





Short Communication

Identifying ordinal categories for the Water Insecurity Experiences Scales

Edward A. Frongillo ^a, Hilary J. Bethancourt ^b, Joshua D. Miller ^c, Sera L. Young ^{b,d,*} and the Household Water Insecurity Experiences Scales (HWISE)-Research Coordination Network (RCN)

^a Arnold School of Public Health, University of South Carolina, 915 Greene Street, Columbia, South Carolina 29208, USA

^b Department of Anthropology, Northwestern University, 1810 Hinman Avenue, Evanston, Illinois 60208, USA

^c Department of Nutrition, University of North Carolina at Chapel Hill, 123 West Franklin Street, Suite 210, Chapel Hill, North Carolina 27516, USA

^d Institute for Policy Research, Northwestern University, 2040 Sheridan Road, Evanston, Illinois 60201 USA

*Corresponding author. E-mail: sera.young@northwestern.edu

 EAF, 0000-0002-8265-9815; HJB, 0000-0001-5774-7525; JDM, 0000-0002-2171-856X; SLY, 0000-0002-1763-1218

ABSTRACT

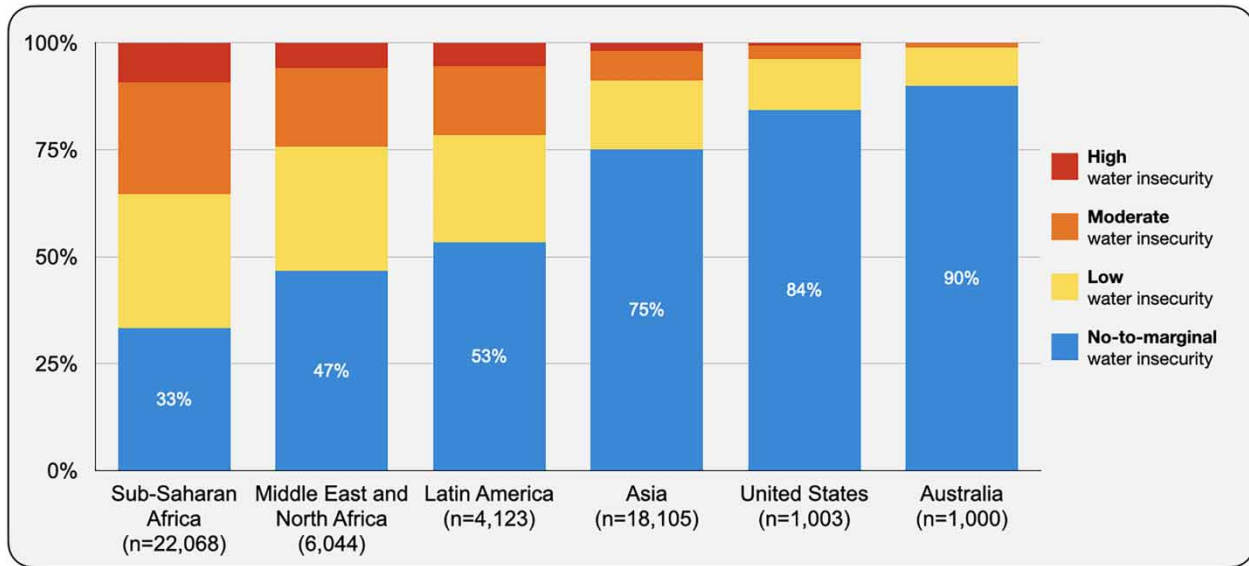
The Household Water Insecurity Experiences (HWISE) and Individual Water Insecurity Experiences (IWISE) Scales are globally suitable tools for comparably measuring water insecurity experiences among households and adults, respectively. The potential range for HWISE and IWISE scores is 0–36. When the WISE Scales were first published, scores of 12 and higher were considered indicative of water insecurity, but additional cut-points are needed to provide more nuanced insights. We therefore sought to develop a practical set of cut-points for the WISE Scales using HWISE data from 13 sites across 12 countries ($n = 3,293$) and nationally representative samples of IWISE data from 38 countries collected by the Gallup World Poll ($n = 52,343$). We selected cut-points in water insecurity scores to establish four ordinal categories: no-to-marginal (0–2), low (3–11), moderate (12–23), and high (24–36) water insecurity. These categories were monotonically associated with increasing odds of reporting water dissatisfaction and helped to differentiate the breadth of water insecurity across populations with heterogeneous water insecurity experiences and frequencies. These four water insecurity categories can be used to better understand how water insecurity may be related to livelihoods, health, and well-being, both at low and high water insecurity.

Key words: indicators, scale development, validation, water security

HIGHLIGHTS

- The Water Insecurity Experiences (WISE) Scales have been used globally for research and policy.
- Water insecurity prevalence had been estimated dichotomously (≥ 12 , range 0–36).
- We established four ordinal categories that convey meaningful nuance in the range of WISE scores.
- Water insecurity categories are no-to-marginal (scores of 0–2), low (3–11), moderate (12–23), and high (24–36).

GRAPHICAL ABSTRACT



Cut-points for classifying ordinal categories of the Water Insecurity Experiences Scales can provide a greater understanding of water insecurity. Data from 52,343 individuals across 38 countries in Gallup World Poll 2020 and 2022.

INTRODUCTION

Issues with water scarcity, excess, and contamination are common globally (Mekonnen & Hoekstra 2016; Damania *et al.* 2019; Kulp & Strauss 2019). There has been growing interest in the quantification of lived experiences with water insecurity – the inability to stably access sufficient water for domestic uses (Jepson *et al.* 2017) – to better understand the scope and human consequences of these issues (e.g., Wutich 2009; Stevenson *et al.* 2012; Jepson 2014; Aihara *et al.* 2015; Tsai *et al.* 2016). The Household Water Insecurity Experiences Scales (HWISE) and Individual Water Insecurity Experiences (IWISE) Scales were developed in response to calls for globally suitable tools for comparably measuring water insecurity among households and adults, respectively (Young *et al.* 2019a, b; 2021).

The WISE Scales are composed of 12 similarly phrased questions that ask about life-disrupting water problems related to psychological well-being, daily living, food and water intake, and hygiene. Drawing on the work of Amartya Sen, water security was conceptualized as a capability similar to but distinct from food security (Wutich *et al.* 2017; Young *et al.* 2019a, b). The HWISE Scale queries experiences of household members (Supplementary material, Table S1), whereas the IWISE Scale is directed to adult respondents (Supplementary material, Table S2). The original validation studies used 4-week and 1-year recall periods, respectively, although some studies used alternative recall periods (e.g., Miller *et al.* 2023). For both scales, item responses – ‘never’ (scored 0), ‘rarely’ (1), ‘sometimes’ (2), and ‘often’ or ‘always’ (3) – are summed for a possible range of 0–36 (Young *et al.* 2019a, 2021). Scores of 12 and higher initially indicated water insecurity (Young *et al.* 2019a, 2021). This cut-point was sensitive to differences between groups known to have different water insecurity experiences and produced prevalence estimates of water insecurity that aligned with expert expectations for and understandings of each site.

Estimating the prevalence of water insecurity based on this cut-point has been useful for making comparisons across and within populations, and understanding the relationships between water insecurity and health outcomes (Miller *et al.* 2020; Rosinger *et al.* 2021; Ford *et al.* 2023; Young *et al.* 2023). Nevertheless, there is heterogeneity in experiences of water insecurity and their impacts on well-being among those below and above this cut-point. Additional cut-points may provide nuanced insights into the effects of low and high water insecurity. Some researchers have already created additional cut-points for this reason (Jepson *et al.* 2021; Ford *et al.* 2023); consistency in the selection and application of cut-points could facilitate comparability of findings. Consistency in cut-points has been useful for understanding and addressing food insecurity, a similar resource-based construct that is measured by asking people about their lived experiences.

As with food insecurity cut-points (Pérez-Escamilla 2012), ordinal categories that convey the range of the latent construct of water insecurity have potential to (1) clarify the *meaning* of the construct to the public (e.g., media, policymakers); (2) reveal *dose-response relationships* between water insecurity and outcomes like mental health, early childhood development, and physical health; (3) improve program *targeting*; and (4) improve program *evaluation*, all of which can lead to better governance. We sought to develop a practical set of cut-points for the WISE Scales to establish ordinal categories that convey the range of the latent construct of water insecurity and can be systematically used by researchers to enhance comparability of findings.

METHODS

Data collection

HWISE data were drawn from 13 sites across 12 countries in 2017–2018 (Table 1). Twelve sites were part of the original HWISE Scale development study that included all 12 items in the final HWISE Scale ($n = 3,490$). Data from Bangladesh,

Table 1 | Mean and median HWISE scores, percentage of households in water insecurity (WI) categories, and prevalence of dissatisfaction with one's household water situation, by site and in ascending order of mean HWISE score, among participants in the HWISE Scale development study

| Site | N | Urbanicity | Sampling | Season | Mean HWISE score | Median HWISE score | No-to-marginal HWI: score 0–2 (%) | Low HWI: score 3–11 (%) | Moderate HWI: score 12–23 (%) | High HWI: score 24–36 (%) | Dissatisfied with water situation (%) |
|-----------------------------|-------|--------------------------|-------------------|----------|------------------|--------------------|-----------------------------------|-------------------------|-------------------------------|---------------------------|---------------------------------------|
| Pune, India | 171 | Urban | Non-random | Multiple | 1.6 | 0 | 85.4 | 10.5 | 2.9 | 1.2 | 1.8 |
| Morogoro, Tanzania | 202 | Urban, peri-urban | Cluster random | Rainy | 3.7 | 3 | 46.0 | 49.0 | 3.5 | 1.5 | 39.6 |
| Chiquimula, Guatemala | 281 | Rural | Systematic random | Dry | 5.2 | 4 | 42.0 | 44.1 | 13.9 | 0.0 | 11.4 |
| Sistan, Baluchestan, Iran | 109 | Urban, peri-urban, rural | Stratified random | Rainy | 6.5 | 4 | 43.1 | 36.7 | 18.4 | 1.8 | 21.1 |
| Dhaka, Chakaria, Bangladesh | 473 | Urban, rural | Cluster random | Rainy | 6.9 | 4 | 36.6 | 45.5 | 11.2 | 6.8 | 42.1 |
| Beirut, Lebanon | 525 | Urban | Cluster random | Rainy | 7.2 | 6 | 31.6 | 43.8 | 22.1 | 2.5 | 65.9 |
| Torreón, Mexico | 239 | Urban | Simple random | Dry | 8.6 | 7 | 35.2 | 31.0 | 27.6 | 6.3 | 28.0 |
| Gressier, Haiti | 270 | Peri-urban | Stratified random | Dry | 9.8 | 8 | 31.5 | 30.0 | 29.3 | 9.3 | 52.2 |
| Labuan Bajo, Indonesia | 265 | Urban | Cluster random | Dry | 13.7 | 14 | 8.3 | 29.1 | 52.5 | 10.2 | 69.4 |
| Rajasthan, India | 182 | Urban | Stratified random | Dry | 14.0 | 15 | 5.0 | 36.8 | 46.7 | 11.5 | 48.4 |
| San Borja, Bolivia | 148 | Rural | Simple random | Dry | 17.9 | 19 | 2.7 | 21.0 | 52.0 | 24.3 | 81.0 |
| Punjab, Pakistan | 45 | Rural, peri-urban | Cluster random | Dry | 20.3 | 22 | 0.0 | 13.3 | 48.9 | 37.8 | 73.3 |
| Cartagena, Colombia | 214 | Urban | Stratified random | Dry | 20.8 | 21 | 2.3 | 8.4 | 51.9 | 37.4 | 78.9 |
| Total | 3,124 | | | | 9.3 | 7 | 30.5 | 34.6 | 26.2 | 8.7 | 47.5 |

HWISE: Household Water Insecurity Experiences Scales; HWI: Household Water Insecurity.

collected in the Demographic and Health Surveys according to the scale development study protocol, were included ($n = 506$). Sites were selected through professional networks to maximize variation in climate, water infrastructure, and local water problems (Young *et al.* 2019a, b). Most sites recruited about 250 households and used simple random sampling, with two exceptions: purposive sampling in Singida, Tanzania and parallel assignment in Pune, India. Adults were eligible for participation if they reported being ‘knowledgeable about their household’s water situation.’ Interviews were conducted in person by local study staff using paper- and tablet-based surveys. Surveys included information about sociodemographic characteristics and experiences with water problems in the prior 4 weeks. Households reported how satisfied they were with their water situation using a Likert scale, with 1 being not at all satisfied and 5 completely satisfied. Scores of 1 and 2 were considered to represent dissatisfaction.

IWISE data were collected in 38 countries by the Gallup World Poll (GWP) in 2020 (31 countries) and 2022 (7 countries) (Table 2). GWP administers surveys to national probability-based samples of civilian, non-institutionalized individuals aged ≥ 15 years; additional details are published elsewhere (Gallup Poll 2020; Young *et al.* 2021, 2022). About 1,000 individuals were surveyed per country, except for China ($n = 3,503$) and India ($n = 12,650$). In 31 countries (and one-third of the India sample), surveys were conducted by telephone using random-digit dialing with stratification by landline or mobile phone; further stratification by region for landline and by provider for mobile phone ensured that individuals from all regions with different mobile phone providers had a non-zero chance of being selected. In eight countries (and two-thirds of the India sample), surveys were conducted in person, with participants randomly selected using a multi-stage sampling procedure that included stratification by region and urbanicity. Post-stratified sampling weights were constructed by GWP to adjust for non-response and ensure estimates were nationally representative, including urban and rural areas, for the prior year. GWP also collected data on sociodemographic characteristics and whether respondents were ‘satisfied’ or ‘dissatisfied’ with local water quality. Only GWP data from 2020 were used for IWISE scale development.

All participants provided verbal or written informed consent. Study activities were reviewed and approved by the appropriate ethical review boards (Young *et al.* 2019a, b, 2021).

Cut-point selection and evaluation criteria

When the WISE Scales were first published, scores of 12 and higher were considered to be indicative of water insecurity (Young *et al.* 2019a, 2021). To provide further nuance, we sought to identify additional cut-points. After preliminary analyses examining different numbers and combinations of cut-points in each site, two additional cut-points (one lower and one higher than 12), for a total of four categories of water security, were deemed practically meaningful (Coates *et al.* 2007; Gaynes *et al.* 2018; Rabbitt *et al.* 2023), whereas five or more would not contribute further information and would have diminishing utility for policymakers. We used raw scores when selecting cut-points, as opposed to also considering which experiences had been affirmed, because water insecurity experiences do not manifest consistently across the range of the latent construct (i.e., the relative proportion of affirmation of each experience varies across sites) (Young *et al.* 2021). This diverges from food insecurity, for which experiences progress similarly across most contexts (Cafiero *et al.* 2018). We proposed cut-points for water insecurity theoretically based on our understanding of experiences of water insecurity from prior literature and history of developing measures to reflect this construct, and then we used the empirical data to evaluate whether these cut-points were suitable.

First, we reasoned that affirming two questionnaire items as ‘rarely’ or one item as ‘sometimes’ (i.e., a score of 2) indicated no-to-marginal water insecurity and affirming all items as ‘sometimes’ or half of the items as ‘often’ or ‘always’ (i.e., a score of 24) indicated high water insecurity. Therefore, we proposed these categories of water insecurity: scores of 0–2 (no-to-marginal), 3–11 (low), 12–23 (moderate), and 24–36 (high), examining the percentages of the population in each category.

Second, we compared the percentage of respondents who affirmed each water insecurity experience by water insecurity category for both HWISE and IWISE. These comparisons were aggregated across sites.

Third, to evaluate the ability of these proposed categories to differentiate the range of water insecurity, we examined how the categories covaried (e.g., had inflections in trend) with alternative indicators of water problems for which data were available. Although these alternative indicators of water problems assess only one aspect of experiential water insecurity, the availability of these indicators in the datasets provided a means to compare their occurrence across the proposed categories of water insecurity. With HWISE data, we assessed how the odds of reporting dissatisfaction with one’s water situation differed by the four water insecurity categories and if trends across the categories differed by plausible effect modifiers, including household primary drinking water service level (UNICEF JMP & WHO 2023), urbanicity, and season of interview. With IWISE data, we assessed whether respondents’ dissatisfaction with local water quality differed by the four water insecurity

Table 2 | Mean and median IWISE score, percentage of water insecurity categories, and prevalence of dissatisfaction in water quality by country in ascending order of mean IWISE score in nationally representative samples of individuals in the 2020 & 2022 Gallup World Poll ($n = 52,343$)

| Country | N | Mean IWISE score | Median IWISE score | No-to-marginal IWI: score 0-2 (%) | Low IWI: score 3-11(%) | Moderate IWI: score 12-23(%) | High IWI: score 24-36(%) | Dissatisfied with water quality(%) |
|--|--------|------------------|--------------------|-----------------------------------|------------------------|------------------------------|--------------------------|------------------------------------|
| Countries with mean IWISE score <3 | | | | | | | | |
| Australia | 1,000 | 0.8 | 0 | 90.0 | 9.0 | 1.0 | 0 | 10.7 |
| United States | 1,003 | 1.5 | 0 | 84.3 | 12.0 | 3.0 | 0.7 | 17.9 |
| China | 3,498 | 1.6 | 0 | 82.5 | 13.9 | 3.0 | 0.5 | 21.3 |
| Indonesia | 999 | 1.9 | 0 | 80.1 | 14.7 | 4.2 | 1.1 | 12.5 |
| Bangladesh | 1,009 | 2.5 | 0 | 85.9 | 4.7 | 5.2 | 4.2 | 14.0 |
| Countries with mean IWISE score ≥ 3 and <6 | | | | | | | | |
| India | 1,2599 | 4.3 | 0 | 64.5 | 20.2 | 11.7 | 3.5 | 16.6 |
| Morocco | 1,005 | 4.6 | 0 | 66.8 | 18.7 | 7.8 | 6.7 | 31.5 |
| Brazil | 1,003 | 4.6 | 2 | 58.8 | 25.1 | 12.8 | 3.3 | 22.5 |
| Mauritius | 998 | 4.9 | 2 | 50.7 | 33.0 | 14.8 | 1.5 | 16.4 |
| Senegal | 998 | 5.8 | 2 | 52.0 | 29.7 | 13.3 | 5.1 | 44.4 |
| Countries with mean IWISE score ≥ 6 and <10 | | | | | | | | |
| Mali | 981 | 6.0 | 3 | 47.5 | 30.0 | 19.6 | 3.0 | 39.7 |
| Ghana | 997 | 6.4 | 3 | 44.4 | 31.6 | 21.4 | 2.7 | 25.4 |
| Palestine | 999 | 6.4 | 3 | 45.4 | 30.9 | 19.4 | 4.3 | 32.1 |
| Tunisia | 1,004 | 6.7 | 4 | 42.7 | 34.4 | 18.2 | 4.7 | 61.4 |
| Guatemala | 1,145 | 7.1 | 4 | 43.4 | 32.4 | 17.3 | 6.9 | 23.8 |
| Benin | 1,013 | 7.1 | 5 | 39.8 | 34.7 | 21.0 | 4.5 | 36.0 |
| South Africa | 1,001 | 7.1 | 4 | 46.9 | 24.4 | 23.2 | 5.5 | 9.3 |
| Guinea | 1,002 | 7.2 | 5 | 39.1 | 33.1 | 23.8 | 4.0 | 41.9 |
| Côte d'Ivoire | 1,007 | 7.3 | 6 | 33.4 | 43.3 | 18.9 | 4.4 | 42.0 |
| Egypt | 1,001 | 7.6 | 4 | 42.4 | 30.3 | 20.9 | 6.4 | 36.5 |
| Congo Brazzaville | 1,000 | 7.9 | 6 | 36.4 | 33.3 | 25.0 | 5.2 | 49.3 |
| Algeria | 1,037 | 7.9 | 6 | 34.4 | 36.6 | 24.1 | 4.9 | 43.6 |
| Nigeria | 1,019 | 8.5 | 6 | 35.8 | 31.7 | 25.0 | 7.5 | 46.0 |
| Togo | 998 | 8.6 | 6 | 33.6 | 35.7 | 23.4 | 7.3 | 51.9 |
| Uganda | 992 | 8.7 | 7 | 29.8 | 37.7 | 26.2 | 6.2 | 38.4 |
| Madagascar | 990 | 8.9 | 5 | 38.1 | 30.2 | 20.1 | 11.7 | 48.6 |
| Tanzania | 1,000 | 9.8 | 6 | 38.1 | 24.3 | 23.8 | 13.8 | 35.2 |
| Countries with mean IWISE score ≥ 10 | | | | | | | | |
| Burkina Faso | 1,002 | 10.6 | 9 | 27.5 | 28.1 | 33.1 | 11.3 | 41.4 |
| Gabon | 1,023 | 10.9 | 9 | 27.1 | 30.5 | 29.8 | 12.6 | 70.1 |
| Namibia | 992 | 11.2 | 8 | 31.1 | 26.8 | 26.0 | 16.0 | 41.3 |
| Ethiopia | 1,022 | 11.2 | 10 | 20.5 | 34.5 | 34.0 | 10.9 | 46.9 |
| Zimbabwe | 1,003 | 11.6 | 10 | 23.9 | 31.4 | 30.4 | 14.2 | 53.6 |
| Peru | 989 | 11.6 | 10 | 27.6 | 24.2 | 32.1 | 16.1 | 36.7 |
| Zambia | 1,008 | 11.8 | 11 | 20.3 | 31.5 | 36.8 | 11.4 | 58.4 |
| Afghanistan | 998 | 12.0 | 10 | 17.5 | 36.2 | 31.8 | 14.4 | 58.9 |

(Continued.)

Table 2 | Continued

| Country | N | Mean IWISE score | Median IWISE score | No-to-marginal IWI: score 0-2 (%) | Low IWI: score 3-11(%) | Moderate IWI: score 12-23(%) | High IWI: score 24-36(%) | Dissatisfied with water quality(%) |
|----------|-------|------------------|--------------------|-----------------------------------|------------------------|------------------------------|--------------------------|------------------------------------|
| Honduras | 986 | 12.0 | 11 | 20.8 | 32.0 | 31.2 | 16.1 | 29.4 |
| Kenya | 1,000 | 12.2 | 10 | 20.8 | 32.6 | 30.3 | 16.3 | 45.8 |
| Cameroon | 1,022 | 15.3 | 15 | 13.2 | 22.9 | 41.6 | 22.3 | 67.3 |

IWISE: Individual Water Insecurity Experiences Scales; IWI: Individual Water Insecurity.

categories within each country. We also did this within countries grouped in relation to their national burden of water insecurity, assessed with weighted national mean IWISE scores and prevalence of IWISE scores ≥ 12 : (1) mean scores of < 3 and $< 10\%$ prevalence; (2) mean scores ≥ 3 to < 6 and ≥ 10 to $< 20\%$ prevalence; (3) mean scores ≥ 6 to < 10 and ≥ 20 to $< 40\%$ prevalence; and (4) mean scores ≥ 10 and $\geq 40\%$ prevalence.

Household water insecurity analyses

Of the 3,996 respondents across 13 sites, 3,293 had complete HWISE data. Households with insufficient data to compute HWISE scores ($n = 703$) were excluded. We estimated the percentage of the population in each of the four water insecurity categories in each site. We then used logistic regression to estimate the predicted probability of reporting dissatisfaction with one's water situation by the four water insecurity categories, adjusting for site, specifying indicator variables for each of the three low, moderate, and high categories of water insecurity (compared with the reference category of no-to-marginal) and indicator variables for site. We tested for linear and quadratic trends (using orthogonal polynomials) in the relationship between categories and the predicted probability of dissatisfaction. Additionally, we stratified analyses by the Joint Monitoring Programme's drinking water service level (less than basic vs. at least basic) (24), urbanicity (urban vs. rural), and season of interview (dry, rainy, dry and rainy), to assess whether trends differed by plausible effect measure modifiers. Analyses were conducted using Stata (College Station, TX, v17 & v18).

Individual water insecurity analyses

Of the 52,560 respondents in 38 countries, 50,768 had complete IWISE Scale data. For those missing ≤ 3 IWISE responses ($n = 1,575$), we imputed missing IWISE responses from non-missing IWISE items using linear regression for each item within each country separately, yielding an analytical sample of 52,343, reasoning that individuals who had responded to ≥ 9 of the 12 items provided sufficient information to confidently and accurately impute the 1-3 missing items (Young *et al.* 2022). We examined the percentage of the population in each of the four water insecurity categories in each country and global region, accounting for design effects and using projection weights (post-stratified sampling weights multiplied by the average projected > 15 -year-old population size of each country across 2020-2022 determined by World Bank *n.d.*) to identify which countries and regions had sufficient numbers of individuals in the high category to warrant that additional category.

We tested how the odds of water quality dissatisfaction related to each category within each country using logistic regression models with post-stratified sampling weights. We used Stata's postestimation contrast command to test linear and quadratic trends between categories and the odds of dissatisfaction. We then used Stata's margins command to estimate predicted probabilities of water quality dissatisfaction in each category.

To test if the categories predicted different odds of water quality dissatisfaction across countries with varying water insecurity burdens, we grouped countries according to their mean IWISE scores and prevalence of IWISE scores ≥ 12 . For each of these country groups (mean weighted IWISE scores of 3, ≥ 3 to < 6 , ≥ 6 to < 10 , and ≥ 10), we estimated the percentage of the population in each water insecurity category using projection weights. We then tested how the odds of water quality dissatisfaction related to each category in these country groups using logistic regression models with normalized sampling weights (post-stratified weights divided by the country's sample size so that each country was weighted equally regardless of population size), adjusting for country fixed effects, testing for linear and quadratic trends, and estimating marginal probabilities of water quality dissatisfaction in each water insecurity category.

RESULTS

Household water insecurity experiences

A greater percentage of households experienced no-to-marginal water insecurity (30.5%) or low water insecurity (34.6%) compared to the 26.2% and 8.7% that experienced moderate and high water insecurity, respectively (Table 1). The percentage affirming each household water insecurity experience in aggregate had a monotonic trend across the four water insecurity categories, with the median number of distinct experiences affirmed being 0, 4, 9, and 11, respectively (Supplementary material, Table S3). In aggregate, the predicted probability of being dissatisfied with one's water situation differed across each category of water insecurity, except between moderate and high water insecurity ($p = 0.467$; Supplementary material, Table S4). Furthermore, there was a positive linear trend between the predicted probability of being dissatisfied with one's water situation and each higher category of water insecurity ($p < 0.001$). Linear trends were similarly observed when disaggregating the data by drinking water service level (Figure 1). That is, the different water insecurity categories distinguished between odds of being dissatisfied with one's water situation by primary drinking water service level. The four water insecurity categories showed similar trends by household urbanicity (Supplementary material, Figure S1) and season of interview (Supplementary material, Figure S2).

Individual water insecurity experiences

Having all four water insecurity categories was important in countries with higher overall burdens of water insecurity (country mean IWISE scores ≥ 6), with an estimated 22.3% of adults (in Cameroon) having high water insecurity (Table 2; Figure 2). In contrast, in countries with lower mean IWISE scores (< 3), few adults (and no one in Australia) were estimated to be in the high category. The addition of the low water insecurity category revealed that many adults in those countries affirmed multiple items or at least one item at the highest frequency (i.e., often or always), e.g., 12% of individuals in the US were categorized as having low water insecurity. The percentage affirming each individual water insecurity experience in aggregate showed a monotonic trend across the four water insecurity categories, with the median number of distinct experiences affirmed being 0, 4, 9, and 12, respectively (Supplementary material, Table S5).

There was a positive linear trend between the odds of water quality dissatisfaction and each higher category of water insecurity when examining trends within individual countries, except for the United States (Supplementary material, Figure S3). In Australia, there was a linear trend with the first three water insecurity categories (and no one in the high category). In five countries (Benin, Ethiopia, Madagascar, Uganda, and Egypt), there was no difference between those in the no-to-marginal and low water insecurity categories in the odds of water quality dissatisfaction. In 11 countries (Burkina Faso, Ghana, Guinea, Kenya, Namibia, Zambia, Afghanistan, India, Guatemala, Honduras, and Peru), there was a difference in the odds of water quality dissatisfaction between those with moderate versus high water insecurity.

When examining trends by the overall mean IWISE score in each country, the odds of water quality dissatisfaction differed between each water insecurity category in the countries with mean IWISE scores ≥ 6 (Figure 3). In the countries with mean IWISE scores < 6 , there was not a difference between those with moderate versus high water insecurity.

DISCUSSION

Four categories of experiential water insecurity performed well in conveying the range of water insecurity when compared with alternative indicators of water problems. In most countries and study sites, those in the low (3–11 score) water insecurity category had a higher odds of water quality dissatisfaction than those in the no-to-marginal (0–2 score) category, justifying the value of a low water insecurity category. In most countries and study sites, having four categories provided differentiation between moderate and high categories of water insecurity. The four categories performed well with both HWISE data and nationally representative IWISE data that were collected from sites that were geographically and hydrologically heterogeneous.

Ordinal categories conveying the range of water insecurity can help demonstrate how low water insecurity may be related to disruptions in life and health, as well as to understand where high water insecurity may have an even greater impact on well-being (Jepson *et al.* 2021; e.g., Bethancourt *et al.* 2022). Such categories can provide 'important specificity that can assist with improvements in the design, targeting, and evaluation of policies and programs' as was seen with additional categories of food insecurity (Pérez-Escamilla *et al.* 2020). Given the increasing attention paid to WISE data by policymakers (La Razón 2023; Marlan & Kennedy 2023; Melgar-Quiñonez *et al.* 2023; Nature Editorial Board 2023; Osorio 2023; Shamah-Levy *et al.*

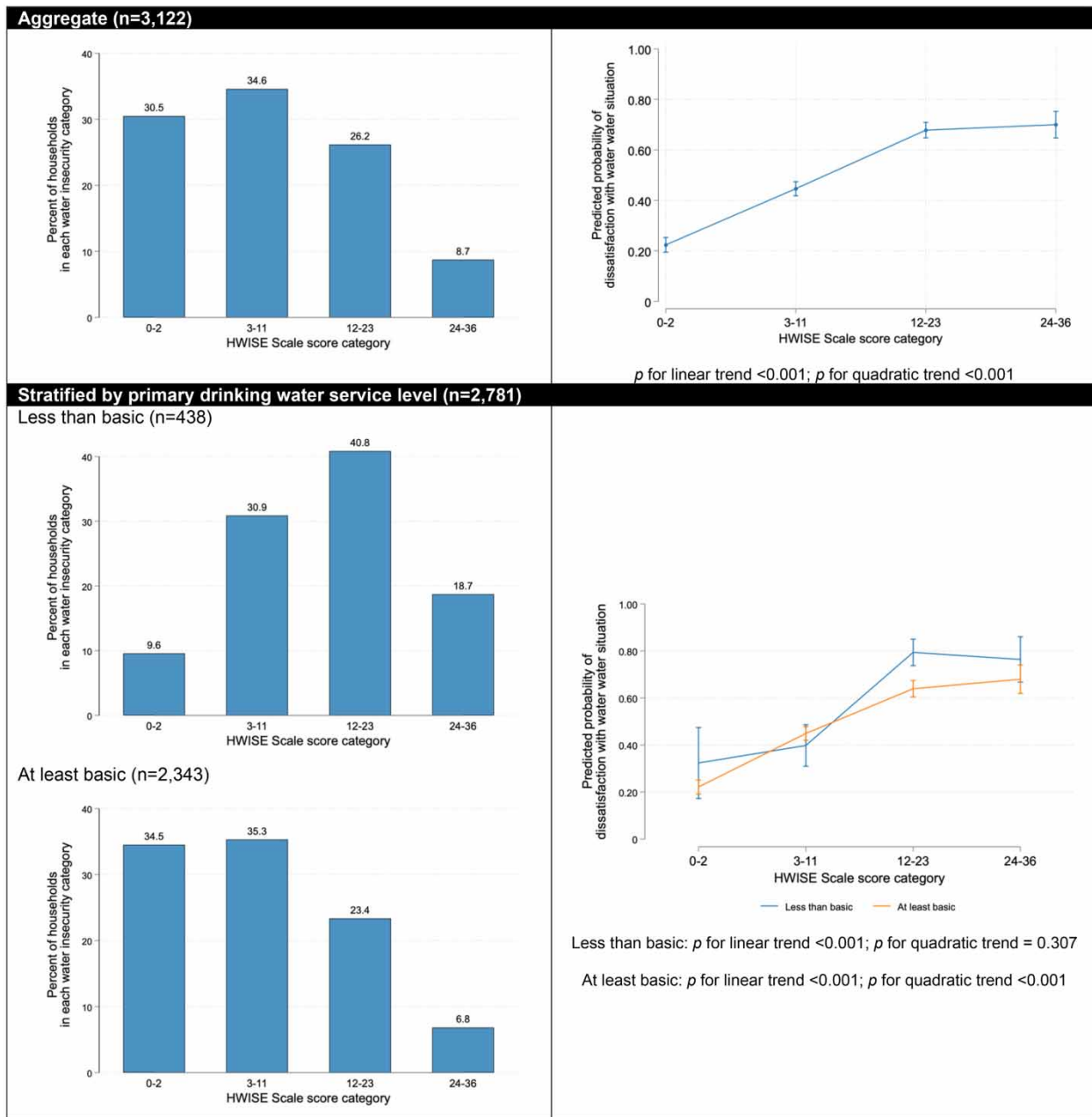


Figure 1 | Percentage of households within each HWISE water insecurity category and predicted probability of dissatisfaction with one's household water situation in relationship to each water insecurity category, in aggregate and by drinking water service level. Results from a logistic regression model adjusting for site.

2023), the ability to differentiate households or individuals across the range of water insecurity will be valuable, as has been for experiential measures of water insecurity (Jepson *et al.* 2021; Ford *et al.* 2023) and food insecurity (Pérez-Escamilla *et al.* 2020). Binary indicators such as being dissatisfied or satisfied with water quality are less suited to policy evaluation because they are insufficiently granular both in the measuring scale and in the sub-constructs of water insecurity.

This study made use of rigorously collected water insecurity data from large numbers of households and individuals across many sites and countries, and included data not used for scale development (HWISE data from Bangladesh, GWP 2022 data from seven countries). The cut-points chosen to establish the categories of water insecurity were based on judgment and empirical analyses comparing occurrence of alternative indicators of water problems across the categories. These alternative

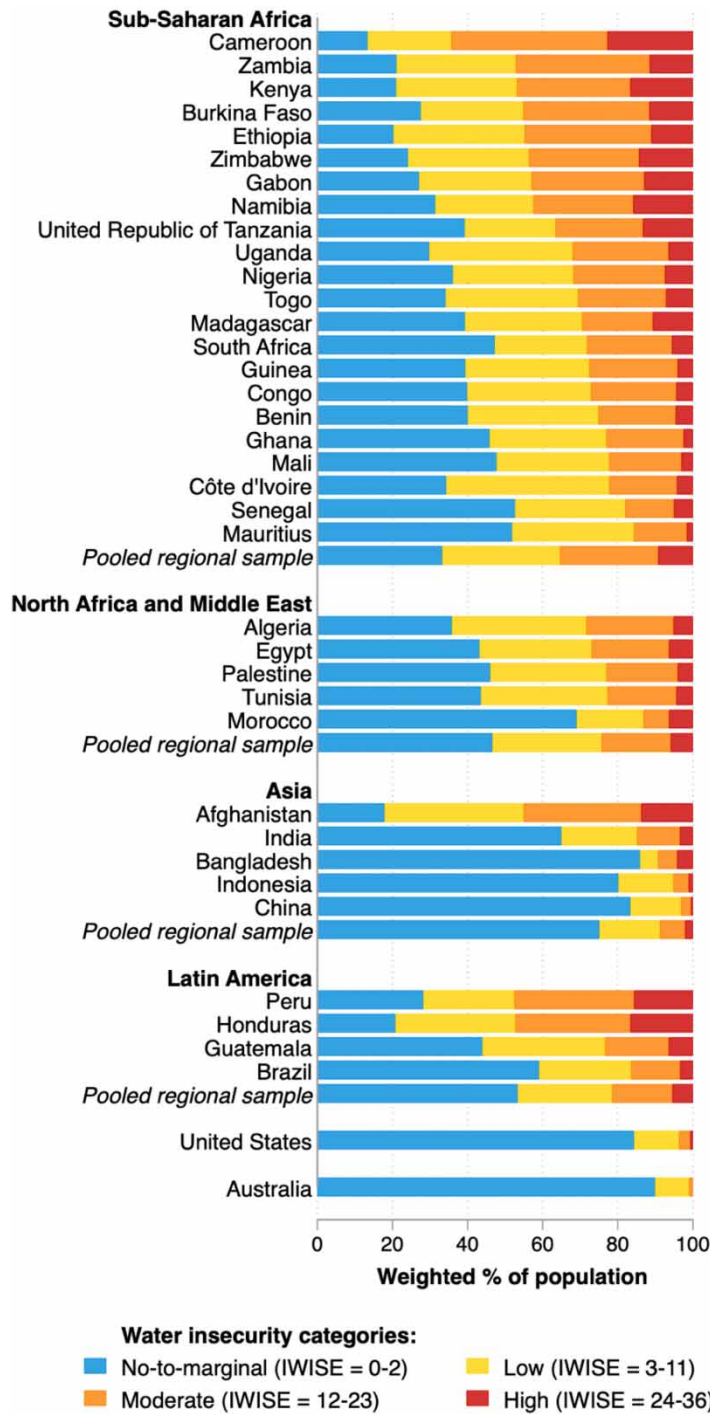


Figure 2 | Weighted prevalence of adults with IWISE scores in the 0–2, 3–11, 12–23, and 24–36 categories by country (in descending order by prevalence of IWISE scores ≥ 12) and region ($n = 38$ countries). Estimates obtained using projection weights so that they are representative of the average adult (≥ 15 years) population in 2020–2022.

indicators were useful comparators despite reflecting only part of the construct of water insecurity. Further research assessing the relationship of measures or indicators such as dissatisfaction with water quality or the JMP Service Ladder to WISE sub-constructs would be useful; initial work supports that WISE Scales capture additional sub-constructs of water insecurity (Miller *et al.* 2020).

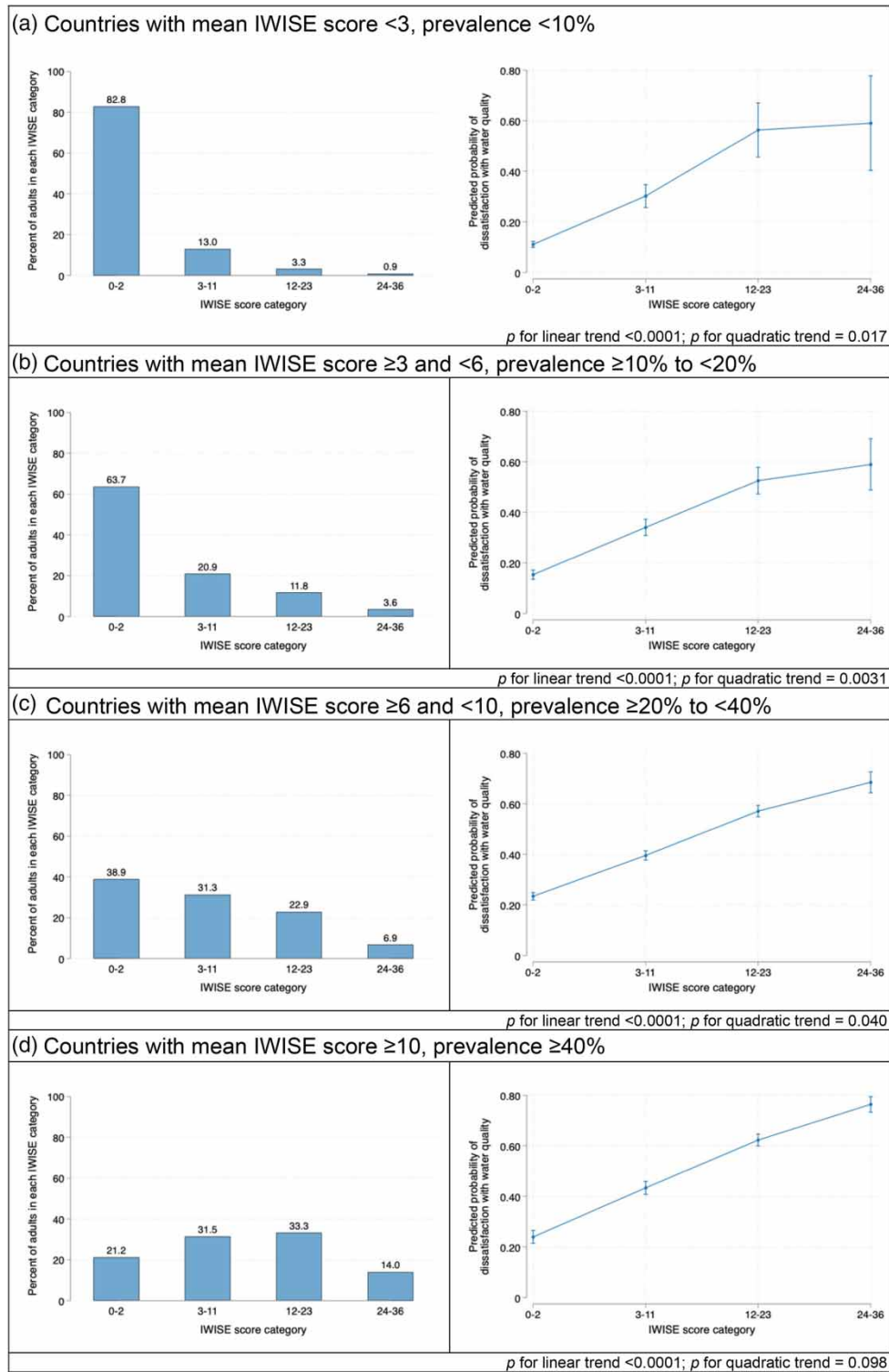


Figure 3 | Weighted distribution of individuals within each IWISE score category and predicted probability of water quality dissatisfaction in relationship to each IWISE score category for countries grouped in relation to mean IWISE scores and prevalence of IWISE score ≥ 12 . (a) Countries with a mean IWISE score <3 were Australia, the United States, China, Indonesia, and Bangladesh. (b) Countries with a mean IWISE score ≥ 3 and <6 were India, Morocco, Brazil, Mauritius, and Senegal. (c) Countries with a mean IWISE score ≥ 6 and <10 were Mali, Ghana, Palestine, Tunisia, Guatemala, Benin, South Africa, Guinea, Côte d’Ivoire, Egypt, Congo Brazzaville, Algeria, Nigeria, Togo, Uganda, Madagascar, and Tanzania. (d) Countries with a mean IWISE score ≥ 10 were Burkina Faso, Gabon, Namibia, Ethiopia, Zimbabwe, Peru, Zambia, Afghanistan, Honduras, Kenya, and Cameroon.

There is no definitive method to establish cut-points; multiple alternative methods can be used depending on the characteristics of a scale and the purpose for its use (Frongillo *et al.* 2004). One potential alternative method involves selecting items that reflect different sub-constructs of the main construct. Future research on water insecurity should explore the potential of developing categories based on such theoretical constructions, as has been proposed for assessing energy access (Bhatia & Angelou 2015).

In summary, the selected cut-points for the 12-item WISE Scales establish ordinal levels that meaningfully convey the range of water insecurity. These four ordinal categories will be useful for describing the burden of household and individual water insecurity within populations and across time, helping to advance our understanding of water insecurity and its consequences.

ACKNOWLEDGEMENTS

Household Water Insecurity Experiences Scales (HWISE)-Research Coordination Network (RCN) co-authors are as follows: Mallika Alexander, Johns Hopkins India Private Limited, Pune, India (mallika.alexander@yahoo.com). Genny Carrillo, Texas A&M University, College Station, TX, USA (gcarrillo@sph.tamhsc.edu). Kelly Chapman, Department of Anthropology, University of Florida, Gainesville, FL USA (kelly@soscianth.com). Stroma Cole, University of Westminster, London, UK (s.cole1@westminster.ac.uk). Shalean M. Collins, Tulane University School of Public Health & Tropical Medicine, New Orleans, LA, USA (scollin4@tulane.edu). Hassan Eini-Zinab, Shahid Beheshti University of Medical, Tehran, Iran (hassan.eini@gmail.com). Jam Farooq Ahmed, Department of Anthropology, The Islamia University of Bahawalpur, Pakistan (jamfarooq@hotmail.com). Luisa Figueroa, McGill University, Ste-Anne-de-Bellevue, Quebec, Canada (luisa.samayoa-figueroa@mail.mcgill.ca). Hala Ghattas, Arnold School of Public Health, University of South Carolina (hghattas@mailbox.sc.edu). Zeina Jamaluddine, London School of Tropical Medicine and Hygiene, London, UK (zeina.jamaluddine@lshtm.ac.uk). Wendy E. Jepson, Department of Geography, Texas A&M University, College Station, TX, USA (wjepson@tamu.edu). Divya Krishnakumar, Anode Governance Lab, Bengaluru, India (divyakk21@gmail.com). Kenneth Mapunda, Sokoine University of Agriculture, Morogoro, Tanzania, mapundakenneth@gmail.com. Milton Marin Morales, Universidad Autónoma del Beni José Ballivián, Bolivia (miltonmarinm@gmail.com). Jyoti Mathad, Weill Cornell Medicine, New York, NY, USA (jsm9009@med.cornell.edu). Hugo Melgar-Quiñonez, McGill University, Ste-Anne-de-Bellevue, Quebec, Canada (hugo.melgar-quinonez@mcgill.ca). Javier Moran, Autonomous University of Coahuila, Coahuila, Mexico (javiermoranmartinez@uadec.edu.mx). Nasrin Omidvar, Shahid Beheshti University of Medical, Tehran, Iran (omidvar.nasrin@gmail.com). Sabrina Rasheed, International Centre for Diarrhoeal Disease Research Bangladesh, Mohakhali, Dhaka 1212, Bangladesh (sabrina1@icddr.org). Asher Y. Rosinger, Department of Biobehavioral Health, Penn State University, University Park, PA, USA (axr579@psu.edu). Mahdieh Sheikhi, Zahedan University of Medical Sciences, Zahedan, Iran (msheykhi85@yahoo.com). Sonali Srivastava, Anode Governance Lab, Bengaluru, India (sonali@anodegovernancelab.in). Chad Staddon, Department of Geography and Environmental Management, University of the West of England, Bristol, UK (chad.staddon@uwe.ac.uk). Justin Stoler, Department of Geography and Sustainable Development, University of Miami, Coral Gables, FL, USA (stoler@miami.edu). Andrea (Sullivan) Lemaitre: Abess Center for Ecosystem Science and Policy, University of Miami, Coral Gables, FL, USA (aks136@miami.edu). Cassandra Workman, University of North Carolina at Greensboro, Greensboro, NC, USA (clworkma@uncg.edu).

FUNDING

Data collection was funded by a Competitive Research Grant to Develop Innovative Methods and Metrics for Agriculture and Nutrition Actions (IMMANA). IMMANA is led by the London School of Hygiene & Tropical Medicine (LSHTM) and cofunded by UK Foreign Commonwealth and Development Office (FCDO), grant number 300654 and by the Bill & Melinda Gates Foundation INV-002962/OPP1211308. The project was also supported by the Carnegie Corporation, the Institute for Policy Research, the Buffett Institute for Global Studies, and the Center for Water Research at Northwestern University; National Institutes of Health (NIH/NIMH K01 MH098902 and R21 MH108444); the Office of the Vice Provost for Research of the University of Miami; Lloyd's Register Foundation for Labuan Bajo; and College of Health and Human Development and Social Science Research Institute at Pennsylvania State University. The Household Water Insecurity Experiences Research Coordination Network (HWISE-RCN) is supported by National Science Foundation (NSF) #BCS-1759972. SLY was supported by a Leverhulme Trust Visiting Professorship.

DATA AVAILABILITY STATEMENT

Data cannot be made publicly available; readers should contact the corresponding author for details.

CONFLICT OF INTEREST

The authors declare there is no conflict.

REFERENCES

- Aihara, Y., Shrestha, S., Kazama, F. & Nishida, K. (2015) Validation of household water insecurity scale in urban Nepal, *Water Policy*, **17** (6), 1019–1032. <https://doi.org/10.2166/wp.2015.116>.
- Bethancourt, H. J., Swanson, Z. S., Nzunza, R., Young, S. L., Lomeiku, L., Douglass, M. J., Braun, D. R., Ndiema, E. K., Pontzer, H. & Rosinger, A. Y. (2022) The co-occurrence of water insecurity and food insecurity among Daasanach pastoralists in northern Kenya, *Public Health Nutrition*, **26** (5), 1–11. <https://doi.org/10.1017/S1368980022001689>.
- Bhatia, M. & Angelou, N. (2015) *Beyond Connections – Energy Access Redefined: Technical Report. Energy Sector Management Assistance Program (ESMAP)*. Washington, DC: World Bank Group. Available at: <http://documents.worldbank.org/curated/en/650971468180259602/Beyond-connections-energy-access-redefined-technical-report>.
- Cafiero, C., Viviani, S. & Nord, M. (2018) Food security measurement in a global context: The food insecurity experience scale, *Measurement*, **116**, 146–152. <https://doi.org/10.1016/j.measurement.2017.10.065>.
- Coates, J., Swindale, A. & Bilinsky, P. (2007) *Household Food Insecurity Access Scale (HFIAS) for Measurement of Food Access: Indicator Guide: Version 3*. FANTA. Available at: https://www.fantaproject.org/sites/default/files/resources/HFIAS_ENG_v3_Aug07.pdf.
- Damania, R., Desbureaux, S., Rodella, A.-S., Russ, J. & Zaveri, E. (2019) *Quality Unknown: The Invisible Water Crisis*. The World Bank. Available at: <https://doi.org/10.1596/978-1-4648-1459-4>.
- Ford, L. B., Bethancourt, H. J., Swanson, Z. S., Nzunza, R., Wutich, A., Brewis, A., Young, S., Almeida, D. M., Douglass, M., Ndiema, E. K., Braun, D. R., Pontzer, H. & Rosinger, A. Y. (2023) Water insecurity, water borrowing and psychosocial stress among Daasanach pastoralists in northern Kenya, *Water International*, **48** (1), 63–86. <https://doi.org/10.1080/02508060.2022.2138050>.
- Frongillo, E. A., Nanama, S. & Wolfe, W. S. (2004) *Technical Guide to Developing A Direct, Experience-Based Measurement Tool for Household Food Insecurity*. Washington DC: FANTA.
- Gallup Poll. (2020) *Worldwide Research Methodology and Codebook*. Gallup Inc.
- Gaynes, B. N., Asher, G., Gartlehner, G., Hoffman, V., Green, J., Boland, E., Lux, L., Weber, R. P., Randolph, C., Bann, C., Coker-Schwimmer, E., Viswanathan, M. & Lohr, K. N. (2018) *Definition of Treatment-Resistant Depression in the Medicare Population*. Rockville (MD): Agency for Healthcare Research and Quality (US). Available at: <http://www.ncbi.nlm.nih.gov/books/NBK526366/>.
- Jepson, W. (2014) Measuring ‘no-win’ waterscapes: Experience-based scales and classification approaches to assess household water security in colonias on the US–Mexico border, *Geoforum*, **51**, 107–120. <https://doi.org/10.1016/j.geoforum.2013.10.002>.
- Jepson, W. E., Wutich, A., Collins, S. M., Boateng, G. O. & Young, S. L. (2017) Progress in household water insecurity metrics: A cross-disciplinary approach, *WIREs Water*, **4** (3), e1214. <https://doi.org/10.1002/wat2.1214>.
- Jepson, W. E., Stoler, J., Baek, J., Martínez, J. M., Salas, F. J. U. & Carrillo, G. (2021) Cross-sectional study to measure household water insecurity and its health outcomes in urban Mexico, *BMJ Open*, **11** (3), e040825. <https://doi.org/10.1136/bmjopen-2020-040825>.
- Kulp, S. A. & Strauss, B. H. (2019) New elevation data triple estimates of global vulnerability to sea-level rise and coastal flooding, *Nature Communications*, **10** (1), 4844. <https://doi.org/10.1038/s41467-019-12808-z>.
- La Razón (2023) Nuevo León se adhiere a la Red Latinoamericana y del Caribe para la Inseguridad de Agua. Available at: <https://www.razon.com.mx/estados/nuevo-leon-adhiere-red-latinoamericana-caribe-inseguridad-agua-534842> (accessed 1 December 2023).
- Marlan, Z. & Kennedy, J. (2023) *After Five Years of Drinking Water Described as ‘Filth’, Change is Finally on the way for Walgett*. Australia Broadcast Corporation News.. Available at: <https://www.abc.net.au/news/2023-05-04/walgett-drinking-water-now-being-sourced-from-namoi-river/102301424>. (accessed 1 December 2023).
- Mekonnen, M. M. & Hoekstra, A. Y. (2016) Four billion people facing severe water scarcity, *Science Advances*, **2** (2), e1500323. <https://doi.org/10.1126/sciadv.1500323>.
- Melgar-Quiñonez, H., Gaitán-Rossi, P., Pérez-Escamilla, R., Shamah-Levy, T., Teruel-Belismelis, G. & Young, S. L. the Water Insecurity Experiences-Latin America, the Caribbean (WISE-LAC) Network, Ancira-Moreno, M., Barbosa-Gomes, A., Bethancourt, H., Brero, M., Burrola, S., Cantoral, A., Cárdenas-Quintana, H., Casas-Toledo, J., Del Castillo, S. E., Del Monte-Vega, M., Del Grossi, M., Dooley, C., Espinal-Gomez, O., Fajardo, G., Flores-Díaz, A., Frongillo, E. A., García, O., Garcia-Alberto, E., Girona, M., Godoy-Gabler, D., Hernández-Fernández, M., Hernandez-Licona, G., Hernandez-Cordero, S., Hernandez-Solano, A., Herrera-González, M. P., Lara-Mejia, V., Leyva-Parra, G., MacAlister, C., Martínez-Mendoza, É., Mejia, C., Miller, J., Monroy-Torres, R., Mundo-Rosas, V., Muñoz-Espinosa, A., Rodríguez, S. N.-G. Y., Neufeld, L., Nuñez, J., De Araújo, P. P., Rios-Castillo, I., Rodríguez-Abad, A., Salles-Costa, R., Serrano-Campos, D., Soloaga, I., Tapia-Hernandez, B., Valencia, J., Vilar-Compte, M. & Villagómez-Ornelas, P. (2023) A declaration on the value of experiential measures of food and water insecurity to improve science and policies in Latin America and the Caribbean, *International Journal for Equity in Health*, **22** (1), 184. <https://doi.org/10.1186/s12939-023-01956-w>.

- Miller, J. D., Vonk, J., Staddon, C. & Young, S. L. (2020) Is household water insecurity a link between water governance and well-being? A multi-site analysis, *Journal of Water, Sanitation and Hygiene for Development*, **10** (2), 320–334. <https://doi.org/10.2166/washdev.2020.165>.
- Miller, J. D., Young, S. L., Bryan, E. & Ringler, C. (2023) Water insecurity is associated with greater food insecurity and lower dietary diversity: Panel data from sub-Saharan Africa during the COVID-19 pandemic, *Food Security*. <https://doi.org/10.1007/s12571-023-01412-1>.
- Nature Editorial Team. (2023) Water crisis: How local technologies can help solve a global problem, *Nature*, **620** (7972), 7.
- Osorio, V. (2023) Resiente el 83% de hogares la escasez de agua. La Reforma. Available at: <https://www.reforma.com/resiente-el-83-de-hogares-la-escasez-de-agua/ar2636908> (accessed 1 December 2023).
- Pérez-Escamilla, R. (2012) Can experience-based household food security scales help improve food security governance?, *Global Food Security*, **1** (2), 120–125. <https://doi.org/10.1016/j.gfs.2012.10.006>.
- Pérez-Escamilla, R., Vilar-Compte, M. & Gaitan-Rossi, P. (2020) Why identifying households by degree of food insecurity matters for policymaking, *Global Food Security*, **26**, 100459. <https://doi.org/10.1016/j.gfs.2020.100459>.
- Rabbitt, M. P., Hales, L. J. & Coleman-Jensen, A. (2023) Household Food Security in the United States in 2022. Available at: <https://www.ers.usda.gov/publications/pub-details/?pubid=107702>.
- Rosinger, A. Y., Bethancourt, H. J., Young, S. L. & Schultz, A. F. (2021) The embodiment of water insecurity: Injuries and chronic stress in lowland Bolivia, *Social Science & Medicine*, **291**, 114490. <https://doi.org/10.1016/j.socscimed.2021.114490>.
- Shamah-Levy, T., Mundo-Rosas, V., Muñoz-Espinosa, A., Gómez-Humarán, I. M., Pérez-Escamilla, R., Melgar-Quiñones, H., Frongillo, E. A. & Young, S. L. (2023) Viabilidad de una escala de experiencias de inseguridad del agua en hogares mexicanos, *Salud Pública de México*, **65** (3, may-jun), 219–226. <https://doi.org/10.21149/14424>.
- Stevenson, E. G. J., Greene, L. E., Maes, K. C., Ambelu, A., Tesfaye, Y. A., Rheingans, R. & Hadley, C. (2012) Water insecurity in 3 dimensions: An anthropological perspective on water and women's psychosocial distress in Ethiopia, *Social Science & Medicine* (1982), **75** (2), 392–400. <https://doi.org/10.1016/j.socscimed.2012.03.022>.
- Tsai, A. C., Kakuhikire, B., Mushavi, R., Vořechovská, D., Perkins, J. M., McDonough, A. Q. & Bangsberg, D. R. (2016) Population-based study of intra-household gender differences in water insecurity: Reliability and validity of a survey instrument for use in rural Uganda, *Journal of Water and Health*, **14** (2), 280–292. <https://doi.org/10.2166/wh.2015.165>.
- UNICEF, JMP, WHO. (2023) *Progress on Household Drinking Water, Sanitation and Hygiene 2000–2022: Special Focus on Gender*. New York. Available at: <https://data.unicef.org/resources/jmp-report-2023/>.
- World Bank. (n.d.) Population estimates and projections | DataBank. Available at: <https://databank.worldbank.org/source/population-estimates-and-projections#> (accessed 30 November 2023).
- Wutich, A. (2009) Intra-household disparities in women and men's experiences of water insecurity and emotional distress in urban Bolivia, *Medical Anthropology Quarterly*, **23** (4), 436–454.
- Wutich, A., Budds, J., Eichelberger, L., Geere, J., Harris, L. M., Horney, J. A., Jepson, W., Norman, E., O'Reilly, K., Pearson, A. L., Shah, S. H., Shinn, J., Simpson, K., Staddon, C., Stoler, J., Teodoro, M. P. & Young, S. L. (2017) Advancing methods for research on household water insecurity: Studying entitlements and capabilities, socio-cultural dynamics, and political processes, institutions and governance, *Water Security*, **2**, 1–10. <https://doi.org/10.1016/j.wasec.2017.09.001>.
- Young, S. L., Boateng, G. O., Jamaluddine, Z., Miller, J. D., Frongillo, E. A., Neilands, T. B., Collins, S. M., Wutich, A., Jepson, W. E. & Stoler, J. (2019a) The Household Water InSecurity Experiences (HWISE) Scale: Development and validation of a household water insecurity measure for low-income and middle-income countries, *BMJ Global Health*, **4** (5), e001750. <https://doi.org/10.1136/bmjgh-2019-001750>.
- Young, S. L., Collins, S. M., Boateng, G. O., Neilands, T. B., Jamaluddine, Z., Miller, J. D., Brewis, A. A., Frongillo, E. A., Jepson, W. E., Melgar-Quiñonez, H., Schuster, R. C., Stoler, J. B. & Wutich, A., HWISE Research Coordination Network. (2019b) Development and validation protocol for an instrument to measure household water insecurity across cultures and ecologies: The Household Water InSecurity Experiences (HWISE) Scale, *BMJ Open*, **9** (1), e023558. <https://doi.org/10.1136/bmjopen-2018-023558>.
- Young, S. L., Bethancourt, H. J., Ritter, Z. R. & Frongillo, E. A. (2021) The Individual Water Insecurity Experiences Scales (IWISE) Scale: Reliability, equivalence and validity of an individual-level measure of water security, *BMJ Global Health*, **6** (10), e006460. <https://doi.org/10.1136/bmjgh-2021-006460>.
- Young, S. L., Bethancourt, H. J., Ritter, Z. R. & Frongillo, E. A. (2022) Estimating national, demographic, and socioeconomic disparities in water insecurity experiences in low-income and middle-income countries in 2020–21: A cross-sectional, observational study using nationally representative survey data, *The Lancet Planetary Health*, **6** (11), e880–e891. [https://doi.org/10.1016/S2542-5196\(22\)00241-8](https://doi.org/10.1016/S2542-5196(22)00241-8).
- Young, S. L., Bethancourt, H. J., Frongillo, E. A., Viviani, S. & Cafiero, C. 2023 Concurrence of water and food insecurities, 25 low- and middle-income countries, *Bulletin of the World Health Organization*, **101** (2), 90–101. <https://doi.org/10.2471/BLT.22.288771>.

First received 6 February 2024; accepted in revised form 14 August 2024. Available online 27 September 2024