

## Estimating reference crop evapotranspiration with elevation based on an improved HS model

Pengcheng Tang, Bing Xu, Zhanyi Gao, Heping Li, Xiaoyu Gao and Chaozi Wang

### ABSTRACT

Estimation of  $ET_0$  in high-elevation (above sea level, ASL) areas of Tibet presents unique challenges: scarcity of monitoring stations, short-time coverage of meteorological data, low-oxygen and low-pressure environment, and strong solar radiation. In this study, altitude factors and modified temperature constants are utilized to improve the Hargreaves (HS) model based on 30-year daily meteorological data from 20 typical sites in Tibet. The improved model, Hargreaves-Elevation (HS-E) improved model, could provide better results at different time scales. Negative  $ET_0$  values were unavoidable in the HS model when applied to high-elevation areas. However, the HS-E model solved this problem and improved the accuracy of estimated  $ET_0$ . In particular, the HS-E model performs better when the time scale becomes larger. Therefore, the HS-E model is highly recommended to estimate  $ET_0$  in high-elevation areas where the meteorological data are scarce, for example, in Tibet above 2,000 m.

**Key words** | Hargreaves-Elevation model, Hargreaves model, high-elevation areas, Penman-Monteith equation, reference crop evapotranspiration, Tibet

**Pengcheng Tang**  
**Zhanyi Gao**  
 State Key Laboratory of Simulation and Regulation  
 of Water Cycle in River Basin,  
 Beijing 100038,  
 China  
 and  
 China Institute of Water Resources and  
 Hydropower Research,  
 Beijing 100038,  
 China

**Pengcheng Tang**  
**Bing Xu** (corresponding author)  
**Heping Li**  
 Institute of Water Resources for Pastoral Area,  
 China Institute of Water Resources and  
 Hydropower Research,  
 Hohhot 010020,  
 China  
 E-mail: [nmxubing@163.com](mailto:nmxubing@163.com)

**Xiaoyu Gao**  
 Water Conservancy and Civil Engineering College,  
 Inner Mongolia Agricultural University,  
 Hohhot 010018,  
 China

**Chaozi Wang**  
 Department of Land, Air, and Water Resources,  
 UC Davis,  
 Davis, CA 95616,  
 USA

### INTRODUCTION

Crop evapotranspiration (ET), the only term that appears both in water balance equations and surface energy balance equations (Xu & Singh 2005), is an essential component of the hydrologic cycle. Therefore, crop ET plays a key role in applying rainfall-runoff and ecological models, calculating regional water balance, and determining irrigation water demands. The first step of crop evapotranspiration

estimation is estimating the reference crop evapotranspiration ( $ET_0$ ). Inaccurate  $ET_0$  estimates could lead to error propagation in the model and finally unreliable groundwater recharge estimates (Allen *et al.* 1998). Although there are many methods to estimate  $ET_0$ , each of them is generally limited by geographical and meteorological conditions (Liu & Pereira 2001; Irmak *et al.* 2003). The applicability of the Penman-Monteith model (PM) has been shown in many regions of different climate types (Jabloum & Sahli 2008; Tabari *et al.* 2013). Thus, the Food and Agriculture Organization (FAO) has adopted the PM equation as the standard method to estimate  $ET_0$ . The main shortcoming

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of the PM model is its requirement of some hard-to-obtain meteorological factors (Valiantzas 2015). It is very difficult to obtain continuous and comprehensive data in many cases. Furthermore, installation and maintenance of meteorological stations in high-elevation terrain is very expensive and complicated. Therefore, it is crucial to find a simple and accurate method to estimate  $ET_0$  for high-elevation areas.

The existing empirical equations to estimate  $ET_0$  with limited data were categorized as temperature-based, radiation-based, evaporation-based, material conversion-based and mixed-type (Tabari *et al.* 2013). The simplified temperature-based models, which only require easy-to-access data, have been widely used (Almorox *et al.* 2015). The temperature-based Hargreaves-Samani model (HS) (Hargreaves & Samani 1985) calculated solar radiation using the highest temperature, lowest temperature and external radiation. Almorox *et al.* (2015) found that the HS model was the simplest and most accurate temperature-based model to use in arid and semi-arid areas after studying data from 4,362 meteorological stations to estimate  $ET_0$  using 11 temperature-based formulas. Er-Raki *et al.* (2010) also showed that the HS model was the most accurate temperature-based model in semi-arid regions of the Americas. Some scholars analyzed the applicability of commonly-used  $ET_0$  empirical models in various climate zones throughout China. Hu *et al.* (2011) evaluated the applicability of the HS model at monthly scale in different climate zones of China. In addition, Wang *et al.* (2008) validated the applicability of the HS model in some arid areas and semi-arid areas of China.

Although the HS model has been popular for estimating  $ET_0$  due to its simplicity, there is evidence that  $ET_0$  is underestimated in arid regions and overestimated in humid areas by the HS model. Martinez & Thepadia (2010) found that  $ET_0$  was overestimated by the HS model after an analysis of data from 72 stations in Florida. Yoder *et al.* (2005) estimated the daily and monthly  $ET_0$  in the Cumberland Plateau in the southeastern humid region of the United States and found that the HS model was more suitable for estimating the monthly  $ET_0$  compared with the PM equation.

Many  $ET_0$  empirical models were only applied in certain areas (Jabloum & Sahli 2008), which means that the parameters in the empirical models had to be recalibrated for new areas. For example, Allen *et al.* (1998) pointed out that the value of the temperature coefficient in the HS model

was not reasonable at altitudes over 1,500 m. Annandale *et al.* (2002) stated that the temperature coefficient and temperature index in the HS model should be modified to account for atmospheric pressures in different areas, and the atmospheric pressure decreased with altitude (Yang & Zhang 2009).

However, elevation factors, which were overlooked before, can be easily obtained and are closely related to the hygrometer constant ( $\gamma$ ), the zenith radiation ( $R_a$ , solar radiation absorbed by the top of the earth's atmosphere) and the net solar radiation ( $R_n$ , the energy absorbed by the Earth's surface) (Allen *et al.* 1998). Existing studies on simplified models mostly focus on temperature, relative humidity, wind speed, sunshine hours, rainfall and other meteorological factors. The main objective of this study is to provide a simple and accurate model to estimate  $ET_0$  based on the HS model, using elevation information.

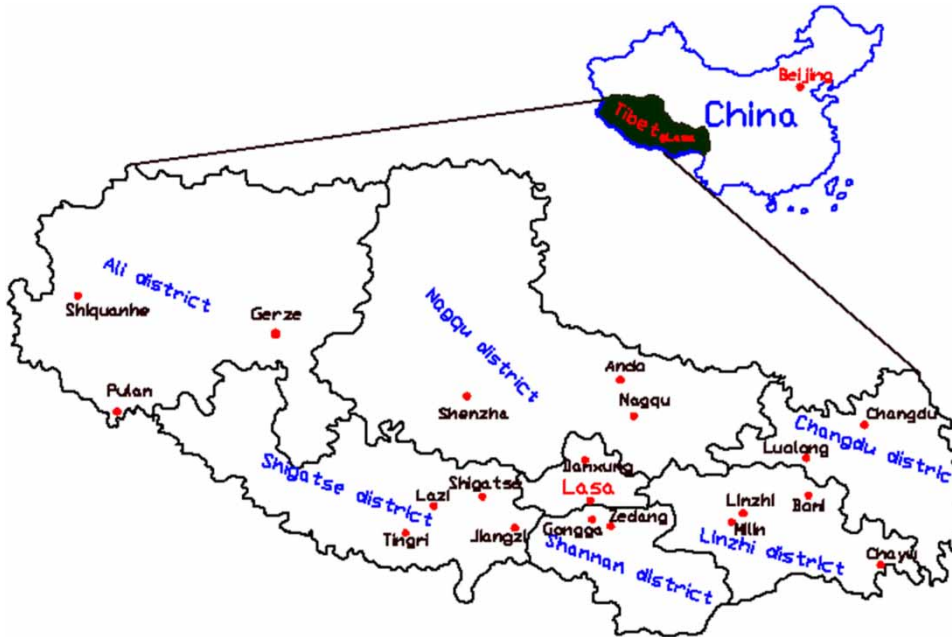
## STUDY AREA AND DATA

### Study area

Tibet, located in the southwest of China and known as the 'roof of the world', has an average altitude of 4,000 m covering an area of 1.23 million km<sup>2</sup>. The high altitude leads to unique climate characteristics: low oxygen, low pressure (less than 2/3 atmospheric pressure than at sea level), long sunshine duration (more than 3,000 hours per year) and strong radiation (annual solar radiation ranging from 6,000 to 8,000 MJ m<sup>-2</sup>). The humidity and temperature vary widely, and there are distinctive dry and wet seasons in the high-altitude areas of Tibet (above 2,000 m) due to the frequent cold-heat exchanges in the near surface layer. According to the characteristics of Tibetan geomorphology and climate, this study fully considered the altitude variations of Tibet (the overall trend is higher in the west and lower in the east). Twenty representative Tibetan sites with altitude ranging from 2,000 m to 5,000 m were selected (Figure 1) for the study. Their geographical data are shown in Table 1.

### Data collection

The daily meteorological data including the minimum and maximum temperatures, humidity, sunshine duration, wind



**Figure 1** | Location of the 20 representative sites in Tibet.

**Table 1** | Geographic information and annual average temperature of the 20 representative sites in Tibet, China

Station	Elevation (ASL, m)	Northern latitude (°)	Eastern longitude (°)	T <sub>mean</sub> (°C)
Chayu	2,327.6	28.39	97.28	11.91
Bomi	2,736.0	29.52	95.45	9.02
Milin	2,950	29.13	94.13	8.84
Linzhi	2,991.8	29.41	94.20	8.76
Changdu	3,315.0	31.09	97.10	7.38
Gongga	3,555.3	29.18	90.59	8.78
Zedang	3,560	29.16	91.46	8.70
Luolong	3,640	30.45	95.50	5.72
Lasa	3,648.9	29.38	91.08	7.38
Shigatse	3,836	29.17	88.52	6.21
Pulan	3,900	30.17	81.15	2.66
Lazi	4,000	29.05	87.35	6.54
Jiangzi	4,040	28.55	89.36	5.51
Damxung	4,200	30.29	91.06	1.81
Shiquanhe	4,278.6	32.30	80.05	1.21
Tingri	4,300	28.38	87.05	3.12
Gerze	4,414.9	32.09	84.25	1.23
Nagqu	4,507	31.29	92.04	-1.07
Shenzha	4,672	30.93	88.70	-0.2
Ando	4,800	32.04	91.87	-2.70

speed, rainfall and elevation from the 20 sites over 30 years were obtained from the National Meteorological Information Center (NMIC, <http://data.cma.cn>). The daily and monthly  $ET_0$  were calculated using the Penman-Monteith equation. Then, the 30-year meteorological data were divided into two sub sets: the training dataset – the daily weather data of the 20 sites from 1981 to 2000 ( $n = 135144$ , no interpolation); and the testing dataset – the daily weather data of the 20 sites from 2001 to 2010 ( $n = 73,040$ , no interpolation). An elevation-adjusted HS model was developed using the training dataset, and validated using the testing dataset.

## METHODS

### FAO56 Penman-Monteith equation

The FAO stated that all calculation procedures for the PM equation can be standardized using available meteorological data and time scales, and the results can be used by project managers, project consultants, irrigation engineers, hydrologists, agronomists and meteorologists as reference standards. Taking into account the aerodynamic term ( $ET_{0aero}$ ) and radiation term ( $ET_{0grad}$ ), the PM equation is

often used as a standard equation suitable for most climatic areas (Allen *et al.* 1998). The Penman-Monteith equation is

$$ET_{0\text{ PM}} = \frac{0.408 \cdot \Delta \cdot (R_n - G) + \gamma \cdot \frac{900}{T + 273} \cdot u_2 \cdot (e_s - e_a)}{\Delta + \gamma \cdot (1 + 0.34 \cdot u_2)} \quad (1)$$

where  $ET_{0\text{ PM}}$  is the  $ET_0$  (mm day<sup>-1</sup>) calculated by Penman-Monteith equation and will be used as the true value of  $ET_0$  in this paper;  $R_n$  is the net radiation at the crop surface (MJ m<sup>-2</sup> day<sup>-1</sup>);  $G$  is the soil heat flux density (MJ m<sup>-2</sup> day<sup>-1</sup>,  $\approx 0$ );  $T$  is the air temperature at a 2 m height (°C);  $u_2$  is the wind speed at a 2 m height (m s<sup>-1</sup>);  $e_s$  and  $e_a$  are the saturated and actual vapour pressure (kPa), respectively;  $\Delta$  is the slope vapour pressure curve (kPa, °C) and  $\gamma$  is a psychrometric constant (kPa, °C).

### Hargreaves-Samani equation

The HS equation estimates reference crop evapotranspiration ( $ET_0$ ) only using temperature data (Hargreaves & Samani 1985).

$$ET_{0\text{ HS}} = 0.0023 \cdot (T_{\text{mean}} + 17.8) \cdot (T_{\text{max}} - T_{\text{min}})^{0.5} \cdot R_a \quad (2)$$

where  $ET_{0\text{ HS}}$  is the  $ET_0$  calculated by HS equation (mm day<sup>-1</sup>);  $T_{\text{mean}}$ ,  $T_{\text{max}}$ ,  $T_{\text{min}}$  are the mean, maximum and minimum temperature (°C), respectively;  $R_a$  is the extraterrestrial radiation, which is calculated as a function of the day of the year and latitude and longitude (Allen *et al.* 1998).

The HS equation was tested based on lysimeter measurements over an 8-year period (Hargreaves & Samani 1985). The HS equation can utilize atmospheric temperature differences ( $T_{\text{max}} - T_{\text{min}}$ , indicating how much of the zenith radiation can reach the earth's surface) to estimate solar radiation. Many studies showed that the HS equation gave a reasonable  $ET_0$  throughout the world in the condition of some missing meteorological data. However, the empirical coefficients, such as the temperature coefficient (0.0023), temperature constant (-17.8) and temperature index (0.5), were obtained through regression analysis of many observations collected from around the world over many years. Thus, the parameters in the HS equation should be modified before it is used in a new area.

## Methods to determine the influence factors on $ET_0$ in high-elevation areas

### The influence of elevation and temperature on the $ET_0$

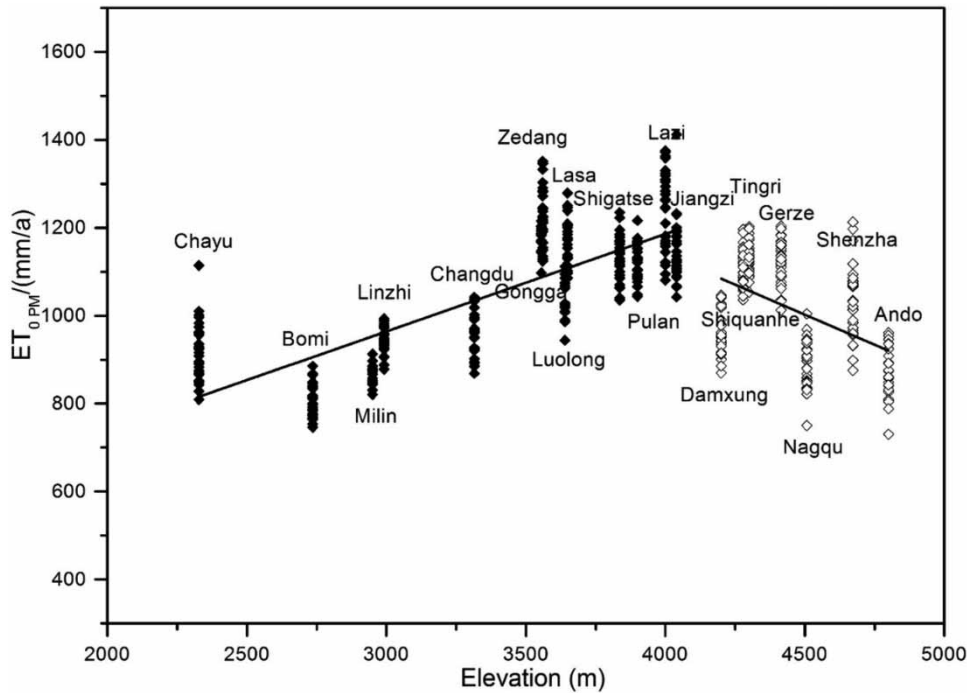
The analysis of the variation of  $ET_0$  with elevation and temperature showed that the annual  $ET_0$  increased gradually with increasing elevation (decreasing temperature) in areas below 4,000 m, but decreased with increasing elevation (decreasing temperature) in areas above 4,000 m (Figure 2 and Table 1). The annual highest cumulative value of  $ET_0$  (about 1,280 mm/year on average) was found in the Lazi area (about 4,000 m ASL), and the lowest cumulative value of  $ET_0$  (about 950 mm/year on average) was found in Chayu (the lowest altitude site selected in this study, about 2,000 m ASL) and the Ando area (the highest altitude site selected in this study, about 5,000 m ASL). In summary, elevation and temperature were very important in  $ET_0$  estimation, and this was why we modified the temperature constant and the elevation function to improve the HS model.

The  $ET_0$  and the annual average temperature were abnormal at the Damxung area (4,200 m above sea level), which has a lower annual  $ET_0$  compared to those of Tingri (4,300 m above the sea level) and Lazi (4,000 m above sea level) (Figure 2). This was due to the unique terrain and landscape of Damxung, which led to lower annual average temperature (1.81 °C) in Damxung than those of Tingri (3.12 °C) and Lazi (6.54 °C), and further caused the lower annual cumulative evapotranspiration in the Damxung area.

### Principal component analysis

Principal component analysis (PCA) is a statistical method that is used to extract the most important information (i.e. variance) in a dataset (Wang 2015; Wang *et al.* 2018). The new variables obtained from the PCA are called principal components (PCs), which are linear combinations of the original variables, and each PC is orthogonal to other PCs.

When calculating  $ET_0$  using the PM equation, seven meteorological parameters were used, including the daily maximum temperature, daily minimum temperature, daily



**Figure 2** | The influence of elevation on annual  $ET_0$  in the high elevation areas. The horizontal axis indicates the elevation in 20 representative sites (range: 2,000–5,000 m); the vertical axis represents the annual cumulative  $ET_0$ .

average temperature, daily average wind speed, daily average relative humidity and daily sunshine duration, all of which were obtained from the NMIC. The non-continuous data series contained geospatial data in three dimensions: longitude, latitude and altitude. Due to the large number of continuous meteorological parameters needed for this complex calculation equation, a simpler alternative is often necessary when data are sparse. Statistical Product and Service Solutions (SPSS) software (IBM, USA) was used to analyze the PCs based on the geospatial data and 30-year meteorological data from the 20 study sites in Tibet. The original data were automatically standardized to eliminate the influence of the different variance scales of the variables.

The first three PCs (Table 2) had eigenvalues larger than 1, and the total variance explained by them was nearly 80%. Therefore, the first three PCs could be used to illustrate the main information contained in the original nine variables. The correlations between the first three PCs and the original variables were used to find the relationship between the PCs and the original meteorological indexes or geographic information (Table 3). There were

**Table 2** | Eigenvalues and explained variance

Principal component	Eigenvalue	Explained variance (%)	Cumulative explained variance (%)
1	4.174	46.375	46.375
2	1.612	17.906	64.281
3	1.171	13.009	77.290
4	0.957	10.633	87.923
5	0.576	6.398	94.321
6	0.318	3.529	97.850
7	0.142	1.582	99.432
8	0.046	0.515	99.947
9	0.005	0.053	100

significant correlations between the first principal component and four of the initial variables: the daily minimum temperature, daily mean temperature, daily maximum temperature and elevation. The second and third PCs only had significant correlation with sunshine duration and latitude, respectively.

The PCA analysis further confirmed that temperature and elevation were important indicators of  $ET_0$ .

**Table 3** | PC coefficients

Original index	First principal component	Second principal component	Third principal component
Minimum daily temperature	0.913	0.268	0.240
Mean temperature	0.885	0.412	0.162
Maximum daily temperature	0.846	0.488	0.070
Height above sea level (elevation)	-0.783	0.249	0.416
Longitude	0.622	-0.547	-0.091
Latitude	-0.589	-0.085	0.677
Mean of air relative humidity	0.587	-0.417	0.533
Sunshine duration	-0.378	0.703	-0.204
Average wind speed	-0.088	0.309	0.340

**Hargreaves-Elevation model**

**Including an altitude function in the HS model**

Through analysis of the PCs, elevation data were shown to be important due to their easy access and lack of need for continuous observations. Therefore, the elevation factor can be included in the HS equation. Elevations of the study sites were easily accessible from digital elevation model (DEM) or handheld GPS and remained constant in a very long period; therefore, it was included to improve the HS equation:

$$ET_{0\ HSE} = f(H)(T_{mean} - a)(T_{max} - T_{min})^{0.5} R_a \tag{3}$$

where  $f(H)$  is the altitude function to be solved;  $a$  is the temperature constant, which is often the minimum value of  $T_{mean}$  in the last 50 years in areas higher than 2,000 m to prevent the calculation of  $ET_{0\ HS-E}$  from generating negative values. This modified HS-E model represents an improvement on the HS model. Other variables are the same as in Equation (2).

**An improved method to determine the temperature constant**

FAO recommended that the temperature constant should be  $-17.8$ , when the unit of  $R_a$  was measured in  $mm\ d^{-1}$ .

However,  $T_{mean}$  is often lower than  $-17.8\ ^\circ C$  in high-altitude areas, especially in areas above 3,500 m in January and December. This led to negative  $ET_0$  values calculated by the HS model. This result is contrary to common sense (actual evapotranspiration cannot be negative). Therefore, the method to determine the temperature constant needs to be improved.

The lowest daily  $T_{mean}$  of the 20 representative sites (Table 1, elevation ranging from 2,000 to 5,000 m) from 1960 to 2015 was  $-36.6\ ^\circ C$ , which was observed at the Gerze region (4,414.9 m altitude) on December 26th, 1987. Therefore, the temperature constant was set to  $-36.6$  to avoid negative values of  $ET_0$  calculated using the new model.

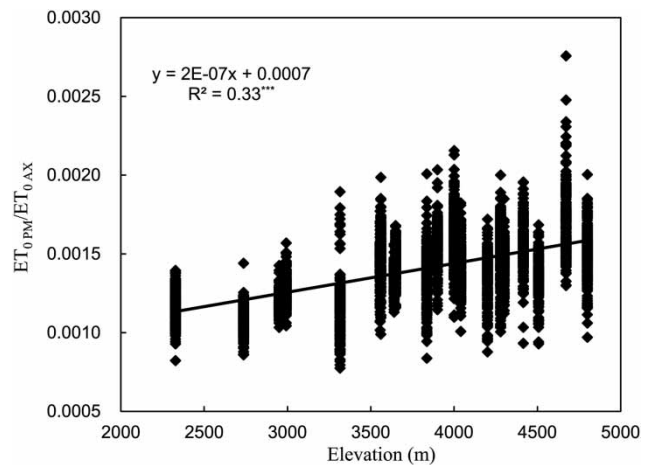
**Derivation of the elevation function**

Comparing Equations (1) and (3), it was obtained

$$f(H) = \frac{0.408*\Delta*(R_n - G) + \gamma*\frac{900}{T + 273}*u_2*(e_s - e_a)}{\Delta + \gamma*(1 + 0.34*u_2)} \tag{4}$$

$$(T_{mean} + 36.6)(T_{max} - T_{min})^{0.5} R_a$$

Plugging in the parameters obtained from monthly summary of the training dataset (the meteorological data from the 20 typical sites from 1981 to 2000), the value of  $f(H)$  could be determined (Figure 3). Assuming  $f(H)$  is a linear function of  $H$ , linear regression analysis suggested that the



**Figure 3** | The linear regression analysis of elevation (ASL) function ( $n = 12 \times 20 \times 20$ ) (\*\*\*) represents significance at the 0.1% level; the standard error =  $1.7 \times 10^{-4}$ .

elevation function is as follows:

$$f(H) = 10^{-4}(2 \times 10^{-3}H + 7) \quad (5)$$

Then, the HS-E model is:

$$ET_{0HS-E} = 10^{-4}(2 \times 10^{-3}H + 7)(T_{mean} + 36.6)(T_{max} - T_{min})^{0.5}R_a \quad (6)$$

### Model calibration and validation

The new HS-E model was validated using the testing dataset (the meteorological data from the 20 typical sites from 2001 to 2010) at different time scales.

The mean relative error (MRE), the root mean square error (RMSE) and Nash–Sutcliffe efficiency (NSE) were used as statistical indices to show the applicability of the HS-E model (Cai et al. 2007). The calculation process of each indicator is as follows:

$$MRE = \frac{1}{N} \sum_{i=1}^N \frac{(P_i - O_i)}{O_i} * 100\% \quad (7)$$

$$RMSE = \sqrt{\frac{1}{N} \sum_{i=1}^N (P_i - O_i)^2} \quad (8)$$

$$NSE = 1 - \frac{\sum_{i=1}^N (P_i - O_i)^2}{\sum_{i=1}^N (O_i - \bar{O})^2} \quad (9)$$

where  $N$  is the total number of error comparison points;  $P_i$  and  $O_i$  represent the predicted and measured values, respectively ( $i = 1, 2, \dots, N$ ).

The MRE is a percentage and is used to characterize the accuracy and error scope of the prediction; the RMSE is used to measure the deviation between the observed values and true values. The closer the MRE and RMSE are to zero, the higher reliability of the model. The closer the NES value is to 1, the higher the reliability of the model.

## RESULTS

### Performance on estimating daily $ET_0$

Daily  $ET_0$  ( $n = 3,653 \times 20$ ) was estimated by the HS model and the HS-E model using the testing dataset. Then

RMSE, MRE and NSE were calculated, using the results from PM equation as the true values, to evaluate the performance of the HS model and the HS-E model (Table 4).

First, the NSE value of the HS-E model was between 0.60 and 0.88 with an average of 0.79; while the NSE value of the HS model was between 0.35 and 0.84 with an average of 0.69 (Table 4). Thus, with NSE closer to 1, the simulation results of the HS-E model were more accurate than those of the HS model. Second, the RMSE of the HS-E model was between 0.40 and 0.80 mm day<sup>-1</sup>, with an average of 0.56 mm day<sup>-1</sup>; while the RMSE of the HS model was between 0.51 and 0.89 mm day<sup>-1</sup>, with an average of 0.65 mm day<sup>-1</sup> (Table 4). Third, the MRE of the HS-E model was between 1.13% and 18.72% with an average (the average MRE absolute value) of 9.80%, while the MRE of the HS model was between -31.64% and 18.41% (the average absolute value was 15.13%) (Table 4). Therefore, with the RMSE and MRE closer to 0, the values of  $ET_0$  calculated by the HS-E model had a smaller deviation compared with the true values. Fourth, when plotting against the  $ET_{0PM}$ , the slope of  $ET_{0HS-E}$  ranged from 1.03 to 1.24 with an average of 1.09; while the  $ET_{0HS}$  ranged from 0.63 to 1.21. Therefore, the HS-E model was more stable and its estimation was closer to the true value. In summary, when estimating daily  $ET_0$ , the HS-E model avoided the occurrence of negative values and provided estimations closer to true values calculated by the PM equation, compared with the HS model.

### The performance on estimating monthly $ET_0$

Monthly  $ET_0$  ( $n = 120 \times 20$ ) was also estimated by the HS model and the HS-E model using the testing dataset (Figure 4), and then the RMSE, MRE and NSE were calculated, using the results from PM equation as the true values, to evaluate the performance of the HS model and the HS-E model (Table 5). First, the NSE value of HS-E model for monthly  $ET_0$  was between 0.77 and 0.98 with an average of 0.89; while the NSE of HS model for monthly  $ET_0$  ranged from 0.06 to 0.93, with an average of 0.69 (Table 5). Therefore, the estimated results of the HS-E model were of higher quality than those of the HS model. Second, the average RMSE of 9.52 mm month<sup>-1</sup> for  $ET_0$  obtained by the HS-E model was less than the average

**Table 4** | Performance of the HS model and the HS-E model on daily ET<sub>0</sub> estimation in the 20 sites of high-elevation region

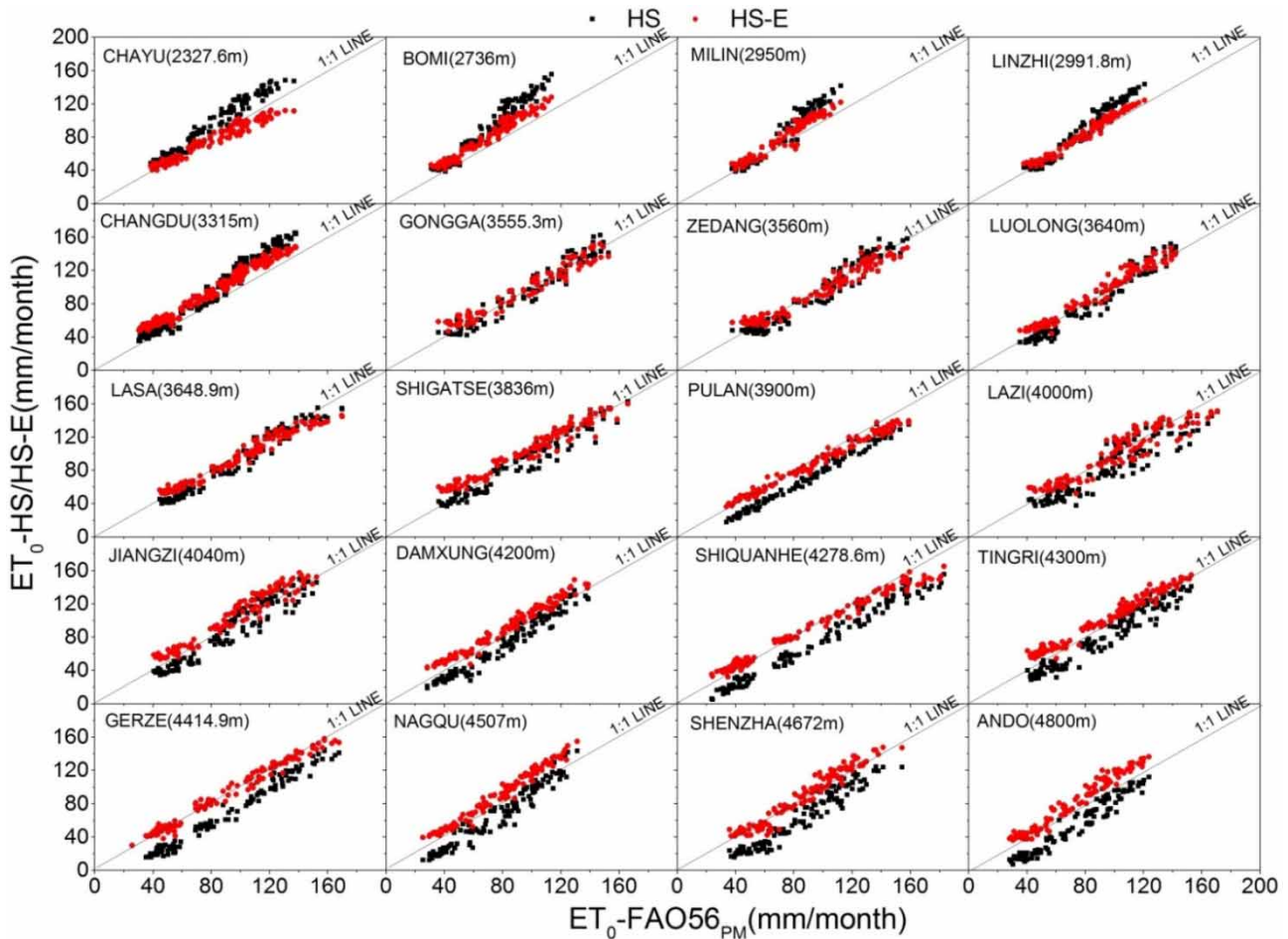
Station	District	Elevation (m)	Method	NSE	RMSE (mm day <sup>-1</sup> )	MRE (%)	ET <sub>HS</sub> /ET <sub>0 PM</sub> ; ET <sub>HS-E</sub> /ET <sub>0 PM</sub>
Chayu	Linzhi	2,327.6	HS	0.71	0.60	18.36	1.16
			HS-E	0.85	0.44	-1.51	0.98
Bomi	Linzhi	2,736	HS	0.35	0.79	27.43	1.27
			HS-E	0.75	0.49	18.72	1.19
Milin	Linzhi	2,950	HS	0.69	0.66	18.41	1.18
			HS-E	0.74	0.6	1.13	1.24
Linzhi	Linzhi	2,991.8	HS	0.66	0.55	11.22	1.11
			HS-E	0.83	0.40	7.04	1.07
Changdu	Changdu	3,315	HS	0.69	0.66	18.41	1.18
			HS-E	0.74	0.60	1.13	1.24
Gongga	Shannan	3,555.3	HS	0.84	0.51	1.63	1.02
			HS-E	0.8	0.57	9.08	1.09
Zedang	Shannan	3,560	HS	0.81	0.54	-2.09	0.98
			HS-E	0.82	0.54	3.07	1.03
Luolong	Changdu	3,640	HS	0.8	0.55	-3.52	0.96
			HS-E	0.78	0.58	9.33	1.09
Lasa	Lasa	3,648.9	HS	0.82	0.53	-6.85	0.93
			HS-E	0.60	0.80	-13.49	0.87
shigatse	Shigatse	3,836	HS	0.84	0.51	-1.05	0.99
			HS-E	0.80	0.59	14.58	1.15
Pulan	Ali	3,900	HS	0.73	0.70	-22.17	0.78
			HS-E	0.88	0.46	-2.78	0.97
Lazi	Shigatse	4,000	HS	0.65	0.76	-9.70	0.90
			HS-E	0.73	0.66	4.56	1.05
Jiangzi	Shigatse	4,040	HS	0.8	0.52	-0.61	0.94
			HS-E	0.79	0.94	13.76	1.14
Damxung	Lasa	4,200	HS	0.79	0.53	-13.88	0.86
			HS-E	0.79	0.53	17.07	1.17
Shiquanhe	Ali	4,278.6	HS	0.74	0.85	-28.43	0.72
			HS-E	0.89	0.54	5.87	1.06
Tingri	Shigatse	4,300	HS	0.75	0.61	-13.87	0.86
			HS-E	0.83	0.50	13.98	1.14
Gerze	Ali	4,414.9	HS	0.64	0.87	-29.80	0.70
			HS-E	0.86	0.54	6.22	1.06
Nagqu	Nagqu	4,507	HS	0.74	0.56	-17.69	0.82
			HS-E	0.73	0.58	21.42	1.21
Shenzha	Nagqu	4,672	HS	0.41	0.89	-29.25	0.71
			HS-E	0.76	0.56	6.43	1.06
Ando	Nagqu	4,800	HS	0.51	0.75	-31.64	0.68
			HS-E	0.77	0.51	15.21	1.15
Average			HS	0.69	0.65	15.13(ABS)	0.94
			HS-E	0.79	0.56	9.80	1.09

Note: ABS = absolute value.

RMSE of 15.51 mm month<sup>-1</sup> for ET<sub>0</sub> obtained by the HS model. Third, the MRE of ET<sub>0</sub> according to HS-E model

varied from -4.26% (Pulan) to 22.10% (Changdu), with an average (the average of MRE absolute values) of 7.21%;





**Figure 4** | Performance on estimating monthly  $ET_0$  by HS and HS-E models using data from 2000 to 2010.

while the average MRE of the HS model was 15.51%. Therefore, with the RMSE and MRE closer to 0, the values of  $ET_0$  calculated by the HS-E model had a smaller deviation compared with the true values.

On the other hand, first, the NSE of 0.89 for the monthly  $ET_0$  HSE was greater than 0.79 at the daily scale. Second, the RMSE of  $9.52 \text{ mm month}^{-1}$  for the monthly  $ET_0$  HSE was lower than the  $0.53 \text{ mm day}^{-1}$  at the daily scale. Third, the MRE of 7.21% for the monthly  $ET_0$  HSE was less than the 7.44% at the daily scale in 20 different stations, meaning the monthly  $ET_0$  calculated by the HS-E model was more accurate than that at daily scale. Therefore, the HS-E model has smaller errors with the increasing calculation period and more obvious advantages over the HS model.

So, the simulation quality of the HS-E model was much better than that of the HS model at monthly time scale.

### The performance on estimating seasonal (3-month) ET

Seasonal  $ET_0$  ( $n = 40 \times 20$ ) was estimated by the HS model and the HS-E model using the testing dataset. Then RMSE, MRE and NSE were calculated, using the results from PM equation as the true values, to evaluate the performance of the HS model and the HS-E model (Figure 5). First, The NSE of HS-E model for the seasonal  $ET_0$  was between 0.74 and 0.99 with an average of 0.90; while the NSE of HS model for seasonal  $ET_0$  ranged from  $-0.09$  to 0.94, with an average of 0.69 (Figure 5). Second, the average RMSE of HS-E model with  $25.47 \text{ mm season}^{-1}$  was less than the average RMSE of HS model with  $42.39 \text{ mm season}^{-1}$ . Third, the MRE of HS-E model varied from  $-4.74\%$  (Lasa) to  $20.44\%$  (Changdu), with an

**Table 5** | Performance of monthly  $ET_0$  calculated by HS model and HS-E model in the 20 sites of high-elevation region

Station	District	Elevation (m)	Method	NSE	RMSE (mm month <sup>-1</sup> )	MRE (%)	$ET_{HS}/ET_{0 PM}$ ; $ET_{HS-E}/ET_{0 PM}$
Chayu	Linzhi	2,327.6	HS	0.65	15.66	16.85	1.17
			HS-E	0.90	8.21	-3.21	0.97
Bomi	Linzhi	2,736	HS	0.06	22.38	25.01	1.25
			HS-E	0.77	11.19	1.14	1.16
Milin	Linzhi	2,950	HS	0.33	16.45	13.50	1.14
			HS-E	0.83	8.72	9.06	1.09
Linzhi	Linzhi	2,991.8	HS	0.64	13.54	9.13	1.09
			HS-E	0.94	5.32	4.74	1.05
Changdu	Changdu	3,315	HS	0.71	17.11	16.86	1.17
			HS-E	0.78	14.84	22.10	1.22
Gongga	Shannan	3,555.3	HS	0.92	8.76	-0.23	1.00
			HS-E	0.94	8.66	5.98	1.09
Zedang	Shannan	3,560	HS	0.91	10.02	-4.41	0.96
			HS-E	0.94	8.03	0.02	1.00
Luolong	Changdu	3,640	HS	0.88	9.98	-5.92	0.94
			HS-E	0.95	7.24	6.18	1.06
Lasa	Lasa	3,648.9	HS	0.92	9.94	-8.29	0.92
			HS-E	0.94	8.23	-1.86	0.98
shigatse	Shigatse	3,836	HS	0.93	9.06	-2.95	0.97
			HS-E	0.91	10.43	11.25	1.11
Pulan	Ali	3,900	HS	0.76	18.51	-22.69	0.77
			HS-E	0.95	8.84	-4.26	0.96
Lazi	Shigatse	4,000	HS	0.72	17.47	-12.28	0.88
			HS-E	0.88	11.54	0.83	1.01
Jiangzi	Shigatse	4,040	HS	0.82	11.88	-7.45	0.93
			HS-E	0.86	11.63	11.05	1.11
Damxung	Lasa	4,200	HS	0.85	11.71	-15.06	0.85
			HS-E	0.89	9.94	13.88	1.14
Shiquanhe	Ali	4,278.6	HS	0.70	22.67	-28.95	0.77
			HS-E	0.96	9.42	2.78	1.03
Tingri	Shigatse	4,300	HS	0.80	14.86	-15.18	0.85
			HS-E	0.91	9.78	11.31	1.11
Gerze	Ali	4,414.9	HS	0.67	22.60	-30.09	0.70
			HS-E	0.98	5.60	2.00	1.02
Nagqu	Nagqu	4,507	HS	0.77	13.89	-18.58	0.81
			HS-E	0.77	14.00	18.27	1.18
Shenzha	Nagqu	4,672	HS	0.36	23.48	-30.55	0.69
			HS-E	0.93	7.86	2.22	1.02
Ando	Nagqu	4,800	HS	0.47	20.14	-32.33	0.68
			HS-E	0.84	10.90	12.10	1.12
Average			HS	0.69	15.51	15.82(ABS)	0.92
			HS-E	0.89	9.52	7.21	1.07

average (the average of MRE absolute values) of 7.0%; while the HS model had an average MRE of 15.43%.

Therefore, the HS-E model was superior to the HS model.

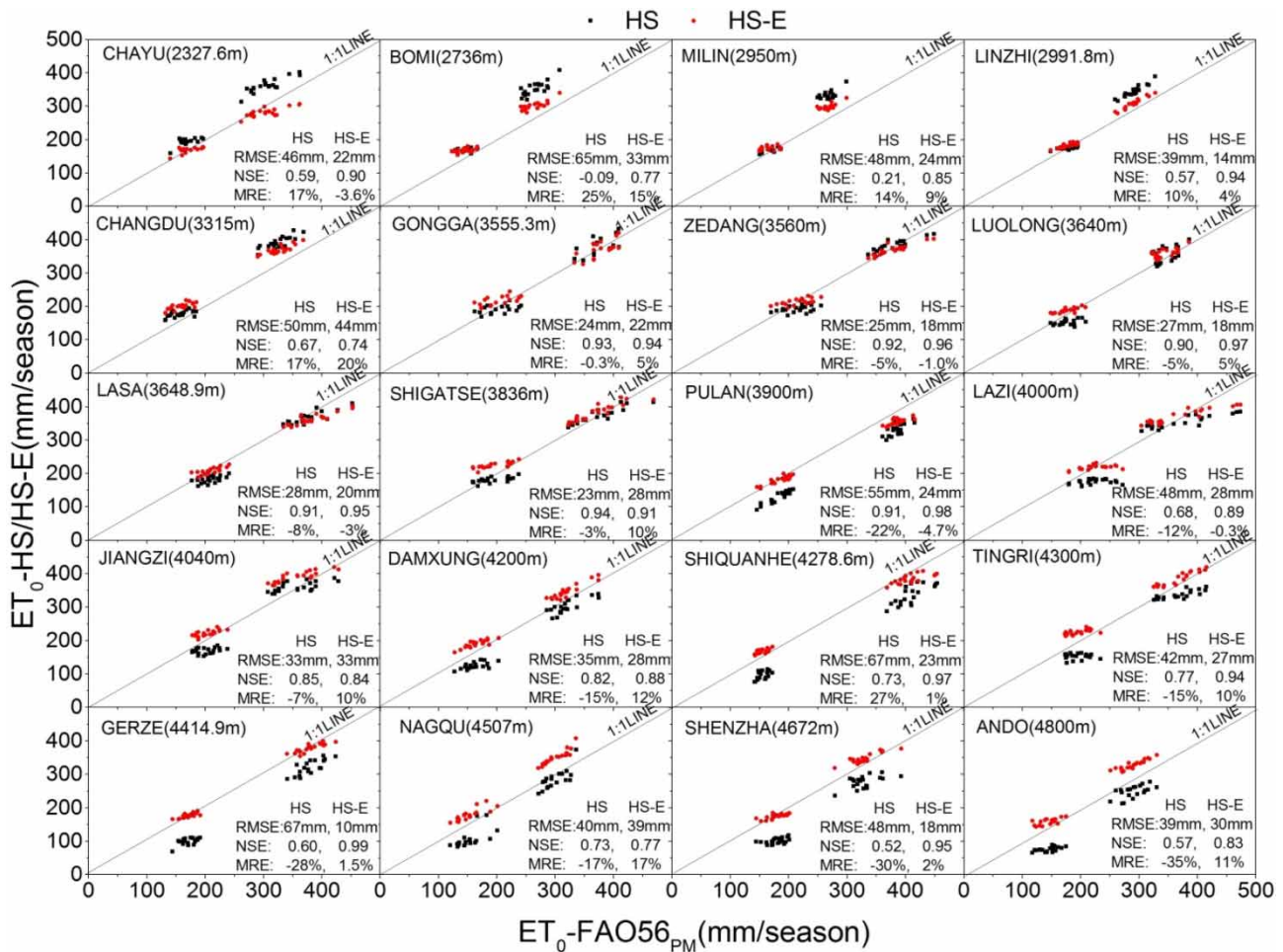


Figure 5 | Performance on estimating seasonal  $ET_0$  by HS and HS-E models using data from 2000 to 2010.

The  $ET_0$  values calculated by the HS-E model for seasonal data produced an average NSE of 0.90 at the seasonal scale, slightly greater than the 0.89 at the monthly scale and obviously greater than the 0.79 at the daily scale. An average RMSE of  $25.47 \text{ mm season}^{-1}$  was found for the seasonal scale, slightly lower than the  $9.52 \text{ mm season}^{-1}$  for the monthly scale and significantly lower than the  $0.53 \text{ mm day}^{-1}$  for the daily scale. The seasonal  $ET_0$  calculated by the HS-E model was slightly more reliable than calculated at the monthly scale, and both were much better than that at the daily scale. Therefore, the HS-E model has smaller errors with the increasing calculation period and with more obvious advantages over the HS model.

So, the simulation quality of the HS-E model was much better than that of the HS model at larger time scales.

## DISCUSSION

Due to the difficulty in obtaining continuous climate data for  $ET_0$  calculation by PM method, many studies were conducted to simplify the calculation method of  $ET_0$  (Hargreaves & Allen 2003; Trajkovic 2005; Traore et al. 2010; Marti et al. 2011). It should be noted that the HS model used in this study is simpler compared with other existing studies. Many previous studies added continuous meteorological parameters, such as the relative humidity and sun hours, into the HS model to enhance its computational accuracy (Valiantzas 2015). However, increasing the complexity of the HS model also leads to higher demands for resources, including obtaining basic meteorological data and running a more complicated simulation

(Ishak *et al.* 2010), which undermined the essence of HS model: using a simple temperature algorithm that only requires a few parameters.

The atmospheric temperature difference ( $T_{max}-T_{min}$ ) was utilized as an indicator to express the amount of zenith radiation reaching the surface of the earth in the HS model. Ren *et al.* (2016a, 2016b) estimated the daily  $ET_0$  for arid to sub-humid areas in Inner Mongolia, China based on HS model. However, due to the unique nature of Tibet, the temperature at high elevation was not as high as expected under strong radiation (China Tibet Information Center 2005). The lower than expected atmospheric temperature resulted from the thinner atmosphere and lower absorption of long-wave radiation from the ground at higher elevation. Meanwhile, there is less cloud cover at higher elevation areas. And the less cloud cover means less long-wave radiation absorption from the ground in the day time and weaker counter radiation of the cloud against the ground at night, both leading to poor insulation (Allen *et al.* 1998). All of the above reasons weakened the sensitivity of temperature differences ( $T_{max}-T_{min}$ ) to the radiation. Therefore, the elevation factor was included to enhance the ability of the model to estimate radiation and improve the accuracy of the HS model.

This paper mainly focused on the high-elevation areas of Tibet, so validation of the HS-E equation was also limited to that region. However, adjusting the temperature constant and using the elevation factor, the proposed HS-E model has the potential to easily estimate  $ET_0$  in a large variety of areas with easy to obtain parameters.

## CONCLUSIONS

The standard PM method (adopted by FAO) requires a lot of continuous meteorological data that are difficult to obtain in areas of complex terrain and high elevation. And the popular HS model could not avoid negative  $ET_0$  values due to the inappropriate temperature constant. We adjusted the temperature constant in HS model by taking the minimum of the annual average temperature in 50 years; and we included an elevation factor in the HS model to better relate temperature difference to radiation. The elevation factor was derived by principle component analysis with

geospatial data in Tibet. The improved HS model, HS-E model, was calibrated using meteorological data from 1981 to 2000 at 20 representative sites in Tibet, and validated using meteorological data from 2001 to 2010 at the same sites (using the results from PM equation as the true values).

The HS-E model not only avoided the occurrence of negative values in the  $ET_0$  estimation, but also enhanced the accuracy of the  $ET_0$  estimation. The accuracy of the HS-E model was shown to be superior to that of the HS model under different time scales (daily, monthly and seasonal) using our testing dataset. The NSE of the HS-E model reached 0.78 (daily), 0.89 (monthly) and 0.90 (seasonal), showing the high reliability of the model. Meanwhile, by analyzing the results of the NSE, RMSE and MRE at different time scales, the monthly  $ET_0$  was found to be closer to the PM standard  $ET_0$  compared to the daily  $ET_0$ . The larger the time scale, the better the HS-E model performed. Overall, the HS-E model is feasible and convenient for use at high elevation areas. However, this study only used data from sites with elevations ranging from 2,000 to 5,000 m. In the future, it is recommended to consider the effect of elevation using more meteorological data at sites covering wider ranges of elevations.

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