Numerical analysis of the hydraulic transient process of the water delivery system of cascade pump stations
Long-bin Lu, Yu Tian, Xiao-hui Lei, Hao Wang, Tao Qin and Zhao Zhang

ABSTRACT
The aim of this study is to create a hydraulic numerical model to analyze the hydraulic transient process associated with the water delivery system of the cascade pumping stations of the Miyun Reservoir Regulation and Storage Project. Based on the characteristics of the project, which include open channels, co-dependent structures and control structures, a mathematical model of the corresponding inner boundary was established. The numerical model of transient flow was developed by combining computer simulation techniques with the one-dimensional Saint-Venant equations. This approach is suitable for the water delivery system of cascade pumping stations. This model was subsequently applied in the study area. The numerical simulation results show that the model of the hydraulic transient process yielded relatively high precision (error of less than 2 cm). The model was used to perform numerical simulations of flow regulation and emergency conditions in the water conveyance system. The study provides a practical numerical method for precisely evaluating the hydraulic transient process for the water delivery system of cascade pumping stations, basic data for the operation and optimization of the water conveyance system, and a reference for the safe operation of the Miyun Reservoir Storage Project, as well as efficient water delivery.

Key words | hydraulic numerical model, hydraulic response, Miyun Reservoir Storage Project, numerical simulation, Saint-Venant equation

INTRODUCTION
Water resources in China are unevenly distributed temporally and spatially, and water shortages occur in some areas (Chen et al. 2013). To effectively alleviate and solve regional issues associated with water resource shortages and allocation, the construction and scientific scheduling of trans-basin pumping stations are becoming increasingly important (Menke et al. 2016). In recent years, a number of large-scale, inter-basin, trans-regional, long-distance water conveyance projects involving cascading pump stations have been built in China. Such projects include the Jiaodong region Yellow River water diversion project in Shandong province, the Dong Shen water supply project in Guangzhou province, the Luanhe-Tianjin water diversion project in Tianjin municipality, the Yellow River diversion project in Shaanxi, phase 1 of the east route of the South-to-North Water Diversion Project, the middle route of the South-to-North Water Transfer Project, etc. (Wang et al. 2009; Guo et al. 2012). Some of the water diversion projects are characterized by large distances and complex terrain. These projects require high lifting head, a single pump station cannot meet the requirement (Sang 2012). Therefore, multi-phase, hierarchical pump station models must be developed considering the terrain and surroundings, such as those created in water conveyance projects involving cascade pumping stations (Yang 2016). Under certain operating conditions, such as shutdown, flow control and emergency
situations, the original state of the system is disrupted, which results in an unbalanced and unsteady system state. The transition process makes parameters such as water level, velocity and discharge change considerably and can lead to a series of serious consequences (Chang et al. 2011; Li et al. 2011; Zhang & Zhang 2011; Zhang et al. 2014; Pudeh et al. 2016).

A variety of studies have been conducted regarding the simulation of hydraulic transients (unsteady flow) in water delivery systems, including theoretical analysis (Strelkoff & Clemmens 1998), prototype observations (Devries et al. 2014), physical modeling (Ghumman et al. 2012), and numerical simulation (Zhang et al. 2013). With the rapid development of computer simulation techniques, numerical simulation has become commonly used to study hydraulic transient processes in water conveyance systems. Various existing numerical models, such as the Unsteady State Model (USM), CARIMA model, Canal model, Duflow model and Modis model, all provide good stability and simulation of hydraulic transients (unsteady flow) numerically. However, different irrigation channels have different requirements in the models, and various existing models are not universal (Burt & Gartrell 1993). Clemmens et al. (2005) performed unsteady flow simulations using three commercial software packages, CanalCAD, Mike11 and Sobek, and noted that the softwares use implicit difference schemes to solve the control equations and simulate channel flow processes. This approach is characterized by high stability and precision. However, these three softwares do not include calculations for inverted siphons, aqueducts and other structures. Clemmens (2012) made a discussion about the existing canal control methods, and a new water-level control technique on the basis of water-level differences between adjacent pools was tested through simulation studies. The method is effective in controlling canal water levels when supply and demand do not match. Zhang et al. (2015) developed a one-dimensional unsteady numerical model of open channel by finite difference method. This model can deal with difficult inner boundaries such as gates, inverted siphons, diversion of flow, and flumes. Han et al. (2010) consider adopting different operating modes, as well as different gate regulation modes etc., conducting the canal unsteady flow numerical simulation with the rectangular grid characteristic method in the trapezoidal cross-section under the different gate controls. Zhao & Xu (2012) adopted a model to simulate flow in an irrigation canal and established a correlation between scattered, real-time monitoring data by superposing the ‘interference flow’ and input variables of the system. Gooch & Keith (2015) made a description of the USM model developed by the US Bureau of Reclamation. The field application of Staggered Net Unsteady State Model (SNUSM) has resulted in a greater degree of refinement and robustness than most generic canal unsteady state models. Bolea et al. (2014) developed a linear parameter-varying (LPV) model for open flow canals by means of a gray-box approach: the structure of an integral delay zero (IDZ) model is selected to obtain an LPV control model. The obtained LPV model has been tested both in a single pool and two-pool test bench canal proposed as case studies, obtaining satisfactory results in all the cases. Liu et al. (2013) developed a mathematical model of a canal system operated by the constant downstream depth method based on open canal hydraulics, and the results showed that this model can achieve the expected goals. Serede et al. (2014) studied the hydraulic characteristics of an irrigation system by using the HEC-RAS model. Due to its minimal estimation errors, the HEC-RAS model would be appropriate for evaluation of canal hydraulics steady state conditions to improve on scheme performance. Li et al. (2015) simulated and analyzed the canal flow and gate opening characteristics with the theory of unsteady flow and automation under different conditions. By comparison, the simulated hydraulic response characteristics at canal sections were consistent with the measured ones, and the error of canal water depth was within ±10 cm.

In summary, this study aims to develop a numerical calculation model of transient flow that is suitable for a water delivery system based on cascade pumping stations. The model must be efficient, fast, flexible, convenient and widely applicable. The model was developed by combining computer simulation techniques and the one-dimensional Saint-Venant equations, and the suitability of the model was verified by numerical simulation of the hydraulic transient process of a water delivery system based on cascade pumping stations in the Miyun Reservoir Storage Project. The model was used to perform numerical simulations based on standard flow regulation conditions and the emergency scenarios. Additionally, the variations in hydraulic parameters such as the water
level, flow rate and flow velocity were predicted. This study not only provides the basic data for further operation and optimization of the water conveyance system but also provides a reference for safe operation of the Miyun Reservoir Storage Project, as well as efficient water delivery.

**MATERIALS AND METHODS**

**Study area**

The study area encompasses the water diverted from the South-to-North Water Transfer Project into the Miyun Reservoir Regulation and Storage Project, which spans Haidian, Changping, Huairou and Miyun counties. This area has a mid-latitude, warm, temperate continental monsoon climate and four distinct seasons. The climate is rainless and windy in spring, hot and rainy in summer, sunny and cool in autumn and cold and dry in winter. The project receives water from the Lake City Mission regulation pool of the Summer Palace and transfers water to Huairou Reservoir through the original Miyun-Beijing canal. The bottom of the channel is lined with prefabricated board, and the channel slope is complex. Along the channels, six lifting pump stations were built to increase the volume of water that can be lifted into Huairou Reservoir, with a transfer rate of 20 m³/s (Water Conservancy Planning Design and Research Institute of Beijing 2015). The structures in the project are complex, interrelated and influenced by many factors. The location and layout of the project are shown in Figure 1.

**Related control parameters**

The operating water level for two operating units is the same as that for three operating units for the first six pump stations. The relevant design information for the first six pumping stations is shown in Table 1. Additionally, Table 2 shows the actual operating water level control parameters before and after the pumping stations at all levels.

**MODEL DEVELOPMENT**

**Setup of the open-channel transient flow algorithm based on the one-dimensional Saint-Venant equations**

The basic problem associated with non-constant gradient flow is determining the variations in hydraulic elements (such as the discharge $Q$, flow velocity $v$, water level $z$ or water depth $h$) along the flow track $s$ over time $t$. The most commonly used to describe unsteady gradient flows are the partial differential equations of unsteady flow,
which is referred to as the Saint-Venant equations (Kilic & Anac 2010; Kannan et al. 2011).

Continuous equation

$$\frac{\partial A}{\partial t} + \frac{\partial Q}{\partial x} = q$$

(1)

Momentum equation

$$\frac{\partial}{\partial t} \left( \frac{Q}{A} \right) + \frac{\partial}{\partial x} \left( \alpha \frac{Q^2}{2A^2} \right) + g \frac{\partial Z}{\partial x} + g(S_f - S_0) = 0$$

(2)

where $Z$ is the water level (m), $Q$ is the flow capacity ($\text{m}^3 \text{s}^{-1}$), $A$ is the cross-sectional area ($\text{m}^2$), $g$ is the acceleration of gravity ($\text{m s}^{-2}$), $x$ is the length in the flow direction (m), $t$ is the calculation time (s), $q$ is the lateral inflow or outflow capacity of a unit length of channel ($\text{m}^2 \text{s}^{-1}$), $\alpha$ is the correction coefficient of momentum, $S_f$ is the friction gradient, and $S_0$ is the bottom slope of the canal.

The hydraulic gradient can be calculated according to the flow modulus:

Table 1 | Pump station design information

<table>
<thead>
<tr>
<th>Parameter name</th>
<th>Tundian (4 with 1 standby)</th>
<th>Qianliulin (4 with 1 standby)</th>
<th>Niantou (4 with 1 standby)</th>
<th>Xingshou (4 with 1 standby)</th>
<th>Lishishan (4 with 1 standby)</th>
<th>Xitaishang (4 with 1 standby)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Net lift $H_{\text{max}}$ (m)</td>
<td>1.50</td>
<td>2.20</td>
<td>2.45</td>
<td>2.21</td>
<td>2.04</td>
<td>8.18</td>
</tr>
<tr>
<td>$H_d$ (m)</td>
<td>1.08</td>
<td>1.60</td>
<td>2.12</td>
<td>1.97</td>
<td>1.59</td>
<td>6.18</td>
</tr>
<tr>
<td>$H_{\text{min}}$ (m)</td>
<td>0.11</td>
<td>1.27</td>
<td>2.06</td>
<td>1.82</td>
<td>1.29</td>
<td>4.31</td>
</tr>
<tr>
<td>Pump Impeller diameter (mm)</td>
<td>1,460</td>
<td>1,460</td>
<td>1,460</td>
<td>1,460</td>
<td>1,460</td>
<td>1,460</td>
</tr>
<tr>
<td>Adjustment method</td>
<td>Blade adjusting</td>
<td>Blade adjusting</td>
<td>Blade adjusting</td>
<td>Blade adjusting</td>
<td>Blade adjusting</td>
<td>Blade adjusting</td>
</tr>
<tr>
<td>Designed net lift (m)</td>
<td>1.08</td>
<td>1.60</td>
<td>2.12</td>
<td>1.97</td>
<td>1.59</td>
<td>6.18</td>
</tr>
<tr>
<td>Unit discharge ($\text{m}^3/\text{s}$)</td>
<td>6.67</td>
<td>6.67</td>
<td>6.67</td>
<td>6.67</td>
<td>6.67</td>
<td>6.67</td>
</tr>
<tr>
<td>Pump style</td>
<td>Vertical axial flow</td>
<td>Vertical axial flow</td>
<td>Vertical axial flow</td>
<td>Vertical axial flow</td>
<td>Vertical axial flow</td>
<td>Vertical mixed flow</td>
</tr>
<tr>
<td>Motor Match power (kW)</td>
<td>315</td>
<td>355</td>
<td>400</td>
<td>355</td>
<td>355</td>
<td>1,000</td>
</tr>
<tr>
<td>Rev (r/min)</td>
<td>245</td>
<td>245</td>
<td>245</td>
<td>245</td>
<td>245</td>
<td>290</td>
</tr>
<tr>
<td>Working voltage (kV)</td>
<td>10</td>
<td>10</td>
<td>10</td>
<td>10</td>
<td>10</td>
<td>10</td>
</tr>
</tbody>
</table>

Table 2 | Water level control parameters of the pumping stations based on the actual runtime

<table>
<thead>
<tr>
<th>Pump station name</th>
<th>Tundian</th>
<th>Qianliulin</th>
<th>Niantou</th>
<th>Xingshou</th>
<th>Lishishan</th>
<th>Xitaishang</th>
</tr>
</thead>
<tbody>
<tr>
<td>One unit operating ($Q = 6.67 \text{ m}^3/\text{s}$)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Forebay (m)</td>
<td>Min.</td>
<td>48.38</td>
<td>48.60</td>
<td>49.97</td>
<td>51.28</td>
<td>52.23</td>
</tr>
<tr>
<td></td>
<td>Norm.</td>
<td>48.60</td>
<td>49.16</td>
<td>50.04</td>
<td>51.35</td>
<td>52.28</td>
</tr>
<tr>
<td></td>
<td>Max.</td>
<td>49.26</td>
<td>49.30</td>
<td>50.12</td>
<td>51.44</td>
<td>52.33</td>
</tr>
<tr>
<td>Outlet sump (m)</td>
<td>Min.</td>
<td>49.28</td>
<td>50.31</td>
<td>51.48</td>
<td>52.30</td>
<td>53.00</td>
</tr>
<tr>
<td></td>
<td>Norm.</td>
<td>49.53</td>
<td>50.38</td>
<td>51.55</td>
<td>52.35</td>
<td>53.10</td>
</tr>
<tr>
<td></td>
<td>Max.</td>
<td>49.69</td>
<td>50.46</td>
<td>51.64</td>
<td>52.40</td>
<td>53.15</td>
</tr>
<tr>
<td>Two units operating ($Q = 13.4 \text{ m}^3/\text{s}$)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Forebay (m)</td>
<td>Min.</td>
<td>48.38</td>
<td>48.60</td>
<td>49.64</td>
<td>51.02</td>
<td>52.00</td>
</tr>
<tr>
<td></td>
<td>Norm.</td>
<td>48.60</td>
<td>49.16</td>
<td>49.71</td>
<td>51.09</td>
<td>52.05</td>
</tr>
<tr>
<td></td>
<td>Max.</td>
<td>49.26</td>
<td>49.30</td>
<td>49.79</td>
<td>51.18</td>
<td>52.10</td>
</tr>
<tr>
<td>Outlet sump (m)</td>
<td>Min.</td>
<td>49.28</td>
<td>50.31</td>
<td>51.48</td>
<td>52.30</td>
<td>53.00</td>
</tr>
<tr>
<td></td>
<td>Norm.</td>
<td>49.53</td>
<td>50.38</td>
<td>51.55</td>
<td>52.35</td>
<td>53.10</td>
</tr>
<tr>
<td></td>
<td>Max.</td>
<td>49.69</td>
<td>50.46</td>
<td>51.64</td>
<td>52.40</td>
<td>53.15</td>
</tr>
<tr>
<td>Three units operating ($Q = 20 \text{ m}^3/\text{s}$)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Forebay (m)</td>
<td>Min.</td>
<td>48.38</td>
<td>48.60</td>
<td>49.42</td>
<td>50.53</td>
<td>51.30</td>
</tr>
<tr>
<td></td>
<td>Norm.</td>
<td>48.60</td>
<td>49.16</td>
<td>49.62</td>
<td>50.73</td>
<td>51.50</td>
</tr>
<tr>
<td></td>
<td>Max.</td>
<td>49.26</td>
<td>49.39</td>
<td>49.72</td>
<td>50.83</td>
<td>51.60</td>
</tr>
<tr>
<td>Outlet sump (m)</td>
<td>Min.</td>
<td>49.37</td>
<td>50.66</td>
<td>51.78</td>
<td>52.65</td>
<td>52.89</td>
</tr>
<tr>
<td></td>
<td>Norm.</td>
<td>49.68</td>
<td>50.76</td>
<td>51.83</td>
<td>52.70</td>
<td>53.09</td>
</tr>
<tr>
<td></td>
<td>Max.</td>
<td>49.88</td>
<td>50.80</td>
<td>51.87</td>
<td>52.74</td>
<td>53.34</td>
</tr>
</tbody>
</table>
\[ S_f = \frac{Q|Q|}{K^2} \]  
\[ \text{where } K \text{ is the modulus of flow (m}^3 \text{s}^{-1}). \]

**Difference scheme and numerical method**

Two commonly used modeling methods are the characteristic line method and the finite difference method, and the validity and accuracy of numerical simulations mainly depends on the meshing approach, the interpolation function of the discrete equation, the initial and boundary conditions and so on. The characteristic line method has a simple and intuitive solution that is physically representative. However, the method has various limitations, such as requiring an irregular grid, producing a low computing efficiency, and requiring interpolation because the calculation position deviates from the calculation grid (Clemmens et al. 2005; Devi 2014). Therefore, the Preissmann four-point eccentric lattice difference method, which is a stable, precise and simple method widely used in finite difference schemes, is adopted in this study.

As shown in Figure 2, the value of the differential sum function of mesh eccentricity \( M \) at point \( M \) is as follows:

\[
f = \theta f_U + (1 - \theta) f_D
= \theta \left[ \psi f_{j+1}^{n+1} + (1 - \psi) f_{j}^{n+1} \right] + (1 - \theta) \left[ \psi f_{j+1}^{n} + (1 - \psi) f_{j}^{n} \right]
\]  

![Figure 2](image-link)  
**Figure 2** | Schematic diagram of the four-point, time-based eccentric format.

The discretization scheme of the equations over time is given by formula (5).

\[
\frac{\partial f}{\partial t} = \frac{f_U - f_D}{\Delta M} = \psi \frac{f_{j+1}^{n+1} - f_{j}^{n+1}}{\Delta M} + (1 - \psi) \frac{f_{j+1}^{n} - f_{j}^{n}}{\Delta M}
\]

The discretization scheme of the continuum equation in space is given by formula (6).

\[
\frac{\partial f}{\partial x} = \frac{f_{j+1}^{n+1} - f_{j}^{n+1}}{\Delta x} + (1 - \theta) \frac{f_{j+1}^{n} - f_{j}^{n}}{\Delta x}
\]

Additionally, the discretization scheme of the momentum equation in space is as follows:

\[
\frac{\partial f}{\partial x} = \phi \frac{f_{j+1}^{n+1} - f_{j}^{n+1}}{\Delta x} + (1 - \phi) \frac{f_{j+1}^{n} - f_{j}^{n}}{\Delta x}
\]

where \( \Delta x \) is the spatial step, \( \Delta t \) is the time step, \( f \) is the value at eccentric point \( M \), \( j \) is the node number of the canal (from 1 to \( j \) for \( j - 1 \) canals), \( n \) is the number of time steps, \( \theta \) is the weighted coefficient of time (0 \( \leq \theta \leq 1.0 \)), \( \psi \) is the weighted coefficient of space (0 \( \leq \psi \leq 1.0 \)), \( \phi \) is the weighted coefficient of time discretization in the continuum equation and \( \varphi \) is the weighted coefficient of the hydraulic gradient in space.

Considering the stability conditions of the Preissmann four-point space-time eccentric scheme, formula (5) is used to discretize time in the continuum and momentum equations, formula (6) is used to discretize space in the continuum equation and formulas (7) and (8) are used to discretize space in the momentum equation.

The updated continuum equation is given in formula (9).

\[
\frac{\psi}{\Delta M} \left( A_{j+1}^{n+1} - A_{j+1}^{n} \right) + \frac{1 - \psi}{\Delta M} \left( A_{j+1}^{n+1} - A_{j}^{n} \right) + \frac{\theta}{\Delta x} \left( Q_{j+1}^{n+1} - Q_{j}^{n+1} \right)
+ \frac{1 - \theta}{\Delta x} \left( Q_{j+1}^{n} - Q_{j}^{n} \right) - \theta \left[ \psi q_{j+1}^{n+1} + (1 - \psi) q_{j}^{n+1} \right]
- (1 - \theta) \left[ \psi q_{j+1}^{n} + (1 - \psi) q_{j}^{n} \right] = 0
\]
Additionally, the momentum equation is shown in formula (10).

\[
\begin{align*}
\frac{\psi}{\Delta t} \left( Q_{j+1}^{n+1} - Q_j^n \right) &+ \frac{1 - \psi}{\Delta t} \left( Q_{j+1}^{n+1} - Q_j^n \right) \\
+ \phi & \left( \frac{1}{2} \left( \frac{Q_{j+1}^{n+1} - Q_j^n}{A_{j+1}^{n+1} - A_j^n} \right)^2 - \frac{1}{2} \left( \frac{\beta_j Q_j^n}{A_j^n} \right)^2 \right) \\
+ \frac{1 - \phi}{\Delta x} \left( \frac{\beta_{j+1} Q_{j+1}^{n+1}}{A_{j+1}^{n+1}} - \frac{\beta_j Q_j^n}{A_j^n} \right)^2 \\
+ \frac{\phi g}{\Delta x} (y_{j+1}^{n+1} - y_j^n) + (1 - \phi) g (y_{j+1}^{n+1} - y_j^n) \\
+ (1 - \phi) \left[ \frac{\Delta x}{A_{j+1}^{n+1}} + (1 - \psi) \frac{\Delta x}{A_j^n} \right] & = 0
\end{align*}
\]

(10)

It is necessary to apply the loop iteration method to the continuum and momentum equations after discretization because the equations are non-linear. In this paper, the discrete equations are linearized by solving for \(\Delta Q\) and \(\Delta h\). In the cyclic solution process, the current value is calculated using the following equations: \(h = h^* + \Delta h\) and \(Q = Q^* + \Delta Q\), where \(h^*\) and \(Q^*\) are the values of \(Q\) and \(h\), respectively, in the previous loop.

By simplifying the linearized continuum equation, the following formula is obtained:

\[
a_i \Delta h_j + b_i \Delta Q_j + c_i \Delta h_{j+1} + d_i \Delta Q_{j+1} = p_j
\]

(11)

where

\[
a_{ij} = \frac{(1 - \psi) B_i^*}{\Delta t}, b_{ij} = -\frac{\theta}{\Delta x} B_j^* r_i, c_{ij} = \frac{\psi B_j^{n+1}}{\Delta t}, d_{ij} = \frac{\theta}{\Delta x}
\]

and

\[
e_{ij} = -\frac{\psi}{\Delta t} (A_{j+1}^n - A_j^n) - \frac{1 - \psi}{\Delta t} (A_j^n - A_j^n) \\
- \frac{\theta}{\Delta x} (Q_{j+1}^n - Q_j^n) - \frac{1 - \theta}{\Delta x} (Q_j^n - Q_j^n) \\
+ \theta \left[ \psi q_{j+1}^{n+1} + (1 - \psi) q_{j+1}^n \right] + (1 - \theta) \left[ \psi q_j^n + (1 - \psi) q_j^n \right].
\]

By simplifying the linearized momentum equation, the following formula is obtained:

\[
e_{j+1} \Delta h_j + a_{j+1} \Delta Q_j + b_{j+1} \Delta h_{j+1} + c_{j+1} \Delta Q_{j+1} = p_{j+1}
\]

(12)

where

\[
a_{2j} = \frac{(1 - \psi) Q_j^n B_j^*}{\Delta t (A_j^n)^2} + \frac{\phi (Q_j^n)^2 B_1^*}{\Delta x (A_j^n)^2} - \frac{\phi}{\Delta x} \left[ \frac{\Delta x}{K_j^n} \left( \frac{\partial K_j^n}{\partial h_j} \right)^* \right] \\
b_{2j} = \frac{1 - \psi}{\Delta t (A_j^n)^2} + 2 \phi (1 - \psi) \frac{|Q_j^n|}{(K_j^n)^2},
\]

\[
c_{2j} = -\frac{\psi Q_{j+1}^n B_{j+1}^*}{\Delta t (A_{j+1}^n)^2} + \frac{\phi (Q_{j+1}^n)^2 B_{j+1}^*}{\Delta x (A_{j+1}^n)^2} + \frac{\phi}{\Delta x} \left[ \frac{\Delta x}{K_{j+1}^n} \left( \frac{\partial K_{j+1}^n}{\partial h_{j+1}} \right) \right],
\]

\[
d_{2j} = \frac{\psi}{\Delta t (A_{j+1}^n)^2} + \frac{\phi Q_{j+1}^n B_{j+1}^*}{\Delta x (A_{j+1}^n)^2} + 2 \phi (1 - \psi) \frac{|Q_{j+1}^n|}{(K_{j+1}^n)^2}
\]

and

\[
e_{2j} = \frac{\psi}{\Delta t} \left( \frac{Q_{j+1}^n}{A_{j+1}^n} - \frac{Q_j^n}{A_j^n} \right) - \frac{1 - \psi}{\Delta t} \left( \frac{Q_j^n}{A_j^n} - \frac{Q_j^n}{A_j^n} \right) \\
- \frac{\alpha \phi}{2 \Delta x} \left( \frac{Q_{j+1}^n}{A_{j+1}^n} - \frac{Q_j^n}{A_j^n} \right)^2 - \frac{\alpha (1 - \phi)}{2 \Delta x} \left( \frac{Q_{j+1}^n}{A_{j+1}^n} - \frac{Q_j^n}{A_j^n} \right)^2 \\
- g \left( 1 - \phi \right) \left( h_{j+1}^n - h_j^n \right) - g \phi \left[ \phi S_j^n + (1 - \psi) S_{j+1}^n \right] \\
- g \left( 1 - \phi \right) \left[ \phi S_{j+1}^n + (1 - \psi) S_{j+1}^n \right] + g S_0.
\]

By performing differencing techniques and linearizing the Saint-Venant equations using the above difference scheme, two linear forms of formulas (11) and (12) are obtained. The canal is divided into \(m\) small canal units in \(m\) sections and \(2(m-1)\) equations with \(2m\) variants. Additionally, \(2m\) equations are coupled with upstream and downstream boundary conditions. Thus, closed algebraic equations are formed, and they can be solved using an
efficient double sweep algorithm. The matrix form of the closed algebraic equations is as follows:

$$AX = D$$

where matrices $A$, $X$ and $D$ are

$$A = \begin{pmatrix}
    a_1 & b_1 & & & & \\
    a_2 & b_2 & c_2 & d_2 & & \\
    a_3 & b_3 & c_3 & d_3 & & \\
    & & a_4 & b_4 & c_4 & d_4 \\
    & & a_5 & b_5 & c_5 & d_5 \\
    & & & & & \\
    & & a_{2m-2} & b_{2m-2} & c_{2m-2} & d_{2m-2} \\
    & & a_{2m-1} & b_{2m-1} & c_{2m-1} & d_{2m-1} \\
    & & & & a_{2m} & b_{2m}
\end{pmatrix},$$

$$X = \begin{pmatrix}
    \Delta h_1 \\
    \Delta Q_1 \\
    \Delta h_2 \\
    \Delta Q_2 \\
    \Delta h_3 \\
    \vdots \\
    \Delta Q_{m-1} \\
    \Delta h_m \\
    \Delta Q_m
\end{pmatrix},$$

and

$$D = \begin{pmatrix}
    e_1 \\
    e_2 \\
    e_3 \\
    e_4 \\
    e_5 \\
    \vdots \\
    e_{2m-2} \\
    e_{2m-1} \\
    e_{2m}
\end{pmatrix},$$

respectively.

**Boundary condition generalization**

Generally, a water delivery system based on cascade pumping stations can be considered a series of hydraulic structures with the system-based boundary conditions at the outer and inner boundaries. The outer boundary condition includes the water level ($Z = f(t)$), flow capacity ($Q = f(t)$), relationship between the water level and discharge ($Q = f(Z)$) and so on. The inner boundaries are based on discontinuous geometric points and the hydraulic characteristics of the channel (or canal). In this study, the discontinuous points include the transition section, areas of inverted siphon flow, pumping stations and other co-dependent structures for which the Saint-Venant equations are not suitable. Combined with the characteristics of open-channel transient flow, the channel module, transition section module, inverted siphon module, pump station module and other modules are developed. The topological relationships between modules are established to facilitate coupling with the control equations and to provide a continuous calculation in space. The generalized relationships between each module are shown in Figure 3.
Numerical simulation and model validation

The hydraulic parameters, such as the channel roughness and local head loss coefficient along the structure, used in the design of large-scale water diversion projects, directly affect the hydraulic response characteristics of the water delivery system. The Miyun-Beijing diversion canal has been operated for many years, and seepage prevention and reinforcement measures have only been applied to some parts of the channels. Additionally, the growth of lush plants in the channel is important. The numerical calculation model in this paper is established based on the roughness and local head loss values determined by Wang (2007). The channel roughness value ranged from 0.015–0.04, and the corresponding channel parameters used in the numerical simulations are shown in Table 3.

Through the numerical simulation of the hydraulic transition process of the cascade pumping stations used in the Miyun Reservoir Regulation Project, the accuracy and practicability of the model were validated via a comparison between the actual cross-sectional data from the Miyun-Beijing diversion canal, engineered operating levels and the simulation results. The numerical simulation results are shown in Table 4. The numerical results exhibit satisfactory agreement with the operating levels but are slightly larger than the measured values. With one unit operating, the maximum difference between the calculated water level and observed water level was observed at the outlet of Qianliulin pump station, and the error was 2 cm. With two units operating, the maximum difference between the calculation water level and observed water level was observed at the outlets of Qianliulin and Xingshou pump stations, and the error was 1 cm. Overall, the composite effects of the water surface profile were effectively represented. The numerical model of transient flow developed by combining computer simulation techniques and the one-dimensional Saint-Venant equations meets the research and application needs of this study. It is suitable for research regarding hydraulic transient processes, operation scheduling, and security and stability in the water delivery system of the cascade pumping stations of the South-to-North Water Transfer Project and the Miyun Reservoir Regulation and Storage Project.

RESULTS AND DISCUSSION

Analysis of the response characteristics of the hydraulic transient process under pump switching conditions

The developed hydraulic numerical model was used to evaluate the hydraulic transient process under single and double pump switching conditions (from 11:30 am to 17:30 pm on 28 September, 2015). The water level hydrographs are shown in Figure 4(a)–4(d).

By comparing the simulation results to the observed results, we can see that the overall trends are consistent.
The maximum decrease in the water level at the inlet pool of Qianliulin pump station was 13.1 cm, and the minimum operating water level was 49.19 m, which did not surpass the minimum limit of the water level (48.60 m). Additionally, the maximum water level error was 5.7 cm.

![Figure 4](http://iwaponline.com/ws/article-pdf/18/5/1635/251269/ws018051635.pdf)

**Figure 4** | Simulated result of single and double sets switching events (where O-Level refers to observation values, C-Level refers to calculation values). (a) Water level hydrograph at the inlet pool of Qianliulin pump station. (b) Water level hydrograph at the inlet pool of Niantou pump station. (c) Water level hydrograph at the inlet pool of Lishishan pump station. (d) Water level hydrograph at the inlet pool of Xitaishang pump station.

### Table 4 | Simulation results and comparison to the measured results

<table>
<thead>
<tr>
<th>Node name</th>
<th>One unit operating</th>
<th>Two units operating</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Calculated level (m)</td>
<td>Observed level (m)</td>
</tr>
<tr>
<td>Forebay of Tundian</td>
<td>49.22</td>
<td>49.22</td>
</tr>
<tr>
<td>Outlet sump of Tundian</td>
<td>49.61</td>
<td>49.60</td>
</tr>
<tr>
<td>Forebay of Qianliulin</td>
<td>49.54</td>
<td>49.54</td>
</tr>
<tr>
<td>Outlet sump of Qianliulin</td>
<td>50.35</td>
<td>50.37</td>
</tr>
<tr>
<td>Forebay of Niantou</td>
<td>50.02</td>
<td>50.02</td>
</tr>
<tr>
<td>Outlet sump of Niantou</td>
<td>51.49</td>
<td>51.50</td>
</tr>
<tr>
<td>Forebay of Xingshou</td>
<td>51.35</td>
<td>51.35</td>
</tr>
<tr>
<td>Outlet sump of Xingshou</td>
<td>52.34</td>
<td>52.35</td>
</tr>
<tr>
<td>Forebay of Lishishan</td>
<td>52.27</td>
<td>52.27</td>
</tr>
<tr>
<td>Outlet sump of Lishishan</td>
<td>52.82</td>
<td>52.82</td>
</tr>
<tr>
<td>Forebay of Xitaishang</td>
<td>52.76</td>
<td>52.76</td>
</tr>
<tr>
<td>Outlet sump of Xitaishang</td>
<td>57.96</td>
<td>57.96</td>
</tr>
</tbody>
</table>
The maximum increase in the water level at the inlet pool of Niantou pump station was 4.2 cm, and the maximum operating water level was 49.84 m, which did not surpass the maximum limit of the water level (50.12 m). Additionally, the maximum water level error was 2.1 cm.

The maximum increase in the water level at the inlet pool of Lishishan pump station was 9.7 cm, the maximum operating water level was 52.3 m and the maximum decrease in the water level was 19.4 cm. Additionally, the minimum operating water level was 52.10 m, which did not surpass the minimum limit of the water level (52.00 m), and the maximum water level error was 2.8 cm.

The maximum decrease in the water level at the inlet pool of Xitaishang pump station was 58 cm, and the minimum operating water level was 52.77 m, which did not surpass the minimum limit water level (52.75 m). Additionally, the maximum increase in the water level was 40.8 cm, and the maximum operating water level was 53.18 m, which surpassed the maximum limit water level (53.10 m) after 347 min. The maximum water level error was 7.3 cm.

In summary, considering the influence of the large fluctuations in water level under single and double pump unit switching conditions and the water level error, the simulation results are reasonable. By comparing the simulation results to observations, we found that the overall trends in the data were consistent. The water levels exhibited relatively large fluctuations under switching conditions, but the fluctuations were within the designed water level range. To ensure the safety of the channel lining and avoid large fluctuations in the water level, pumping stations should try to establish balanced flow operation throughout the entire system.

**Analysis of the response characteristics of the hydraulic transient process during pump shutdown**

All operating units shut down at a single-stage pump station in a water delivery system of cascade pump stations

The delivery system from Tundian pump station to Xitaishang pump station is selected as the research extent. At each pump station, three units are in use and one is in reserve. The designed flow capacity is 20 m$^3$/s, and the initial water level is set as a constant. The developed hydraulic numerical model was used to analyze the hydraulic transient process when all operating units are shut down at a single-stage pump station in a water delivery system of cascade pump stations. The following scenarios were assumed:

Scenario 1: Assuming that the water delivery system undergoes normal operation for 1,400 seconds, all operating units shut down at Qianliulin pump station, and the flow capacity changes from 20 m$^3$/s to 0.

Scenario 2: Assuming that the water delivery system undergoes normal operation for 1,400 seconds, all operating units shut down at Niantou pump station, and the flow capacity changes from 20 m$^3$/s to 0.

Scenario 3: Assuming that the water delivery system undergoes normal operation for 1,400 seconds, all operating units shut down at Xingshou pump station, and the flow capacity changes from 20 m$^3$/s to 0.

Characteristic sections were selected upstream and downstream of the pump station with units shut down. Water level variations upstream and downstream were analyzed under the condition of single-stage pump station shutdown. The water level hydrographs in the characteristic sections upstream and downstream of the selected pump stations are shown in Figures 5–7 for various scenarios.

In scenario 1, if no measures are taken at the upstream or downstream pump stations, the water level upstream will continually increase and the water level downstream will continually decrease after Qianliulin pump station suddenly shuts down. Additionally, the water level of the inlet pool at Qianliulin station will exceed the maximum limit (49.39 m) after shutdown. Moreover, approximately 104 minutes after shutdown, the water level of the outlet pool upstream of the pump station will exceed the maximum limit (49.88 m), but no overtopping phenomenon will occur in the stepped channels. Approximately 220 minutes after shutdown, the water level of the inlet pool downstream of the pump station will surpass the minimum limit (49.42 m), which may cause pump cavitation and vibration phenomena.

In scenario 2, if no measures are taken at the upstream or downstream pump stations, the water level upstream will continually increase and the water level downstream will continually decrease after Niantou pump station suddenly shuts down. The water level of the inlet pool of Niantou...
Figure 5 | Scenario 1: stage hydrographs in typical sections upstream and downstream of a pump station that is shut down.

Figure 6 | Scenario 2: stage hydrographs in typical sections upstream and downstream of a pump station that is shut down.

Figure 7 | Scenario 3: stage hydrographs in typical sections upstream and downstream of a pump station that is shut down.
station will exceed the maximum limit (49.72 m) after shutdown. Moreover, approximately 101 minutes after shutdown, the water level of the outlet pool upstream of the pump station will exceed the maximum limit (50.8 m), but no overtopping phenomenon will occur in the stepped channels. Approximately 249 minutes after shutdown, the water level of the inlet pool downstream of the pump station will surpass the minimum limit (50.53 m), which may cause pump cavitation and vibration phenomena.

In scenario 3, if no measures are taken at the upstream or downstream pump stations, the water level upstream will continually increase, and the water level downstream will continually drop after Xingshou pump station suddenly shuts down. The water level of the inlet pool of Xingshou station will exceed the maximum limit (50.83 m) after shutdown. Moreover, approximately 106 minutes after shutdown, the water level of the outlet pool upstream of the pump station will exceed the maximum limit (51.87 m), but no overtopping phenomenon will occur in the stepped channels. Approximately 158 minutes after shutdown, the water level of the inlet pool downstream of the pump station will exceed the minimum limit (51.3 m), which may cause pump cavitation and vibration phenomena.

In conclusion, when a single-stage pump station is operating based on a full load (three pump units), if shutdown occurs, the water levels of the inlet and outlet pools of the pump station will exceed their limits. The cascading pump stations cannot maintain a flow balance under these conditions if no measures are taken at the upstream or downstream pump stations. However, due to the existing storage capacity of the channels, the water levels in the channel sections rise or fall relatively slowly. This creates a certain reaction time for controlling the water delivery system, but there are also differences in reaction times due to the different lengths of channels.

All operating units in a water delivery system of cascade pump stations shut down

The delivery system from Tundian pump station to Xitaishang pump station is selected as the research extent. At each pump station, three units are in use and one is in reserve. We assume that all pump stations in the system use three pump units, and the flow capacity is 20 m³/s. The initial water level is assigned a constant value. Assuming that the water delivery system undergoes normal operation for 1,400 seconds, all operating units in the water delivery system of cascade pump stations shut down, and the flow capacity changes from 20 m³/s to 0. The characteristic section includes the outlet pools, the inlet pools of the pump stations of the water delivery system and the open channels between the two pump stations. The water level hydrographs of the characteristic sections after all pump stations are shut down are shown in Figure 8(a)–8(e).

To summarize, when all operating units in the water delivery system of the cascade pump stations are shut down, the hydraulic transition process has the following characteristics:

(1) When the pump stations in an entire system are at full operational capacity (three pump units), after shutdown occurs, the water levels of the inlet and outlet pools of each pump station will undergo relatively severe fluctuations compared to those in open channels. The maximum fluctuation in the water level of the outlet pool of each pump station is 0.22–0.56 m, and the maximum fluctuation in the water level of the inlet pool of each pump station is 0.46–0.97 m. These levels are within the designed water level range.

(2) Among the open channels in the system of cascade pump stations, the water levels in the open channels from Tundian to Qianliulin and from Qianliulin to Niantou exhibited relatively small fluctuations of approximately 0.05–0.06 m. However, the water levels in the open channels from Niantou to Xingshou, from Xingshou to Lishishan and from Lishishan to Xitaishang exhibited relatively large fluctuations of approximately 0.23–0.31 m, but no overtopping occurred in the stepped channels.

(3) The water level fluctuations in the water conveyance system attenuated over time, and the water level in the channel remained stable after a certain time.

CONCLUSIONS

In this study, a mathematical model of the corresponding inner boundary was established that considered open channels, co-dependent structures and control structures. The numerical calculation model of transient flow was developed...
by combining computer simulation techniques and the one-dimensional Saint-Venant equations. This approach is suitable for the water delivery system of cascade pumping stations. The model was used to perform numerical simulations of flow regulation and emergency conditions in the water conveyance system. The numerical model has relatively

Figure 8 | Stage hydrographs of typical sections upstream and downstream of pump stations after shutdown.
high calculation precision, which was suitable for researching the hydraulic transient process of a water delivery system of the cascade pumping stations in the Miyun Reservoir Regulation and Storage Project, and the error in numerical simulations is less than 2 cm. Thus this study provides basic data for the operation and optimization of the water conveyance system and a reference for safe operation of the Miyun Reservoir Storage Project, as well as efficient water delivery.

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