Delayed-Onset Hypothesis of Antipsychotic Action

A Hypothesis Tested and Rejected

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Context: To understand the mechanism of action of antipsychotic drugs, it is critical to recognize the time course over which these medications take effect. Current models of antipsychotic action presume a “delayed onset” of action.

Objective: To test the delayed-onset hypothesis of antipsychotic action via a meta-analytic study.

Data Sources and Study Selection: Double-masked studies that reported results from active or placebo-controlled trials of antipsychotic response during the first 4 weeks of treatment were selected. These studies were identified by searching MEDLINE, 1996 to 2001; the Cumulative Index to Nursing and Allied Health, 1982 to 2001; EMBASE, 1980 to 2001; the ACP Journal Club; the Cochrane Database of Systematic Reviews; and the Database of Abstracts of Reviews of Effectiveness. Leads from these sources were followed up by manual searches.

Data Synthesis: Forty-two published studies, including 7450 patients and 119 independent response vs time curves, were identified. Reductions in total scores on the Brief Psychiatric Rating Scale and the Positive and Negative Syndrome Scale were 13.8% during week 1, 8.1% during week 2, 4.2% during week 3, and 4.7% during week 4. This pattern of “early-onset” improvement was present even after the estimated effect of placebo treatment was removed and when results were restricted to the psychotic subscales of the scales.

Conclusions: This analysis rejects the commonly held hypothesis that antipsychotic response is delayed. Rather, these findings suggest that the antipsychotic response starts in the first week of treatment and accumulates over time. Furthermore, greater improvement occurs in the first 2 treatment weeks than in the subsequent 2 treatment weeks. Proposed mechanisms of action of antipsychotic drugs need to account for this early-onset antipsychotic effect.

Arch Gen Psychiatry. 2003;60:1228-1235

TREATMENT of psychotic illness before the 1950s was often difficult and sometimes even dangerous.1 In 1952, the introduction of chlorpromazine marked the beginning of the modern era of psychiatric pharmacotherapy, eventually leading to the atypical antipsychotic agents available today. Although the efficacy of these medications for the treatment of psychosis is well established, debate continues over their precise mechanism of action.

In the 1960s, Carlsson and Lindqvist2 proposed that the effect of antipsychotic medications was due to their effects on the monoaminergic system. In the 1970s, it was reported3,4 that antipsychotic drugs displace dopamine from its receptor with an affinity that correlates with their clinical potency. As a result of these findings, it has been generally accepted that a central pharmacologic property of antipsychotic drugs is that they act by blocking the effects of dopamine at D2 receptors.5,6 Although a stable level of dopamine blockade is achieved within a few days of starting treatment, substantial improvement may not occur for several weeks. Understanding this apparent delay is a question of fundamental concern to the field.

The notion that there is a substantial lag between antipsychotic drug administration and clinical improvement has had a major effect on the field. The term “delayed onset” of antipsychotic medication effects was coined in the 1970s and is now well established in standard psychiatric textbooks.7-10 According to this hypothesis, there is delay of 2 to 3 weeks between the start of medication administration and the onset of specific therapeutic benefits, although dopamine receptor blockade is well established in the first few days. The “depolarization block” hypothesis has been proposed as an explanation for this delay. This hypothesis suggests that repeated administration of antipsychotic...
agents causes dopaminergic neurons in the brain to undergo inactivation of firing. This inactivation of firing has been proposed as a critical step in mediating antipsychotic response and has been consistently observed in rats after 3 weeks of continuous treatment. It has been suggested that this 3-week period may explain the delay in onset of the therapeutic effect and the neurologic adverse effects of these drugs on patients with schizophrenia.\(^{9,17}\) However, the precise time course of clinical improvement seen with antipsychotic drug treatment has never been definitively established.

An important distinction needs to be made between a delayed onset and a delayed realization of full improvement. Although there can be no debate that full therapeutic benefits take several weeks to realize, this by itself does not imply a delay in the onset of action. In almost every area of medical therapeutics, from antibiotics to chemotherapeutic agents, medicines take time to realize their full benefits, thus a delay in full benefits does not by itself imply a delayed onset of action. Because the concept of delayed onset has become widely accepted, we examined whether the available clinical evidence supports such a claim.

The earliest studies of chlorpromazine treatment in the 1950s\(^{18,19}\) describe clinical improvement within days of starting treatment. A variety of more recent studies have reported similar findings. Stern et al\(^{20,21}\) showed that early response occurs with typical and atypical antipsychotic medications. McDermott et al\(^{22}\) found that 40% of patients with schizophrenia responded to haloperidol therapy between days 8 and 18 of treatment, and Garver\(^{23}\) described dramatic antipsychotic effects in a group of patients with schizophrenia by the fourth day of antipsychotic drug administration. Several other investigators\(^{24-28}\) have reported responses within the first 10 days of drug treatment. Although the interpretation of these studies is limited by their being either anecdotal reports or open, uncontrolled clinical trials, they cause one to question whether the onset of antipsychotic action is actually delayed.

The previous considerations lead to 2 competing hypotheses concerning the onset of action of antipsychotic drugs, either of which would account for the observation that substantial clinical improvement takes weeks to achieve:

- **Delayed-Onset Hypothesis:** After the drug reaches its therapeutic level, there is a period of “delay” before response begins. This delay has been proposed to be approximately 2 to 3 weeks.
- **Early-Onset Hypothesis:** The antipsychotic effect starts simultaneously with the drug reaching its therapeutic levels (ie, in the first few days). As with any continuous process, the effect accumulates over time and finally plateaus. In contrast to the delayed-onset hypothesis, there is no notable delay in improvement; on the contrary, there is more improvement in the earlier weeks than in the later weeks.

Graphically, these hypotheses would appear as shown in Figure 1. Both hypotheses can explain why it may take several weeks to achieve a substantial level of response. However, they make opposite predictions regarding what happens early in the course of treatment. Distinguishing between these 2 competing models of antipsychotic onset is of significant clinical and theoretical importance, as the 2 different trajectories will lead to different clinical expectations, different clinical trial designs, and different kinds of mechanisms to explain the effects of antipsychotic medications. To determine whether the onset of action of antipsychotic medications is most consistent with the delayed-onset hypothesis or with the early-onset hypothesis, we evaluated existing clinical trial data using a meta-analytic approach.

![Figure 1. Delayed-onset vs early-onset hypothesis.](image)

**METHODS**

**DATA SOURCES**

We reviewed the English-language published data from active and placebo-controlled, double-masked studies of antipsychotic treatment in patients with schizophrenia or schizoaffective disorder during the first 4 weeks of antipsychotic drug treatment. Articles for this review were obtained by searching the MEDLINE electronic database, 1996 to 2001; the Cumulative Index to Nursing and Allied Health, 1982 to 2001; EMBASE, 1980 to 2001; and the Evidence-Based Medicine Reviews multifile database (the ACP Journal Club, the Cochrane Database of Systematic Reviews, and the Database of Abstracts of Reviews of Effectiveness).

**DATA SELECTION**

The key words “schizophrenia,” “antipsychotic,” and “neuroleptic” and the drug names “chlorpromazine” and “haloperidol” (typical antipsychotics) and “risperidone” and “olanzapine” (atypical antipsychotics) were used. All phrases related to these keywords were requested using the “explode” command. The bibliographic sections of articles collected during the search were used to direct further inquiries. Cross-referencing of earlier reviews and original studies identified further information.

Studies were included in this meta-analysis if they (1) reported 2 or more assessments of effectiveness, taken at least 7 days apart, in the first 4 weeks of treatment and (2) assessed effectiveness using either the Brief Psychiatric Rating Scale (BPRS)\(^{29}\) or its thought subcomponent (scale rating of item numbers 4 [conceptual disorganization], 12 [hallucinatory behavior], 15 [unusual thought], and 11 [suspiciousness]) or the Posi-
tive and Negative Syndrome Scale (PANSS)\textsuperscript{30} or its positive subscale (scale rating of item numbers P1-P7). In studies that reported the results graphically only, data were obtained directly from the published graphs.

Studies were excluded from this analysis if they (1) included patients younger than 16 years, (2) were specifically targeted at treatment nonresponders or patients with treatment-resistant schizophrenia, (3) used antipsychotic medications for indications other than psychosis (eg, depressive or anxiety symptoms in a patient with schizophrenia), (4) included simultaneous adjuvant drug therapy (eg, glycine for augmentation of an antipsychotic drug), (5) used sham doses of antipsychotic agents, or (6) included long-acting antipsychotic medications.

DATA EXTRACTION AND STANDARDIZATION

To permit standardized comparisons, knowing that studies use different psychometric scales for rating symptomatic improvement and outcome, we converted measures of response to percentage reduction in symptom severity from baseline. The raw scores were standardized and then were converted to percentages of the baseline scores. This eliminated any difficulties in comparing BPRS and PANSS (and their relevant subcomponent) scores, as well as those arising from the different BPRS scoring conventions across studies. Some studies used a scale from 1 to 7 per question, whereas others used a scale from 0 to 6. These scaling issues were resolved by converting raw scores to standardized scores. Each study created 1 or more symptom response vs time curves, according to the number and different doses of drugs tested. A unit of data in this meta-analysis was a response-time curve for a drug at a given dose. For each measurement, the incremental weekly percentage change in standardized baseline score was calculated.

Owing to the variability in the size of the studies used in this analysis, it was decided to weight each study in the analysis according to the number of patients associated with each measurement. Smaller studies, with results based on fewer patients, were given proportionally less weight than larger studies.

The patient dropout rates for the studies were investigated. Most studies report response on an “as observed basis,” but some use a last observation carried forward approach. First, we analyzed these 2 kinds of studies separately. The rate of change in scores over time was not found to be significantly different in the as observed vs the last observation carried forward studies. As a result, we subsequently analyzed the 2 kinds of studies together.

Some studies did not provide sample size information corresponding with each reported time measurement in the study. For these studies, the sample sizes were estimated through a linear regression based on the dropout rates of those studies for which complete information was available. These estimated within-study sample sizes were used in all subsequent analyses wherever true sample sizes were not available.

DATA ANALYSIS

The data were approached with 3 types of analyses. The first analysis included all the studies and examined the effect of antipsychotic drug treatment and placebo treatment on standardized total BPRS or PANSS scores over time. The overall BPRS and PANSS scores contain some items beyond those related specifically to psychotic symptoms. Therefore, to examine the time course of the antipsychotic agents on core psychotic symptoms, a second analysis was carried out using only studies that separately reported data on the BPRS thought subscale and the PANSS positive subscale. Because improvement early in treatment may just reflect nonspecific effects of medications or milieu, this was controlled for by accounting for the degree of improvement observed in patients assigned to treatment with placebo. The third analysis examined the data after subtracting the improvement observed with placebo treatment from the total and psychotic subscale scores.

To test whether our findings reveal any evidence of an immediate onset of antipsychotic medication effects, a repeated-measures analysis of covariance was performed on each group of results. The method used for each analysis was identical. Incremental change per week was used as the dependent variable, and time (chlorpromazine, haloperidol, risperidone, or olanzapine), and rating scale (BPRS or PANSS) were included in the model as fixed factors. On completion of the tests, additional contrasts were performed to assess whether the average magnitude of effect within the first 2 weeks after initial treatment was significantly different than the magnitude of the average effect size in weeks 3 and 4. A second series of tests used a repeated-measures analysis of covariance and examined the effect of treatment on total and subscale scores after subtracting the mean placebo effect at each time.

Equations used for (1) calculating standardized scores, (2) estimating attrition of patients from start to end point, and (3) calculating weekly incremental change per week were as follows:

(1) \( \frac{(Y_o - Y_{\text{min}})}{(Y_{\text{max}} - Y_{\text{min}})} \times 100\% \),

where \( Y_o \) indicates raw (untransformed) score; \( Y_{\text{min}} \), lower limit of corresponding measurement scale; and \( Y_{\text{max}} \), upper limit of corresponding measurement scale.

(2) \( N_i = N_0 \times (\hat{\beta}_0 + \hat{\beta}_1 \times t) \),

where \( N_i \) indicates sample size at time \( t \); \( t \), time (in days); and \( \hat{\beta}_0, \hat{\beta}_1 \), constants, estimated through weighted least squares regression as

\[
\hat{\beta}_1 = \frac{\sum\limits_{i=1}^{n} w_i X_i Y_i - \sum\limits_{i=1}^{n} w_i X_i \sum\limits_{i=1}^{n} w_i Y_i}{\sum\limits_{i=1}^{n} w_i^2 - \left( \sum\limits_{i=1}^{n} w_i X_i \right)^2}
\]

and

\[
\hat{\beta}_0 = \frac{\sum\limits_{i=1}^{n} w_i Y_i - \hat{\beta}_1 \sum\limits_{i=1}^{n} w_i X_i}{\sum\limits_{i=1}^{n} w_i},
\]

where \( Y \) indicates percentage of initial sample remaining on final day of study \( i \); \( i, 1-n \); \( X_i \), completion time of study \( i \) (in days); and \( w_i \), initial number of patients in study \( i \).

(3) \( \frac{X_{w_2} - X_{w_1}}{X_{w_1}} \times 100\% \),

where \( Y_w \) indicates incremental percentage change in baseline score at week \( w \); \( w, 1-4 \); \( X_{w_1} \), standardized score at week \( w \); and \( X_{w_0} \), standardized baseline score.
SAMPLE CHARACTERISTICS

Forty-two double-masked controlled studies including 7450 patients were identified. The studies were published between 1976 and 2001, and their duration ranged from 28 to 196 days. Almost 42% of the sample originated from the United States, 19.1% from European countries, and the remainder from non-European and non-North American countries. There were some variations in diagnostic criteria over time: whereas the earlier studies usually used the International Classification of Diseases and Research Diagnostic Criteria for a Selected Group of Functional Disorders (6.9% of all studies), the newer studies used the DSM-III-R and the DSM-IV (93.1% of all studies). Nearly 77% of the included studies had washout periods, accounting for 62.8% of the patients included in our meta-analysis. The length of the washout period varied between 1 day (in more recent studies) and 33 days (in earlier studies). Almost half of the studies (47.4%) included only patients with schizophrenia, and the remainder included patients with schizophrenia, schizoaffective disorder, and schizoaffective disorder. The patients were typically in their mid-30s, with an age range of 16 to 72 years (mean, 37.6 years), and 63.8% were male. The average percentage of completers of the studies was 59.5% (at the end point of the study rather than after 4 weeks). Mean age at onset of illness was 25.4 years (range, 9.9-48.8 years), and mean duration of illness was 15.5 years (range, 1-38 years). Mean±SD number of previous hospitalizations was 7.1±3.7. More than half of the studies (52.4%) involved inpatients only, and the remainder included a mixture of inpatients and outpatients. The studies included patients treated with olanzapine (n=3750), haloperidol (n=2447), risperidone (n=896), chlorpromazine (n=95), and placebo (n=262).

TOTAL BPRS AND PANSS SCORES

Figure 2 shows the average trajectory of BPRS and PANSS improvement with the use of antipsychotic drugs vs placebo over time. No significant change in scores over time was observed in the placebo group (F1;147=1.72; P=.22, representing the main effect of time in the placebo group) in contrast to change in mean total scores in the treatment group (F3;147=28.94; P<.001, representing the main effect of time in total score test). Post hoc t tests examining differences between the percentage reduction in total scores at weeks 1 to 4 between the treatment and placebo groups revealed that the mean weekly scores for medication differed significantly from those for placebo from the very first week (P<.05 after Bonferroni adjustment).

Overall clinical improvement during the first week of antipsychotic drug treatment was significantly greater than that observed in later weeks. The decrease in scores during the first week was almost 3 times as great as the observed effect in weeks 3 and 4 (Figure 3A). Bonferroni-adjusted pairwise comparisons revealed that the incremental improvement was significantly greater in the first week than in the second week (t1;147=3.80; SE=0.69; P=.001), which in turn was greater than in week 3 (t1;147=7.22; SE=0.73; P<.001) and week 4 (t1;147=8.35; SE=0.75; P<.001).

To test whether the onset of action of the antipsychotic agents was early vs delayed, tests were undertaken to determine whether greater improvement is seen in the first 2 weeks (per the early-onset hypothesis) or in the next 2 weeks (per the delayed-onset hypothesis). These tests found that the decline in scores within the first 2 weeks of treatment (21.9%) was significantly greater than that observed in the third and fourth weeks (9.8%) (F1;147=70.51; P<.001).

CORE PSYCHOTIC SYMPTOM SCORES

Change in core psychotic symptoms over time was measured by the change in BPRS thought subscale and PANSS positive subscale scores. Figure 3B shows that the decline in these scores is considerably greater during the first week than in later weeks (F1;30=5.80; P<.01). As predicted by the early-onset hypothesis, the decline in scores in the first 2 weeks of initial treatment (24.4%) was almost 3 times as much as that observed in the third and fourth weeks (7.7%) (F1;30=10.58; P<.01).

ACCOUNTING FOR THE PLACEBO EFFECT

To account for the placebo effect, we removed the mean weekly improvement obtained in the placebo-treated group from that in the drug-treated group (Figure 4A). After subtracting the placebo group response, the improvement in scores for antipsychotic drugs remained significantly greater in the first week than in the third week (t1;47=5.81; SE=0.73; P<.001). The improvement in the second week was significantly larger than that in the third week (t1;47=7.87; SE=0.74; P<.001) and the fourth week (t1;47=3.66; SE=0.76; P<.01). Improvement in the first 2 weeks (17.2% after subtracting the placebo effect) was significantly higher than that in the subsequent 2 weeks (6.7%; difference: F1;147=43.74; P<.001). The rate of decline in the core psychotic symptoms after removal of the placebo effect was also greater in the first 2 weeks of treatment (Figure 4B). A contrast of the average effect observed during the first 2 weeks of treatment vs the following...
2 weeks confirms that the psychotic items also show a de- 
cidedly early onset of improvement ($F_{1,30}=6.17; P = .02$).

No significant difference among the 4 drugs was found in their impact on subscale scores ($F_{2,7}=0.31; P = .74$) or total scores ($F_{3,54}=1.49; P = .23$).

**COMMENT**

In this meta-analysis of more than 7400 patients, we found that antipsychotic action starts early after drug adminis-

tration and is cumulative during the ensuing weeks. The empirical data are not consistent with the widely cited de-

layed-onset hypothesis. Clinical improvement in the first weeks of treatment is often attributed to improvement in nonspecific symptoms such as anxiety and agitation rather than to a change in the core psychotic symptoms. However, even when we restricted the analysis to the BPRS thought and PANSS positive subscales, we observed more improvement in the first 2 weeks than in the next 2 weeks. It is often suggested that improvement in patients with psy-
chosis in the early weeks of treatment results from the inpatient milieu, the effect of adjunctive sedatives, and other nonspecific treatment effects. However, our findings of early onset of action persisted even after we controlled for the degree of change observed in the placebo-treated patients in the same studies. Our findings, which reflect the findings of available double-masked, randomized controlled studies of oral medications, are also consistent with many articles in the literature describing improvement in the days immediately after intramuscular antipsychotic drug administration for the acute management of patients with psychosis.

Given this evidence for improvement in psychotic symptoms in the first couple of weeks of treatment, why has the concept of delayed onset been so widely accepted? We think that this may have resulted from confusion between the concept of onset of action vs the time required to achieve a given level of improvement or statistical significance. In almost all of the studies for which we reviewed the curves of antipsychotic response, the antipsychotic group numerically separates from the placebo group in the first measure (usually in the first week). The degree of improvement in the first week (13.8% on average) is smaller than the total cumulative improvement at the end of the third or fourth week (26.1% or 30.8%, respectively). Because most studies are powered to detect a statistically significant effect, they may lack adequate power to declare the early change as significant.

Several limitations of the meta-analytic approach, particularly as we applied it in this study, need to be pointed out. First, we restricted this study to 4 antipsychotic agents (chlorpromazine, haloperidol, risperidone, and olanzapine). We chose these drugs because they represent the most widely used typical antipsychotic agents (haloperidol and chlorpromazine) and the most widely used atypical antipsychotic agents (risperidone and olanzapine). Several limitations of the meta-analytic approach, particularly as we applied it in this study, need to be pointed out. First, we restricted this study to 4 antipsychotic agents (chlorpromazine, haloperidol, risperidone, and olanzapine) to which the early-onset hypothesis of antipsychotic action has important implications for understanding the process of antipsychotic response and the mechanism of action of antipsychotic drugs. Because it has been assumed that onset is delayed, a search has been ongoing for biological events that are absent in the first few weeks but which appear later. It has been proposed that the depolarization block of dopamine neurons may take place over a period that parallels the delay in onset of clinical improvement that has been assumed to be required. While acknowledging these limitations, our findings seem robust and lead us to propose an early-onset hypothesis of antipsychotic action. We propose that there is no notable delay in the onset of antipsychotic action. As threshold concentrations of drug accumulate in the brain during the first few days, the antipsychotic effect begins. The effect does not reach maximal response immediately but accumulates over time, with improvement occurring most rapidly in the first 2 weeks and then slowly reaching a plateau.

The early-onset hypothesis of antipsychotic action has important implications for understanding the process of antipsychotic response and the mechanism of action of antipsychotic drugs. Because it has been assumed that onset is delayed, a search has been ongoing for biological events that are absent in the first few weeks but which appear later. It has been proposed that the depolarization block of dopamine neurons may take place over a period that parallels the delay in onset of clinical improvement that has been assumed to be required.12,13,15,17 It has been reported that administration of haloperidol to rats results in a short-term increase in the firing of midbrain dopamine neurons, followed by a decrease in firing—referred to as depolarization block—which takes place after 3 weeks.12 It has been proposed that the onset of action of antipsychotic agents is related to this decreased firing of the dopamine neurons.11,12,14,16 However, this explanation needs to be reconsidered given our finding that the onset of antipsychotic action does not seem to be delayed. There are also other lines of evidence that call into question the clinical relevance of the depolarization block hypothesis. Several studies report failure of haloperidol administration to induce depolarization block of dopamine neurons in unanesthetized rats, raising the possibility that the changes observed in dopamine neuron firing might be an artifact related to general anesthesia.82 It is also possible that the depolarization block mechanism underlies antipsychotic response but that it takes place earlier after antipsychotic drug administration than was originally described. White and Wang reported a significant decrease in active dopamine cells after 1 week of haloperidol treatment in rats. Further studies are necessary to determine the relationship of dopamine receptor blockade to depolarization block and to establish the time frame over which this phenomenon actually takes place.

The early-onset hypothesis predicts that the mechanism underlying antipsychotic response will involve processes that are relatively early in onset and cumulative over time, paralleling the trajectory of clinical response. In this regard, some interesting new suggestions have been forthcoming. Kuhar and Joyce recently presented a theoretical model, based on immediate onset and gradual accumulation of protein intermediaries, which may provide a more viable biological underpinning for the nature of antipsychotic response. At a different level of analysis, Miller and Kapur suggested that antipsychotic response is akin to psychological extinction or unlearn-
ing, a process that starts immediately and accumulates over time, thus explaining the early onset as well as the delay in maximal effect. It is too early to conclude which of these explanations is most relevant; however, our re-conceptualization of the onset of antipsychotic action may point to different underlying mechanisms.

Not only does the early-onset hypothesis affect our models of antipsychotic action, it may also have implications for clinical practice. If the greatest rate of improvement is in the first week of treatment, it raises the possibility that early response to treatment may predict the effectiveness of a drug for a given individual. It has been common clinical practice to treat patients for 4 to 6 weeks with a single medication before deciding whether a given antipsychotic drug is going to be effective for that patient. Although it may take 4 to 6 weeks to get a substantial degree of response, it is an open question whether one has to wait that long to predict whether a patient will respond to that drug. In fact, in the context of antidepressant response, it has been shown that response or nonresponse at the 2-week point is a reliable predictor of 8-week outcome. The early-onset hypothesis suggests that it may also be possible to determine whether an individual is going to respond to a given dose of antipsychotic medication within the first couple of weeks of treatment.

In summary, we did not find evidence for a delayed onset of antipsychotic action. Instead, we found robust evidence to support an early-onset hypothesis; this hypothesis proposes no notable delay in onset, more improvement in the first week, and a gradual accumulation of improvement toward a plateau.

This early-onset hypothesis provides a new opportunity to investigate the mechanism of action of antipsychotic medication and to evaluate the optimal pharmacological approach to treating patients with schizophrenia. Future research should test the early-onset hypothesis of antipsychotic action and its implications.

Submitted for publication August 23, 2002; final revision received April 24, 2003; accepted April 24, 2003.

This study was supported by a Canada Research Chair in Schizophrenia and Therapeutic Neuroscience (Dr Kapur), the Tapscott Chair in Schizophrenia Studies at the University of Toronto (Dr Zipursky), and the Ian Douglas Bebense Fellowship at the Centre for Addiction and Mental Health (Dr Agid).

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