Microphysical Properties and Radar Polarimetric Features within a Warm Front

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ABSTRACT

On 21 January 2009, the warm front of an extensive low pressure system affected U.K. weather. In this work, macroscopic and microphysical characteristics of this warm front are investigated using in situ (optical array probes, temperatures sensors, and radiosondes) and S-band polarimetric radar data from the Aerosol Properties, Processes and Influences on the Earth’s Climate–Clouds project. The warm front was associated with a warm conveyor belt, a zone of wind speeds of up to 26 m s$^{-1}$, which played a key role in the formation of extensive mixed-phase cloud mass by ascending significant liquid water (LWC; $0.22$ g m$^{-3}$) at a level $3$ km creating a warm conveyor belt, and creating an ideal environment at temperatures $-5^\circ$C for ice multiplication. Then, “generating cells,” which formed in the unstable and sheared layer above the warm conveyor belt, influenced the structure of the stratiform cloud layer, dividing it into two types of elongated and slanted ice fall streaks: one depicted by large Z$_{DR}$ values and the other by large Z$_{H}$ values. The different polarimetric characteristics of these ice fall streaks reveal their different microphysical properties, such as the ice habit, concentration, and size. We investigate their evolution, which was affected by the warm conveyor belt, and their impact on the surface precipitation.

1. Introduction

Extratropical cyclones have been extensively studied, as such systems often demonstrate complicated cloud structures, providing an ideal environment for microphysical studies (e.g., Matejka et al. 1980; Chen and Cotton 1988; Forbes and Clark 2003; Stark et al. 2013; Crosier et al. 2014; Lloyd et al. 2014; Dearden et al. 2016). The typical structure of cyclones consists of a cold, a warm, and an occluded front, which usually affect the United Kingdom with strong winds and heavy rainfall (e.g., Browning 2004; Lavers et al. 2011). The fronts are associated with warm and cold conveyor belts, which circulate air masses with different traits. Warm conveyor belts (WCBs), which usually originate in surface maritime areas, convey humid air, making a substantial contribution to the cloud structure and surface precipitation (Harrold 1973; Browning 1986; Eckhardt et al. 2004; Pfahl et al. 2014). Although all types of fronts have particularities and mechanisms that need further investigation, studying warm fronts is important, as they account for the majority of the precipitation associated with extratropical cyclones (Keyser 1986; Wakimoto and Bosart 2001).

Dual-polarization radars have been a valuable tool for revealing cloud microphysical properties, providing details about ice crystal habits, dimension, shape, orientation, and phase of hydrometeors. Various studies have been conducted on linking polarimetric radar variable thresholds with specific hydrometeor types (e.g., Herzegh and Jameson 1992; Straka et al. 2000; Hogan et al. 2002; Kennedy and Rutledge 2011; Moisseev et al. 2015; Schrom and Kumjian 2016) and determining polarimetric signatures, which can provide insight into cloud microphysical
processes (e.g., Hall et al. 1984; Illingworth et al. 1987; Hubbert et al. 1998; Smith et al. 1999; Ryzhkov et al. 2005; Kumjian and Ryzhkov 2008; Kumjian 2013). Comparing and linking in situ cloud measurements with radar data can improve and validate the interpretation of the remote sensing data and, therefore, the understanding of cloud microphysics, including the role of primary (Koop et al. 2000; Vali et al. 2015) and secondary ice production (Hobbs and Farber 1972; Hallett and Mossop 1974; Mossop and Hallet 1974; Pruppacher and Schlamp 1975; Vardiman 1978; Knight 1979; Choularton et al. 1980) and embedded convection mechanisms. Embedded convective turrets, which appear as cells with horizontal and vertical extent of up to 6 and 2 km, respectively, are referred to as “generating cells” (GCs) and have been documented several times in the literature (Wexler and Atlas 1959; Houze et al. 1976; Hobbs and Locatelli 1978; Herzegh and Hobbs 1981; Kumjian et al. 2014; Plummer et al. 2014; Rosenow et al. 2014; Plummer et al. 2015; Oue et al. 2015; Rauber et al. 2015; Keeler et al. 2016a,b, 2017; and many others). Discussing some of the latest studies, Plummer et al. (2014, 2015) used in situ (2D-C and 2D-P) together with a Doppler radar (reflectivity factor and Doppler velocity) in order to investigate the microphysics of GCs and the fall streaks originated in them. In addition, Rosenow et al. (2014) used an airborne W-band radar to quantify the magnitude of the vertical velocities in GCs, while Keeler et al. (2016a,b, 2017) used models to simulate GCs and examine their origin, their forcing, and their relationship to vertical wind shear, ambient thermal instability, and cloud-top radiative forcing. A mechanism that commonly triggers GCs is Kelvin–Helmholtz instability, which happens when velocity shear occurs in a single continuous fluid (Browning et al. 1973; Hogan et al. 2002). GCs usually present updrafts of <3 m s⁻¹, and they are often associated with descending trails of ice crystals and snow, which are commonly referred to as “ice fall streaks.” Ice fall streaks, the shape of which is affected by the vertical wind shear, originate in and form from generating cells and play an important role in the production of precipitation (e.g., Marshall 1953; Langleben 1956; Douglas et al. 1957; Wexler and Atlas 1959; Bader et al. 1987; Kumjian et al. 2014; Rosenow et al. 2014; Oue et al. 2015).

In this study, we use high-resolution collocated in situ and active remote sensing observations obtained from dual-polarization data to provide (i) a detailed picture of the microphysical structure of a warm front and (ii) analysis of the structure, the origin, and the effects of the ice fall streaks, which are frequently embedded within warm fronts.

2. Methodology

The data used in this study were obtained during the NERC-funded Aerosol Properties, Processes and Influences on the Earth’s Climate (APPRAISE)–Clouds project, which took place in the United Kingdom during 2007–10. This cloud project aimed to provide a comprehensive investigation of mixed-phase clouds influencing U.K. weather systems and the impact of aerosols on the microphysical properties and development of such clouds (Crosier et al. 2011; Crawford et al. 2012).

a. The in situ datasets

The U.K. Facility for Airborne Atmospheric Measurements (FAAM) Bae-146 aircraft was used to collect in situ measurements of cloud properties during APPRAISE–Clouds. In particular, on 21 January 2009 during flight B424, the aircraft extensively sampled clouds associated with a warm front over southern England, performing a series of straight and level runs, profiles, and sawtooth profiles over the period 1500–2000 UTC. The sampling occurred along a radial of 255° within ~100-km range from the Chilbolton Facility for Atmospheric and Radio Research (CFARR; located at 51.15°N, 1.44°W) to allow direct comparison with radar scans along the same azimuth. Hourly radiosondes were also launched from the Chilbolton ground site between 0700 and 1800 UTC.

The FAAM Bae-146 aircraft was equipped with microphysical probes, which provide measurements of a wide range of hydrometeors, as described in Crosier et al. (2014). Key instrumentation used in this work included a Mie-scattering cloud droplet probe (CDP), measuring number and size (~3 and 50 μm) of cloud droplets (Lance et al. 2010); two-dimensional stereo (2D-S) and cloud imaging probe-100 (CIP-100) optical array probes (OAPs), providing shadow imagery of particles with size 10–1280 and 100–6200 μm (Knollenberg 1970; Lawson et al. 2006), respectively; and a Rosemount probe to measure the ambient deiced temperature. It should be highlighted that the 2D-S probe provides better resolution (10 μm) and wider size range (10–1280 μm), compared to its previous version, 2D-C (25 μm; 25–800 μm). Particle phase was estimated based on a shape analysis of images obtained with the 2D-S and CIP-100, while ice number concentration and diameter (from the diagonal of the bounding box around the recorded particle image) was estimated according to Crosier et al. (2011, 2014). An interarrival time threshold was used to filter OAP images to remove artifacts associated with shattering of large particles on the probes according to Field et al. (2006).

To estimate bulk ice-phase parameters, including mean diameter and concentration, the 2D-S and CIP-100 datasets were merged, and measurements in overlapping sizes were averaged. Ice water content (IWC) was calculated from merged 2D-S and CIP-100 particle size distributions using estimates of particle mass from...
Heymsfield et al. (2007). Significant uncertainties (~50% for IWC) can arise because of the diversity in OAP image processing options. Liquid water content (LWC) was estimated from the CDP particle size distribution.

b. The remote sensing datasets

During the flight, the Chilbolton Advanced Meteorological Radar (CAMRa) performed range–height indicator (RHI) scans along the 255° (WSW) radial every ~90s to obtain near-collocated radar and in situ measurements. The CAMRa is a dual-polarization Doppler radar that operates at 3 GHz with a steerable 25-m dish, resulting in a narrow 0.28° beam (Goddard et al. 1994). Additionally, the zenith-pointing, dual-polarization, 35-GHz Copernicus radar, which is located within 50 m of the CAMRa, was operating throughout the day. In contrast to low-frequency radars, which are applied for precipitation observations (Kollias et al. 2007; Fukao and Hamazu 2013), high-frequency radars are significantly affected by attenuation due to precipitation (Godard 1970). The cross-sectional ratio between large and small particles at such high frequencies is smaller, compared to longer wavelengths, so it is an ideal tool for monitoring clouds and fog. All references to data from the 35-GHz vertical-pointing radar will be indicated by the subscript “35”; otherwise, the data being discussed are from the 3-GHz radar.

In this study, we use radar variables, such as reflectivity factor $Z_H$, Doppler velocity $V_{RAD}$, and differential reflectivity $Z_{DR}$, to examine cloud dynamical and microphysical structures; $Z_H$ can be affected by the size and concentration of the hydrometeors (Doviak et al. 1979). Doppler velocity provides an estimate of the motion of hydrometeors, which can indirectly be used to infer, depending on the mode of operation, information on wind fields or the fall speeds of hydrometeors (Browning and Wexler 1968). Finally, $Z_{DR}$ is the ratio between the radar reflectivities at horizontal and vertical polarizations, expressed in logarithmic scale induced by hydrometeors within the radar beam (Seliga and Bringi 1976), and can be used to provide details about their shape, orientation, and phase. We highlight that $Z_{DR}$ data coming from elevations <0.2° have been excluded due to vertical beam blockage (Ryzhkov et al. 2002; Giangrande and Ryzhkov 2005).

3. The synoptic condition

On 21 January 2009, a deep, low pressure system in the northwest Atlantic Ocean moved eastward and developed (Fig. 1a). This system generated multiple frontal boundaries, which approached the United Kingdom from the west. The Bae-146 research flight sampled the warm front as it passed across the south of England. This warm front was observed to be associated with a WCB, which originated in the warm sector of the system (Fig. 1). Figures 1a and 1b show that the WCB transported humid and warm air over the colder air found over the U.K. mainland. In Fig. 1b, the WCB becomes perceptible through the zone of enhanced relative humidity and strong south-southwest to west winds (up to 23 m s$^{-1}$), which reached a level of ~450 mb (~6-km height).

4. A macroscopic description of the cloud features

A brief description of the entire in situ and radar dataset is provided in order to understand the general cloud structures in the lower/midtroposphere during the passage of the warm front shown in Fig. 1a.
The spatial structure of the frontal cloud obtained from CAMRa at 1712 UTC is depicted in Fig. 2. Several features, which can be seen in Fig. 2, were consistently observed throughout the frontal passage and will now be discussed in turn. First, we observed GCs presented as protruded cloud tops, which demonstrated high-$Z_H$/low-$Z_{DR}$ cores ($>10$ dBZ/$<0$ dB) and low-$Z_H$/high-$Z_{DR}$ ($<10$ dBZ/$>1$ dB) boundaries (Figs. 2a,d) (e.g., Bader et al. 1987; Kumjian et al. 2014; Oue et al. 2015). A $Z_H$ ice fall streak (e.g., Bader et al. 1987; Kumjian et al. 2014; Oue et al. 2015) is represented as a long (tens of km) and narrow ($\sim1$ km) slant (angle of $3^\circ$–$7^\circ$) zone of high $Z_H$ (enhanced by 10–15 dBZ relative to the surroundings) (Fig. 2a) and $\sim0$ dB $Z_{DR}$ (Fig. 2d) containing mostly rimed/aggregated crystals (Fig. 5; $2 < \text{altitude} < 3.5$ km). Then, $Z_{DR}$ fall streaks (e.g., Bader et al. 1987; Kumjian et al. 2014; Oue et al. 2015), typically located above a $Z_H$ fall streak, exhibited a zone of high $Z_{DR}$ ($>1$ dB) (Fig. 2d) and low $Z_H$ ($<10$ dBZ) (Fig. 2a) values consistent with the presence of pristine ice crystals (Fig. 5; $3 < \text{altitude} < 5$ km). Although Fig. 2 does not show an ideal example of GC, it highlights the connection between a GC and a $Z_H/Z_{DR}$ fall streak (see details in section 5b). Finally, Fig. 2f presents two banded layers of enhanced Doppler velocity associated with the so-called warm conveyor belt, an airstream that appears in RHIs between 2 and 4 km, which transports humid and warm air aloft.

An overview of the major temporal changes in the cloud structure can be seen in the vertical-pointing 35-GHz radar data (Fig. 3). It should be noted that...
clouds located at horizontal distances between 30- and 90-km range in the 3-GHz radar scans are estimated to overpass the 35-GHz radar 25–75 min later (based on a typical wind speed of \( \approx 20 \text{ m s}^{-1} \) along the radar profile). Although comparisons between these two radar datasets will not be fully correlated, as the system may change during transit, it reveals useful general trends of the system over time.

**FIG. 3.** (a) \( Z_H \) (dBZ) and (b) Doppler velocity (m s\(^{-1}\)) evolution with time as captured by the 35-GHz radar. In (a), the ML height is highlighted by the blue (time < 2100 UTC), the green (2100 < time < 2215 UTC), and the red (time < 2215 UTC) dashed lines. The black dashed line shows the linear fitting of the cloud-top heights between 1500 and 2100 UTC. The colored lines on the left corner indicate the time that radiosondes of Fig. 4 were launched, compared to the time color scale of (a). In (b), negative Doppler velocity indicates flow toward the radar. (c) Average (solid lines) and 95th percentiles (dots) of \( Z_H \) as calculated from 35-GHz radar data. (d) As in Fig. 2b, but for the 35-GHz radar dataset. (c),(d) Calculated from the first 10 min of data of each hour.
In Fig. 3a, we can see a change in the height of the freezing level or “melting layer” (ML). The ML appears as a bright band in the reflectivity field, the $Z_H$ signal of which increases depending on the type and concentration of the hydrometeors that enter this region (Fabry and Zawadzki 1995). The $Z_H$ increases within the ML due to the change of the phase and shape of melting hydrometeors, which also affects $Z_{DR}$ (e.g., Stewart et al. 1984; Zrnić et al. 1993; Szyrmer and Zawadzki 1999; Giangrande et al. 2008). Before the passage of the warm front (<2215 UTC), the ML was observed at ~0.7–1 km ($Z_{H,35}$ reached 30 dBZ at 0.75 km; Fig. 3a). After the passage of the warm front, the ML height raised to ~1.6 km (2215 UTC) (Figs. 1a, 3a). The ML is also depicted as a zone of dramatic increase of Doppler velocity ($|v| > 1$ ms$^{-1}$), retrieved by the 35-GHz vertical-pointing radar (Fig. 3b), because hydrometeors may become larger in size due to coalescence and denser due to melting (Mitchell 1996; Heymsfield and Iaquinta 2000; Protat and Williams 2011).

Data from the 35-GHz vertical-pointing radar (Fig. 3a) show that the cloud-top height decreased (from 6.5 to 5 km) at a mean rate of 0.24 km h$^{-1}$ during the frontal passage, while the cloud-top temperature increased from ~25°C to ~35°C, according to radiosondes (Fig. 4). The lowering of cloud-top height with time, which can be seen in Fig. 4c as a decrease of RH with time by 50% at altitudes 6–7 km, is also perceptible from Fig. 2b (3-GHz radar) and Fig. 3b (35-GHz radar). The 35-GHz radar data (Fig. 3c) show that >50% of the pixels were considered as cloudy (where $Z_H > 40$ dBZ) at altitudes ≤5.5 km for 1800–1900 UTC. However, this height gradually decreased to 4.5 (1900–2000 UTC) and 4 km (2100–2200 UTC). The corresponding heights for the 3-GHz radar were always observed ~0.5 km lower than the 35-GHz radar (Fig. 2b), as the 3-GHz frequency is mainly used for the detection of precipitation and not of smaller cloud particles. According to Fig. 3b, Doppler velocities between 0 and ~1 ms$^{-1}$ were observed at cloud tops (height >4 km), which is consistent with weak vertical motions and slowly precipitating low-density ice crystals (like the small ice plates and columns at altitudes >4 km and temperatures <-15°C in Fig. 5) (Jayaweera and Cottis 1969; Heymsfield 1972; Kajikawa 1972).

To gain insight into the presence of the aforementioned features, a statistical analysis of the $Z_H$ and $Z_{DR}$ datasets is shown in Figs. 2c, 2e, and 3c. In relation to $Z_H$ fall streaks, in Fig. 2c, $Z_H$ seems to increase between 1646 and 1932 UTC (95th percentiles of $Z_H$ ~20 dBZ at 2–4 km height). At 1912–1932 UTC, although average $Z_H$ decreased significantly to ~10 dBZ, high-$Z_H$ 95th-percentile values (>20 dBZ) were observed at 2–4 km, highlighting the presence of discrete GCs. This is also demonstrated by the 35-GHz radar dataset, as 95th percentiles of $Z_{H,35}$ around the ML were enhanced at 2000 UTC (from 15 to ~30 dBZ). This $Z_{H,35}$ increase, as well as the enhanced 95th percentiles at 2–4 km, implies the occurrence of more frequent and more intense, but spatially restricted, GCs, which occasionally affected the ML echo (Fig. 3d). This is also demonstrated by the fact that $Z_{H,35}$ increased in the ML and decreased at 2–4 km with time, while 95th-percentile signals at 2–4 km remained significantly high (~15 dBZ). In addition, the vertical-pointing radar at 1900–2030 UTC observed larger Doppler velocities (~2 to ~3 ms$^{-1}$), compared to the previous time period at 0.7–3 km height, which indicates the existence of denser hydrometeors (like the large ice particles at altitudes <3.5 km in Fig. 5).

Switching focus to $Z_{DR}$ fall streaks, these features can be observed in Fig. 2e as local $Z_{DR}$ maxima at altitudes of ~4 km (slightly higher than $Z_H$ fall streaks). The $Z_{DR}$ fall streaks manifest mainly as perturbations to the 95th percentile (0.5–2 dB) relative to the rest of the profile, affecting also the mean value (0.5–1 dB). The $Z_{DR}$ fall streaks are mainly observed during the second half of the observing period, 1800–1932 UTC.

The WCB was a clearly identifiable dynamical feature that likely “fed” the cloud system with water vapor. It is presented, in the vertical-pointing radar dataset, as a zone of Doppler velocity ~0 ms$^{-1}$, where strong westerlies (typically >20 ms$^{-1}$) of the WCB advected the hydrometeors almost horizontally (Fig. 3b). While the wind speed profiles suggest significant stratification at all times (Fig. 4d), it seems that the highest wind speeds were observed near cloud top, where relative humidity (Fig. 4c) and cloudiness (Fig. 3c) dramatically decrease. The main region of high wind speeds (20–30 ms$^{-1}$) (Fig. 4d) and positive wind shear (Fig. 2h) was first located at 5–6 km (1500–1600 UTC) but gradually lowered to 3.5–4.5 km (after 1800 UTC). However, before 1800 UTC, there were also other enhanced wind speed layers along the profile, which likely represent slight differences in air mass characteristics/origin. As an illustration, two well-distinguished layers, centered at altitudes of ~2.5 and ~4 km at ~1700 UTC (Fig. 2f), coincided with wind speed (17–20 ms$^{-1}$), relative humidity (>90%), clockwise wind veering (~60° km$^{-1}$, compared to the average of ~20° km$^{-1}$ for altitudes 0–6 km), and potential temperature (~+9 K km$^{-1}$, compared to average rate of +4 K km$^{-1}$ for altitudes 0–6 km) maxima (Figs. 4c–f). At the same altitudes, temperature...
presented the highest increase (up to 1.3°C h⁻¹) between 1500 and 1800 UTC. All the above observations reveal that there was a main warm front at ~4 km and a possible subfrontal zone at ~2.5 km, the locations of which were associated with defined structures in the wind speed and temperature data. As a general trend, it seems that as the warm front was approaching, the various wind speed layers tended to merge (only one significant wind speed peak can be observed at ~4 km at 1800 UTC; Fig. 4d) and were located at lower altitudes (Figs. 2g,h).

5. Microphysical properties of the warm front

Using in situ and remote sensing data, the microphysical properties in specific features of the warm
frontal cloud, such as the WCB, ice fall streaks, and regions of secondary ice production, are investigated.

a. The warm conveyor belt

The WCB originated in the warm sector of the deep, low pressure system shown in Fig. 1a, and during the observing period, it intersected the surface in the Celtic Sea. It was responsible for the large-scale slantwise ascent of humid air, which led to widespread formation of mixed-phase clouds.

At 1600 UTC, CAMRa scans to the WSW identified three zones of enhanced Doppler velocity (up to $\sim 20 \text{ m s}^{-1}$) at altitudes of 2.5, 4, and 6 km (Fig. 6a). These three zones caused significant vertical wind shear (up to $-12 \text{ m s}^{-1} \text{ km}^{-1}$), which could potentially release instability and form convective GCs. Similar regions of wind shear were also identified in the wind speed and direction data from radiosonde profiles (Fig. 6b). In regions where the radar scan azimuth (255°) is closely aligned with the wind speed (at altitudes 2.5–3 km), the radiosonde wind speed is in good agreement with Doppler velocity.

At 1800 UTC, as the warm front approached the radar site, a broad slanted zone of high Doppler velocities (up to 23 m s$^{-1}$) was observed spanning altitudes of 2–5 km (Fig. 6c). The high Doppler velocity zone at 3–5 km highlighted the WCB location and presented enhanced wind speed (15.6–26.0 m s$^{-1}$) and wind direction shear (21° km$^{-1}$). The peak wind speed was located near the middle of the WCB, with two zones of wind speed and direction shear located below (+8.7 m s$^{-1} \text{ km}^{-1}$, $\sim 47^\circ \text{ km}^{-1}$) and above it ($-3.8 \text{ m s}^{-1} \text{ km}^{-1}$, $\sim 10^\circ \text{ km}^{-1}$). Again, there was a good level of agreement between Doppler velocity and measured wind speed for wind directions close to the radar beam azimuth (255°) (Figs. 6a–d).

Comparing and linking the Doppler velocity with radiosonde data, we estimate the main warm front location as shown in Fig. 6c by the solid red line. Smaller fluctuations in Doppler velocity fields and radiosonde wind profiles indicate the presence of a small subfrontal zone located at $\sim 2 \text{ km}$ (dashed red line).

At 1935 UTC, the frontal system had transited farther to the east over the ground site. At this time, the WCB was located $\sim 2 \text{ km}$ closer to the surface (between 1 and 3 km), being represented by a distinct zone of Doppler velocities between 17 and 25 m s$^{-1}$ (Fig. 6e). Aircraft observations obtained through the WCB at this time indicate the presence of significant liquid water (Fig. 6f) (up to 0.22 g m$^{-3}$) and cloud droplet concentrations (10–58 cm$^{-3}$), while IWC dramatically increases outside the WCB (up to 0.044 g m$^{-3}$). These observations support the idea that slantwise ascent from the WCB generates a large expanse of cloud containing supercooled liquid water. The lifetime and radiative properties of similar supercooled layer clouds is sensitive to the presence of ice nuclei (e.g., Pinto 1998; Jiang et al. 2000; Morrison et al. 2005; Murray et al. 2012), which can activate to form ice in the cloud, leading to poor representation in weather models.

b. The generating cells

As the warm front approached the United Kingdom, some GCs appeared in RHIs, especially after 1800 UTC. Despite the lack of in situ measurements within these features, we try to investigate their microphysics using the available radar data. In general, GCs were observed above the WCB and above a braided structure (Chapman and Browning 1998) associated with sheared wind (Fig. 7a). Sheared wind is associated with Kelvin–Helmholtz instability (Browning 1971; Browning et al. 1973), which is a mechanism that can trigger convection (Hogan et al. 2002).

At altitudes where GCs appeared (4.5–6.5 km), the rate of change in potential temperature with altitude recorded by the radiosondes at 1700 and 1800 UTC was $0–5 \text{ K km}^{-1}$ (Fig. 4f). It is important that a small region of negative rate is observed at $\sim 5 \text{ km}$, which coincides with the typical location of GCs, implying that GCs formed due to the release of instability through the Kelvin–Helmholtz mechanism. In the meantime, the wind speed exhibited an increasing trend with time within the WCB (4.2 km) (Fig. 4d). This increased the wind shear from $\sim 4$ to $\sim 8 \text{ m s}^{-1} \text{ km}^{-1}$ at 1700 and 1900 UTC, respectively, at the top layer of the WCB, which also lowered from 4.5 to 3.5 km (Fig. 2h). Although a radiosonde cannot provide representative data over a wide area, the above measurements indicate the occurrence of instability and a triggering mechanism that can explain the presence of GCs. As stated earlier (beginning of section 4), GCs demonstrated a core of $\mathcal{Z}_{\text{HI}} > 10 \text{ dBZ}$, which increased to 20–33 dBZ as the warm front approached the Chilbolton site. GCs also demonstrated
high $Z_{\text{DR}}$ at cloud tops (>2 dB), which indicates regions of newly formed ice, in which $Z_{\text{DR}}$ fall streaks originated (e.g., Fig. 7e; range = 85–95 km).

In Figs. 7a–h, we present the evolution of a GC. At ~1928 UTC (Figs. 7a,e), a GC was triggered at approximately 90-km distance from CFARR, above the WCB. The Doppler velocity below the GC was $\sim -23 \, \text{m s}^{-1}$, but only $\sim -14 \, \text{m s}^{-1}$ in the newly formed GC, indicating wind shear at the top of the WCB (Fig. 7a). The above observations suggest that the trigger that caused the formation of GCs was the wind shear at the WCB top layer (Kelvin–Helmholtz instability). Through this mechanism, significant amounts of liquid water from the WCB are lofted via turbulence and weak updrafts. In the example presented in Figs. 7i–k, the aircraft measured high cloud droplet concentration and LWC (up to 10 cm$^{-3}$ and 0.3 g m$^{-3}$, respectively) at the rear region of a newly formed GC (located within the WCB at 55 < range < 65 km, 2 < altitude < 3 km). It is important to highlight that no positive values of Doppler velocity were recorded by the vertical-pointing radar (Fig. 4d). According to the CAMRa, GCs were generally forming at ranges >30 km away, passing overhead the vertical-pointing radar as dissipated cells or $Z_{\text{DR}}/Z_{\text{H}}$ fall streaks. In addition, due to the short time of GC genesis (5–15 min) and their narrow updraft region (typically <5 km), it is not highly likely that a GC was captured overhead the radar during its genesis time.
The GC was further developed in height 10–20 min later (Figs. 7b,f and 7c,g), which caused the intensification of the aggregation and riming processes and affected the
$Z_H$ parameter ($Z_H$ increased to $32 \text{ dBZ}$). At the later stage (Fig. 7g), a distinct region of very high
$Z_{DR}$ and low–medium $Z_H$ was observed (up to $17 \text{ dBZ}$, $0.5 \text{ dB}$).

This suggests regions of newly formed (as there was not such a region earlier) pristine ice plates/dendrites at 215°C (Fig. 7f) (e.g., Kobayashi 1961; Magono and Lee 1966; Schrom et al. 2015; Bailey and Hallett 2009; Schrom and Kumjian 2016). The fact that these $Z_{DR}$ structures, which indicate the presence of unrimed ice particles, typically form at the later stages of GC formation suggests that they result from ice nucleation in regions where the initial convective feature has mostly decayed, but that significant regions of supersaturation still remain. Finally, as the GC core sediments/precipitates into the WCB (Figs. 7d,h), the associated $Z_H$ structure changes from a largely vertical orientation to being more horizontally elongated due to strong westerlies within the WCB. The evolution of this GC shows the connection between fall streaks and GCs. Another example is shown in Figs. 12c and 12f (40 < range < 60 km, 2 < altitude < 4 km).

c. The $Z_{DR}$ and $Z_H$ ice fall streaks

1) General characteristics

At 1800 UTC, two zones with different radar polarimetric traits were detected within sheared ice fall streaks. The $Z_{DR}$ fall streaks appeared as slanted zones of significantly high-$Z_{DR}$ (>1.5 dB) and low-$Z_H$ (<15 dBZ) values, containing pristine ice crystals (hexagonal plates and dendrites) (e.g., Andrić et al. 2013; Schrom et al. 2015; Schrom and Kumjian 2016). The $Z_H$ fall streaks, which appeared as a zero-$Z_{DR}$ (0.5 to 1 dB) and high-$Z_H$ (>15 dBZ) zone, were typically observed beneath a related $Z_{DR}$ fall streak, containing mostly aggregated and rimed ice crystals. Essentially, these fall streaks were represented by a bipolar zone of high–low-$Z_{DR}$ (or low–high $Z_H$) zones [similar fall streaks have been investigated by Bader et al. (1987); Kumjian et al. (2014); Oue et al. (2015)]. These zones originate in and descend from GCs (section 5b), as ice
crystals fall into sheared flow (Kumjian et al. 2014); Z_H fall streaks can be also enhanced by pristine ice crystals precipitating into it from the overlying Z_{DR} fall streak.

Examining the entire in situ and polarimetric radar dataset, observations of Z_{DR} > 1.5 dB were mostly (>60% of the observations) linked with low ice concentrations (<2 L^{-1}) and small-sized ice particles (<800 μm) (Figs. 8a,b). Taking into account previous literature (e.g., Schrom et al. 2015; Schrom and Kumjian 2016) and the fact that the data around the ML (<1 km) were excluded, it seems that large Z_{DR} values are mainly linked with small pristine ice crystals in small concentrations within the Z_{DR} fall streaks. Figure 8c also shows that regions of Z_{DR} < 1 dB are partially associated (by >45%) with observations of Z_H > 15 dBZ due to Z_{DR} fall streaks, while regions of Z_{DR} > 1 dB are associated (by 60%–70%) with smaller Z_H (Z_H < 15 dBZ) due to Z_H fall streaks. It should be highlighted that regions of lower-Z_{DR} values (in general, <1.5 dB, such as Z_H fall streaks) were observed to have a larger variety of ice particle number concentrations (from <4 to 10 L^{-1}) and mean size (from <500 to 3600 μm). Smaller crystals in larger concentrations can be explained by the effects of secondary ice production (see section 5d). To conclude, although the Z_H/Z_{DR} fall streak radar polarimetric boundary is not particularly clear, a rough threshold for distinguishing them could be Z_H ~ 15 dBZ, Z_{DR} ~ 1 dB.

An example of the evolution of large-scale, moderately intense Z_{DR}/Z_H fall streaks is illustrated in Fig. 9. The first fall streak started to form at ~1549 UTC (Figs. 9a,e), located at range = 100–110 km and height = 4–6 km. In this region, a spatially more extensive but less intense, in terms of Z_H (Z_H < 17 dBZ), GC occurred (compared to the GC investigated in section 5b). At this stage, the Z_{DR} fall streak was relatively modest, occasionally approaching 1–2.5 dB. The corresponding Z_H structure was also relatively modest, exhibiting values of ~15 dBZ, which was an enhancement of ~10 dBZ over the Z_{DR} fall streak. As the wind speed in the WCB appears to increase ~25 min later (1613 UTC; Figs. 9b,f), both Z_{DR} and Z_H fall streak signals become more obviously enhanced (up to 2.7 dB and 24.5 dBZ, respectively). The Z_H fall streak coincided with a region of Z_{DR} ~ 0 dB and is first observed in a region of enhanced Doppler velocity (>20 m s^{-1}). As this region was part of the WCB (which transported large amounts of liquid water), it is highly likely that ice crystals became intensely rimed and less oblate within it. Ten minutes later (~1623; Figs. 9c,g), the Z_H fall streak was represented by ~0.6 < Z_{DR} < 1.5 dB and Z_H > 15 dBZ, containing large (~984 μm) aggregated and heavily rimed dendrites (Fig. 9i) in concentrations of ~1.4 L^{-1} (Fig. 9m). The Z_H fall streak was an almost-glaciated zone, typically exhibiting LWC ~0 g m^{-3} and IWC > 0.01 g m^{-3}.

After a further 30 min (~1655), the fall streak was fully developed, demonstrating the largest length of all the observed fall streaks (~60 km) during the day and an expansion rate of ~17 m s^{-1}. Although the Z_{DR} fall streak was characterized by Z_H < 15 dBZ and Z_{DR} > 1 dB due to small concentrations of ice dendrites and plates (Figs. 9d,h), it was actually divided into two sub–fall streaks: one (Figs. 9d,h; range = 65–85 km, Z_{DR} > 1.5 dB, T ~ −13°C) with small (~580 μm) ice plates (Fig. 9j; frames 3–4) and another (Figs. 9d,h; range = 55–65 km, Z_{DR} ~ 1.5 dB, T ~ −13.5°C) with large (400–1400 μm) ice stellar/dendrites/dendrite aggregates (Fig. 9j; first two frames; Fig. 9n), both in small concentrations (<1 and <5 L^{-1}, respectively). We speculate that the sub–fall streak, which contained ice dendrites/stellars, formed when small and light ice plates drifted away from the initial Z_{DR} fall streak due to strong westerlies and remained within the WCB for a longer time. As a result, these plates grew into ice dendrites/stellars due to the high supersaturation regime at ~ −13°C (Fig. 9d) (Bailey and Hallett 2009). In the transitional zone between the Z_{DR} and Z_H fall streak, (Figs. 9d,h; range = 90 km, height = 3.5 km), small graupel particles mixed with slightly larger pristine
plates were observed in small sizes (~460 μm), but in larger concentrations (up to 8 L⁻¹; Fig. 9j; last two frames). In total, although this enhanced ZDR fall streak reached the ML retaining its characteristics, there was a decrease of ZDR slightly above the ML, presumably due to aggregation.

An important conclusion that arises from both sections 5b and 5c(1) is that the slope of the fall streaks increased as the warm front was approaching. In particular, the slope of the ZDR fall streak at 1927 UTC (Figs. 7a,e) was ~5°, compared to ~3° and ~7° at earlier (1656 UTC) and later (2007 UTC; Fig. 7d) times. It seems that the wind speed intensity of the WCB (discussed in sections 4 and 5a) and, thus, the wind shear intensity at its upper boundary, played an important role in the formation and shape of the fall streaks. Thus, stronger wind shear might cause stronger updrafts, forming larger and heavier hydrometeors in a shorter period of time, which fall faster toward the surface.

2) THE IMPACT OF THE ZH FALL STREAK ON THE SURFACE PRECIPITATION

The identification of the ZH fall streak is very important, as it is directly related to precipitation enhancement at the surface. In Fig. 10, we present the evolution of the ZH/ZDR fall streak dipole, which was described in section 5c(1), with the corresponding rain rate product from the Met Office operational radar network (NIMROD).

The ZH fall streak remained elongated (length ~60 km) for ~30 min (1656–1717 UTC) before dissipating, exhibiting up to ZH ~ 28 dBZ. In the initial stages (1705 UTC; Figs. 10a,d), it appeared to be moving slantwise downward, being represented by ZH > 20 dBZ and ZDR ~ 0 dBZ. As the ZH fall streak evolved over the next hour, ice crystals moved slantwise downward, with aggregation and riming leading to greater and greater enhancements of the ZH signal. At 1804 UTC, a region of significantly high ZH (30–47 dBZ) was observed at the ML (~0.7 km). Such enhanced ZH values imply heavy aggregation due to higher temperatures (>~3.5°C; Fig. 2d) and, thus, “stickier” ice crystals. Comparing the surface rain rate graphs (Figs. 10i–l) with the RHIs, it seems that the surface precipitation is strongly affected by the ZH fall streak. In particular, the rain rate increased (at range = 30 km; Figs. 10i–l) from <1 to 5 mm h⁻¹. This suggests that fall streaks that are initiated near cloud top and develop over time scales...
of approximately an hour have a significant impact on surface precipitation rates downstream.

Finally, although a copolar correlation coefficient $r_{HV}$ was not available in order to estimate the ML height (e.g., Giangrande et al. 2008; Boodoo et al. 2010), $Z_{DR}$ (2–4 dB) peaked around the ML at different heights in (range $= 32$ km) and out (range $= 25$ km) of the $Z_H$ fall streak (Fig. 11). In particular, at 1800 UTC, the ML was located at 0.8 km (Fig. 4a), which broadly agrees with the $Z_{DR}/Z_H$ peak (Brandes and Ikeda 2004; Houze 2014, p. 144) of the 25-km vertical profiles (Fig. 11, green lines). However, a slight lowering of the $Z_{DR}$ peak height was observed within the enhanced $Z_H$ region (by 0.2–0.4 km). The local depression of the melting layer was possibly caused by the melting of large aggregates (Stewart 1984; Stewart et al. 1984; Oraltay and Hallett 2005; Griffin et al. 2014). This is important, as $\sim 0^\circ C$ isothermal layers can be produced close to the surface, allowing (partially...
melted) ice to precipitate (Findeisen 1940; Szeto et al. 1988; Ryzhkov et al. 2011; Griffin et al. 2014).

**d. Secondary ice in the \( Z_H \) fall streak**

In this section, we try to analyze concurrent in situ and radar data in order to detect, determine, and investigate the characteristics of regions that appear to be greatly influenced by secondary ice processes.

Between 1912:54 and 1917:42 UTC, the aircraft passed through a region (range \( \sim 50–70 \) km) of low–medium \( Z_H \) (8–12 dB) and low \( Z_{DR} \) (0.5–1 dB), which was located at the entrance of the WCB. Although this region was located within a weak signal cloud top, we speculate that this region is a mature/dissipated \( Z_H \) fall streak. At the rear of this mature \( Z_H \) fall streak (range \( \sim 65–80 \) km), which was located within the WCB entrance (Doppler velocity \( \sim 20 \) m s\(^{-1}\)), the aircraft measured enhanced LWC and cloud droplet concentration (up to \( 0.17 \) g m\(^{-3}\) and \( 16.7 \) cm\(^{-3}\), respectively). In contrast, at the bottom of the WCB (range \( \sim 50–65 \) km), both cloud droplet concentration and LWC decreased by \( 10 \) cm\(^{-3}\) and \( 0.10 \) g m\(^{-3}\), respectively. This liquid water removal may imply intense riming, which is a mechanism assisting in ice multiplication (Mossop and Hallett 1974; Choularton et al. 1978, 1980). The occurrence of small \((\sim 300 \mu m \text{ in average}) \) ice columns (Fig. 12g; 2D-S frames 1–4) in high ice number concentrations (up to \( 37.8 \) L\(^{-1}\)) and the enhanced IWC (\( 0.11 \) g m\(^{-3}\)) observed at temperatures \( \sim –4.8^\circ C \) imply that Hallett–Mossop is the possible ice multiplication mechanism here. Some aggregated ice columns that were observed at range \( \sim 65–80 \) km (Fig. 12g; CIP-100 frames 1–2) formed due to the occurrence of ice columns within a region of high LWC.

Farther to the east (Figs. 12c,f,h,i), a region of quasi-spherical rimed ice particles (Fig. 12g; 2DS frames 4–5, CIP-100 frame 3) and supercooled water drops mixed with some ice columns (range \( \sim 43–55 \) km; \( T \sim –4^\circ C; Z_{HI} \) up to \( 28.6 \) dB and \( Z_{DR} \sim 0.5–1 \) dB) was observed, followed by another region of mostly ice columns (range \( \sim 31–43 \) km; \( T \sim –5^\circ C \)). As this region belonged to a short and newly
formed $Z_H$ fall streak originating in the core of a GC (range ~ 53 km, altitude ~ 3 km), processes such as riming and ice multiplication could be at early stages. This may explain the increased, but lower than the previous secondary ice region, ice concentrations (up to 14.1 L$^{-1}$). Decreased LWC ($<0.005 \text{ g m}^{-2}$) and cloud droplet concentration ($<0.4 \text{ cm}^{-3}$) could be measured due to riming process and the aircraft position (outside the WCB). It should be noted that some pristine ice crystals from the $Z_{DR}$ fall streak above (range = 40–50 km) rimed (an example in Fig. 12; CIP-100 frame 4) and became heavier when they moved into the WCB. As a result, they might fall into the $Z_H$ fall streak, which was finally characterized by small quasi-spherical (and possibly mixed phase) ice particles/supercooled water drops, but also of ice columns and ice lollies (Keppas et al. 2017).

6. Conclusions

On 21 January 2009, multiple frontal zones affected the U.K. weather due to a maturing depression, which originally formed over the North Atlantic Ocean. In the work presented, the dynamics and microphysics of mixed-phase clouds, with embedded convective elements, associated with the warm front of this system are investigated, comparing high-resolution (0.28° beam) dual-polarization S-band radar with in situ aircraft data. The latter data collected by 2D-S probe (providing better resolution than 2D-C) were used together with CIP-100 probe data in order to provide a wider size range of measured particles. It should be noted that this is the first time that a warm front is comprehensively investigated with high-resolution data.

A few studies previously investigated warm fronts and GCs, comparing both in situ and radar datasets. Here, we discuss some of their results, which generally come to an agreement with the results of the present study. Herzegh and Hobbs (1980) found that large ice particles within GCs grew by riming, deposition, and aggregation as occurred in $Z_H$ fall streaks. They also suggested that GCs work as feeders of ice to stratiform clouds below. According to Matejka et al. (1980), as GCs mature, they tend to be glaciated. They supported that surface precipitation is mainly associated with embedded convection in warm fronts. Hogan et al. (2002) demonstrated some evidence that embedded convection within a warm front is triggered by Kelvin–Helmholtz instability. However, they found that embedded convection was linked with narrow, vertical high-$Z_{DR}$ zones of ice columns, which coincided with updrafts and was a feature that was not observed in the present study. Murakami et al. (1992) observed only a shallow layer of supercooled droplets above the warm frontal zone. Additionally, they found that GCs provided a favorable environment for ice crystals to grow rapidly, which agrees with Plummer et al. (2014). Finally, Plummer et al. (2015) noticed that ice mainly grew in regions below GCs, where enhanced moisture was observed.

As a conclusion, the general structure of the warm front is schematically summarized in Fig. 13, according to the airborne in situ and ground-based polarimetric radar measurements. The main conclusions of the study are outlined as follows.

Regarding the macroscopic characteristics of the investigated warm front:

- The height of cloud tops gradually decreased from 6 to 4.5 km as the warm front was approaching. Cloud-top temperatures were, generally, $>-25^\circ C$, which implies that the ice in the cloud system was formed via primary heterogeneous processes and potentially enhanced by secondary multiplication processes.
- The height of the 0°C level, or the melting layer (ML), was first located at 0.7 km, rising by almost 1 km after the passage of the warm front.
- The horizontal dimension of the cloud mass was larger than 120 km, the maximum range of the RHIs presented in this work.

The cloud mass consisted of distinctive features that demonstrated definite radar polarimetric and/or microphysical characteristics. These features are

- The warm conveyor belt (WCB), initially depicted by a group of multiple zones of enhanced Doppler velocity. These multiple zones merged into a single one, which decreased in altitude as the warm front moved farther inland, away from the coast. High radar Doppler velocities (20–30 m s$^{-1}$) and radiosonde wind speeds (up to 26 m s$^{-1}$) were recorded within the WCB, presenting, essentially, a fair agreement for wind directions along the radar azimuth. The WCB
was vitally important for transporting large amounts of liquid water (up to 0.37 g m\(^{-2}\)) into the system, offering at the same time an ideal regime for secondary ice production at temperatures \(\sim -6^\circ\text{C}\).

- The generating cells (GCs), formed at the unstable (based on potential temperature profiles) layer above the WCB, where vertical wind shear triggered Kelvin-Helmholtz instability. The GCs were represented by a core of high \(Z_\text{H} (10-33 \text{ dBZ})/\sim 0 \text{ dB} \) \(Z_\text{DR}\) and a shell of low \(Z_\text{H} (<10 \text{ dBZ})/\text{high} \ Z_\text{DR} (\text{up} \to 4 \text{ dB})\).
- The ice fall streaks, formed as GCs were moving into a sheared flow caused by the WCB. The strong westerlies ‘converted’ the GCs’ high-\(Z_\text{H}\) shells and high-\(Z_\text{H}\) cores into an ice fall streak, which consisted of two individual fall streaks with different polarimetric characteristics: a \(Z_\text{DR}\) and a \(Z_\text{H}\) fall streak, respectively. Ice fall streaks exhibited lengths of up to 60 km and slope of 3\(^\circ\), which increased with time (up to 7\(^\circ\)) due to the intensification of the GCs. The \(Z_\text{DR}\) fall streaks were divided into two low-\(Z_\text{H}\) (<15 dBZ) subzones: (i) a zone of ice plates with \(Z_\text{DR} > 1.5 \text{ dB}\) and (ii) a zone of stellar/dendrites with \(Z_\text{DR} \sim 1.5 \text{ dB}\), which might form from small ice plates that were moving from GC shells to the high supersaturated regions of the WCB. As icing can be harmful for aviation in dendritic ice regions, this can help to improve existing icing detection algorithms (Serke et al. 2008; Pitertsev and Yanovsky 2011; Ellis et al. 2012). Beneath the \(Z_\text{DR}\) fall streaks, \(Z_\text{H}\) fall streaks were represented by high \(Z_\text{H} (>15 \text{ dBZ})\) and \(Z_\text{DR} \sim 0 \text{ dB}\), consisting mostly of rimed and/or aggregated ice crystals in variable sizes (from <500 to 3600 \(\mu\text{m}\)) and concentrations (from <4 to >10 L\(^{-1}\)). The \(Z_\text{H}\) fall streaks that form higher up in the clouds can affect the surface precipitation. The time and spatial evolution depends on the profile of wind direction and speed in the clouds. As an illustration, a case where a \(Z_\text{H}\) fall streak enhanced the surface precipitation by 4 mm h\(^{-1}\) an hour after its genesis was presented.

- The secondary ice regions, which presented large ice concentrations (up to 37.8 L\(^{-1}\)) and mostly consisted of ice columns. Such regions were located within the intersection region of the \(Z_\text{H}\) fall streaks with the WCB, where riming was intense at temperatures \(\sim -5^\circ\text{C}\), and liquid water was dramatically depleted, potentially affecting the cloud lifetime.

In the present paper, both heterogeneous ice nucleation and secondary ice formation were observed in mixed-phase clouds, which are highly uncertain processes. Heterogeneous ice nucleation plays an important role in the formation of GCs, as new ice forms from freezing liquid water transported aloft along the rear updraft region of GCs. As in situ measurements within GCs and ice fall streaks are limited, more observations should be performed aimed at investigation of the cloud processes (aggregation, riming, and ice multiplication) in order to obtain a deeper understanding of cloud microphysics. Except for observing real GCs, they could also be created and observed in laboratories. Comprehensive datasets, which could describe the structure and evolution of such clouds, could be used for the validation of complex microphysics models (Stoelinga et al. 2003; Keeler et al. 2016a).

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