

# Water balance changes in response to climate change in the upper Hailar River Basin, China

Junfang Liu, Baolin Xue, Yinglan A, Wenchao Sun and Qingchun Guo

## ABSTRACT

Projected climate change will have a profound effect on the hydrological balance of river basins globally. Studying water balance modification under changing climate conditions is significant for future river basin management, especially in certain arid and semiarid areas. In this study, we evaluated water balance changes (1981–2011) in the upper Hailar River Basin on the Mongolian Plateau. To evaluate the hydrological resilience of the basin to climate change, we calculated two Budyko metrics, i.e. dynamic deviation ( $d$ ) and elasticity ( $e$ ). The absolute magnitude of  $d$  reflects the ability of a basin to resist the influence of climate change and maintain its stable ecological function, whereas parameter  $e$  is used to assess whether a basin is hydrologically elastic. Results revealed modification of the hydrological balance during the study period has manifested as a decreasing trend of runoff and runoff-precipitation ratio. Correspondingly, basin-averaged evapotranspiration has also shown a decreasing trend, attributable mainly to precipitation. Furthermore, the calculated elasticity ( $e = 8.03$ ) suggests the basin has high hydrological resilience, which indicates the basin ecosystem may maintain its hydrological function to a certain extent under a changing climate. The results of this study could assist water resource management in the study area and the prediction of ecosystem response to future climate change.

**Key words** | Budyko curve, climate change, Hailar River Basin, resilience, resistance, water balance

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## HIGHLIGHTS

- Water balance changes (1981–2011) in the upper Hailar River Basin on the Mongolian Plateau were investigated.
- The hydrological balance during the study period has manifested as a trend of decrease of runoff and a decreased runoff–precipitation ratio.
- The calculated elasticity ( $e = 8.03$ ) suggests the basin has high hydrological resilience, which indicates the basin ecosystem might maintain its hydrological function to a certain extent under a changing climate.

## INTRODUCTION

The arid and semiarid areas that cover >50% of China's national mainland territory are distributed primarily on the

Tibetan Plateau and in northern China. Grassland is the main vegetation type in such regions that are usually subjected to water limitations and intense effects associated with climate warming (Fang *et al.* 2018a; Han *et al.* 2018a; A *et al.* 2019b). Therefore, arid and semiarid areas are ecologically vulnerable and sensitive to climate extremes (Miao

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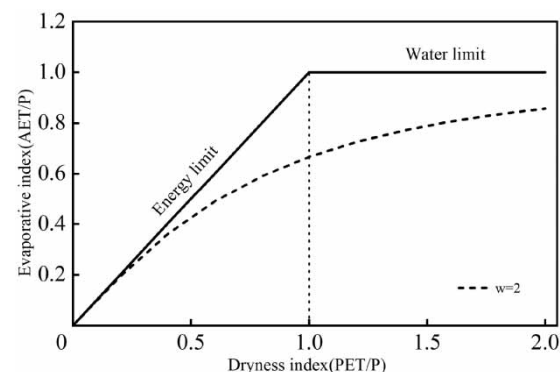
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et al. 2014; Fang et al. 2018b). Moreover, vegetation transpiration accounts for a large proportion of the total evapotranspiration in arid and semiarid regions and thus it plays an essential role in the regional hydrological balance (Han et al. 2018a; Wang et al. 2018a; A et al. 2019a). Research has shown that drought in arid and semiarid areas is projected to become intensified in the future, which could trigger considerable change in the processes of the hydrological balance and affect regional water resources (Dai 2011; Wang et al. 2019a). Therefore, it is of critical importance to study the modification of the water balance under the effects of climate change in arid and semiarid regions to ensure sustainable water resources management.

Projected global climate change in the 21st century could have a considerable effect on the hydrological balance of many river basins, especially in certain arid and semiarid regions, in terms of important variables such as precipitation, runoff, and evaporation (Cuo et al. 2013; Zhang et al. 2016; Wang et al. 2019b). Earlier studies have investigated changes of the hydrological balance due to climate change in many river basins of northern China. In the middle section of the Yellow River Basin, both streamflow and precipitation have exhibited downward trends and evaporation has presented an upward trend during the previous 60 years (He et al. 2013; Bao et al. 2019). Cuo et al. (2013) analyzed observed streamflow changes in the upper Yellow River Basin using a modified VIC model and results showed that streamflow has decreased during recent decades. In the Kuye River Basin in Northwest China, Yang & Yang (2011) found annual runoff has declined significantly during the past 60 years. Generally, streamflow has tended to diminish and evaporation has tended to increase in many basins of northern China owing to climate change (Wang & Hejazi 2011; Wang et al. 2014, 2018b; Shen et al. 2017). However, the extent to which these basins may be resistant to climatic perturbations should be explored further with regard to the prediction of basin responses to future climate change (Xue et al. 2017).

In hydrology, the concepts of resistance and resilience, which are taken from the field of ecology, are two metrics used to quantify basin response to climate change (Zhang et al. 2001; Williams et al. 2012). In ecological studies, a resilient ecosystem is defined as one that has the ability to

absorb change induced by external factors and retain its ecological function (Creed et al. 2014). In recent years, this concept has been applied in hydrological sciences (Trenbath 1999; Gerten et al. 2005). The concept of hydrological resilience is described as the capability of a basin to maintain stability in multiple hydrological equilibrium states. Creed et al. (2014) found that climate warming was projected to change forest runoff, so he calculated the resilience and resistance of 12 watersheds across North America and concluded that the forest type is the dominant factor affecting the elasticity of a specific watershed. Helman et al. (2017) calculated these two metrics of forests in the Eastern Mediterranean and found that a drier climate may induce higher resilience compared with a more humid climate. Therefore, these two metrics have been applied and to some extent can reflect the characteristics of the river basin following the climate change. In this study, we used the Budyko theoretical curve to describe the relationship between basin resilience and climate change (Shen et al. 2017). The Budyko curve, which comprises a dryness index ( $DI = PET/P$ ) and an evaporative index ( $EI = AET/P$ ), describes the relationship between potential evaporation and actual evaporation (Troch et al. 2013). The Budyko curve defines two basin states with evaporation being limited by either energy supply or water supply, which is determined by the calculated value of the DI (Figure 1). A value of  $DI < 1$  indicates an energy-limited basin, whereas a value of  $DI > 1$  indicates a water-limited basin. Based on



**Figure 1** | The Budyko theoretical curve. The horizontal coordinate is the dryness index ( $DI = PET/P$ ) and the vertical coordinate is the evaporative index ( $EI = AET/P$ ). The solid line represents two different basin states, i.e. the energy and water limitations to the EI, and the dashed line represents the theoretical Budyko curve.

the Budyko curve, we calculated two metrics, i.e. dynamic deviation ( $d$ ) and elasticity ( $e$ ), which can be used to quantify the resilience and resistance of a basin to the effects of climate change (Creed *et al.* 2014; Helman *et al.* 2017). Dynamic deviation, which is described as the vertical departure of the EI from the corresponding value calculated using the theoretical Budyko curve, represents the resistance of a basin in terms of the runoff change caused by climate change. A positive (negative) value of the dynamic deviation indicates that runoff generated is smaller (greater) than the value estimated using the Budyko theoretical equations, and its absolute magnitude reflects the extent of the runoff change relative to the inherent runoff calculated by the Budyko theoretical curve. Smaller values of the dynamic deviation indicate higher basin resistance. Elasticity is the second metric that can be used to reflect the hydrological resilience of a basin, which represents the extent to which a watershed can hold this partitioning pattern after climate perturbations. A basin with high elasticity means runoff predictions within the basin responds highly consistently with the Budyko curve, i.e. when a change in the DI results in a change in the EI, the ecological system moves along the Budyko curve.

This study investigated the upstream area of the upper Hailar River Basin, which is situated in northeastern Inner Mongolia, China. The upper Hailar River Basin is a primary tributary of the Erguna River and it is the main water source for the local industry and agriculture. Moreover, its location belongs to the ecologically vulnerable area. So it is meaningful to detect the water balance changes following the climate change and quantify its hydrological resilience and resistance to climate change for future water resource management. In this study, we employed the Mann–Kendall test to analyze the changing hydrological balance of the study basin and adopted two metrics to determine the basin's hydrological resilience, which is used as a supplement. The objective was to investigate the following: (1) the changes in hydroclimatic variables/climate in the study basin over the previous 30 years, (2) the basin response to the changes in climate and water balances variables, and (3) the resilience of the basin to climate change. The findings of this study will assist water resources management in the basin.

## MATERIALS AND METHOD

### Study area

The study area comprised the upper reaches of the Hailar River Basin that drains a watershed of 43,345 km<sup>2</sup> (Fang *et al.* 2020). This area is located in northeastern Inner Mongolia, China (47°35'–50°12'N, 118°45'–122°40'E), and it usually experiences extreme weather caused by the influence of the East Asian summer monsoon, which means it is highly sensitive to climate change (Figure 2). The river originates in the Greater Khingan Mountains and finally joins the Erguna River (Duan *et al.* 2010). The length of the main river is 708.5 km. The study area has a temperate continental monsoon climate, i.e. short cool summers and long cold winters (Fang *et al.* 2020). Mean annual precipitation and mean annual temperature in this river basin are 347.6 mm and –1.2 °C, respectively, according to observed meteorological data during 1979–2018 ([www.tpdatabase.cn](http://www.tpdatabase.cn)). The study area is 510–1,622 m above sea level and its topography is predominantly mountains, hills, and wetlands.

### Standardized precipitation and evapotranspiration index

In this study, the standardized precipitation and evapotranspiration index (SPEI) was selected as the drought monitoring index. This index considers the statistical distribution of precipitation and the potential evapotranspiration at the same time and it can reflect the regional drought more comprehensively. According to Abbasi *et al.* (2019), the SPEI can be calculated as described in the following.

First, the water-year potential evapotranspiration ( $ET_0$ ) is calculated using the radiation-based formulation of Priestley and Taylor (Dewes *et al.* 2017), as shown below:

$$PET = 1.26 * \left( \frac{\Delta}{\Delta + \gamma} \right) (R_N - G) \quad (1)$$

where  $R_N$  is net radiation,  $\Delta$  is the gradient of saturated vapor pressure,  $G$  is soil heat flux, and  $\gamma$  is the psychrometric constant. The unit of the variable PET is mm/d. Second, the difference between monthly precipitation and evapotranspiration is calculated as  $D_i = P_i - PET_i$ , where  $i$  is the

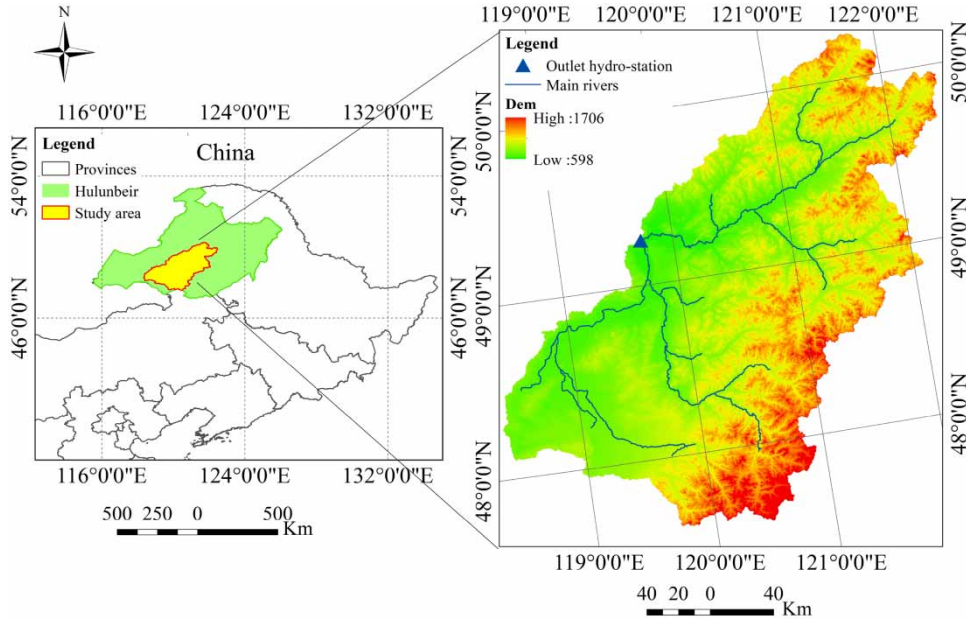


Figure 2 | Map of the study area and its position in China.

month counter. Third, the accumulation sequence of water profit and loss over different timescales is established using Equation (2), where  $k$  is the timescale (here,  $k = 12$ ):

$$D_n^k = \sum_{i=0}^{k-1} (P_{n-i} - PET_{n-i}), n \geq k \quad (2)$$

Fourth, the  $D_n^k$  data series should be fitted and normalized to calculate the SPEI. Vicente-Serrano et al. (2010) showed that the log-logistic density function is the best fitting function to fit the  $D_n^k$  data series through contrasting the different types of parameter function. The expression of the log-logistic probability density function including three parameters is as follows:

$$f(x) = \frac{\beta}{\alpha} \left(\frac{x-\gamma}{\alpha}\right)^{\beta-1} \left[1 + \left(\frac{x-\gamma}{\alpha}\right)^\beta\right]^{-2} \quad (3)$$

where  $\alpha$ ,  $\beta$ , and  $\gamma$  are the parameters of scale, shape, and beginning, respectively. The linear moment method is adopted to estimate the fitting parameters of this function

according to the following formulas:

$$\beta = \frac{2w_1 - w_0}{(6w_1 - w_0 - 6w_2)} \quad (4)$$

$$\alpha = \frac{(w_0 - 2w_1)\beta}{\Gamma\left(1 + \frac{1}{\beta}\right)\Gamma\left(1 - \frac{1}{\beta}\right)} \quad (5)$$

$$\gamma = w_0 - \alpha\Gamma\left(1 + \frac{1}{\beta}\right)\Gamma\left(1 - \frac{1}{\beta}\right) \quad (6)$$

$$w_s = \frac{1}{n} \sum_{l=1}^n \left[1 - \frac{l - 0.35}{n}\right]^s X_l, \quad (7)$$

where  $w_s$  is the probability weighted moment,  $s$  is taken as 0, 1, or 2,  $l$  is the sequence of accumulated water deficit  $X$  in ascending order ( $X_1 \leq X_2, \dots, \leq X_n$ ), and  $\Gamma(\beta)$  is the gamma function. Through the three-parameter log-logistic probability distribution function, the cumulative probability on a given timescale can be calculated using Equation (7) (Polong et al. 2019). Then, the SPEI is calculated using

Equation (9):

$$F(x) = \left[ 1 + \left( \frac{\alpha}{x - \gamma} \right)^\beta \right]^{-1} \tag{8}$$

$$SPEI = W - \frac{C_0 + C_1W + C_2W^2}{1 + d_1W + d_2W^2 + d_3W^3} \tag{9}$$

where  $C_0 = 2.515517$ ,  $C_1 = 0.802853$ ,  $C_2 = 0.010328$ ,  $d_1 = 1.432788$ ,  $d_2 = 0.189269$ , and  $d_3 = 0.001308$  (Gao et al. 2017). Finally,  $W$  is calculated using Equation (11):

$$P = 1 - F(x) \tag{10}$$

$$W = \begin{cases} \sqrt{-2 \ln(P)} & P \leq 0.5 \\ \sqrt{-2 \ln(P-1)} & P > 0.5 \end{cases} \tag{11}$$

Having obtained the SPEI, a criterion was required to determine the occurrence of drought (Table 1). In this study, if the value of the SPEI was less than  $-0.5$ , we considered a drought phenomenon happened; if the value of the SPEI was positive, we considered the drought period over (Begueria et al. 2014).

### Calculation of Budyko metrics

Based on the Budyko hypothesis, the annual water balance can be described using the function of water (precipitation) and energy (potential evaporation). Among the various forms of equations for Budyko curves, we selected the following (Zhang et al. 2001):

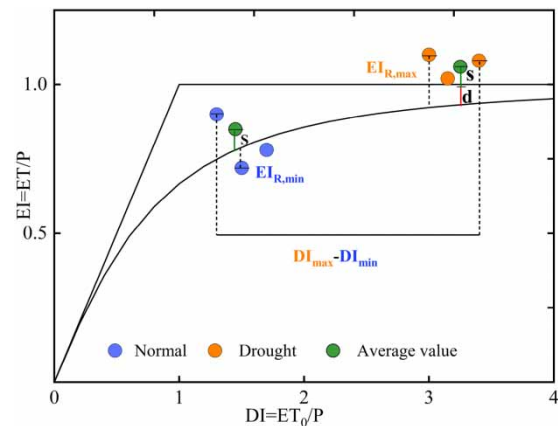
$$\frac{ET}{P} = \frac{1 + w \frac{ET_0}{P}}{1 + w \frac{ET_0}{P} + \left( \frac{ET_0}{P} \right)^{-1}} \tag{12}$$

**Table 1** | Classification of the standardized precipitation evapotranspiration index

Drought categories	SPEI values
Extreme drought	$\leq -2.0$
Severe drought	$-2.0$ to $-1.0$
Moderate drought	$-1.0$ to $-0.5$
Normal	$-0.5$ to $0.5$
Moderate wet	$0.5$ – $1.0$
Severe wet	$1.0$ – $2.0$
Extreme wet	$\geq 2.0$

where  $P$ ,  $ET$ , and  $ET_0$  are mean annual precipitation, mean annual actual evaporation, and potential evapotranspiration, respectively. Parameter  $w$  is a constant determined by the characteristics of the watershed, e.g. vegetation type and soil type (Qiu et al. 2019). In this study, we used the adjusted equation assuming  $w = 2$  to describe the theoretical relationship between the dryness index ( $DI = \frac{ET_0}{P}$ ) and the evaporative index ( $EI = \frac{ET}{P}$ ).

The parameters of deviation ( $d$ ) and elasticity ( $e$ ) were calculated for the studied basin to represent the potential departure from the Budyko theoretical curve of the DI and EI points (Creed et al. 2014). Deviation is described as the vertical departure of the EI from the corresponding B value calculated from the theoretical Budyko curve, which is composed of two parts: static and dynamic deviation. Static deviation is calculated as the mean annual EI minus its theoretical B value obtained from the mean annual DI, which is the inherent deviation with average normal climate conditions ( $s = (EI_{AVG} - B)$ , Figure 3). Dynamic deviation



**Figure 3** | Graphical representation of three Budyko metrics: static deviation ( $s$ ), dynamic deviation ( $d$ ), and elasticity ( $e$ ). Static deviation ( $s$ ) is the inherent deviation with average normal climate conditions, which is calculated as the average EI minus the B value calculated from the Budyko theoretical curve according to the mean DI under normal climate conditions ( $s = (EI_N - B_N)$ ). Dynamic deviation ( $d$ ) is the departure of the mean annual EI from the B value with climate change after considering the inherent static deviation, which is the additional deviation induced by climate change [ $d = (EI_d - B_d) - s$ ]. Its absolute magnitude reflects the hydrologic resistance of the basin to climate change. Elasticity ( $e$ ) is the ratio of the range of the DI to the range of the residual EI, which is the magnitude of the EI departure from its corresponding B value according to the Budyko theoretical curve for the entire period [ $e = (DI_{max} - DI_{min}) / (EI_{R,max} - EI_{R,min})$ ]. High elasticity indicates a basin has hydrological resilience.

( $d$ ) is the departure of the mean annual EI from the B value with climate change after considering the inherent static deviation [ $d = (EI_D - B_D) - s$ ]. Thus, dynamic deviation is the additional deviation induced by climate change and its absolute magnitude reflects the hydrologic resistance of the basin to climate change. A value of  $d$  close to zero indicates the basin has high hydrological resistance (Helman et al. 2017). Elasticity ( $e$ ) is defined as the ratio of the range of the DI ( $DI_{max} - DI_{min}$ ) to the range of the EI<sub>R</sub> ( $EI_{R,max} - EI_{R,min}$ ), which is the magnitude of the departure of the EI from its corresponding B value according to the Budyko theoretical curve for the entire period of climate change [ $e = (DI_{max} - DI_{min}) / (EI_{R,max} - EI_{R,min})$ ]. The points representing the DI and the EI with low departures from the Budyko curve tend to have large values of  $e$ , indicating that the basin has high elasticity, and vice versa. In addition, we used a threshold of  $e = 1$  to distinguish elastic and inelastic basins. The actual evapotranspiration ( $ET_0$ ) was calculated based on the water balance (Xue et al. 2012, 2013):

$$ET_0 = P - Q - \Delta S \quad (13)$$

where  $P$  is precipitation,  $R$  is streamflow,  $E$  is evapotranspiration, and  $\Delta S$  is the change of water storage volume (Bao et al. 2019). We considered water storage negligible, assuming a steady state for the study period (1980–2011).

### The Mann–Kendall test

The nonparametric Mann–Kendall test can be used to analyze change trends and breakpoints of hydrological time series (Sung et al. 2015). It can accommodate many types of samples because it does not need the samples to follow any particular distribution and it is rarely disturbed by abnormal data (Liang et al. 2013).

For an assumed data series  $X (x_1, x_2, \dots, x_n)$ ,  $n$  is the length of the data series. First, the cumulative statistic  $S$  should be calculated as follows:

$$S_k = \sum_{i=1}^k r_i \quad k = 2, 3, \dots, n \quad (14)$$

$$r_i = \begin{cases} 1 & x_i > x_j \\ 0 & x_i \leq x_j \end{cases} \quad j = 1, 2, \dots, i-1 \quad (15)$$

where statistic  $S$  is the cumulative number of values at time  $i$  larger than at time  $j$ . Under the assumption of random independence of the time series, the statistic  $UF_k$  can be defined by the following formula:

$$UF_i = \frac{S_i - E(S_i)}{\sqrt{Var(S_i)}} \quad i = 1, 2, \dots, n \quad (16)$$

In Equation (16),  $UF_1 = 0$ , and  $E(S_k)$  and  $Var(S_k)$  represent the expected value and the variance, respectively, of the cumulative value  $S_k$ , which can be obtained as follows:

$$E(S_i) = \frac{i(i-1)}{4} \quad (17)$$

$$Var(S_i) = \frac{i(i-1)(2i-5)}{72} \quad (18)$$

$UF_i$  is a standard normal distribution, which is a sequence of statistics calculated from the order of time series  $X$ . Given significance level  $\alpha$ , a condition of  $|UF_i| > U_\alpha$  indicates an obvious trend change in the sequence. Using the inverse time series, we calculated the  $UF_i$  again using the above calculation process, where  $UB_i = -UF_i$  and  $i = n, n-1, \dots, 1$  for the same test method as described above.

### Data sources

We used daily  $Q$  data from the hydrological station at the basin outlet, which operated during 1981–2011, because we only needed annual streamflow data of the entire river basin. The daily flow was summed to an annual amount, multiplied by the corresponding length of time, and then divided by the basin area to obtain the annual streamflow.

Gridded precipitation and temperature data ( $0.025^\circ \times 0.025^\circ$ ) measured during 1981–2011 were collected from GHCN-Monthly datasets ([www.ncdc.noaa.gov/ghcnm/v3.php](http://www.ncdc.noaa.gov/ghcnm/v3.php)) (Zhao et al. 2019). Net radiation data from 1981 to 2011 with 500-m spatial resolution and 30-d composite temporal resolution were downloaded from the Global Land Data Assimilation System.

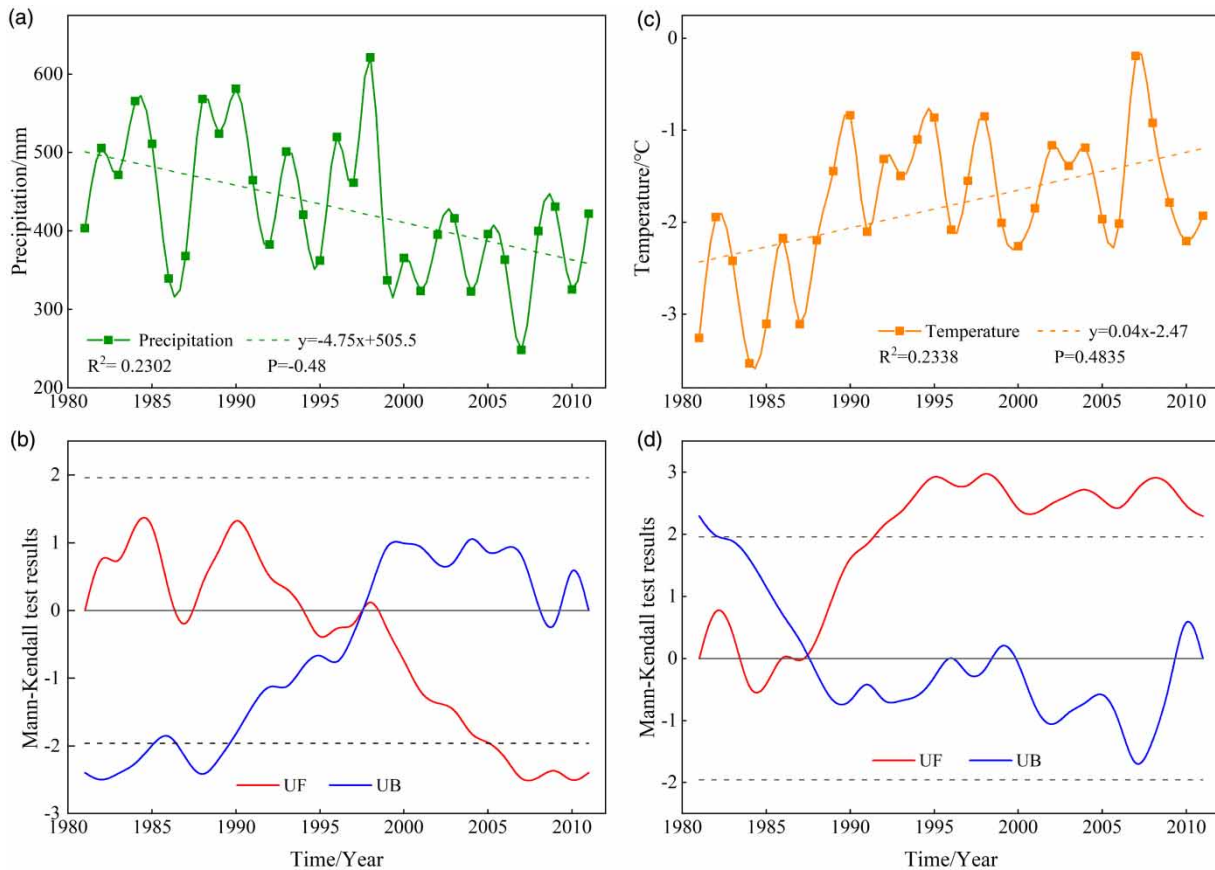
## RESULTS

### Trends of climatic variables in the Hailar River Basin

This study found that the Hailar River Basin has become warmer and drier in recent decades, consistent with the results of Han *et al.* (2018b). During the study period, annual mean precipitation was 429.56 mm, with the highest (lowest) annual mean precipitation of 621.41 (248.31) mm in 1998 (2007). The time series of areal precipitation in the Hailar Basin showed a decreasing trend during the studied 30 years (Figure 4(a)). The multiyear annual mean precipitation was 483.83, 443.56, and 367.52 mm in 1981–1990, 1991–2000, and 2001–2011, respectively. The multiyear mean annual precipitation during 1991–2000 was 40.27 mm less than in 1981–1990 but 76.04 mm higher than in 2001–2011, which indicates that the rate of decrease

of annual mean precipitation before 2000 was much smaller than after 2001. According to the  $P$  value calculated from the linear regression ( $P = -0.48$ ), the trend of decrease of annual mean precipitation was insignificant at the  $\alpha = 0.05$  level (Figure 4(a)). Moreover, the results of the Mann–Kendall test revealed an oscillation in mean annual precipitation before 1998 and after 1998, indicating that the trend of decrease of mean annual precipitation became slightly more evident (Figure 4(b)). Therefore, although the trend of decrease of mean annual precipitation was mild, the scale of the trend intensified.

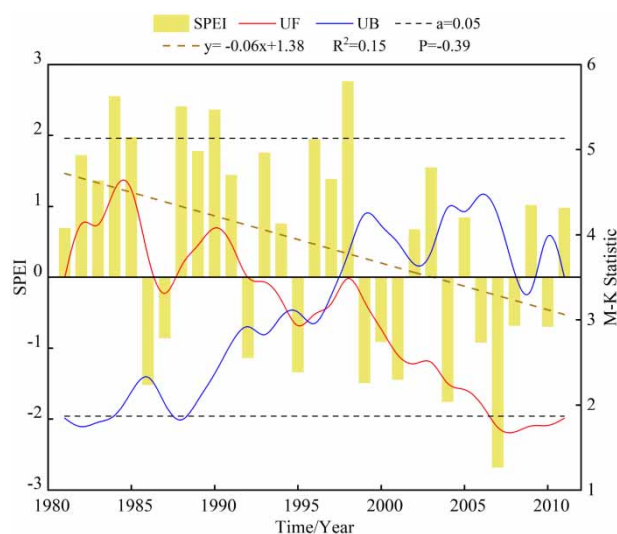
During 1981–2011, average annual temperature in the study area was  $-1.81^\circ\text{C}$ , with the lowest (highest) average annual temperature of  $-3.53^\circ\text{C}$  ( $-0.19^\circ\text{C}$ ) in 1984 (2007). There has been a trend of increase in average annual temperature over the 30-year study period (Figure 4(c)). The multiyear annual mean temperature was  $-2.4$ ,  $-1.56$  and



**Figure 4** | Change trend of (a) annual mean precipitation and (b) annual mean temperature in the Hailar River Basin during 1981–2011. Mann–Kendall test results for (c) precipitation and (d) temperature (red line: calculated UF value, blue line: calculated UB value). Please refer to the online version of this paper to see this figure in colour: <http://dx.doi.org/10.2166/nh.2020.032>.

-1.51 °C in 1981–1990, 1991–2000, and 2001–2011, respectively. The multiyear mean annual temperature during 1991–2000 was 0.84 °C higher than in 1981–1990 but 0.04 °C lower than in 2001–2011, which indicates that the rate of increase in temperature has decreased slightly in comparison with earlier years. Statistically, the  $P$  value ( $P = 0.4835$ ) calculated from the linear regression indicates that this trend of increase was not significant and that its process of change was uncertain (Figure 4(c)). In addition, according to the results of the Mann–Kendall test, the trend of increase in annual mean temperature was significant after 1993 at the  $\alpha = 0.05$  level. Generally, despite the uncertain trend of change of temperature, it was clearer than that of precipitation (Figure 4(d)).

We also analyzed the change of humid/dry climate in the Hailar River Basin based on the SPEI. It was found that drought intensified during the study period, especially during 1998–2011 (Figure 5). The variations of the 12-month SPEI and the Mann–Kendall test results of the SPEI for the Hailar River Basin during 1981–2011 are shown in Figure 5. The general trend of decrease indicates that this area has experienced increasing occurrence and intensification of drought. The maximum (minimum) SPEI of 2.76 (-2.69) was in 2007 (1998), which was the wettest (driest) year in the study period. The UF curve shows that



**Figure 5** | Variation of the calculated annual SPEI and the Mann–Kendall test results of the SPEI in the upper Hailar River Basin during 1981–2011 (red line: calculated UF value, blue line: calculated UB value). Please refer to the online version of this paper to see this figure in colour: <http://dx.doi.org/10.2166/nh.2020.032>.

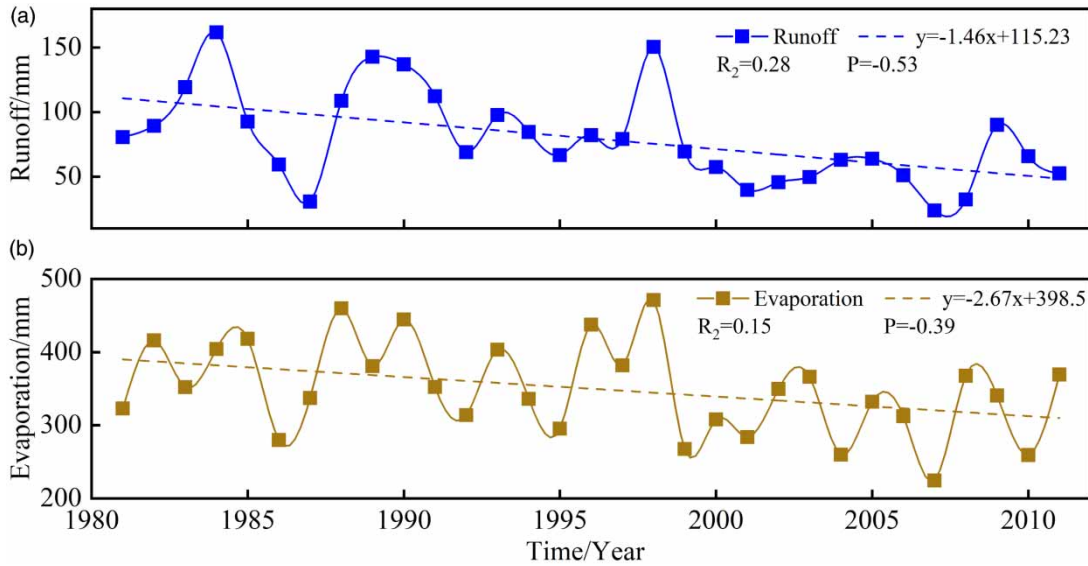
the SPEI in the Hailar River Basin has risen and fallen during the 30-year study period. During 1981–1991 (except 1987), the UF value was positive, indicating that the value of the SPEI showed an overall trend of rise during this period. During 1992–2011 (except 1993 and 1998), the UF value was  $< 0$  and it exceeded the confidence interval of 0.05 after 2007, showing that the SPEI decreased gradually and that the change trend of the SPEI was significant after 2007. Within the confidence interval, the UF and UB curves intersect in 1998, indicating that 1998 was the breakpoint of the SPEI and that drought has intensified in the Hailar River Basin since 1998 (Zhai et al. 2010).

### Water balance change in the Hailar River Basin

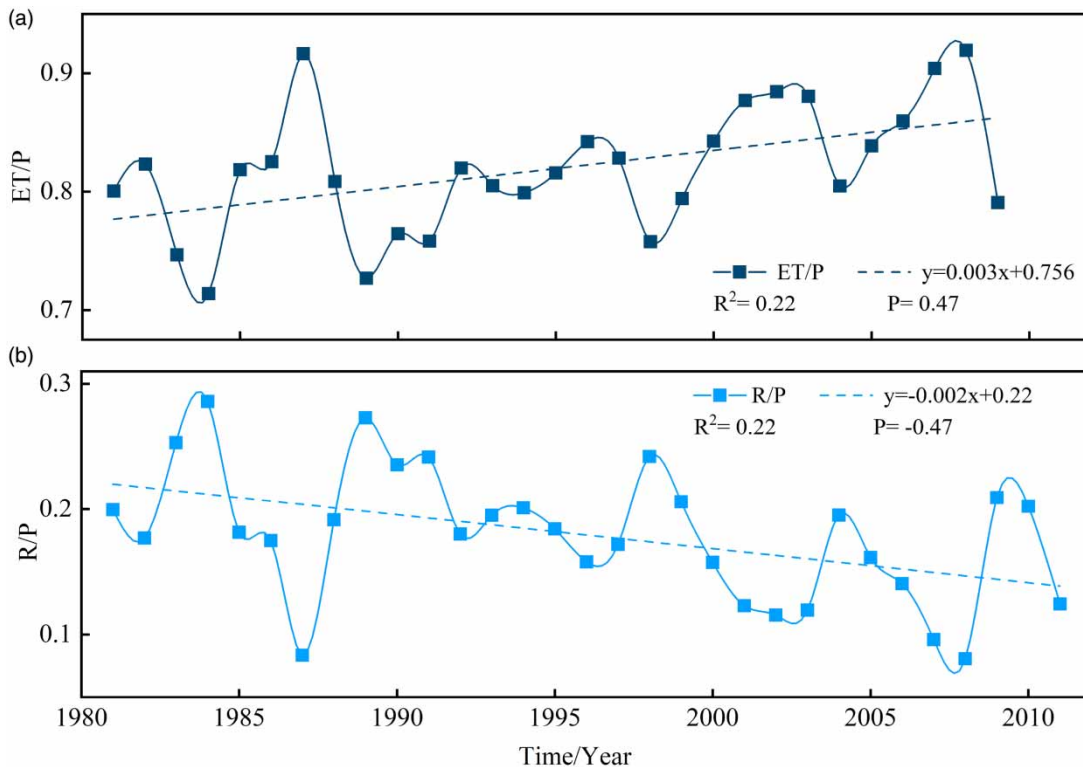
The water balance of the Hailar River Basin has changed during the study period (Figure 6). During 1981–2011, annual mean runoff at the outlet hydrological station has decreased at the rate of 1.46 mm/year (Figure 6(a)). The change trend of annual mean runoff was similar to that of precipitation (Figure 4(a)). During 1981–1990, 1991–2000, and 2001–2011, annual mean runoff was 102.26, 86.88, and 52.51 mm, respectively. Annual mean runoff during 1991–2000 was 15.38 mm lower than in 1981–1990 but 34.37 mm higher than in 2001–2011. Therefore, the rate of annual mean runoff decrease was increased during the study period. As shown in Figure 6(a), 1998 represents the breakpoint of the annual mean runoff series. Prior to 1998, repeated oscillation occurred in the time series of annual mean runoff, whereas annual mean runoff showed a more obvious decreasing trend after 1998. Throughout the entire data series, the decreasing trend of annual runoff was insignificant at the  $\alpha = 0.05$  level, according to the obtained  $P$  value ( $P = -0.53$ ). In addition, annual mean evaporation has decreased generally during the 30-year study period with a change trend similar to but more evident than that of annual mean runoff (Figure 6(b)). However, the rate of decrease of annual mean evaporation has been smaller than the change trend of precipitation.

The proportions of precipitation allocated to runoff and evaporation have also changed during the study period, i.e. a smaller proportion of precipitation has generated runoff, while a greater proportion has been evaporated. As shown in Figure 7, there has been a general trend of decrease in





**Figure 6** | Change trends of hydrological variables in the upper Hailar River Basin in the studied 30 years: (a) runoff and (b) evaporation.



**Figure 7** | Change trends of (a) ET/P and (b) R/P during 1981–2011.

the runoff–precipitation ratio and a trend of increase in the evaporation–precipitation ratio. The multiyear mean runoff–precipitation ratio was 0.206, 0.194, and 0.143

during 1981–1990, 1991–2000, and 2001–2011, respectively. It is evident that there was no change in the relationship between precipitation and runoff during 1981–2000, i.e.

the runoff–precipitation ratio during 1991–2000 was 0.012 higher than during 1981–1990, which indicates that the same percentage of runoff was generated at this time. However, there was a significant decrease in the runoff–precipitation ratio after 2000, i.e. the decrease of 0.051 during 2001–2011 relative to 1991–2000 indicates less precipitation was converted into runoff. The change trend of the runoff–precipitation ratio was consistent with the trend of annual mean runoff.

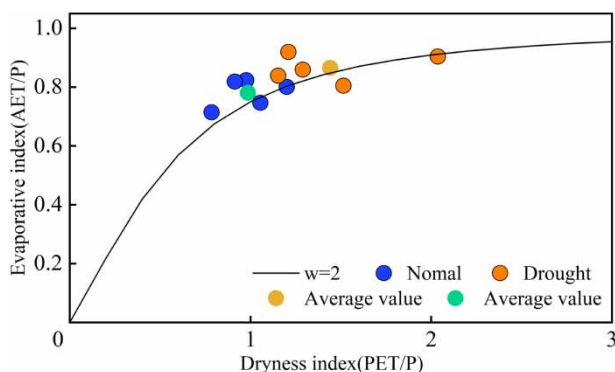
### Resistance and resilience of the watershed

Based on the calculated SPEI, we calculated five-year moving averages for the period 1981–2011. Finally, we selected 1981–1985 as the wet period with maximum average values of the SPEI and 2004–2008 as the dry period with minimum average values of the SPEI (Figure 8). According to the DI and EI in the normal climate period,  $s$  was calculated using the equation described in the above. An obtained value of  $s < 0$  indicated that runoff generated in the five-year wet period was larger than the predicted runoff based on the Budyko curve, and vice versa. Obtained points distributed near the Budyko theoretical curve ( $|s| < 0.05$ ) indicated the pre-warming runoff fitted the predicted values using the Budyko curve, which reflected the inherent characteristics of the basin. The value of  $s$  calculated for the Hailar River Basin was 0.035, i.e. a positive value whose absolute value is within the scope of 0.05. It reflects that pre-warming runoff produced under a normal climate was smaller than the

runoff predicted using the Budyko curve, although it was very close to the theoretical runoff.

Dynamic deviation ( $d$ ) describes the vertical departure of points (DI, EI) in the five-year dry period from the Budyko curve when considering the static deviation. Its absolute magnitude reflects the hydrological resistance of the basin to climate change, according to runoff change, in comparison with the runoff estimated using the Budyko curve. In the Hailar River Basin, the calculated value of  $d$  was  $-0.0183$ , which is less than zero and indicates higher runoff than estimated according to the Budyko curve. Moreover, the absolute value of  $d$  was close to zero, which shows the extent of runoff change has been small under the effects of climate change, reflecting high hydrological resistance of the basin to climate change.

The hydrological resilience of a basin is reflected by its elasticity ( $e$ ). In a basin with high elasticity, runoff change under the effects of climate change is consistent with the Budyko curve. For example, as can be seen from Figure 8, when change in the DI results in change of the EI along the Budyko curve, the basin has hydrological resilience (Trenbath 1999). Conversely, change in the DI that leads to change in the EI that deviates from the Budyko curve reflects a basin without hydrological resilience. The value of elasticity we calculated was 8.03, which is much greater than 1 and reflects the high hydrological resilience of the Hailar River Basin.



**Figure 8** | Distribution of 10 points chosen from the 30-year study period according to the SPEI in the Budyko theoretical framework.

### DISCUSSION

During 1981–2011 in the Hailar River Basin, there was a trend of decrease in annual mean precipitation and a trend of increase in annual mean temperature (Figure 4). As the calculated results showed, the rate of decrease of precipitation and the rate of increase of temperature both increased gradually to some degree, indicating that the climate of the upper Hailar River Basin during the studied 30-year period has changed and that this change might become intensified in the future (Figure 4). Moreover, the observed decreased precipitation and increased temperature are projected to lead to intensification of drought, consistent with the results of Wang et al. (2014). This type of

phenomenon is consistent with that observed in the region of the Hulunbuir grassland during 1960–2017 (Wang *et al.* 2018b).

Under the background of climate change, the water balance in the upper Hailar River Basin has changed. Annual mean runoff has shown a decreasing trend during the study period (Figure 6(b)), consistent with the simulated results (Duan *et al.* 2010). Precipitation is the origin of runoff generation and the decrease of precipitation induced the reduction of runoff. In addition, a greater proportion of precipitation is now evaporated and less transformed into runoff (Figure 7(a) and 7(b)). However, annual mean evaporation also shown a decreasing trend due to the substantial decrease of precipitation. Owing to the increase of temperature, a greater proportion of precipitation is now evaporated (Han *et al.* 2018a). In addition, increased vegetation coverage has led to a greater uptake of water and enhanced evapotranspiration (Zhao *et al.* 2014; Fang *et al.* 2018a; Bao *et al.* 2019). Thus, the proportion of precipitation converted to runoff has been reduced and the amount of runoff has declined.

In our study, the calculated values of  $d$  and  $e$  were  $-0.0183$  and  $8.03$ , respectively. The values of these two metrics reflect the high level of hydrological resistance and resilience of the Hailar River Basin, confirming that the predicted runoff change has high consistency with the Budyko curve. The obtained value of  $e > 1$  indicates that the change in the DI during the entire study period was greater than the change in the EI (Creed *et al.* 2014). Several of the factors that contribute to high elasticity were analyzed and among the dominant influencing hydrological factors were those that contribute to certain changes in  $ET_0$ . For example, seasonal precipitation has an effect on the evaporative indices based on the Budyko framework (Gentine *et al.* 2012; Williams *et al.* 2012). Annual precipitation in the Hailar River Basin (30–350 mm) is concentrated primarily in summer (Fang *et al.* 2018a). Given the high temperature and large quantity of precipitation in summer, potential evaporation in this water-limited area is maximized and the range of the DI is increased. Additionally, Klein *et al.* (2013) argued that some basins can adjust their own ecophysiological factors to cope with drought induced by climate change, such as WUE (Xue *et al.* 2016; Yao *et al.* 2020). Therefore, water use efficiency in the basin is large (small) in the dry

(wet) period (Xue *et al.* 2015; Han *et al.* 2018a; Sun *et al.* 2020). This flexibility of water use efficiency in different periods increased the basin's ability to cope with climate change and further enhanced the hydrological resilience of the basin to climate change (Ponce-Campos *et al.* 2013).

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## CONCLUSIONS

In the Hailar River Basin, the major features of climate change during the 30-year study period (1981–2011) are represented by increased temperature, decreased precipitation, and intensified drought. We used the Mann–Kendall test to investigate the water balance changes in this basin based on certain hydrological variables, e.g. precipitation and runoff. Based on hydrological data obtained during the study period, it was determined that the water balance change has been manifest as trends of decrease of runoff and evaporation. In addition, we used two Budyko metrics to quantify the resistance and resilience of runoff in this basin to the effects of climate change. The results showed the basin has high hydrological resilience and resistance and that it could retain its ecological function in a changing climate.

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## DATA AVAILABILITY STATEMENT

All relevant data are included in the paper or its Supplementary Information.

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