The blood-brain barrier in primary CNS lymphomas: Ultrastructural evidence of endothelial cell death

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The vasculature of 24 primary CNS B-cell lymphomas that were not related to acquired immunodeficiency syndrome was systematically studied by electron microscopy. Seven low-grade astrocytic tumors were included for comparison. Classical electron microscopy features of apoptosis were found in lymphoma cells of 21 of 22 subjects. Capillaries of gliomas and lymphomas showed changes reported previously: variability of endothelial cell (EC)-thickness and number, basal lamina thickness and duplication, and fenestrations. Primary CNS B-cell lymphomas showed two distinctive populations of electron-dense and electron-lucent cells. The electron-dense ECs occurred in 38% of all capillaries, with changes consisting of chromatin condensation in bizarre and contracted nuclei, cytoplasmic shrinkage with markedly increased electron density, and dilatation of the endoplasmic reticulum. We interpreted these changes as indicative of apoptosis. Cell death eventually resulted in complete disintegration of the endothelium with frank discontinuities of the EC component of the blood-tumor barrier in capillaries and postcapillary venules. Another population of ECs had increased cell volume, conspicuous cytoplasmic electron lucency, dispersed organelles, scattered vesicles, and apical stress fibers. We interpreted these changes as indicative of cellular regeneration. Individual apoptotic ECs often lay next to normal or regenerating ECs. Neither type of EC change was observed in gliomas, which also lacked perivascular neoplastic lymphocytic cuffing. We believe that these populations of ECs, which have not been described in other disorders affecting the blood-brain barrier, may be induced by cytokines released from necrotic and/or apoptotic tumor lymphocytes and may explain the unusual imaging characteristics of primary CNS B-cell lymphomas treated with corticosteroids. Neuro-Oncology 1, 89–100, 1999 (Posted to Neuro-Oncology [serial online], Doc. 98-09, April 30, 1999. URL <neuro-oncology.mc.duke.edu>)

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3Abbreviations used are as follows: ANOVA, analysis of variance; BBB, blood-brain barrier; EC, endothelial cell; BL, basal lamina; PCNSL, primary CNS lymphoma.

Although much is known about the pathobiology of PCNSLs,1 they present a therapeutic challenge to neuro-oncologists who note a rising incidence of this once uncommon tumor (Corn et al., 1997; Grant and Isaacson, 1992; Morgello, 1995; Neuweit et al., 1990), particularly in HIV-infected individuals (Tellez et al., 1997). When treated with corticosteroid therapy, PCNSLs will sometimes show a rapid, partial to com-
complete disappearance of their dense, typically homogenous contrast enhancement. This response, which has been termed the “ghost tumor” phenomenon (Vaquero et al., 1984), has been studied both clinically and by quantitative measurement of BBB function (Ott et al., 1991; Pirotte et al., 1997); the frequency with which this effect occurs, the time course of its development, and the quantitative magnitude of the change have not been studied, in part because of the rarity of this tumor. This peculiarity of contrast medium uptake suggests that, in either anatomic and/or physiologic terms, the BBB in PCNSLs differs from that of other brain tumors.

Imaging studies have shown that PCNSLs may be quite variable, but these studies have not provided information that clarifies the ghost tumor phenomenon. At the one extreme, PCNSL may appear as a nonenhancing lesion on CT or MRI (DeAngelis, 1993) and generally appear avascular by angiography (Kobayashi et al., 1983). More commonly, PCNSL enhances densely and homogeneously with contrast-enhanced CT or MRI (Ueda et al., 1995). Blood flow to PCNSL is comparable to that of gray matter (Plowman and Wise, 1984; Ueda et al., 1995), and PET, combined with histological examination suggests a rich microvasculature with breakdown of the BBB (Plowman and Wise, 1984), but does not suggest the basis for the altered BBB. Microscopically, PCNSLs lack vascular or endothelial proliferation (Burger and Scheithauer, 1994), which suggests that the basis for the abnormal permeability resides in altered capillary structure. The two ultrastructural studies of PCNSL capillaries published to date have reported morphological changes similar to those in other malignant brain tumors (Hirano et al., 1974; Ochi et al., 1989), but nothing distinctive to explain the ghost tumor phenomenon. Motivated by a desire to explain the ghost tumor phenomenon, we systematically examined the ultrastructure of ECs from tumor specimens of PCNSL patients at the Mayo Clinic, which represents a retrospective study of a diverse population.

### Table 1. Clinicopathologic data from primary CNS lymphoma subjects

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* B, stereotaxic biopsy; C, craniotomy; DLC, diffuse large cell; DMC, diffuse mixed cell; ECs, endothelial cells; F, female; M, male.

* Pathology of B-cell lymphoma.

* Y, steroids were received prior to surgery; N, no steroids were received prior to surgery.

* Y, apoptotic tumor cells were observed; N, no apoptotic tumor cells were observed.

* Mean ± SD.

* Total number of capillaries studied.

* Frequency of capillaries containing apoptotic endothelial cells.
Materials and Methods

In 1995, Tomlinson et al. reported the clinicopathologic features of 89 patients with PCNSL. From 24 of those patients, 24 tumors were included in this study and some of their characteristics are presented in Table 1. None of the patients had a history of organ transplantation, blood transfusion, drug abuse, or acquired immunodeficiency syndrome. Tumor tissue was fixed in Trump's solution (1% glutaraldehyde and 4% formaldehyde) at pH 7.2 and routinely processed for electron microscopy. All 1-mm-thick sections selected for study contained perivascular tumor infiltrates varying from scant to dense accumulations. The frequency of tumor cell death, particularly apoptosis, was systematically recorded. To minimize sampling error, every capillary and postcapillary venule in each electron microscopic section was photographed at the same magnification. Table 1 shows the number of capillaries studied per case, as well as the number of capillaries with changes interpreted as apoptosis. Since morphologic indications of cell activation, oncysis, and necrosis often formed a continuum with overlapping features, no attempt was made to quantitate them. As a control group, representing another histopathologic category of brain tumors in which endothelial proliferation is not prominent, and as a control for evaluating tissue fixation, 5 pilocytic astrocytomas (World Health Organization grade I) and 2 grade II fibrillary astrocytomas were included. ANOVA was used to examine the significance of the parameters and their interactions.

Results

Clinical Features

The patient population from which the specimens were drawn was quite heterogeneous. Although 10 of the patients underwent a craniotomy, the tumors of this group contained fewer capillaries (n=64) than those of the biopsy group (n=170). Two of the biopsies had no tumor cells (subjects 1 and 15). Seven of the subjects were not on steroids when the specimens were acquired, whereas 17 were receiving steroids, although the dose, frequency, chronicity, and type of steroid varied from one subject to the next. None of the variables in Table 1 (age, sex, pathology, type of surgery, or use of steroids) was statistically associated with the occurrence of apoptosis in ECs (P>0.1, ANOVA). The frequency of apoptotic ECs was the same whether steroids were used, whether the tumors were diffuse large cell or diffuse mixed cell, or whether the subjects were male or female.

Fig. 1. Apoptotic lymphoma cell. Classic crescentic, peripheral chromatin condensation in an apoptotic lymphoma cell. The widened intercellular space contains flocculent material. Adjacent myelin figures indicate damage to the neurophil due to tumor infiltration. A nonapoptotic tumor cell (lower left) and remnants of a necrotic cell (upper left) are also visible (×16,000).
Morphology of Tumor Cells

The general ultrastructural features of lymphoma and glioma cells are the subject of numerous previous publications (Burger and Scheithauer, 1994; Cheville, 1994). In 21 of the 24 tumors we studied (88%), the lymphoma cells exhibited classic features of apoptosis (Fig. 1) (Cummins et al., 1997; Kaufmann, 1997; Majno and Joris, 1995; Schulte-Herem et al., 1997; Trump et al., 1997; Wyllie, 1997); 2 of the other 3 specimens did not contain lymphoma cells. Necrotic lymphoma cells were also rather common, both adjacent to and remote from capillaries. Disintegrating neoplastic lymphocytes frequently lay in contact with pericytes and/or ECs. Apoptosis was not seen in the glioma specimens.

Morphology of Capillary Endothelium: Nonspecific Changes

A total of 234 capillaries was analyzed from the 24 PCNSL specimens (Table 1). The variable number per case was directly related to the number of blocks prepared for electron microscopy, and not to the type of surgery. In semithin sections, vessels were seen as randomly oriented vascular profiles. ECs, some of which were relatively normal, exhibited the ultrastructural spectrum of nonspecific abnormalities known to occur in capillaries of primary and metastatic CNS tumors (Bertossi et al., 1997; Shibata, 1989), which were observed in both the gliomas and lymphomas in our study sample. These included marked irregularity of lumen size, with blebs and vesicles being either partially attached or free-floating in flocculent material containing cell debris. Individual or aggregated and partly or totally degranulated platelets were frequently attached to the luminal surface (Fig. 2). Intercellular junctions varied markedly in length, width, and density, but appeared competent. Cytoplasm varied greatly in width and attenuated areas with fenestrations sometimes tapered abruptly or alternated with broad full cell bodies (Fig. 2); fenestrations were not uncommon (Fig. 3). Isolated pinocytic vesicles were relatively abundant. Excessive cytoplasmic vacuolization was frequently seen without formation of transmural channels or vesiculo-vacuolar organelles. Basal plasmalemmal vesicles were less numerous and were usually disoriented relative to the abluminal surface. Mitochondria of various sizes, shapes, and numbers lay scattered in the finely granular cytoplasm, which was also rich in filaments and ribosomes. Tortuosity, thickening, disruption, and reduplication of BL was

Fig. 2. Lymphoma capillary with proliferating basal lamina. The basal lamina ensheathes elongated, dense pericytic processes. The endothelium is multilayered. The width and cytoplasmic density of individual endothelial cells are highly variable. The lumen contains cytoplasmic fragments sloughed from the luminal surface. Intercellular junctions between endothelial cells vary in length, being either dark and elongate on both sides of the endothelial cell (bottom) or open (upper left side). One tangentially cut endothelial cell nucleus shows a tortuous nuclear membrane with peripheral condensation of chromatin. A few cytoplasmic vacuoles and Weibel-Palade bodies are present (×16,000).
conspicuous (Figs. 2 and 4). Pericytes were present in many cases and were mostly ensheathed by an irregular BL (Fig. 4). These changes were also observed in the ECs of the gliomas.

Morphology of Capillary Endothelium: Changes Specific to Primary CNS Lymphoma

The most striking ultrastructural change observed in the ECs of lymphoma capillaries, which was not found in the gliomas, was a marked variation in cytoplasmic electron density (Figs. 5–8). This varied from electron-lucent or light ECs to ones that were electron dense or dark. In most cases, the light ECs were vacuolated and tall cuboidal to columnar cells (Figs. 5 and 6), while the dark ECs were usually low, flat, structureless, and often fragmented (Figs. 3–6 and 8). In some cases, elevated tombstone-shaped ECs were also found (Fig. 6). Although junctions between these various ECs were sometimes obscured, close connections between both abnormal and more normal appearing ECs were usually maintained. The light ECs were frequently swollen with increased surface area, usually lacking nuclear profiles and containing both dense bodies as well as dilated vacuoles (Figs. 5 and 6). In areas with more than one layer of ECs, dark basal cells were sometimes covered by rarefied light cells (Fig. 6). Both nuclear and cytoplasmic changes were conspicuous (Figs. 3–8). In some dark cells, the nucleus was markedly convoluted and hypertrophic with conspicuous margination of chromatin (Figs. 5 and 8). In others, the nuclear lobulation was more prominent and accompanied by nuclear condensation and shrinkage (Figs. 6 and 7). The endoplasmic reticulum was often dilated, with a complex labyrinthine vacuolation of the cytoplasm (Figs. 3, 7, and 8). Mitochondria appeared intact in the presence of cytoplasmic shrinkage that obscured the fine structure of other organelles (Fig. 7). In some areas, there was a loss of the continuous endothelial layer (Fig. 8), exposing the often reduplicated (Figs. 2 and 4) and frequently frayed BL (Fig. 8). The blood vessels of the lymphomas were frequently cuffed by tumor cells, many of which showed signs of apoptosis. Pericytes were often in intimate contact with the reduplicated or discontinuous BL (Figs. 3 and 4) and ECs frequently had no direct contact with normal astrocytic processes or neuropil or extracellular matrix. The pericytes interposed between lymphoma cells and the variously altered ECs quite often showed the same changes described in ECs (Fig. 3). In some cases, the exact nature of cells on the abluminal side of the BL was difficult to determine.
Discussion

We focused on the capillary endothelium in PCNSLs to search for ultrastructural changes that would explain the unusual imaging properties of these tumors, that is, the ghost tumor phenomenon (Grant and Isaacson, 1992; Neuwelt et al., 1990; Ott et al., 1991; Pirotte et al., 1997; Vaquero et al., 1984). We found obvious structural changes that explained the increased permeability so frequently seen in these tumors, although we were unable to establish with certainty that these changes are responsible for the ghost tumor phenomenon. Endothelial cells were frequently thinned (Figs. 3–8), contained fenestrations (Fig. 3), and in many instances had no endothelial cell between the capillary lumen and the underlying basement membrane, causing frank endothelial discontinuities (Fig. 8). These ultrastructural changes explain the dense contrast enhancement seen in many PCNSLs.

Research into the process of cell death is currently undergoing an explosion of information, and the exact genetic, biochemical, and morphological pathways that cells follow on their course to death are not yet clearly understood or defined. In our discussion, we use the outline of cell death described by Majno and Joris (1995), who distinguish between two major pathways: apoptosis and oncosis. They state that the term “necrosis” refers to changes secondary to cell death by any mechanism, that is, necrotic cells are a corps of cells that have been killed by any of several different insults. They describe apoptosis as a complex process, characterized by both morphologic and biochemical criteria. Morphologically, the cell shrinks and becomes electron-dense with margination of chromatin, which has curved profiles, and with little or no swelling of the mitochondria or other organelles, all of which typically occur very rapidly, that is, in minutes. Oncosis is typified by ischemic cell death and is characterized morphologically by cellular swelling over a time period of hours. The swelling is related to ionic fluxes, may include cellular organelles, and is followed by cytoplasmic or organelle vacuolization, blebbing, and increased membrane permeability, all of which are manifested ultrastructurally by increased cellular and organelle size and electron lucency.

Apoptotic cell death frequently occurs in lymphomas (Pirotte et al., 1997; Vaquero et al., 1984) and was present in 21 of 22 lymphomas in our series (Fig. 1). Apoptosis in lymphoma cells is regulated by bcl-2 and p53 gene products (Cory, 1995; Piris et al., 1994) and is induced by corticosteroids (Distelhorst and Dubyak,
Apoptosis was common in the lymphoma cells in our series, regardless of whether the patients were on steroids (Table 1).

Ultrastructural changes that we interpret as apoptosis were present in 38% of the capillaries from our material (Table 1). Hirano et al. (1974) mentioned variable, sometimes increased, electron density of ECs in a single case of PCNSL, but did not identify it as a distinct phenomenon. We observed ultrastructural changes that have not been described in ECs of other brain tumors, which we believe represent a continuum and which suggest to us that the ECs in the PCNSLs were in a dynamic state of death and regeneration. A review of all photomicrographs suggested that normal ECs represented the midpoint of a spectrum from which cells either tended toward increased volume and cytoplasmic lucency (resulting in light cells) or toward shrinkage, darkening, and disintegration (resulting in dark cells). Adjacent cells sometimes seemed to have followed opposite paths, toward either light or dark cells (Figs. 5 and 6). Cells with transitional features were seen (Fig. 5). In those cells that eventually became irregular, flattened, or globular and homogeneously dark (Fig. 8), conspicuous nuclear and cytoplasmic changes occurred (Figs. 3–8). In some, the nucleus first became markedly convoluted and hypertrophic with conspicuous margination of chromatin (Figs. 2 and 8), followed by increasing nuclear lobulation, condensation, and shrinkage (Figs. 4, 5, and 6). In other cells, the cytoplasmic changes preceded nuclear alterations. Since nuclear profiles were not always in the plane of section, the sequence of nuclear and cytoplasmic changes was difficult to establish. After nuclear and cytoplasmic shrinkage, “mummification” finally resulted in loss of the continuous endothelial layer, exposing the BL (Fig. 8). Although apoptosis may be seen in the tumor cells of gliomas, the sequence of ultrastructural changes that we interpret as apoptosis was not seen in the ECs of the seven astrocytomas that we included as controls, nor have we seen such changes in our experience with electron microscopic samples of ECs from normal brain, other brain tumors, or other brain diseases. Apoptosis has not been reported in electron microscopic studies of the ECs from glioblastoma multiforme (Rojiani and Dorovini-Zis, 1996; Roy and Sarkar, 1989). However, some of the EC changes observed in our study correspond to apoptosis, many resembling so-called “nonclassical” or “para-apoptosis” (Asher, 1995). Some of the ECs looked like the dark mummy or tombstone cells described in association with Hodgkin’s disease (Benharroch et al., 1996; Lorenzen et al., 1997). Such variation in cell morphology in the same cell population is common; indeed, it can even be seen
within different nuclei of the same multinucleated giant cell (Honma and Hamasaki, 1996).

In contrast, we did not find evidence that ECs were undergoing oncrosis, although in our study many of the abnormal ECs were of the electron-lucent variety (Figs. 6–8). Electron lucency of ECs has been considered by some to be reactive in nature, suggesting an activated state of either hypertrophy or regeneration, which is sometimes called “functional cellular edema” and is often accompanied by an increase of cell volume. Some authors believe that these changes may indicate EC regeneration, a process in which platelets and their products play an essential role. The enlarged cuboidal washed-out light cells in the PCNSLs (Figs. 6–8) bear a striking resemblance to the ECs described in a series of papers about EC regeneration (Haudenschild and Schwartz, 1979; Reidy and Schwartz, 1981, 1983; Schwartz et al., 1978). In many instances, electron-lucent cells overlaid electron-dense ECs, suggesting that regeneration and replacement were occurring simultaneously (Fig. 7). Increased electron lucency, presumably due to activation of capillary ECs and pericytes and accompanied by an increase in abluminal endocytosis, has been observed in peritumoral regions of anaplastic gliomas (Bertossi et al., 1997).

Our study is morphologic and descriptive. On that basis alone, the ECs of PCNSLs are distinctive and are different from the ECs of other brain tumors. Our interpretation about the mechanism of cell death is speculative. We suggest that a significant population of the ECs were undergoing apoptotic or para-apoptotic cell death (Asher, 1995), while another subpopulation of the ECs was regenerating in an attempt to maintain microvascular integrity. In this retrospective study, we did not look for biochemical or molecular markers of apoptosis. Current research efforts are trying to integrate the biochemical, molecular and morphological underpinnings of apoptosis (Bellamy et al., 1995; Benharroch et al., 1996; Collins et al., 1997; Lo et al., 1995; Madigan and Pendfold, 1997; Negri et al., 1997; Pistoia, 1997; Regan et al., 1995; Savill, 1994; Sgong and Wick, 1994; Tsai et al., 1996). Perhaps these can be applied to future studies of ECs in PCNSLs.

There is ample evidence to support major differences in the tumor microenvironment between gliomas and PCNSLs and, therefore, in the microenvironment of the
tumor microvasculature. As mentioned earlier, apoptosis in lymphomas is closely regulated by bcl-2 and p53 proteins, a rather delicate system that may be influenced by both extrinsic and intrinsic factors (Cummings et al., 1997; Kaufmann, 1997; Schulze-Herman et al., 1997; Trump et al., 1997; Wylie, 1997). Although T-cell infiltrates, which are recognized as a source of cytotoxic cytokines, are regular features of most primary B-cell PCNSLs (Bashir et al., 1996), B cells are also capable of releasing a host of cytokines and chemokines, including TNF-α (Pistoia, 1997; Tsai et al., 1996). TNF-α (tumor necrosis factor-α) can activate at least two separate pathways of EC injury (Slowik et al., 1997) and may interact with the metabolism of IL-1 (interleukin-1), which itself is capable of inducing major phenotypic changes in endothelium (Romero et al., 1997). In addition, TNF-α has an angiogenic effect (Polunovsky et al., 1994) and may act as a survival factor in transformed EC lines (Maier et al., 1996). It is interesting to speculate that dying B cells plus the paracrine effector molecules released by pericytes, microglia, and T cells concertedly bring about the EC changes we observed in PCNSLs (Bashir et al., 1996; Mantovani et al., 1997; Merrill and Benveniste, 1996; Pistoia, 1997; Tsai et al., 1996). It is not surprising that endothelial cell death occurs in various morphological forms, including both oncosis and apoptosis (Crisby et al., 1997). The threshold of toxic effects, and thus the final outcome, is determined not only by the cytotoxic damage done, but also by the overall cellular milieu (Clarke, 1990; Crisby et al., 1997; Kahaleh et al., 1996; Portera-Cailliau et al., 1997; Schwartz, 1995). Madigan and Penfold (1997) reviewed the means by which pericapillary cells and various mononuclear phagocytes modify EC ultrastructure and affect the normal barrier properties of vessels in retinoblastoma. Our study represents yet another example of a unique microenvironment, one that provides close interaction of tumor and pericapillary/capillary cells.

Although we were unable to explain the ghost tumor phenomenon, our study did provide us with insight into the problem. First, there are many issues about the effect of steroids on PCNSLs that were uncontrolled in our study, such as the dose and the time interval between administration and tissue collection. Second, there were distinct disadvantages associated with sampling errors from the use of small tissue samples, even if many of the variables had been controlled. Functional measurements with CT, MRI, or PET would allow serial studies of BBB permeability of the entire tumor over time, which is not possible with tissue sampling. Given the uncommon

Fig. 7. Apoptosis in a tangentially cut endothelial cell of primary CNS lymphoma. Advanced nuclear shrinkage, lobulation, and chromatin condensation is accompanied by labyrinthine dilatation of rough endoplasmic reticulum and dilation of the perinuclear cistern. Mitochondria are intact, but a junction with the adjacent light endothelial cell (lower right) is obliterated. The lumen contains fragments of detached villous cytoplasmic projections and fragments of two red blood cells (×16,000).
occurrence of PCNSLs, a prospective study of BBB function holds the most promise for determining the relationship between steroid administration and the ghost tumor phenomenon in PCNSL.

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**References**


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