR 1806–20 event; Hurley et al. 2005) to be $\sim 0.5 \times 10^{-4}$ yr$^{-1}$ per SGR, and the 95 per cent confidence lower limit of the Galactic rate to be $2 \times 10^{-4}$ yr$^{-1}$ per...
Table 3. Results of dual population LFs, presented in order of decreasing goodness of fit (i.e. increasing overall $\chi^2$/dof). The LFs follow merger delay time (formation plus coalescence) distributions either flat in log space \(dP_m/d(\log(t)) = \text{constant}\) or the SMD profile of equation (4). Also shown are two results normalized using order of magnitude different observed Galactic (MW) rates. The number of degrees of freedom (dof) for the $C_{\text{max}}/C_{\text{min}}$ and overall distributions are 20 and 24, respectively. $l_0$ is in units of log (erg s$^{-1}$), $\sigma$ in dex and $\alpha$ is dimensionless.

<table>
<thead>
<tr>
<th>NS merger LF</th>
<th>SGR giant flare LF</th>
<th>Local $\chi^2$</th>
<th>$C_{\text{max}}/C_{\text{min}}$</th>
<th>Overall $\chi^2$/dof</th>
</tr>
</thead>
<tbody>
<tr>
<td>Schechter (flat)</td>
<td>$l_0 = 52.3$</td>
<td>Power law</td>
<td>$l_0 = 46.7$</td>
<td>2.03</td>
</tr>
<tr>
<td>Lognorm (SMD)</td>
<td>$\sigma = 1.3$</td>
<td>Lognorm</td>
<td>$l_0 = 45.2$</td>
<td>1.45</td>
</tr>
<tr>
<td>Lognorm (SMD)</td>
<td>$\sigma = 0.6$</td>
<td>Lognorm</td>
<td>$l_0 = 45.3$</td>
<td>1.66</td>
</tr>
<tr>
<td>Lognorm (SMD)</td>
<td>$\sigma = 0.6$</td>
<td>Power law</td>
<td>$l_0 = 46.7$</td>
<td>2.06</td>
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<tr>
<td>Lognorm (SMD)</td>
<td>$\sigma = 1.2$</td>
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<td>$l_0 = 45.2$</td>
<td>1.55</td>
</tr>
<tr>
<td>Lognorm (SMD)</td>
<td>$\sigma = 1.2$</td>
<td>Lognorm</td>
<td>$l_0 = 44.1$</td>
<td>1.57</td>
</tr>
<tr>
<td>Lognorm (SMD)</td>
<td>$\sigma = 0.9$</td>
<td>Lognorm</td>
<td>$l_0 = 46.3$</td>
<td>3.13</td>
</tr>
<tr>
<td>Lognorm (SMD)</td>
<td>$\sigma = 0.9$</td>
<td>Lognorm</td>
<td>$l_0 = 48.35$</td>
<td>1.45</td>
</tr>
</tbody>
</table>

SGR. Our analysis estimates the rate of flares with peak luminosity $>10^{47}$ erg s$^{-1}$ to be between these two values at $\sim 1 \times 10^{-4}$ yr$^{-1}$ per SGR. We estimate the SFR of galaxies within 5 Mpc listed by Ofek (2007) (with revised distance estimates; Karachentsev et al. 2004) to be about 22 times that of the Milky Way. Adopting our predicted (lognorm following SMD) flare rate, the probability of observing two (one) or more such flares within this volume during the 17 yr of IPN3 observation is 1 per cent (14 per cent). This indicates we have been witness to a rather rare coincidence, and is perhaps suggestive that not both GRB 051103 and GRB 070201 are SGR flares.

5 SUMMARY AND CONCLUSIONS

We have examined a selection of plausible LFs, singly and in combination, for both neutron star mergers and SGR giant flares as progenitors of sGRBs. Assuming observed and theoretical Galactic intrinsic rates, merger delay time distributions, SFR and SMD
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Figure 5. Best-fitting dual population LFs from Fig. 4. The LFs (top panel: dotted line SGR giant flares, dashed line mergers) are lognormal with intrinsic merger rate components following the SMD model of equation (4). The bottom panel shows contours of \( \chi^2 \) in log \( (L_\odot) \) space. Contours shown represent 0.68, 0.9 and 0.99 confidence limits with the minimum \( \chi^2 \) value plotted as an asterisk.
APPENDIX A: THE LOW-LUMINOSITY CUT-OFF, $L_{\text{min}}$, IN THE SCHECHTER LUMINOSITY FUNCTIONS

It may be thought that the precise choice of the lower luminosity cut-off, $L_{\text{min}}$, necessary for convergence of the Schechter-type LFs has a significant effect on the LF parameters found, and further on the ability of that LF to reproduce the local population while remaining consistent with the overall number counts. We therefore tested a range of low-luminosity cut-offs ($L_{\text{min}} = 10^{40} - 10^{46}$ erg s$^{-1}$) and found that the best-fitting LF parameters are relatively insensitive to the chosen $L_{\text{min}}$ as shown in Table A1. Furthermore, the separation in LF parameter space is maintained between the best fits to the number counts and the best fits to the local distribution regardless of choice of $L_{\text{min}}$ as shown for example in Figs A1 and A2.

<table>
<thead>
<tr>
<th>$\log(L_{\text{min}})$ (erg s$^{-1}$)</th>
<th>LF parameters</th>
<th>Local $C_{\max}/C_{\min}$</th>
<th>Overall $\chi^2$</th>
<th>$\chi^2$/dof</th>
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<tr>
<td>40</td>
<td>$l_0 = 51.80$</td>
<td>11.93</td>
<td>0.99</td>
<td>1.30</td>
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<tr>
<td></td>
<td>$\alpha = 1.20$</td>
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<tr>
<td>42</td>
<td>$l_0 = 51.75$</td>
<td>11.93</td>
<td>1.01</td>
<td>1.33</td>
</tr>
<tr>
<td></td>
<td>$\alpha = 1.25$</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>44</td>
<td>$l_0 = 52.00$</td>
<td>11.92</td>
<td>1.00</td>
<td>1.30</td>
</tr>
<tr>
<td></td>
<td>$\alpha = 1.36$</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>46</td>
<td>$l_0 = 52.00$</td>
<td>11.89</td>
<td>1.04</td>
<td>1.34</td>
</tr>
<tr>
<td></td>
<td>$\sigma = 1.53$</td>
<td></td>
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<td></td>
</tr>
</tbody>
</table>

Figure A1. $\chi^2$ contours for the single population Schechter function LF as in Fig. 5 of the main text, with $L_{\text{min}} = 10^{40}$ erg s$^{-1}$. Details as for Fig. 5 of main text.

Figure A2. $\chi^2$ contours for the single population Schechter function LF as in Fig. 5 of the main text, with $L_{\text{min}} = 10^{46}$ erg s$^{-1}$. Details as for Fig. 5 of main text.

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